

## Application

<b>Federal Award Identification Number (FAIN)</b>	HI-2022-006-00
<b>Temporary Application Number</b>	1623-2022-2
<b>Application Name</b>	ARP Section 5311 Transit Operating Assistance for the Counties of Hawaii, Kauai and Maui
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2027
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, HAWAII DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office		824671465

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

### Union Information

<b>Union Name</b>	<b>AMERICAN FEDERATION OF STATE, COUNTY &amp; MUNICIPAL EMPLOYEES</b>
<b>Address 1</b>	c/o William Wilkinson

Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
<hr/>	
<b>Union Name</b>	<b>HAWAII GOVERNMENT EMPLOYEES ASSOCIATION</b>
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
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<b>Union Name</b>	<b>UPW LOCAL 646</b>
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	HI
Zipcode	96817
Contact Name	Liz Ho
Telephone	8088472631
Fax	8088481987
E-mail	lho@afscme.org
Website	www.upwhawaii.org

## Part 2: Application Information

**Title: ARP Section 5311 Transit Operating Assistance for the Counties of Hawaii, Kauai and Maui**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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HI-2022-006-00	Final Concurrence / Reservation	Grant	12/9/2021	12/9/2021	No
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### Application Executive Summary

This application is to request American Rescue Plan Act of 2021 (ARP) Section 5311 funds apportioned to the State of Hawaii (UZA 150000) in the amount of \$5,158,524, representing 100% federal share of the total eligible amount. The breakdown of funding being requested is provided below:

Summary of funding for this application:

- ARP Section 5311 Rural Apportionment: \$4,673,897  
(Federal Share: \$4,673,897 / Local Match: \$0 / Eligible Cost: \$4,673,897)
- ARP Section 5311(f) Intercity Bus Apportionment: \$406,569 (see note 2 below)  
(Federal Share: \$406,569 / Local Match: \$0 / Eligible Cost: \$406,569)
- ARP Section 5311(b)(3) RTAP Apportionment: \$78,058  
(Federal Share: \$78,058 / Local Match: \$0 / Eligible Cost: \$78,058)
- TOTAL ARP funding requested on this application: \$5,158,524  
(Total Federal Share: \$5,158,524 / Total Local Match: \$0 / Total Eligible Cost: \$5,158,524)

Breakout of funding for subrecipients:

- A) County of Kauai Transportation Agency (CKTA)  
5311 Rural: Federal Share: \$1,729,342 / Local Match \$0  
5311 (f): Federal Share: \$150,431 / Local Match \$0  
TOTAL Federal Share: \$1,879,773 / Local Match: \$0 / Eligible Cost: \$1,879,773
- B) County of Hawaii Mass Transit Agency (HMTA)  
5311 Rural: Federal Share: \$1,729,342 / Local Match \$0  
5311(f): Federal Share: \$150,431 / Local Match \$0  
TOTAL Federal Share: \$1,879,773 / Local Match: \$0 / Eligible Cost: \$1,879,773
- C) County of Maui Department of Transportation (MDOT)  
5311 Rural: Federal Share: \$864,670 / Local Match \$0  
5311(f): Federal Share: \$75,215 / Local Match \$0  
TOTAL Federal Share: \$939,885 / Local Match: \$0 / Eligible Cost: \$939,885
- D) State of Hawaii Department of Transportation (HDOT)  
5311 Rural: Federal Share: \$350,543 / Local Match \$0  
5311(f): Federal Share: \$30,492 / Local Match \$0  
Subtotal State Administrative expenses: Federal Share: \$381,035 / Local Match: \$0 / Eligible Cost: \$381,035  
5311(b)(3) RTAP expenses: Federal Share: \$78,058 / Local Match: \$0 / Eligible Cost: \$78,058  
TOTAL HDOT expenses: Federal Share: 459,093 / Local Match: \$0 / Eligible Cost: \$459,093

**PURPOSE:** This application utilizes ARP funding to prevent, prepare for, and respond to the COVID-19 pandemic by supporting the County transit agencies that serve rural areas within the State of Hawaii, supplementing funding sources that are projected at a shortfall due to the COVID-19 pandemic.

