

Application

Federal Award Identification Number (FAIN)	UT-2022-007-00
Temporary Application Number	1164-2022-2
Application Name	FFY 2020 5311 Rural – PCT Operating, Project Admin Pre-Award
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, UTAH DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1164	State Agency	Utah Department of Transportation	ER8VS3TR52T8	878690338

Location Type	Address	City	State	Zip
Headquarters	2110 STATE OFFICE BLDG	SALT LAKE CITY	UT	841141206
Physical Address	4501 S 2700 WEST	SALT LAKE CITY	UT	84119
Mailing Address	4501 S 2700 WEST	SALT LAKE CITY	UT	84114

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2020 5311 Rural – PCT Operating, Project Admin Pre-Award

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
UT-2022-007-00	Final Concurrence / Reservation	Grant	4/25/2022	4/25/2022	No

Application Executive Summary

Purpose: This grant is for \$3,396,636 (partial apportionment) of FFY20 Section 5311 rural formula funds for selected rural transit projects in Utah. The remaining apportionment of \$4,143,424 will be applied for in two additional applications: 1164-2022-1 and another at a later date. These are lapsing funds. Funds will be used to assist in meeting the transportation needs of rural transit riders by supporting project administration and operating needs.

Activities to be Performed: The grant scope of work includes project administration and operating assistance for Park City Transit (PCT). The overall performance period for this award is July 1, 2022 through December 30, 2022.

Expected Outcomes: The grant will ensure continuation of transit service in Park City, Utah (in Summit County), thus increasing access to opportunities (e.g., employment, education, healthcare, social, etc.) and improving quality of life.

Intended Beneficiaries: Transit riders in Park City are the intended beneficiaries of the grant.

Subrecipient Activities: One rural transit agency, PCT, was selected to receive funds. Subrecipient activities include project administration and operating assistance. All projects have been evaluated and selected under FTA program requirements and UDOT PTT's State Management Plan.

Other Information: All required documentation is attached to this application, including the program of projects, applicable pages from the 2022-2027 UDOT STIP, and ATT Labor and Service Area Labor Form. Pre-Award costs are \$3,396,636 for expenses incurred between July 1, 2018 through June 30, 2019.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID: UTG-220329010-ac
 Date Received by State: 3/28/2022 8:00 PM EDT

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Talbot	Hauffe	Transportation Program Specialist	talbot.hauffe@dot.gov	(303) 362-2402
Tracey	MacDonald	Supervisory Transportation Program Specialist	tracey.macdonald@dot.gov	(303) 362-2386
Alana	Spendlove	Utah Rural Public Transit Program Manager	aspendlove@utah.gov	(801) 910-2095

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,396,636
Local			\$3,316,671
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,713,307

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2022-007-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$3,290,016.00	\$3,290,016.00	\$6,580,032.00	1
UT-2022-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,290,016.00	\$3,290,016.00	\$6,580,032.00	1
UT-2022-007-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$106,620.00	\$26,655.00	\$133,275.00	1
UT-2022-	11.79.00 PROJECT	\$106,620.00	\$26,655.00	\$133,275.00	1

007-02-00

ADMINISTRATION

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Project 1 - Operating PCT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2022-007-01-00	1164-2022-2-P1	4/25/2022	8/15/2022	9/30/2022

Project Description

This award will fund net operating costs for Park City Transit's (PCT's) public transportation system for services for the general population in the rural area. This award will also use pre-award authority for accrued and unreimbursed operating expenses incurred between July 1, 2018 through June 30, 2019, while Park City was operating transit service in both the city and the county. As a result, a portion of the overall operating assistance would be indirectly passed on to Summit County. The total federal and nonfederal project cost is \$6,580,032.

Project Benefits

The project will benefit the general population of the PCT service area by increasing access to opportunities (e.g., employment, education, healthcare, social, etc.) and improving quality of life.

Additional Information

None provided.

Location Description

Park City (in Summit County)

Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

Congressional District Information

State	District	Representative
Utah	1	Blake Moore

Program Plan Information

STIP/TIP

Date: 10/1/2021

Description: UDOT STIP, page 143, approved 10/1/21

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,290,016
Local			\$3,290,016
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,580,032

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2022-007-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$3,290,016.00	\$3,290,016.00	\$6,580,032.00	1
UT-2022-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,290,016.00	\$3,290,016.00	\$6,580,032.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

This award will fund net operating costs for Park City Transit's (PCT's) public transportation system for services for the general population in the rural area. This award will also use pre-award authority for accrued and unreimbursed operating expenses incurred between July 1, 2018 through June 30, 2019, while Park City was operating transit service in both the city and the county. As a result, a portion of the

overall operating assistance would be indirectly passed on to Summit County. The total federal and nonfederal project cost is \$6,580,032, and the match rate is 50/50. PCT will fund the match with sales tax, regional transit revenue and business license fees. The project activities are consistent with allowable activities outlined in Circular 9040.1G, page III-11.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,290,016
Local			\$3,290,016
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,580,032

