

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	KY-2021-033-03
<b>Temporary Application Number</b>	1003-2021-8
<b>Application Name</b>	FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	7/21/2021	
<b>Original Period of Performance End Date</b>	3/30/2026	
<b>Current Period of Performance End Date</b>	3/30/2026	Revision #: 0

### Part 1: Recipient Information

**Name: TRANSPORTATION CABINET, KENTUCKY**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	NDZ7SAY9UXB3	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

### Union Information

<b>Union Name</b>	NONE No Union
<b>Address 1</b>	0000 No Union

Address 2	
City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

## Part 2: Application Information

**Title: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-033-03	Final Concurrence / Reservation	Grant	4/25/2022	4/25/2022	No

### Application Executive Summary

Amendment #3 - adds Project KY 2021-033-03 CHANGE IN SCOPE  
 DECREASE OPERATING \$1,000,000 FEDERAL, DECREASE LOCAL \$1,000,000 (LWT/PTA)  
 INCREASE:  
 Construction \$520,000 11.43.01 FEDERAL, STATE MATCH \$130,000  
 A & E \$480,000 11.41.01 FEDERAL, STATE MATCH \$120,000

Frankfort Transit

Frankfort Intermodal Intercity Garage USEFUL LIFE 40 years 11.43.01

11.43.01

Construction of Intercity Garage, five story building, intercity/?administrative office, rest rooms, waiting room space

This Grant Amendment#3 ADDS TO PROJECT \$5,500,000 for construction and A & E for ONE Facility in Frankfort, Ky

Frankfort Transit/?City of Frankfort

Located: 405 Mero Street, Frankfort, KY 40601

Frankfort Intermodal/?intercity Facility

POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

SEE POP

SEE NEPA Approvals

INTERCITY BUS DOLLARS REQUIRED WAS SECURED UNDER PREVIOUS AMENDMENTS WITH FEDERAL SHARE OF \$3,204,090 OVER 16% FROM FFY20 APPORTIONMENT. APPORTIONMENT WAS \$19,637,208 this not include RTAP or Appalachian Apportionments.

ALSO UNDER PREVIOUS AMENDMENTS FFY21 APPORTIONMENT INTERCITY REQUIREMENT WAS MET 15% \$2,952,625. The FFY21 Apportionment was \$19,684,169 this does not include RTAP or Appalachian Apportionments.

Total Federal Share for intercity bus for the entire grant KY-2021-033 is \$6,156,715.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

#### ACTIVITIES TO BE PERFORMED:

To build an intermodal intercity 5 story Transit bus garage.  
Construction and A & E

#### EXPECTED OUTCOMES:

To develop and construct an intercity bus garage allowing bus companies to pick up and drop off continuing meaningful connections. Also allowing space for transit employees out of the elements. Indoor covered Center. employees to have work space distancing preventing the spread of COVID or other related air borne diseases.

#### INTENDED BENEFITS:

- Facility Improvement- The current transit facility is remotely located and not easily accessible to citizens. The current facility is also no longer adequate to meet the needs of current/future staffing. The current building has met its useful service life and has considerable structural and maintenance issues. The facility is currently listed with a 2.3 rating on the Facility Condition Assessment Form submitted to KYTC on January 12, 2021. The facility was downgraded to this new rating based on a structural engineering study that found the facility to be structurally unsafe in multiple locations due to excessive rust and corrosion to the main support beams located throughout the building. In addition, there is not adequate parking for both staff and the vehicle fleet. A new downtown center will provide the basic needs not being met by the current facility and allow for expansion of staffing and services in the future.

- Intermodal Connectivity- The new center will allow Frankfort to provide a hub for intermodal transportation. Bluegrass Community Action Partnership, Inc. dba BGCAP Transit and Miller Transportation provide service to and from Louisville and Lexington using Frankfort as a central location. The new facility would facilitate a large transfer station for all three agencies. This would increase convenience, safety and ridership. The center would also serve as a centralized ride-share location, allowing the public parking spaces to commute and decreasing the number of vehicles on our highways. In addition, other services such as taxis, Uber and Lyft would use the center to provide transportation for that "last mile" of a citizen's trip. Due to its centralized downtown location, there are many residential, retail, office, and commercial units within walking distance; therefore, the opportunity for pedestrian access to transportation options is increased.

Bluegrass Ultra-Transit Services has since changed its name to BGCAP Transit

#### SUBRECIPIENT BENEFIT

KYTC/OTD will monitor the subrecipient project. The Frankfort Intermodal Intercity Garage will enable the

transit employees to be in one centralized area (part of the employees currently located in both City Hall and another area several miles away). The Offices will be spaced for work distances preventing any future air borne diseases, etc. Intercity buses such as Greyhound, Miller Transportation or Bluegrass Community Bus Transportation will be able to pull off the main road and allow buses to load and unload passengers in a more secure/safe and convenient location. Parking for the buses will be more secure. The community will benefit with the parking spaces and with the anticipated economic opportunities.

- Improved safety – Safety is a key project benefit. Frankfort transit users now wait outdoors in poor conditions (e.g., rain, heat, cold) and the vehicle fleet and employees also park outdoors. The new, downtown transit center will provide transit users with a climate-controlled experience with available restrooms, water fountains, and other amenities. The center will also provide covered parking for some of the vehicle fleet that will improve employee safety.

- Improved Pandemic Response- In the wake of the COVID-19 pandemic, several issues arose regarding the safety of transit staff and passengers when using public transportation/? facilities. The current facility does not have the adequate space needed in order to properly social distance in the dispatch office, training room, and staff lounge. Improvements such as larger social spaces, enclosed office spaces, and improved air circulation will enhance the safety of the center in response to any airborne disease. In addition, the center will be equipped with touchless hardware including faucets and toilets. The public lobby available in the new center can be equipped with fare machines which would enable a cashless/? digital ticketing system and therefore, touchless fare collection.

END OF AMENDMENT#3 APRIL 2022

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#### AMENDMENT #2

KYTC is requesting remaining FFY20 Apportionment, Section 5311 in the federal amount of \$13,733,118.

FFY20 adding Operating: \$12,694,767 Federal

Local Match is \$12,694,767, Total Eligible: \$25,389,534

FFY20 Adding Capital 11.12.15 (9) vans \$575,836 Federal with toll credit match

FFY20 Adding Capital 11.13.04 \$62,515 Federal toll credit match

FFY20 Adding State Administration \$400,000 (less than 10%)

Intercity Bus for FFY20 was 16% (more than 15% requirement)

KYTC is also asking for the full FFY21 Full Apportionment that includes RTAP, Appalachian Operating, Intercity bus Operating, Operating, State Administration.

FFY21: Adding RTAP \$317,172 Federal, 0 Local, \$317,172 Total.

FFY 21: Adding Intercity Operating \$2,952,625 (15%) Federal, Local \$2,952,625

Total Intercity Operating: \$5,905,250

FFY21: Adding State Adm. \$1,500,000 Federal (less than 10%) 0 local share, Total \$1,500,000

FFY21: Adding Appalachian Apportionment Operating

Federal Share \$1,764,000, local \$1,754,000 total

\$3,528,000 Eligible.

