

Application

Federal Award Identification Number (FAIN)	NM-2022-015-00
Temporary Application Number	1564-2022-5
Application Name	NMDOT FY21 5311 Rural Area Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	KW1EKL33X3H9	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	

Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: NMDOT FY21 5311 Rural Area Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2022-015-00	Final Concurrence / Reservation	Grant	4/12/2022	4/12/2022	No

Application Executive Summary

NMDOT (UZA 350000) is applying for \$1,641,561 of our FY21 Section 5311 apportionment \$12,196,284 and \$158,791 RTAP (to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Total application \$1,800,352. Funds are to be programmed for State Administration, RTAP and subrecipient Intercity Bus projects for FY 2022 including program administration and operating projects.

- Purpose of the Award: 5311 Public Rural and Intercity Transportation program projects for Operating, and Program Administration for FFY 2022, State Administration activities and Training activities for subrecipients
- Activities to be performed: Provide funding for Program Administration activities (activities include salaries and fringe benefits for transit agency management, financial, accounting and clerical support; contractual services; occupancy costs; equipment and supplies; training) and operating activities (activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico. State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities
- Expected Outcomes: The use of funds will provide the financial assistance 5311 agencies need to provide public transit services to residents in towns, villages, and cities across the state of New Mexico. The operating and program administration projects will increase service options for the public needing transportation access to employment, education, training, independent living, and essential healthcare related services. State Administration activities will provide administrative support staff to subrecipients for oversight and technical assistance. Training activities will provide subrecipients with essential training and networking opportunities
- Intended Beneficiaries: 5311 Subrecipients and their ridership
- Subrecipient Activities: Public transportation for New Mexico residents to access employment, education, healthcare shopping and recreational activities. Subrecipient activities also include transportation for work training and experience, life skills training associated with supervised and supported living residential services.

The amount of funding remaining for future use is \$10,554,723 (see attached POP & Budget) to be

distributed among 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

The funding request for this application are as follows:
RTAP Section 5311(B): (635-00) \$158,791 - UZA 35000
State Administration: (610-00) \$1,219,628 - UZA 35000
ICB Subrecipients: (634-00) \$421,933 UZA 35000

See attached Program of Projects and Budget workbook.

There are 2 total projects are in Category A, for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties.
Intercity Bus Category A: \$421,933 - NMDOT has awarded \$421,933 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year.
Intercity Bus Projects:
SCRTD – Provide ICB service on green, purple and turquoise routes serving Anthony, Chaparral, and Garfield, Las Cruces and El Paso.
NCRD – Provide ICB service on 200 Santa Fe Route serving Espanola, Tesuque and Pojaque, and Santa Fe.

State Administration: \$1,219,628 - provide project administration, oversight and technical assistance to subrecipients included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$158,791 - NMDOT has awarded RTAP \$158,791 for FY 2022. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training, technical assistance, and scholarship opportunities to rural transit agencies.

The 5311 subrecipients use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2023 and beyond, based on our award recommendations which are released in late May of each year.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	(817) 978-0563

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,800,352
Local			\$383,578
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,183,930

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,219,628.00	\$0.00	\$1,219,628.00	0
NM-2022-	11.80.00 STATE OR	\$1,219,628.00	\$0.00	\$1,219,628.00	0

015-01-00		PROGRAM ADMINISTRATION				
NM-2022-015-02-00	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2022-015-02-00		43.50.01 TRAINING	\$25,000.00	\$0.00	\$25,000.00	0
NM-2022-015-02-00		43.50.04 RELATED SUPPORT SERVICES	\$133,791.00	\$0.00	\$133,791.00	0
NM-2022-015-03-00	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$421,933.00	\$383,578.00	\$805,511.00	0
NM-2022-015-03-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$51,140.00	\$12,785.00	\$63,925.00	0
NM-2022-015-03-00		30.09.01 UP TO 50% FEDERAL SHARE	\$370,793.00	\$370,793.00	\$741,586.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-01-00	1564-2022-5-P1	4/12/2022	10/1/2021	9/30/2026

Project Description

NMDOT is applying for \$1,212,928 of our FY21 Section 5311 apportionment (total apportionment is \$12,355,075) to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Funds are to be programmed for State Administration. State Administration is programmed within the 10% allowed.