**ACTIVITIES TO BE PERFORMED:** Operating assistance will be provided to the County transit agencies for them to continue providing service to rural areas of Hawaii. HDOT will administer the grant, provide oversight and technical assistance, and manage funding for RTAP activities.

**EXPECTED OUTCOME:** Operating assistance will allow the transit agencies to sustain the service they provide despite the impacts of the COVID-19 pandemic.

**INTENDED BENEFICIARIES:** CKTA serves residents of the island of Kauai, HMTA serves the island of Hawaii, and MDOT serves residents in the rural areas of Maui. RTAP funds will benefit all three agencies in addition to HDOT. Riders in each county that rely on the bus to travel for medical, employment, education and other needs will benefit from the continued service the transit agencies provide.

**SUBRECIPIENT ACTIVITIES:** The County transit agencies will receive operating assistance to continue providing service to rural areas Hawaii throughout the period of performance. HMTA and MDOT plan to extend their existing contracts for operations and plan on using funds from this grant to cover amounts estimated to be \$1,879,773 and \$939,885, respectively, however they do not anticipate expenditures to be incurred prior to the grant being executed.

**Additional Notes:**

- 1) Although pre-award authority for ARP allows for expenses incurred on or after January 20, 2020, expenditures are not anticipated prior to execution of this grant.
- 2) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).
- 3) Funding for operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.
- 4) CKTA, HMTA and MDOT have certified that the agencies and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).
- 5) If Federal funding is received from the Federal Emergency Management Agency (FEMA), a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, written notification will be provided and FTA will be reimbursed for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
- 6) No Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is necessary for this ARP application since the project scope primarily includes operations, administrative costs and training expenses, with no substantial functional, location or capacity changes.

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Application Point of Contact Information**

<b>First Name</b>	<b>Last Name</b>	<b>Title</b>	<b>E-mail Address</b>	<b>Phone</b>
Ryan	Sugamoto	Engineer V	ryan.s.sugamoto@hawaii.gov	(808) 831-7980

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$4,673,897
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$406,569
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$78,058
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,158,524</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$1,729,342.00	\$0.00	\$1,729,342.00	1
HI-2022-006-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CKTA, Rural)	\$1,729,342.00	\$0.00	\$1,729,342.00	1
HI-2022-006-02-00	300-00 (300-A3) OPERATING ASSISTANCE	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CKTA, Intercity Bus)	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-03-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,729,342.00	\$0.00	\$1,729,342.00	1
HI-2022-006-	30.09.08 EMER RELIEF -	\$1,729,342.00	\$0.00	\$1,729,342.00	1

03-00			OPERATING ASSIST - 100% Fed Share (HMTA, Rural)				
HI-2022-006-04-00	300-00 (300-A8)		OPERATING ASSISTANCE	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-04-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (HMTA, Intercity Bus)	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-05-00	300-00 (300-A4)		OPERATING ASSISTANCE	\$864,670.00	\$0.00	\$864,670.00	1
HI-2022-006-05-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Rural)	\$864,670.00	\$0.00	\$864,670.00	1
HI-2022-006-06-00	300-00 (300-A5)		OPERATING ASSISTANCE	\$75,215.00	\$0.00	\$75,215.00	1
HI-2022-006-06-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Intercity Bus)	\$75,215.00	\$0.00	\$75,215.00	1
HI-2022-006-07-00	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$350,543.00	\$0.00	\$350,543.00	1
HI-2022-006-07-00		11.80.00	STATE OR PROGRAM ADMINISTRATION (Rural)	\$350,543.00	\$0.00	\$350,543.00	1
HI-2022-006-07-00	635-00 (635-A9)		RURAL TRANSIT ASSISTANCE PROGRAM	\$78,058.00	\$0.00	\$78,058.00	1
HI-2022-006-07-00		43.50.01	TRAINING	\$78,058.00	\$0.00	\$78,058.00	1
HI-2022-006-08-00	610-00 (610-A7)		STATE / PROGRAMS ADMINISTRATION	\$30,492.00	\$0.00	\$30,492.00	1
HI-2022-006-08-00		11.80.00	STATE OR PROGRAM	\$30,492.00	\$0.00	\$30,492.00	1

ADMINISTRATION  
(Intercity Bus)

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: County of Kauai Transportation Agency

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-01-00	1623-2022-2-P1	12/11/2021	7/1/2022	6/30/2023

#### Project Description

This project will use ARP Section 5311 Rural funding to cover operating expenses incurred by CKTA between 07/01/2022 and 06/30/2023. Transit-related payroll and operational expenses, including the cost of supporting bus and facility cleaning and disinfection activities, fuel, vehicle repair and maintenance, annual dues and subscriptions for vehicle diagnostic/ITS equipment access and other related operational expenses will be included in this project. The federal share is up to 100%.