FFY21: Adding Operating \$15,231,544, Local \$15,231,544

Total Operating \$30,463,088.

#### PURPOSE:

The purpose of Amendment#2 is to secure the remaining FFY20 and all of FFY21 formula Apportionment for Section 5311.

To secure funds for

(9) replacement vans, BGCAA/SVTS

(1) expansion vehicle

in order to maintain fleet and expand services

City of Richmond.

Add operating dollars for 5311 agencies.

Add RTAP, Appalachian, Intercity & Operating FFY21.

**ACTIVIITES TO BE PERFORMED:**

To increase State Administrative dollars in order for KYTC staff may continue to oversee, monitor contract, grant compliance and offer technical assistance to the subrecipients. To purchase (9) replacement vans and (1) expansion vehicle. vans useful life 4 years and/or 100,000 miles; Bus 7 years and/or 150,000 miles Third Party contracting regulations shall be followed. KYTC will approve procurement steps. Add Operating dollars for the Section 5311 agencies. Add RTAP, Appalachian, Operating, State Adm. (less than 10%) and Intercity Operating (15%)

**EXPECTED OUTCOMES:**

To maintain State compliance, to continue rural transit operations, to purchase capital to maintain fleets and expand services.

**INTENDED BENEFITS:**

The general public may continue to access transportation and able to be transported for various purposes, health care, job, education.

**SUBRECIPIENT BENEFITS:**

Enable transit operators to pay for their drivers, dispatchers, etc. salaries, fuel, insurance and other operating costs. Allow vehicles to replace older vehicles that have met useful life.

To expand services in Richmond, KY

See POP

See updated Toll Credit Letter

See STIP

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**AMENDMENT#1**

**DECEMBER 2021**

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life); The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.

See updated POP

See Documents relating to the two Facilities, NEPA etc.

State Adm was awarded under original grant with less than 10%.

Intercity Bus was awarded under original grant with 16% (more than 15% required)

**PURPOSE:**

The Purpose of the FY 20 Section 5311 Apportionment Amendment#1 is to fund the overrun of expenses for AACS/Owensboro Adm Facility, and KRF/Richmond, KY Adm/Main Facility, both programmed, awarded in CARES KY 2021-011.

ACTIVITIES TO BE PERFORMED:

To finish/complete the construction of two facilities

EXPECTED OUTCOMES

Both facilities will afford workspace distancing, allow for employees to have room for training and overall better efficiencies.

INTENDED BENEFICIARIES

Two transit operators which include transit employees, the customers riders they serve.

SUBRECIPIENT ACTIVITIES

Allow for continued operations in a safer environment  
Allow for inhouse maintenance with a cost savings.

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ORIGINAL

MAY 31, 2021

SECTION 5311 FFY20 APPORTIONMENT

TOTAL APPORTIONMENT IS \$19,637,208

RTAP APPORTIONMENT IS \$317,172

APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19.

KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

END DATE 3/30/2026

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$43,483,721
Local			\$33,556,936
Local/In-Kind			\$3,204,090
State			\$400,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$159,588
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$80,644,747</b>

## Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-03	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-01-03		43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-02-03	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,900,000.00	\$0.00	\$1,900,000.00	0
KY-2021-033-02-03		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,900,000.00	\$0.00	\$1,900,000.00	0
KY-2021-033-03-03	648-00 (648-A3)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-03		30.09.01 50% Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-04-03	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-03		30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-05-03	114-00 (114-A5)	BUS: SUPPORT EQUIP AND FACILITIES	\$1,200,000.00	\$300,000.00	\$1,500,000.00	2
KY-2021-033-05-03		11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$400,000.00	\$100,000.00	\$500,000.00	1
KY-2021-033-05-03		11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	1
KY-2021-033-06-03	300-00 (300-A6)	OPERATING ASSISTANCE	\$11,694,767.00	\$11,694,767.00	\$23,389,534.00	0



KY-2021-033-06-03		30.09.01	UP TO 50% FEDERAL SHARE	\$11,694,767.00	\$11,694,767.00	\$23,389,534.00	0
KY-2021-033-08-03	635-00 (635-A9)		RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-08-03		43.50.01	TRAINING	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-09-03	610-00 (610-B1)		STATE / PROGRAMS ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-09-03		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-10-03	648-00 (648-B2)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-10-03		30.09.01	UP TO 50% FEDERAL SHARE	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-11-03	634-00 (634-B3)		INTERCITY BUS TRANSPORTATION	\$2,952,625.00	\$2,952,625.00	\$5,905,250.00	0
KY-2021-033-11-03		30.09.01	UP TO 50% FEDERAL SHARE	\$2,952,625.00	\$2,952,625.00	\$5,905,250.00	0
KY-2021-033-12-03	300-00 (300-A8)		OPERATING ASSISTANCE	\$15,231,544.00	\$15,231,544.00	\$30,463,088.00	0
KY-2021-033-12-03		30.09.01	UP TO 50% FEDERAL SHARE	\$15,231,544.00	\$15,231,544.00	\$30,463,088.00	0
KY-2021-033-07-03	111-00 (111-A7)		BUS - ROLLING STOCK	\$638,351.00	\$0.00	\$638,351.00	10
KY-2021-033-07-03		11.12.15	BUY REPLACEMENT VAN	\$575,836.00	\$0.00	\$575,836.00	9

03							
KY-2021-033-07-03		11.13.04	BUY <30-FT BUS FOR EXPANSION	\$62,515.00	\$0.00	\$62,515.00	1
KY-2021-033-13-03	114-00 (114-B4)		BUS: SUPPORT EQUIP AND FACILITIES	\$1,000,000.00	\$250,000.00	\$1,250,000.00	1
KY-2021-033-13-03		11.41.01	ENG/DESIGN - ADMIN FACILITY	\$480,000.00	\$120,000.00	\$600,000.00	0
KY-2021-033-13-03		11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	\$520,000.00	\$130,000.00	\$650,000.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: RTAP 5311 FFY20

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-01-03	1003-2021-8-P1	4/25/2022	10/1/2021	7/30/2023

#### Project Description

This is a training project. Statewide rural operators will utilize RTAP to train their drivers and transit staff

43.50.01 RTAP 635

Total Apportionment \$317,172 will be utilized  
zero balance after this amount is awarded  
100% Federal

#### Project Benefits

Keep drivers aware of latest COVID and safety training.  
Saver transports.