State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

Project Benefits

State provided program administration to subrecipients is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development

and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible and (6) assist with additional capital needs and special projects as assigned.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: 7/22/2020

Description: FTA 5311 Admin/operating/RTAP/State Admin

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,219,628
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,219,628

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-01-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$1,219,628.00	\$0.00	\$1,219,628.00	0
NM-2022-015-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,219,628.00	\$0.00	\$1,219,628.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

NMDOT is applying for \$1,219,628 of our FY21 Section 5311 apportionment (total apportionment is \$12,355,075) to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Funds are to be programmed for State Administration. State Administration is programmed within the 10% allowed.

State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,219,628
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,219,628

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date, FFY 2021.
End Date	9/30/2026	Expected program end date.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,219,628.00	\$1,219,628.00

Project Title: 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-02-00	1564-2022-5-P2	4/12/2022	7/1/2021	6/30/2025

Project Description

NMDOT is applying for the full apportionment of RTAP funding in the amount of \$158,791. Rural Transit

Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. Training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities.

RTAP Section 5311(b): (Scope 635-00): \$158,791

Project Benefits

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry;
- (4) provide a network of transit agencies to share best practices.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: 7/22/2020

Description: FTA 5311 Admin/operating/RTAP/State Admin

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$158,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$158,791

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$158,791.00	\$0.00	\$158,791.00	0
NM-2022-015-02-00	43.50.01 TRAINING	\$25,000.00	\$0.00	\$25,000.00	0
NM-2022-015-02-00	43.50.04 RELATED SUPPORT SERVICES	\$133,791.00	\$0.00	\$133,791.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

NMDOT is applying for RTAP funding in the amount of \$133,791 for related support services. Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. New Mexico Transit Association will provide training activities to include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and special trainings as requested.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$133,791
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$133,791

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Program start date.
End Date	6/30/2025	Estimated program end date.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

NMDOT is applying for RTAP funding in the amount of \$25,000 for subrecipient training. Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. Training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and including scholarships for training opportunities.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$25,000
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Program start date.
End Date	6/30/2025	Estimated program end date.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$25,000.00	\$25,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$133,791.00	\$133,791.00

Project Title: 5311 Rural Transit Programs Subrecipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2022-015-03-00	1564-2022-5-P3	4/12/2022	10/1/2021	9/30/2025

Project Description

NMDOT is applying for \$421,933 of our FY21 Section 5311 apportionment to the State of New Mexico. NMDOT has awarded \$421,933 of FY 2020 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year.

Rural Category A 5311(f):

Intercity Bus Category A: \$421,933 - NMDOT has awarded \$421,933 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties. .

\$51,140 - Awarded for Intercity Project Administration activities
\$370,793 - Awarded for Intercity Operating activities

Project Benefits

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: 7/22/2020

Description: FTA 5311 Admin/operating/RTAP/State Admin

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$421,933
Local			\$383,578
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$805,511

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2022-015-03-00	634-00 INTERCITY BUS TRANSPORTATION	\$421,933.00	\$383,578.00	\$805,511.00	0

	(634-A3)					
NM-2022-015-03-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$51,140.00	\$12,785.00	\$63,925.00	0
NM-2022-015-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$370,793.00	\$370,793.00	\$741,586.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

There is currently 1 project in Category A, for 1 subrecipient in the following counties: Otero, Dona Ana, and Sierra counties.

NMDOT has awarded \$51,140 of FY 2021 5311 apportionment for Intercity Bus service project administration as follows:

SCRTD - \$51,140

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$51,140
Local			\$12,785
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$63,925

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date.
End Date	9/30/2025	Estimated project end date. Project end date allows for future program years until intercity spending of 15% has been met and intercity bus project administration

awards have been expended.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

There are 2 total projects are in Category A, for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties. Total amount of \$421,933 is expensed on \$51,140 - Awarded for Intercity Project Administration activities and \$370,793 - Awarded for Intercity Operating activities. NMDOT has awarded \$370,793 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service operations as follows:
 NCRTD - \$320,000
 SCRTD - \$50,793

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$370,793
Local			\$370,793
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$741,586

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	Program start date.
End Date	9/30/2025	Estimated project end date. Project end date allows for future program years until intercity spending of 15% has been met and intercity bus operating awards have been expended.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$370,793.00	\$741,586.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$51,140.00	\$63,925.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Daniel Peschell