5311 Rural: Federal Share: \$1,729,342 / Local Match \$0 / Eligible Cost: \$1,729,342

The operational cost amount was determined based on current and projected expenses in Fixed Route and On Demand Operations including the hourly rate for operations (from FY2021 operational support salary and fringe), fuel costs, annual dues and subscriptions, and vehicle repair and maintenance. Anticipated fare revenues were subtracted from this amount. In addition, COVID-19 effects on operations such as cleaning and disinfection have been incorporated.

#### Project Benefits

Operating assistance will allow CKTA to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living on Kauai who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

#### Additional Information

- 1) The County of Kauai Transportation Agency has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.
- 2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.

#### Location Description

County of Kauai

## Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

## Congressional District Information

State	District	Representative
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## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations, with no substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,729,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,729,342</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$1,729,342.00	\$0.00	\$1,729,342.00	1
HI-2022-006-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed	\$1,729,342.00	\$0.00	\$1,729,342.00	1

Share  
(CKTA,  
Rural)

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CKTA, Rural)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

County of Kauai Transportation Agency (CKTA)  
 Federal Share: FY 2021 5311 Rural (\$1,729,342) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$1,729,342

Transit-related payroll and operational expenses to include the cost of supporting Bus and Facility Cleaning and Disinfection activities, Fuel, Vehicle Repair & Maintenance, Annual Dues and Subscriptions for Vehicle Diagnostic / ITS equipment access, and other related operational expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,729,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,729,342</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2023	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: County of Kauai Transportation Agency (Intercity)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-02-00	1623-2022-2-P2	12/11/2021	7/1/2022	6/30/2023

**Project Description**

This project will use ARP Section 5311(f) funding to cover operating expenses incurred by CKTA between 07/01/2022 and 06/30/2023. Transit-related payroll and operational expenses, including the cost of supporting bus and facility cleaning and disinfection activities, fuel, vehicle repair and maintenance, annual dues and subscriptions for vehicle diagnostic/ITS equipment access and other related operational expenses will be included in this project. The federal share is up to 100%.

5311 (f): Federal Share: \$150,431 / Local Match \$0 / Eligible Cost: \$150,431

The operational cost amount was determined based on current and projected expenses in Fixed Route and On Demand Operations including the hourly rate for operations (from FY2021 operational support salary and fringe), fuel costs, annual dues and subscriptions, and vehicle repair and maintenance. Anticipated fare revenues were subtracted from this amount. In addition, COVID-19 effects on operations such as cleaning and disinfection have been incorporated.

**Project Benefits**

Operating assistance will allow CKTA to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living on Kauai who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

**Additional Information**

- 1) The County of Kauai Transportation Agency has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.
- 2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.
- 3) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).

**Location Description**

County of Kauai

### Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

### Congressional District Information

State	District	Representative
Hawaii	2	Kai Kahele

### Program Plan Information

#### STIP/TIP

Date: Not Provided

Description: This project does not involve substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$150,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$150,431</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-02-00	300-00 (300-A3) OPERATING ASSISTANCE	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CKTA, Intercity Bus)	\$150,431.00	\$0.00	\$150,431.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CKTA, Intercity Bus)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

County of Kauai Transportation Agency (CKTA)  
 Federal Share: FY 2021 5311(f) (\$150,431) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$150,431

Transit-related payroll and operational expenses to include the cost of supporting Bus and Facility Cleaning and Disinfection activities, Fuel, Vehicle Repair & Maintenance, Annual Dues and Subscriptions for Vehicle Diagnostic / ITS equipment access, and other related operational expenses.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$150,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$150,431</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	6/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: County of Hawaii Mass Transit Agency (Rural)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-03-00	1623-2022-2-P3	12/11/2021	12/2/2019	9/30/2025

#### Project Description

This project will use ARP Section 5311 Rural funding to cover operating expenses for service incurred by HMTA between 07/01/2022 and 09/30/2025, including operational costs associated with the operation of the Hele-on transit system. The federal share is up to 100%.