#### Additional Information

*None provided.*

#### Location Description

statewide rural training

## Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

## Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$317,172</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-	635-00 RURAL TRANSIT	\$317,172.00	\$0.00	\$317,172.00	0

03	(635-A2)	ASSISTANCE PROGRAM				
KY-2021-033-01-03		43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

#### Extended Budget Description

RTAP training for transit employees and drivers  
 Federal Shar: \$317,172  
 100% Federal

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$317,172</b>

Milestone Name	Est. Completion Date	Description
RTAP Start Date	10/1/2021	Training projected to begin Oct 1, 2021
RTAP award	7/1/2022	RTAP contract for training
RTAP End Date	7/30/2023	RTAP training scheduled to be completed July 30, 2023

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$317,172.00	\$317,172.00

**Project Title: FFY20 5311 State Administration**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-02-03	1003-2021-8-P3	4/25/2022	10/1/2021	7/1/2022

**Project Description**

FFY20 State Administration  
state project managers, managers, administrative staff salaries and benefits and supplies.  
to oversee and manage subrecipient public transit grants  
Requesting 100% Federal \$1,500,000  
This leaves \$18,137,209 for nonurbanized FFY20 Formula Apportionment.  
State Admin will be limited to 10% total

**Project Benefits**

To maintain compliance and oversight of transit operators

State Admin will be limited to 10% total

**Additional Information**

State Admin will be limited to 10% total of overall Section 5311 Allocation

**Location Description**

statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,900,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,900,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-02-03	610-00 STATE / PROGRAMS (610-A1) ADMINISTRATION	\$1,900,000.00	\$0.00	\$1,900,000.00	0
KY-2021-033-02-03	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,900,000.00	\$0.00	\$1,900,000.00	0

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

### Extended Budget Description

AMENDMENT#2

JANUARY 2022

Increase State Adm by \$400,000 federal, no match required  
 this takes the federal line item from \$1,500,000 to \$1,900,000  
 The \$1,900,000 is under the required 10%.

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Original Application

May 31, 2021

State Adm FFY20 5311 Federal 100% \$1,500,000

Salaries, benefits, supplies for Office of Transportation staff

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,900,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,900,000</b>

Milestone Name	Est. Completion Date	Description
State Adm FFY20 5311 Start Date	10/1/2021	Oct 1, 2021 is projected start date on State Adm expenses
State Adm FFY20 5311 End Date	7/1/2022	Section 5311 FFY20 State Adm end date projected July 1, 2022

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,900,000.00	\$1,900,000.00

**Project Title: APPALACHIAN OPERATING 50%**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-03-03	1003-2021-8-P4	4/25/2022	8/1/2021	1/2/2023

**Project Description**

Original Grant applying for the full FFY20 Appalachian Apportionment of \$1,764,000 Appalachian Operating 50% FEDERAL  
 The Appalachian Kentucky mountainous areas have high poverty levels. The request for 50% formula funds will enable rural transit operators to maintain operations during increased gas prices. In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels.

**Project Benefits**

Maintain rural transit operations within the Appalachian Kentucky Counties

**Additional Information**

*None provided.*

**Location Description**

Kentucky Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**



State	District	Representative
Kentucky	5	Harold Rogers

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-03-03	648-00 (648-A3) APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-03	30.09.01 50% Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - 50% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

#### Extended Budget Description

Original Application

Federal Share 100% \$1,764,000 (the entire FFY20 Appalachian Apportionment)

Rural transit operators located in the 54 Kentucky Appalachian counties are seeking to maintain their public transit operations.

50% Operating Assistance

Operating includes drivers salaries and benefits, fuel, insurance etc.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

Milestone Name	Est. Completion Date	Description
Appalachian Start Date	8/1/2021	Appalachian Start date Aug 1 2021
Appalachian End Date	1/2/2023	Appalachian End Date Jan 2, 2023

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	50% Federal Share	0	\$1,764,000.00	\$3,528,000.00

**Project Title: INTERCITY BUS OPERATING 50% FEDERAL**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-04-03	1003-2021-8-P5	4/25/2022	7/16/2021	6/30/2024

**Project Description**

Despite the COVID-19 pandemic, Greyhound has continued to operate scheduled intercity bus service in Kentucky but at a reduced level of service. Nationwide, Greyhound is operating roughly 45% of the 2019 operating year miles at approximately 40% of prior year revenue. In Kentucky, Greyhound has been able to retain about 53% of the 2019 operating year miles. Greyhound has reduced costs significantly, and has been able to continue to provide critical, essential intercity bus transportation services and connections for the residents of Kentucky. These funds are important in aiding Greyhound get on a path to provide essential intercity bus services.

KYTC/OTD is applying for 16% (more than required 15%) of the non-urbanized FY20 apportionment for Intercity Bus

As in accordance with our SMP, a rural Section 5311 agency Paducah Transit Authority will contract with Miller Transportation and Greyhound Transportation for Kentucky Intercity Bus Services.

Due to COVID, Intercity Bus Transportation is in need of assistance. Operating Expenses incurred from January 2020 and after will be allowed.

Operating expenses will include drivers salaries, driver benefits, fuel, insurance and other operating costs. See Attachment for Kentucky Intercity Bus Services descriptions.

SEE POP

See Attachments on Intercity Bus

End Date scheduled by 1/30/2024

**Project Benefits**

The Intercity Bus funds will allow the Intercity companies to maintain services during this critical time of the pandemic.

**Additional Information**

*None provided.*

**Location Description**

Kentucky Miller Transportation/Greyhound Transportation services

## Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

## Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,408,180</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-04-03	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-03	30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

ORIGINAL GRANT

The FY2020-5311 Non-Urbanized Area Formula is \$19,637,208. This does not include RTAP/Appalachian Formula FY20 Apportionments.

This grant is requesting \$3,204,090 (which is 16%) Intercity Bus Federal Operating FEDERAL 50%; LOCAL/INKIND 50%.

Intercity Bus companies Miller Transportation and Greyhound via the Section 5311 transit agency: Paducah Transit Authority.

See Attached POP

See Attached Intercity Service Information

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$6,408,180</b>

Milestone Name	Est. Completion Date	Description
Intercity Bus Start Date	7/16/2021	Intercity Bus Transportation
Intercity Bus End Date	6/30/2024	End Date of Intercity Bus end date

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,204,090.00	\$6,408,180.00

### Project Title: Add KRF and AACS Funding for Construction of two Facilities

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-05-03	KY-2021-033-01-P6	4/25/2022	1/14/2022	6/28/2024

#### Project Description

Amendment#1  
December 2021

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:  
 AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life); The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.  
 KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475  
 Due to CVOID and the huge material increases this amendment is requesting:  
 AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match  
 KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match  
 Total Federal Requested \$1,200,000, Local Match \$300,000  
 The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.  
 See updated POP  
 See Documents relating to the two Facilities, NEPA etc.