Milestone Name	Est. Completion Date	Description
Contract executed between UDOT and Park City	8/15/2022	Estimated date of contract execution between UDOT and Park City
Invoice Date	9/1/2022	Estimated date of invoice submission by Park City for reimbursement of pre-award expenditures
Payment Date	9/15/2022	Estimated date of invoice payment by UDOT to Park City for reimbursement of pre-award expenditures
Contract closed between UDOT and Park City	9/30/2022	Estimated date of contract closure between UDOT and Park City

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2022

Project Title: Project 2 - Project Administration PCT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2022-007-02-00	1164-2022-2-P2	4/25/2022	8/15/2022	9/30/2022

Project Description

This award will fund Project Admin costs for Park City Transit, for services for the general population in the rural area. This award will also use pre-award authority for accrued and unreimbursed project admin expenses incurred between July 1, 2018 through June 30, 2019. The total federal and nonfederal project cost is \$133,275.

Project Benefits

The project will benefit the general population of the PCT service area by increasing access to opportunities (e.g., employment, education, healthcare, social, etc.) and improving quality of life.

Additional Information

None provided.

Location Description

Park City (in Summit County)

Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

Congressional District Information

State	District	Representative
Utah	1	Blake Moore

Program Plan Information

STIP/TIP

Date: 10/1/2021

Description: UDOT STIP, page 145, approved 10/1/21

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$106,620
Local			\$26,655
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$133,275

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2022-007-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$106,620.00	\$26,655.00	\$133,275.00	1
UT-2022-007-02-00	11.79.00 PROJECT ADMINISTRATION	\$106,620.00	\$26,655.00	\$133,275.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

This award will fund Project Admin costs for Park City Transit, for services for the general population in the rural area. This award will also use pre-award authority for accrued and unreimbursed project admin expenses incurred between July 1, 2018 through June 30, 2019. The total federal and nonfederal project cost is \$133,275, and the match rate is 80/20. PCT will fund the match with sales tax, regional transit revenue and business license fees. The project activities are consistent with the eligible activities outlined in Circular 9040.1G (page III-12 to III-13).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$106,620
Local			\$26,655
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$133,275

Milestone Name	Est. Completion Date	Description
Contract executed between UDOT and Park City	8/15/2022	Estimated date of contract execution between UDOT and Park City
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Project Environmental Findings

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Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Tracey MacDonald

Comment Type DOL Review for Information

Date 5/19/2022

Comment LeAnn Abegglen
UDOT / Public Transit Team
4501 South 2700 West / PO Box 143600
Salt Lake City UT 84114-3600
labegglen@utah.gov
Office: 801-965-4119 / Cell: 801-244-9569

Application Review Comments

Comment By Robyn Kullas

Comment Type Environmental Findings

Date 5/17/2022

Project Title Project 2 - Project Administration PCT

Project Number UT-2022-007-02-00

Comment Project meets requirements of CE List C type 4; no additional documentation is required for NEPA approval.

Comment By Robyn Kullas

Comment Type Environmental Findings

Date 5/17/2022

Project Title Project 1 - Operating PCT

Project Number UT-2022-007-01-00

Comment project meets requirements of CE List C type 4; no additional documentation is required for NEPA approval.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, UTAH DEPARTMENT OF

Recipient ID: 1164

UEI: ER8VS3TR52T8

DUNS: 878690338

Application Information

Federal Award Identification Number: UT-2022-007-00

Application Name: FFY 2020 5311 Rural – PCT Operating, Project Admin Pre-Award

Application Start Date: N/A

Original Award End Date: 12/30/2022

Current Award End Date: N/A

Application Executive Summary: Purpose: This grant is for \$3,396,636 (partial apportionment) of FFY20 Section 5311 rural formula funds for selected rural transit projects in Utah. The remaining apportionment

of \$4,143,424 will be applied for in two additional applications: 1164-2022-1 and another at a later date. These are lapsing funds. Funds will be used to assist in meeting the transportation needs of rural transit riders by supporting project administration and operating needs.

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Intended Beneficiaries: Transit riders in Park City are the intended beneficiaries of the grant.

Subrecipient Activities: One rural transit agency, PCT, was selected to receive funds. Subrecipient activities include project administration and operating assistance. All projects have been evaluated and selected under FTA program requirements and UDOT PTT's State Management Plan.

Other Information: All required documentation is attached to this application, including the program of projects, applicable pages from the 2022-2027 UDOT STIP, and ATT Labor and Service Area Labor Form. Pre-Award costs are \$3,396,636 for expenses incurred between July 1, 2018 through June 30, 2019.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$6,713,307.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,396,636.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,316,671.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,396,636.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,316,671.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,396,636
Local			\$3,316,671

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$6,713,307

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,

- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, UTAH DEPARTMENT OF