5311 Rural: Federal Share: \$1,729,342 / Local Match \$0 / Eligible Cost: \$1,729,342

The amount of HMTA's operating expense was determined based on current and projected expenses in Fixed Route and On Demand contracts including the hourly rate for operations and the fixed monthly management fee plus anticipated fuel costs. Anticipated fare revenues were subtracted from this amount.

#### Project Benefits

Operating assistance will allow HMTA to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living on the Island of Hawaii who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

#### Additional Information

1) The County of Hawaii Mass Transit Agency has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.

2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.

**Location Description**

County of Hawaii

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Kai Kahele

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project includes only operations, with no substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,729,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,729,342</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-03-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,729,342.00	\$0.00	\$1,729,342.00	1
HI-2022-006-03-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (HMTA, Rural)	\$1,729,342.00	\$0.00	\$1,729,342.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (HMTA, Rural)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)  
 Federal Share: FY 2021 5311 Rural (\$1,729,342) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$1,729,342

Operating Assistance for the County of Hawaii Mass Transit Agency

Note: The current contract end date for HMTA's vendor is 06/30/2023, however, the contract has options for extension. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,729,342
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$1,729,342</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	12/2/2019	Hawaii has a contract with a vendor that was advertised on 12/02/2019.
Contract Award	2/7/2020	Hawaii has a contract with a vendor that was awarded on 02/07/2020.
Start Date	7/1/2022	Start of operations anticipated to be funded by this grant.
Contract Complete	6/30/2023	Hawaii's contract with its vendor ends on 06/30/2023, however, there are options in the contract for extensions.
End Date	9/30/2025	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: County of Hawaii Mass Transit Agency (Intercity)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-04-00	1623-2022-2-P4	12/11/2021	12/2/2019	9/30/2025

#### Project Description

This project will use ARP Section 5311(f) funding to cover operating expenses for service incurred by

HMTA between 07/01/2022 and 09/30/2025, including operational costs associated with the operation of the Hele-on transit system. The federal share is up to 100%.

5311 (f): Federal Share: \$150,431 / Local Match \$0 / Eligible Cost: \$150,431

The amount of HMTA's operating expense was determined based on current and projected expenses in Fixed Route and On Demand contracts including the hourly rate for operations and the fixed monthly management fee plus anticipated fuel costs. Anticipated fare revenues were subtracted from this amount.

**Project Benefits**

Operating assistance will allow HMTA to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living on the Island of Hawaii who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

**Additional Information**

- 1) The County of Hawaii Mass Transit Agency has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.
- 2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.
- 3) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).

**Location Description**

County of Hawaii.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Kai Kahele

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project does not involve substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$150,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$150,431</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-04-00	300-00 (300-A8) OPERATING ASSISTANCE	\$150,431.00	\$0.00	\$150,431.00	1
HI-2022-006-04-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (HMTA, Intercity Bus)	\$150,431.00	\$0.00	\$150,431.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (HMTA, Intercity Bus)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

County of Hawaii Mass Transit Agency (HMTA)

Federal Share: FY 2021 5311(f) (\$150,431) ARP funds from UZA 150000

Local Match: \$0

Eligible Cost: \$150,431

Operating Assistance for the County of Hawaii Mass Transit Agency

Note: The current contract end date for HMTA's vendor is 06/30/2023, however, the contract has options for extension. Milestones will be added if another RFP/IFB is issued for a new contract covering the period beyond the current contract end date.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$150,431
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$150,431</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	12/2/2019	Hawaii has a contract with a vendor that was advertised on 12/02/2019.
Contract Award	2/7/2020	Hawaii has a contract with a vendor that was awarded on 02/07/2020.
Start Date	7/1/2022	Start of operations anticipated to be funded by this grant.
Contract Complete	6/30/2023	Hawaii's contract with its vendor ends on 06/30/2023, however, there are options in the contract for extensions.
End Date	9/30/2025	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

**Project Title: County of Maui Department of Transportation (Rural)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-05-00	1623-2022-2-P6	4/27/2022	8/11/2017	6/30/2024

**Project Description**

This project will use ARP Section 5311 Rural funding to cover operating expenses incurred by MDOT between 07/01/2022 through 06/30/2024. The federal share is up to 100%.