**Project Benefits**

Allow for the completion of two facilities one in Richmond, KY the other in Owensboro, KY  
 Both facilities will allow additional needed space for employees to have safer work distances and also offer safer distancing for passengers

**Additional Information**

*None provided.*

**Location Description**

Richmond and Owensboro areas

**Project Location (Urbanized Areas)**

UZA Code	Area Name
211250	Lexington-Fayette, KY
212750	Owensboro, KY

**Congressional District Information**

State	District	Representative
Kentucky	2	Brett Guthrie
Kentucky	6	Andy Barr

**Program Plan Information**

**STIP/TIP**

Date: 6/8/2021  
 Description: STIP for FY20 Section 5311 Apportionment

**UPWP**

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,200,000
Local			\$150,000
Local/In-Kind			\$0
State			\$150,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-05-03	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILITIES	\$1,200,000.00	\$300,000.00	\$1,500,000.00	2
KY-2021-033-05-03	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$400,000.00	\$100,000.00	\$500,000.00	1
KY-2021-033-05-03	11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$800,000.00	\$200,000.00	\$1,000,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

#### Extended Budget Description

Audubon Area Community Services Private Non-Profit Daviess, Hancock, Henderson, McLean, Ohio, Union, & Webster Counties

Add Federal \$400,000

Add Local \$100,000 Match

Total Eligible \$500,000

Due to CVOID and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match



**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$50,000
Local/In-Kind			\$0
State			\$50,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$500,000</b>

Milestone Name	Est. Completion Date	Description
Bid Approvals AACS	1/14/2022	AACS Facility bid award
Start Date for AACS Adm Facility	3/15/2022	Start date estimated 03/15/2022 anticipated weather, and material delays
End Date AACS Adm Facility	2/1/2024	end date projected out due to delays in material and the pandemic

**Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

**Extended Budget Description**

Amendment#1

December 2021

Increase Federal Share by \$800,000

Increase Local Share by \$200,000 (State \$100,000, Local \$100,000)

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of the KRF facility awarded under CARES KY 2021-011.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$800,000
Local			\$100,000
Local/In-Kind			\$0

State		\$100,000
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,000,000</b>

Milestone Name	Est. Completion Date	Description
Start Date KRF	3/1/2022	Estimated date March 2022
Bid award approval	8/16/2022	Bid award approval
End Date KRF	6/28/2024	Estimated completion due to material delays and COVID

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$400,000.00	\$500,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	1	\$800,000.00	\$1,000,000.00

**Project Title: FFY 20 Operating 5311**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-06-03	KY-2021-033-02-P7	4/25/2022	7/3/2023	6/30/2025

**Project Description**

Amendment#3

Decreasing Operating Federal by -\$1,000,000 (LWT -\$500,000 & PTA -\$500,000)  
 Federal Operating line item will go from \$12,694,767 to \$11,694,767 FY20 Apportionment

\*\*\*\*\*

Amendment#2

FFY20 funding

Adding Operating Scope for various 5311 agencies

See POP

Add \$12,694,767 federal, Add local \$12,694,767

Total line item \$25,389,524

Operation expenses will include drivers salaries, fuel, insurance etc.

**Project Benefits**

Continue to maintain operations in rural transit areas statewide

**Additional Information**

None provided.

**Location Description**

Statewide rural areas

**Project Location (Urbanized Areas)**

UZA Code	Area Name
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210000

Kentucky

## Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: STIP FFY20 5311

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,694,767
Local			\$11,694,767
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$23,389,534</b>

## Project Budget

Project	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
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Number			Amount	Amount		
KY-2021-033-06-03	300-00 (300-A6)	OPERATING ASSISTANCE	\$11,694,767.00	\$11,694,767.00	\$23,389,534.00	0
KY-2021-033-06-03	30.09.01	UP TO 50% FEDERAL SHARE	\$11,694,767.00	\$11,694,767.00	\$23,389,534.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

AMENDMENT#3

APRIL 2022

DECREASE OPERATING LWT -\$500,000 FEDERAL AND -\$500,000 LOCAL  
 DECREASE OPERATING PTA-\$500,000 FEDERAL AND -\$500,000 LOCAL  
 FEDERAL LINE ITEM WILL GO FROM \$12,694,767 TO \$11,694,767  
 LOCAL LINE ITEM WILL GO FROM \$12,622,641, TO \$11,622,642  
 TOTAL ELIGIBLE LINE WILL GO FROM \$25,389,534 TO \$23,389,584.

\*\*\*\*\*

Amendment #2

January 2022

add FFY20 federal \$12,622,642

add local \$12,622,642

add total line item: \$25,245,284

operating includes drivers salaries, fuel, insurance etc.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,694,767
Local			\$11,694,767
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$23,389,534</b>
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Milestone Name	Est. Completion Date	Description
Start Date Operating	7/3/2023	Start date for operating
End Date Operating	6/30/2025	end date operating

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$11,694,767.00	\$23,389,534.00

### Project Title: FFY21 RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-08-03	KY-2021-033-02-P9	4/25/2022	1/2/2023	1/2/2024

#### Project Description

FF21 RTAP  
Training for Section 5311 transit systems

#### Project Benefits

Maintain Safety

#### Additional Information

*None provided.*

#### Location Description

Statewide rural transit

### Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

### Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

### Program Plan Information

#### STIP/TIP

Date: N/A

Description: N/A

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$317,172</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-08-03	635-00 (635-A9) RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-08-03	43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

Amendment#2

FFY21 RTAP

Training for drivers and transit operations

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$317,172</b>

Milestone Name	Est. Completion Date	Description
Start Date FFY21 RTAP Training	1/2/2023	start RTAP Training
End Date FFY21 RTAp	1/2/2024	END RTAP TRAINING



## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$317,172.00	\$317,172.00

<b>Project Title: FFY21 State Administration</b>
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-09-03	KY-2021-033-02-P10	4/25/2022	1/2/2023	1/2/2024

### Project Description

Allow state oversight of the Section 5311 and rural transit operations

### Project Benefits

Reimburse state employee salaries. Pay for contract and grant oversight and compliance.

### Additional Information

*None provided.*

### Location Description

Statewide oversight

## Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

## Congressional District Information

State	District	Representative
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-09-03	610-00 STATE / PROGRAMS (610-B1) ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-09-03	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION</b>
--

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

### Extended Budget Description

Amendment#2  
 FFY21 State Administration  
 Federal Share \$1,500,000 under the 10% required  
 no local match  
 Total Eligible line item is \$1,500,000

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/2/2023	start date
End Date State FFY21 State Adm	1/2/2024	End Date

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
---

### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,500,000.00	\$1,500,000.00

**Project Title: FFY21 Section 5311 Appalachian**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-10-03	KY-2021-033-02-P11	4/25/2022	1/2/2023	1/2/2024

**Project Description**

FFY21 Section 5311 rural transit agencies serving the Appalachian counties in Kentucky

**Project Benefits**

Improve transportation services in the Appalachian counties of Kentucky

**Additional Information**

None provided.