Comment Type	DOL Review for Information
Date	5/12/2022
Comment	DOL Section 5333(b) Certification Per FTA program requirements, this grant application is being sent to DOL for information purposes only.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, NEW MEXICO DEPARTMENT OF

Recipient ID: 1564

UEI: KW1EKL33X3H9

DUNS: 117883178

Application Information

Federal Award Identification Number: NM-2022-015-00

Application Name: NMDOT FY21 5311 Rural Area Program

Application Start Date: N/A

Original Award End Date: 12/31/2026

Current Award End Date: N/A

Application Executive Summary: NMDOT (UZA 350000) is applying for \$1,641,561 of our FY21 Section 5311 apportionment \$12,196,284 and \$158,791 RTAP (to the State of New Mexico posted in Federal Register Vol. 86, No.138/Thursday, July 22, 2021 for our 5311 Public Rural Transportation program. Total application \$1,800,352. Funds are to be programmed for State Administration, RTAP and subrecipient Intercity Bus projects for FY 2022 including program administration and operating projects.

- Purpose of the Award: 5311 Public Rural and Intercity Transportation program projects for Operating, and Program Administration for FFY 2022, State Administration activities and Training activities for subrecipients
- Activities to be performed: Provide funding for Program Administration activities (activities include salaries and fringe benefits for transit agency management, financial, accounting and clerical support; contractual services; occupancy costs; equipment and supplies; training) and operating activities (activities include salaries for transit agency operations supervision, drivers, mechanics, dispatch and operations support; occupancy costs; equipment and supplies; and vehicle costs including fuel, preventive maintenance and repair for public transportation services to rural transit agencies across the state of New Mexico. State Administration activities to include salaries and fringe benefits for NMDOT transit bureau management, financial, accounting and clerical support to provide project administration, oversight and technical assistance to subrecipients; capital projects and special projects as assigned; and training activities include an annual NMDOT Transit Conference, an annual NMDOT Transit Managers Conference, quarterly training, and scholarships for training opportunities
- Expected Outcomes: The use of funds will provide the financial assistance 5311 agencies need to provide public transit services to residents in towns, villages, and cities across the state of New Mexico. The operating and program administration projects will increase service options for the public needing transportation access to employment, education, training, independent living, and essential healthcare related services. State Administration activities will provide administrative support staff to subrecipients for oversight and technical assistance. Training activities will provide subrecipients with essential training and networking opportunities
- Intended Beneficiaries: 5311 Subrecipients and their ridership
- Subrecipient Activities: Public transportation for New Mexico residents to access employment, education, healthcare shopping and recreational activities. Subrecipient activities also include transportation for work training and experience, life skills training associated with supervised and supported living residential services.

The amount of funding remaining for future use is \$10,554,723 (see attached POP & Budget) to be distributed among 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

The funding request for this application are as follows:
RTAP Section 5311(B): (635-00) \$158,791 - UZA 35000
State Administration: (610-00) \$1,219,628 - UZA 35000
ICB Subrecipients: (634-00) \$421,933 UZA 35000

See attached Program of Projects and Budget workbook.

There are 2 total projects are in Category A, for 2 subrecipients in 6 counties: Rio Arriba, Taos, Santa Fe, Otero, Dona Ana, and Sierra counties.

Intercity Bus Category A: \$421,933 - NMDOT has awarded \$421,933 of FY 2021 5311 apportionment to 2 subrecipients for Intercity Bus service 5311(f) in the FY 2022 program year.

Intercity Bus Projects:

SCRTD – Provide ICB service on green, purple and turquoise routes serving Anthony, Chaparral, and Garfield, Las Cruces and El Paso.

NCRTD – Provide ICB service on 200 Santa Fe Route serving Espanola, Tesuque and Pojaque, and Santa Fe.

State Administration: \$1,219,628 - provide project administration, oversight and technical assistance to subrecipients included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$158,791 - NMDOT has awarded RTAP \$158,791 for FY 2022. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training, technical assistance, and scholarship opportunities to rural transit agencies.

The 5311 subrecipients use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2023 and beyond, based on our award recommendations which are released in late May of each year.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$2,183,930.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,800,352.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$383,578.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,800,352.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$383,578.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,800,352
Local			\$383,578
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,183,930

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, NEW MEXICO DEPARTMENT OF