5311 Rural: Federal Share: \$864,670 / Local Match \$0 / Eligible Project Cost: \$864,670

The amount of this request was determined based on the Maui Bus agency current expenses in their transit Operations contracts. These contracts are billed based on an hourly rate which includes all requirements for the operation of these services. This includes, but not is not limited to expenses such as: management, personnel, dispatching, operations, facility, maintenance, fuel, insurance, record keeping and reporting, computer hardware and administrative software, and telecommunications equipment and systems. Anticipated fare revenues were subtracted from the amount.

**Project Benefits**

Operating assistance will allow MDOT to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living in the rural areas of Maui who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

**Additional Information**

- 1) The County of Maui Department of Transportation has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.
- 2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.

**Location Description**

County of Maui

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Kai Kahele

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations, with no substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$864,670
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$864,670</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-05-00	300-00 (300-A4) OPERATING ASSISTANCE	\$864,670.00	\$0.00	\$864,670.00	1
HI-2022-006-05-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Rural)	\$864,670.00	\$0.00	\$864,670.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Rural)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

County of Maui Department of Transportation (MDOT)  
 Federal Share: FY 2021 5311 Rural (\$864,670) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$864,670

Operating assistance for Maui Bus services during the period of 07/01/2022 through 06/30/2024.  
 Note: The current contract for MDOT operations ends in 2023. Milestones will be added when another RFP/IFB is issued for a new contract covering the period between 7/1/2023 and 6/30/2024.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$864,670
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$864,670</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	8/11/2017	Maui is currently in the 4th year of a 5 year contract that was advertised on 08/11/2017.
Contract Award	7/23/2018	Maui is currently in the 4th year of a 5 year contract that was awarded on 7/23/2018.
Start Date	7/1/2022	Start of operations anticipated to be funded by this grant.
Contract Complete	6/30/2023	
End Date	6/30/2024	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: County of Maui Department of Transportation (Intercity)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-06-00	1623-2022-2-P7	4/27/2022	8/11/2017	6/30/2024

**Project Description**

This project will use ARP Section 5311(f) funding to cover operating expenses incurred by MDOT between 07/01/2022 through 06/30/2024. The federal share is up to 100%.

5311(f): Federal Share: \$75,215 / Local Match \$0 / Eligible Project Cost: \$75,215

The amount of this request was determined based on the Maui Bus agency current expenses in their transit Operations contracts. These contracts are billed based on an hourly rate which includes all requirements for the operation of these services. This includes, but not is not limited to expenses such as: management, personnel, dispatching, operations, facility, maintenance, fuel, insurance, record keeping and reporting, computer hardware and administrative software, and telecommunications equipment and systems. Anticipated fare revenues were subtracted from the amount.

**Project Benefits**

Operating assistance will allow MDOT to sustain their operations despite the impacts of the COVID-19 pandemic. Riders living in the rural areas of Maui who rely on the bus to travel for medical, employment, education and other needs will also benefit from continued service.

**Additional Information**

- 1) The County of Maui Department of Transportation has certified that it and its contractor(s) that are providers of public transportation have not furloughed any employees since March 27, 2020.
- 2) Operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.
- 3) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).

**Location Description**

County of Maui

## Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

## Congressional District Information

State	District	Representative
Hawaii	2	Kai Kahele

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations, with no substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$75,215
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$75,215</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
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				Amount	Amount	
HI-2022-006-06-00	300-00 (300-A5)	OPERATING ASSISTANCE	\$75,215.00	\$0.00	\$75,215.00	1
HI-2022-006-06-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Intercity Bus)	\$75,215.00	\$0.00	\$75,215.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (MDOT, Intercity Bus)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Extended Budget Description

County of Maui Department of Transportation (MDOT)

Federal Share: FY 2021 5311(f) (\$75,215) ARP funds from UZA 150000

Local Match: \$0

Eligible Cost: \$75,215

Operating assistance for Maui Bus services during the period of 07/01/2022 through 06/30/2024.