**Location Description**

Serves Appalachian counties that includes Eastern Kentucky

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-10-03	648-00 (648-B2) APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-10-03	30.09.01 UP TO 50% FEDERAL SHARE	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0

**Project Budget Activity Line Items****Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

**Extended Budget Description**

Amendment#2  
 FFY21 Appalachian Operating  
 Federal Share: \$1,764,000  
 Local Share: \$1,764,000  
 Total Line item: \$3,528,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,528,000</b>

Milestone Name	Est. Completion Date	Description
Start Date FFY21 Appalachian	1/2/2023	App Start Date for FFY21 Apportionment
End Date FFY21 Appalachian	1/2/2024	End date for App FFY21 apportionment

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,764,000.00	\$3,528,000.00

<b>Project Title: FFY21 Intercity Bus</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-11-03	KY-2021-033-02-P12	4/25/2022	1/2/2023	1/2/2024

**Project Description**

FFY21 Intercity Bus Operating in rural Kentucky

**Project Benefits**

To make better connections

**Additional Information**

*None provided.*

**Location Description**

Statewide rural intercity bus connections

**Project Location (Urbanized Areas)**

UZA Code	Area Name
210000	Kentucky

**Congressional District Information**

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

## Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,952,625
Local			\$2,952,625
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,905,250</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-11-03	634-00 (634-B3) INTERCITY BUS TRANSPORTATION	\$2,952,625.00	\$2,952,625.00	\$5,905,250.00	0
KY-2021-033-11-03	30.09.01 UP TO 50% FEDERAL SHARE	\$2,952,625.00	\$2,952,625.00	\$5,905,250.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

Amendment #2

Add FFY21 Intercity Bus

Add Federal \$2,952,625

Add Local:



\$2,952,625  
 Total Line item: \$5,905,250

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,952,625
Local			\$2,952,625
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,905,250</b>

Milestone Name	Est. Completion Date	Description
Start Date Intercity FFY21 Apportionment	1/2/2023	start date Intercity Bus for FFY21 apportionment
End Date Intercity FFY21 Apportionment	1/2/2024	End Date Intercity FFY21 Apportionment

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS	30.09.01	UP TO 50%	0	\$2,952,625.00	\$5,905,250.00

TRANSPORTATION (634-00)

FEDERAL SHARE

## Project Title: FFY21 Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-12-03	KY-2021-033-02-P13	4/25/2022	1/2/2023	1/2/2024

### Project Description

Provide operating expenses for rural transit agencies

### Project Benefits

Allow for reimbursement of drivers salaries, fuel, insurance, and other operating expenses.

### Additional Information

*None provided.*

### Location Description

Statewide

## Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

## Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,231,544
Local			\$15,231,544
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$30,463,088</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-12-03	300-00 (300-A8) OPERATING ASSISTANCE	\$15,231,544.00	\$15,231,544.00	\$30,463,088.00	0
KY-2021-033-12-03	30.09.01 UP TO 50% FEDERAL SHARE	\$15,231,544.00	\$15,231,544.00	\$30,463,088.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

Amendment#2

Add FFY21 Operating

Federal Share: \$15,231,544

Local Share: \$15,231,544

Total Share: \$30,463,088

For drivers salaries, fuel, insurance etc.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,231,544
Local			\$15,231,544
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$30,463,088</b>

Milestone Name	Est. Completion Date	Description
Start Date Operating FFY21 apportionment	1/2/2023	start date for operating FFY21 apportionment
End Date FFY21 Operating Apportionment	1/2/2024	End Date FFY21 Operating Apportionment

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$15,231,544.00	\$30,463,088.00

## Project Title: Capital Add Scope 111

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-07-03	KY-2021-033-02-P8	4/25/2022	8/31/2021	12/31/2025

### Project Description

Amendment#2

Add Capital

Add Scope 111

11.12.15 (9) replacement vans

Federal Share \$575,836 toll credit match BGCAA and SVTS

Add 11.13.04 expansion bus KRF federal share \$62,615 toll credit match

useful life for vans 4 years and/or 100,000 miles. the 11.13.04 bus useful life 7 years and/or 150,000 miles

BGCAP 2009 Ford 1FDEE35S59DA42068 295,944

BGCAP 2017 Ford 1FDEE3FS7HDC57478 126,468

BGCAP 2017 Ford 1FDEE3FS0HDC01334 119,084

BGCAP 2016 Ford 1FDEE3FSXGDC23520 119,044

4 yrs and/or 100,000 miles

SVTS 2014 Ford 1FDEE3FL7EDA83657 290,755

SVTS 2014 Ford 1FDEE3FL4EDB10328 265,203

SVTS 2017 Ford 1FBZX2CM7HKA41954 207,000

SVTS 2018 Ford 1FDEE3FS0JDC29849 102,000

SVTS 2018 Ford 1FDEE3FS2JDC32347 126,000

see POP also for vehicle information.

### Project Benefits

to replace vehicles in order to maintain fleet,

KRF 11.13.04

Expansion Vehicle due to adding additional route to Berea Deviated Fixed Route

### Additional Information

*None provided.*

### Location Description

Kentucky River Foothills/Bluegrass Community Action/Central

Bowling Green Area

Sandy Valley Eastern KY

## Project Location (Urbanized Areas)

UZA Code	Area Name
211250	Lexington-Fayette, KY
213900	Bowling Green, KY

## Congressional District Information

State	District	Representative
Kentucky	2	Brett Guthrie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$638,351
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$159,588
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$638,351</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-07-03	111-00 (111-A7) BUS - ROLLING STOCK	\$638,351.00	\$0.00	\$638,351.00	10
KY-2021-033-07-03	BUY 11.12.15 REPLACEMENT VAN	\$575,836.00	\$0.00	\$575,836.00	9

KY-2021-033-07-03	11.13.04	BUY <30-FT BUS FOR EXPANSION	\$62,515.00	\$0.00	\$62,515.00	1
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## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	9

#### Extended Budget Description

Amendment#2

January 2022

Add capital (9) replacement Vans

federal line item will be \$575,836 toll credit match

See POP

BGCAP 2009 Ford 1FDEE35S59DA42068 295,944

BGCAP 2017 Ford 1FDEE3FS7HDC57478 126,468

BGCAP 2017 Ford 1FDEE3FS0HDC01334 119,084

BGCAP 2016 Ford 1FDEE3FSXGDC23520 119,044

4 yrs and/or 100,000 miles

SVTS 2014 Ford 1FDEE3FL7EDA83657 290,755

SVTS 2014 Ford 1FDEE3FL4EDB10328 265,203

SVTS 2017 Ford 1FBZX2CM7HKA41954 207,000

SVTS 2018 Ford 1FDEE3FS0JDC29849 102,000

SVTS 2018 Ford 1FDEE3FS2JDC32347 126,000

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	N/A	van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$575,836
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$143,959
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$575,836</b>

Milestone Name	Est. Completion Date	Description
IFB Issue Date KPTA #9	8/31/2021	IFB out to bid 8/31/21
Contract Award Date	1/31/2022	12 passenger vans award date
Initial Delivery Date	7/1/2024	projected delivery date due to COVID
Final Delivery Date	7/31/2025	final delivery date
Contract Completion Date	12/30/2025	contract completion

**Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Amendment #2

Add capital expansion bus for Kentucky River Foothills

11.13.04

Add federal \$62,515 share

toll credit match

KRF 11.13.04

Expansion Vehicle due to adding additional route to Berea Deviated Fixed Route

Useful life 7 years and/or 150,000 miles

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	N/A	less than 30 ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$62,515
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$15,629
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$62,515</b>

Milestone Name	Est. Completion Date	Description
IFB Issue Date	8/31/2021	



Contract Award Date	1/31/2022	award date
Initial Delivery Date	7/3/2024	initial delivery
Final Delivery Date	7/3/2024	delivery date
Contract Completion Date	12/31/2025	final

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	9	\$575,836.00	\$575,836.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	1	\$62,515.00	\$62,515.00

**Project Title: FKFT Garage Facility**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-13-03	KY-2021-033-03-P14	4/26/2022	7/4/2022	10/1/2029

**Project Description**

Amendment #3 - adds Project KY 2021-033-03 CHANGE IN SCOPE  
 DECREASE OPERATING \$1,000,000 FEDERAL, DECREASE LOCAL \$1,000,000 (LWT/PTA)  
 INCREASE:  
 Construction= \$520,000 11.43.01 FEDERAL, STATE MATCH \$130,000  
 A & E= \$480,000 11.41.01 FEDERAL, STATE MATCH \$120,000

Frankfort Transit  
 Frankfort Intermodal Intercity Garage USEFUL LIFE 40 years 11.43.01

11.43.01  
 Construction of Intercity Garage, five story building, intercity/administrative office, rest rooms, waiting room space

Frankfort Transit/City of Frankfort  
 Located: 405 Mero Street, Frankfort, KY 40601  
 Frankfort Intermodal/intercity Facility  
 POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)  
 KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).  
 SEE POP  
 SEE NEPA Approvals and Documents  
 The INTERCITY BUS REQUIREMENT WAS MET UNDER PREVIOUS AMENDMENTS which included Intercity of \$3,204,090 for FFY20 Apportionment over 16% and \$2,952,625 FFY21 Apportionment 15%. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.  
 No insurance claims have been filed, and no insurance proceeds have been received. KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.  
 ACTIVITIES TO BE PERFORMED:

To build an intermodal intercity 5 story Transit bus garage.  
Construction and A & E

#### EXPECTED OUTCOMES:

To develop and construct an intercity bus garage allowing bus companies to pick up and drop off continuing meaningful connections. Also allowing space for transit employees out of the elements. Indoor covered Center. employees to have work space distancing preventing the spread of COVID or other related air borne diseases.

#### INTENDED BENEFITS:

- Facility Improvement- The current transit facility is remotely located and not easily accessible to citizens. The current facility is also no longer adequate to meet the needs of current/future staffing. The current building has met its useful service life and has considerable structural and maintenance issues. The facility is currently listed with a 2.3 rating on the Facility Condition Assessment Form submitted to KYTC on January 12, 2021. The facility was downgraded to this new rating based on a structural engineering study that found the facility to be structurally unsafe in multiple locations due to excessive rust and corrosion to the main support beams located thorough out the building. In addition, there is not adequate parking for both staff and the vehicle fleet. A new downtown center will provide the basic needs not being met by the current facility and allow for expansion of staffing and services in the future.
  - Intermodal Connectivity- The new center will allow Frankfort to provide a hub for intermodal transportation. Bluegrass Community Action Partnership, Inc. dba BGCAP Transit and Miller Transportation provide service to and from Louisville and Lexington using Frankfort as a central location. The new facility would facilitate a large transfer station for all three agencies. This would increase convenience, safety and ridership. The center would also serve as a centralized ride-share location, allowing the public parking spaces to commute and decreasing the number of vehicles on our highways. In addition, other services such as taxis, Uber and Lyft would use the center to provide transportation for that “last mile” of a citizen’s trip. Due to its centralized downtown location, there are many residential, retail, office, and commercial units within walking distance; therefore, the opportunity for pedestrian access to transportation options is increased.
- Bluegrass Ultra-Transit Services has since changed its name to BGCAP Transit

#### SUBRECIPIENT BENEFITS:

KYTC/OTD will monitor the subrecipient project. The Frankfort Intermodal Intercity Garage will enable the transit employees to be in one centralized area (part of the employees currently located in both City Hall and another area several miles away). The Offices will be spaced for work distances preventing any future air borne diseases, etc. Intercity buses such as Greyhound, Miller Transportation or Bluegrass Community Bus Transportation will be able to pull off the main road and allow buses to load and unload passengers in a more secure/safe and convenient location. Parking for the buses will be more secure. The community will benefit with the parking spaces and with the anticipated economic opportunities.

- Improved safety – Safety is a key project benefit. Frankfort transit users now wait outdoors in poor conditions (e.g., rain, heat, cold) and the vehicle fleet and employees also park outdoors. The new, downtown transit center will provide transit users with a climate-controlled experience with available restrooms, water fountains, and other amenities. The center will also provide covered parking for some of the vehicle fleet that will improve employee safety.
- Improved Pandemic Response- In the wake of the COVID-19 pandemic, several issues arose regarding the safety of transit staff and passengers when using public transportation/? facilities. The current facility does not have the adequate space needed in order to properly social distance in the dispatch office, training room, and staff lounge. Improvements such as larger social spaces, enclosed office spaces, and improved air circulation will enhance the safety of the center in response to any airborne disease. In addition, the center will be equipped with touchless hardware including faucets and toilets. The public lobby available in the new center can be equipped with fare machines which would



**STIP/TIP**

Date: Not Provided

Description: STIP FFY20 5311 Apportionment

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$250,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,250,000</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-13-03	114-00 (114-B4) BUS: SUPPORT EQUIP AND FACILITIES	\$1,000,000.00	\$250,000.00	\$1,250,000.00	1
KY-2021-033-13-03	11.41.01 ENG/DESIGN - ADMIN FACILITY	\$480,000.00	\$120,000.00	\$600,000.00	0
KY-2021-033-13-03	11.43.01 CONSTRUCT - ADMINISTRATIVE BUILDING	\$520,000.00	\$130,000.00	\$650,000.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 11.41.01 - ENG/DESIGN - ADMIN FACILITY**

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.01	ENG/DESIGN - ADMIN FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	0
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**Extended Budget Description**

Amendment#3

April 2022

Adding A &amp; E

Frankfort Transit/?City of Frankfort

Located: 405 Mero Street, Frankfort, KY 40601

Frankfort Intermodal/?intercity Facility

POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$480,000
Local			\$0
Local/In-Kind			\$0
State			\$120,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$600,000</b>

Milestone Name	Est. Completion Date	Description
Start Date A & E Frankfort	7/4/2022	Start A & E
A & E progress	1/2/2023	Review A & E Progress reports
End Date A & E	7/2/2029	End date for A & E

**Budget Activity Line Item: 11.43.01 - CONSTRUCT - ADMINISTRATIVE BUILDING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

**Extended Budget Description**

Amendment#3

April 2022

Building Intermodal Facility (reference part of KY-2021-011-02 CARES project)

USEFUL LIFE 40 YEARS

Frankfort Transit/?City of Frankfort  
 Located: 405 Mero Street, Frankfort, KY 40601  
 Frankfort Intermodal/?intercity Facility  
 POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)  
 KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).  
 SEE POP  
 SEE NEPA Approvals

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/?OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/?OTD will require subrecipients to have Independent Cost Estimates and a Price/?Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/?OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.  
 FEDERAL SHARE \$520,000 STATE SHARE: \$130,000  
 TOTAL LINE ITEM \$600,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$520,000
Local			\$0
Local/In-Kind			\$0
State			\$130,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$650,000</b>

Milestone Name	Est. Completion Date	Description
Start Date DESIGN BUILD	7/11/2022	START DATE ESTIMATED
CONSTRUCTION ON GOING PROGRESS	11/1/2022	ONGOING INSPECTIONS/PROGRESS REPORTS/ INVOICES
End Date CONSTRUCTION	10/1/2029	END OF CONSTRUCTION

**Project Environmental Findings**

**Finding: Class II(d) - Categorical Exclusions (D-List)**

**Class Level Description**

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

**Categorical Exclusion Description**

Type 09: Other

Date Description	Date
Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.01	ENG/DESIGN - ADMIN FACILITY	0	\$480,000.00	\$600,000.00

**Finding: Class II(d) - Categorical Exclusions (D-List)**

**Class Level Description**

Class II(d) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(d) requires documentation.