Note: The current contract for MDOT operations ends in 2023. Milestones will be added when another RFP/IFB is issued for a new contract covering the period between 7/1/2023 and 6/30/2024.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$75,215
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$75,215</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	8/11/2017	Maui is currently in the 4th year of a 5 year contract that was advertised on 08/11/2017.
Contract Award	7/23/2018	Maui is currently in the 4th year of a 5 year contract that was awarded on 7/23/2018.
Start Date	7/1/2022	Start of operations anticipated to be funded by this grant.
Contract Complete	6/30/2023	
End Date	6/30/2024	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Project Title: State of Hawaii Department of Transportation (HDOT, Rural)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2022-006-07-00	1623-2022-2-P8	4/27/2022	6/1/2022	9/30/2025

#### Project Description

This project will use ARP Section 5311 Rural and RTAP funding to cover State Administration and training (RTAP) expenses. The federal share is up to 100%.

5311 Rural: Federal Share: \$350,543 / Local Match \$0 / Eligible Cost: \$350,543

RTAP expenses: Federal Share: \$78,058 / Local Match: \$0 / Eligible Cost: \$78,058

TOTAL project expenses: Federal Share: \$428,601 / Local Match: \$0 / Eligible Project Cost: \$428,601

#### Project Benefits

Compensation will be provided to the State of Hawaii to perform the grant management and program

oversight duties required by FTA. RTAP funding provides for continual training for subrecipients and State program managers to effectively perform their duties.

**Additional Information**

HDOT expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.

**Location Description**

Administration and oversight for rural neighbor island transit agencies in the State of Hawaii.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Kai Kahele

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project does not involve substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$350,543
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$78,058
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$428,601</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-07-00	610-00 (610-A6) STATE / PROGRAMS ADMINISTRATION	\$350,543.00	\$0.00	\$350,543.00	1
HI-2022-006-07-00	11.80.00 STATE OR PROGRAM ADMINISTRATION (Rural)	\$350,543.00	\$0.00	\$350,543.00	1
HI-2022-006-07-00	635-00 (635-A9) RURAL TRANSIT ASSISTANCE PROGRAM	\$78,058.00	\$0.00	\$78,058.00	1
HI-2022-006-07-00	43.50.01 TRAINING	\$78,058.00	\$0.00	\$78,058.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

State of Hawaii Department of Transportation (HDOT)  
 Federal Share: FY 2021 5311 RTAP (\$78,058) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$78,058

Training for the State and its Subrecipients. Anticipated activities include transit-related training, seminars and workshops, as well as travel to events (courses, conferences, conventions, seminars and workshops) related to the transportation industry. Funds will be dispersed as reimbursements for training. At the moment, 3rd party contractors are not anticipated to be involved.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$78,058
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$78,058</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	12/31/2024	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION (Rural)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

State of Hawaii Department of Transportation (HDOT)  
 Federal Share: FY 2021 5311 Rural (\$350,543) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$350,543

State administrative costs for HDOT. The State intends to enter into a 3rd Party Contract with a consultant to provide assistance with grant management and technical support. The estimated cost for the 3rd Party Contract on this project is \$350,543, however, after the fees are determined, this amount may be reduced and the balance on this ALI will be reserved for other State administrative expenses such as travel or personnel cost.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$350,543
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$350,543</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
State Program Administration Start Date	6/1/2022	Start Date for State Program Administration (not including 3rd party contractor)
3rd Party Contractor RFP Issue Date	6/1/2022	Contract for grant management and technical support.
Select row 4 3rd Party Contractor Contract Award	9/30/2022	Contract for grant management and technical support.
3rd Party Contractor Notice to Proceed	12/1/2022	Contract for grant management and technical support.
3rd Party Contractor Contract Completion	11/30/2024	Contract for grant management and technical support.
State Program Administration and 3rd Party Contractor End Date	9/30/2025	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date Description</b>	<b>Date</b>
Class IIc CE Approved	

### Project Title: State of Hawaii Department of Transportation (HDOT, Intercity)

<b>Project Number</b>	<b>Temporary Project Number</b>	<b>Date Created</b>	<b>Start Date</b>	<b>End Date</b>
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HI-2022-006-08-00

1623-2022-2-P9

4/27/2022

6/1/2022

9/30/2025

**Project Description**

This project will use ARP Section 5311(f) funding to cover State Administration expenses. The federal share is up to 100%.