**Categorical Exclusion Description**

Type 09: Other

Date Description	Date
Class IId CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.01	CONSTRUCT - ADMINISTRATIVE BUILDING	1	\$520,000.00	\$650,000.00

## Part 4: Fleet Details

**Fleet Type:** Paratransit

**Fleet Comments**

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	2



	B. Spares	1
	C. Total (A+B)	3
	D. Spare Ratio (B/A)	50%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>3</b>

**Fleet Type:** Fixed Route

**Fleet Comments**

Paducah Transit Authority will replace (2) 35 ft. buses. Total fleet will not change.  
FFY18 5339(b) Competitive Grant

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	65
	B. Spares	3
	C. Total (A+B)	68
	D. Spare Ratio (B/A)	4.62%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	8
	B. Pending Disposal	2
	C. Total (A+B)	10
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>78</b>

**Fleet Type:** Other

**Fleet Comments**

Replace 7 vehicles total and add 13 expansion vehicles.  
Replace 9 vehicles; four to BGCAA and five to SVTS demand response service

5310 2021XX9 Grant Application FY21 Formula  
Replacement of 46 vans and 46 disposals added

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	1346
	B. Spares	155
	C. Total (A+B)	1501
	D. Spare Ratio (B/A)	11.52%
<b>II.</b>	<b>Inactive Fleet</b>	

	A. Other	0
	B. Pending Disposal	137
	C. Total (A+B)	137
III.	<b>Total (I.C and II.C)</b>	1638

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Sherman

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Comment Type DOL Review for Information

Date 5/20/2022

Comment Sent to DOL for information 5/20/2022

### Application Review Comments

**Comment By** Michael Sherman

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Comment Type Pre-Award Manager Returns Application

Date 4/27/2022

Comment

**Comment By** Michael Sherman

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Comment Type Pre-Award Manager Returns Application

Date 5/4/2022

Comment 1) In the Executive Summary, please address the 15% intercity bus requirement.

**Comment By** Michael Sherman

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Comment Type Pre-Award Manager Returns Application

Date 5/20/2022

Comment

## Part 6: Agreement

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

UEI: NDZ7SAY9UXB3

DUNS: 927328732

**Application Information**

Federal Award Identification Number: KY-2021-033-03

Application Name: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC

Application Start Date: 7/21/2021

Original Award End Date: 3/30/2026

Current Award End Date: 3/30/2026

Application Executive Summary: Amendment #3 - adds Project KY 2021-033-03 CHANGE IN SCOPE DECREASE OPERATING \$1,000,000 FEDERAL, DECREASE LOCAL \$1,000,000 (LWT/PTA) INCREASE:

Construction \$520,000 11.43.01 FEDERAL, STATE MATCH \$130,000  
A & E \$480,000 11.41.01 FEDERAL, STATE MATCH \$120,000

Frankfort Transit

Frankfort Intermodal Intercity Garage USEFUL LIFE 40 years 11.43.01

11.43.01

Construction of Intercity Garage, five story building, intercity/?administrative office, rest rooms, waiting room space

This Grant Amendment#3 ADDS TO PROJECT \$5,500,000 for construction and A & E for ONE Facility in Frankfort, Ky

Frankfort Transit/?City of Frankfort

Located: 405 Mero Street, Frankfort, KY 40601

Frankfort Intermodal/?intercity Facility

POC: City of Frankfort, KY Engineer: Chuck Knowles Phone: 502-352-2072 (office); 502-682-5590 (cell)

KYTC will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

SEE POP

SEE NEPA Approvals

INTERCITY BUS DOLLARS REQUIRED WAS SECURED UNDER PREVIOUS AMENDMENTS WITH FEDERAL SHARE OF \$3,204,090 OVER 16% FROM FFY20 APPORTIONMENT. APPORTIONMENT WAS \$19,637,208 this not include RTAP or Appalachian Apportionments.

ALSO UNDER PREVIOUS AMENDMENTS FFY21 APPORTIONMENT INTERCITY REQUIREMENT WAS MET 15% \$2,952,625. The FFY21 Apportionment was \$19,684,169 this does not include RTAP or Appalachian Apportionments.

Total Federal Share for intercity bus for the entire grant KY-2021-033 is \$6,156,715.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No insurance claims have been filed, and no insurance proceeds have been received. KYTC/?OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). KYTC/?OTD will require subrecipients to have Independent Cost Estimates and a Price/?Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F. KYTC/?OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

ACTIVIITES TO BE PERFORMED:

To build an intermodal intercity 5 story Transit bus garage.

Construction and A & E

EXPECTED OUTCOMES:

To develop and construct an intercity bus garage allowing bus companies to pick up and drop off continuing meaningful connections. Also allowing space for transit employees out of the elements. Indoor covered Center. employees to have work space distancing preventing

the spread of COVID or other related air borne diseases.

**INTENDED BENEFITS:**

- Facility Improvement- The current transit facility is remotely located and not easily accessible to citizens. The current facility is also no longer adequate to meet the needs of current/future staffing. The current building has met its useful service life and has considerable structural and maintenance issues. The facility is currently listed with a 2.3 rating on the Facility Condition Assessment Form submitted to KYTC on January 12, 2021. The facility was downgraded to this new rating based on a structural engineering study that found the facility to be structurally unsafe in multiple locations due to excessive rust and corrosion to the main support beams located thorough out the building. In addition, there is not adequate parking for both staff and the vehicle fleet. A new downtown center will provide the basic needs not being met by the current facility and allow for expansion of staffing and services in the future.

- Intermodal Connectivity- The new center will allow Frankfort to provide a hub for intermodal transportation. Bluegrass Community Action Partnership, Inc. dba BGCAP Transit and Miller Transportation provide service to and from Louisville and Lexington using Frankfort as a central location. The new facility would facilitate a large transfer station for all three agencies. This would increase convenience, safety and ridership. The center would also serve as a centralized ride-share location, allowing the public parking spaces to commute and decreasing the number of vehicles on our highways. In addition, other services such as taxis, Uber and Lyft would use the center to provide transportation for that “last mile” of a citizen’s trip. Due to its centralized downtown location, there are many residential, retail, office, and commercial units within walking distance; therefore, the opportunity for pedestrian access to transportation options is increased.

Bluegrass Ultra-Transit Services has since changed its name to BGCAP Transit

**SUBRECIPIENT BENEFIT**

KYTC/OTD will monitor the subrecipient project. The Frankfort Intermodal Intercity Garage will enable the transit employees to be in one centralized area (part of the employees currently located in both City Hall and another area several miles away). The Offices will be spaced for work distances preventing any future air borne diseases, etc. Intercity buses such as Greyhound, Miller Transportation or Bluegrass Community Bus Transportation will be able to pull off the main road and allow buses to load and unload passengers in a more secure/safe and convenient location. Parking for the buses will be more secure. The community will benefit with the parking spaces and with the anticipated economic opportunities.

- Improved safety – Safety is a key project benefit. Frankfort transit users now wait outdoors in poor conditions (e.g., rain, heat, cold) and the vehicle fleet and employees also park outdoors. The new, downtown transit center will provide transit users with a climate-controlled experience with available restrooms, water fountains, and other amenities. The center will also provide covered parking for some of the vehicle fleet that will improve employee safety.

- Improved Pandemic Response- In the wake of the COVID-19 pandemic, several issues arose regarding the safety of transit staff and passengers when using public transportation/? facilities. The current facility does not have the adequate space needed in order to properly social distance in the dispatch office, training room, and staff lounge. Improvements such as larger social spaces, enclosed office spaces, and improved air circulation will enhance the safety of the center in response to any airborne disease. In addition, the center will be equipped with touchless hardware including faucets and toilets. The public lobby available in the new center can be equipped with fare machines which would enable a cashless/? digital ticketing system and therefore, touchless fare collection.

END OF AMENDMENT#3 APRIL 2022

\*\*\*\*\*

**AMENDMENT #2**

KYTC is requesting remaining FFY20 Apportionment, Section 5311 in the federal amount of \$13,733,118.

FFY20 adding Operating: \$12,694,767 Federal

Local Match is \$12,694,767, Total Eligible: \$25,389,534

FFY20 Adding Capital 11.12.15 (9) vans \$575,836 Federal with toll credit match

FFY20 Adding Capital 11.13.04 \$62,515 Federal toll credit match

FFY20 Adding State Administration \$400,000 (less than 10%)  
Intercity Bus for FFY20 was 16% (more than 15% requirement)  
KYTC is also asking for the full FFY21 Full Apportionment that includes RTAP, Appalachian Operating, Intercity bus Operating, Operating, State Administration.  
FFY21: Adding RTAP \$317,172 Federal, 0 Local, \$317,172 Total.  
FFY 21: Adding Intercity Operating \$2,952,625 (15%) Federal, Local \$2,952,625  
Total Intercity Operating: \$5,905,250  
FFY21: Adding State Adm. \$1,500,000 Federal (less than 10%) 0 local share, Total \$1,500,000  
FFY21: Adding Appalachian Apportionment Operating  
Federal Share \$1,764,000, local \$1,754,000 total  
\$3,528,000 Eligible.  
FFY21: Adding Operating \$15,231,544, Local \$15,231,544  
Total Operating \$30,463,088.

#### PURPOSE:

The purpose of Amendment#2 is to secure the remaining FFY20 and all of FFY21 formula Apportionment for Section 5311.

To secure funds for

(9) replacement vans, BGCAA/SVTS

(1) expansion vehicle

in order to maintain fleet and expand services

City of Richmond.

Add operating dollars for 5311 agencies.

Add RTAP, Appalachian, Intercity & Operating FFY21.

#### ACTIVIITES TO BE PERFORMED:

To increase State Administrative dollars in order for KYTC staff may continue to oversee, monitor

contract, grant compliance and offer technical

assistance to the subrecipients. To purchase

(9) replacement vans and (1) expansion vehicle.

vans useful life 4 years and/or 100,000 miles; Bus 7 years and/or 150,000 miles

Third Party contracting regulations shall be followed. KYTC will approve procurement steps.

Add Operating dollars for the Section 5311 agencies.

Add RTAP, Appalachian, Operating, State Adm. (less than 10%)

and Intercity Operating (15%)

#### EXPECTED OUTCOMES:

To maintain State compliance, to continue rural

transit operations, to purchase capital to

maintain fleets and expand services.

#### INTENDED BENEFITS:

The general public may continue to access transportation and able to be transported for various purposes, health care, job, education.

#### SUBRECIPIENT BENEFITS:

Enable transit operators to pay for their drivers, dispatchers, etc. salaries, fuel, insurance and other operating costs. Allow vehicles to replace older vehicles that have met useful life.

To expand services in Richmond, KY

See POP

See updated Toll Credit Letter

See STIP

\*\*\*\*\*

AMENDMENT#1  
DECEMBER 2021

This is formula funds that need to supplement CARES Act (KY 2021-011-01) funding due to increase in construction/materials.

Due to COVID-19 and the huge material increases this amendment is requesting:

AACS=11.43.01 \$400,000 Federal, \$100,000 Local Match, Total Eligible \$500,000 (40 yrs useful life);

The address of facility is 222 Saint Elizabeth Street Owensboro, Kentucky.

KRF-11.43.03 \$800,000 Federal, \$200,000 Local Match, Total Eligible \$1,000,000 (40 yrs useful life) The address of facility is 6021-6025 Atwood Dr, Richmond, KY 40475

Total Federal Requested \$1,200,000, Local Match \$300,000

The Amendment is to cover the over run of two facilities awarded under CARES KY 2021-011.

See updated POP

See Documents relating to the two Facilities, NEPA etc.

State Adm was awarded under original grant with less than 10%.

Intercity Bus was awarded under original grant with 16% (more than 15% required)

PURPOSE:

The Purpose of the FY 20 Section 5311 Apportionment Amendment#1 is to fund the overrun of expenses for AACS/Owensboro Adm Facility, and KRF/Richmond, KY Adm/Main Facility, both programmed, awarded in CARES KY 2021-011.

ACTIVITIES TO BE PERFORMED:

To finish/complete the construction of two facilities

EXPECTED OUTCOMES

Both facilities will afford workspace distancing, allow for employees to have room for training and overall better efficiencies.

INTENDED BENEFICIARIES

Two transit operators which include transit employees, the customers riders they serve.

SUBRECIPIENT ACTIVITIES

Allow for continued operations in a safer environment  
Allow for inhouse maintenance with a cost savings.

\*\*\*\*\*

ORIGINAL

MAY 31, 2021

SECTION 5311 FFY20 APPORTIONMENT

TOTAL APPORTIONMENT IS \$19,637,208

RTAP APPORTIONMENT IS \$317,172

APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP

Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19. KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

END DATE 3/30/2026

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$80,644,747.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$0.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): (\$750,000.00)

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$43,483,721.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$37,161,026.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
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5311 - Rural Area Formula	5311-1A	20509	\$43,483,721
Local			\$33,556,936
Local/In-Kind			\$3,204,090
State			\$400,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$159,588
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$80,644,747</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 1/18/2022

Review Decision: Special Warranty  
Amendment 2 Certification Date: 2/16/2022

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,

- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION CABINET, KENTUCKY*