5311(f): Federal Share: \$30,492 / Local Match \$0 / Eligible Project Cost: \$30,492

**Project Benefits**

Compensation will be provided to the State of Hawaii to perform the grant management and program oversight duties required by FTA.

**Additional Information**

1) HDOT expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.

2) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).

**Location Description**

Administration and oversight for rural neighbor island transit agencies in the State of Hawaii.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Kai Kahele

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: Not Provided

Description: This project does not involve substantial functional, location or capacity changes. Therefore, per ARP requirements, no Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
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5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$30,492
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$30,492</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2022-006-08-00	610-00 (610-A7) STATE / PROGRAMS ADMINISTRATION	\$30,492.00	\$0.00	\$30,492.00	1
HI-2022-006-08-00	11.80.00 STATE OR PROGRAM ADMINISTRATION (Intercity Bus)	\$30,492.00	\$0.00	\$30,492.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION (Intercity Bus)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

State of Hawaii Department of Transportation (HDOT)  
 Federal Share: FY 2021 5311(f) (\$30,492) ARP funds from UZA 150000  
 Local Match: \$0  
 Eligible Cost: \$30,492

State administrative costs for HDOT. The State intends to enter into a 3rd Party Contract with a consultant to provide assistance with grant management and technical support. The estimated cost for the 3rd Party Contract on this project is \$30,492, however, after the fees are determined, this amount may be reduced and the balance on this ALI will be reserved for other State administrative expenses such as travel or personnel cost.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$30,492
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$30,492</b>

Milestone Name	Est. Completion Date	Description
State Program Administration Start Date	6/1/2022	Start Date for State Program Administration (not including 3rd party contractor)
3rd Party Contractor RFP Issue Date	6/1/2022	Contract for grant management and technical support.
3rd Party Contractor Contract Award	9/30/2022	Contract for grant management and technical support.
3rd Party Contractor Notice to Proceed	12/1/2022	Contract for grant management and technical support.
3rd Party Contractor Contract Completion	11/30/2024	Contract for grant management and technical support.
State Program Administration and 3rd Party Contractor End Date	9/30/2025	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Ryan Fujii

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Comment Type DOL Review for Information

Date 5/12/2022

Comment This application is for DOL information. The application is for Operations, State Admin and RTAP expenses.

### Application Review Comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 2/2/2022

Comment Address the following as shown in the toolkit  
 1. Application Name  
 2. Pre-Award authority  
 3. Project Description  
 4. Extended Budget Description  
 5. Update Intercity bus memo

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 4/13/2022

Comment InterCity bus funding needs to be its own project and broken out in the funding source. Please see email for additional comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date	5/6/2022
Comment	Adjust P8, for RTAP funds. New applications need to utilize scope code 635-00 not 435-00.

## Application Review Comments

**Comment By** Ryan Sugamoto

Comment Type Application Details

Date	4/29/2022
Comment	Intercity bus funds were broken out into separate projects as requested, and comments regarding pre-award authority have been addressed. Although ARP pre-award authority allows for expenses to be incurred on or after January 20, 2020, the counties do not plan on incurring expenses prior to the grant being executed.

**Comment By** Ryan Sugamoto

Comment Type Application Details

Date	5/6/2022
Comment	The scope code on 1623-2022-2-P8 for the RTAP ALI was revised to 635-00 as requested.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID: 1623

UEI:

DUNS: 824671465

#### Application Information

Federal Award Identification Number: HI-2022-006-00

Application Name: ARP Section 5311 Transit Operating Assistance for the Counties of Hawaii, Kauai and Maui

Application Start Date: N/A

Original Award End Date: 3/30/2027

Current Award End Date: N/A

Application Executive Summary: This application is to request American Rescue Plan Act of 2021 (ARP) Section 5311 funds apportioned to the State of Hawaii (UZA 150000) in the amount of \$5,158,524, representing 100% federal share of the total eligible amount. The breakdown of funding being requested is provided below:

Summary of funding for this application:

- ARP Section 5311 Rural Apportionment: \$4,673,897  
(Federal Share: \$4,673,897 / Local Match: \$0 / Eligible Cost: \$4,673,897)
- ARP Section 5311(f) Intercity Bus Apportionment: \$406,569 (see note 2 below)  
(Federal Share: \$406,569 / Local Match: \$0 / Eligible Cost: \$406,569)
- ARP Section 5311(b)(3) RTAP Apportionment: \$78,058  
(Federal Share: \$78,058 / Local Match: \$0 / Eligible Cost: \$78,058)
- TOTAL ARP funding requested on this application: \$5,158,524  
(Total Federal Share: \$5,158,524 / Total Local Match: \$0 / Total Eligible Cost: \$5,158,524)

Breakout of funding for subrecipients:

- A) County of Kauai Transportation Agency (CKTA)  
5311 Rural: Federal Share: \$1,729,342 / Local Match \$0  
5311 (f): Federal Share: \$150,431 / Local Match \$0  
TOTAL Federal Share: \$1,879,773 / Local Match: \$0 / Eligible Cost: \$1,879,773

B) County of Hawaii Mass Transit Agency (HMTA)  
5311 Rural: Federal Share: \$1,729,342 / Local Match \$0  
5311(f): Federal Share: \$150,431 / Local Match \$0  
TOTAL Federal Share: \$1,879,773 / Local Match: \$0 / Eligible Cost: \$1,879,773  
C) County of Maui Department of Transportation (MDOT)  
5311 Rural: Federal Share: \$864,670 / Local Match \$0  
5311(f): Federal Share: \$75,215 / Local Match \$0  
TOTAL Federal Share: \$939,885 / Local Match: \$0 / Eligible Cost: \$939,885  
D) State of Hawaii Department of Transportation (HDOT)  
5311 Rural: Federal Share: \$350,543 / Local Match \$0  
5311(f): Federal Share: \$30,492 / Local Match \$0  
Subtotal State Administrative expenses: Federal Share: \$381,035 / Local Match: \$0 / Eligible Cost:  
\$381,035  
5311(b)(3) RTAP expenses: Federal Share: \$78,058 / Local Match: \$0 / Eligible Cost: \$78,058  
TOTAL HDOT expenses: Federal Share: 459,093 / Local Match: \$0 / Eligible Cost: \$459,093

**PURPOSE:** This application utilizes ARP funding to prevent, prepare for, and respond to the COVID-19 pandemic by supporting the County transit agencies that serve rural areas within the State of Hawaii, supplementing funding sources that are projected at a shortfall due to the COVID-19 pandemic.

**ACTIVITIES TO BE PERFORMED:** Operating assistance will be provided to the County transit agencies for them to continue providing service to rural areas of Hawaii. HDOT will administer the grant, provide oversight and technical assistance, and manage funding for RTAP activities.

**EXPECTED OUTCOME:** Operating assistance will allow the transit agencies to sustain the service they provide despite the impacts of the COVID-19 pandemic.

**INTENDED BENEFICIARIES:** CKTA serves residents of the island of Kauai, HMTA serves the island of Hawaii, and MDOT serves residents in the rural areas of Maui. RTAP funds will benefit all three agencies in addition to HDOT. Riders in each county that rely on the bus to travel for medical, employment, education and other needs will benefit from the continued service the transit agencies provide.

**SUBRECIPIENT ACTIVITIES:** The County transit agencies will receive operating assistance to continue providing service to rural areas Hawaii throughout the period of performance. HMTA and MDOT plan to extend their existing contracts for operations and plan on using funds from this grant to cover amounts estimated to be \$1,879,773 and \$939,885, respectively, however they do not anticipate expenditures to be incurred prior to the grant being executed.

**Additional Notes:**

- 1) Although pre-award authority for ARP allows for expenses incurred on or after January 20, 2020, expenditures are not anticipated prior to execution of this grant.
- 2) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. David Ige, attached in TrAMS).
- 3) Funding for operating expenses covered by CARES, CRRSAA, and other FTA grants will be tracked to prevent duplication of reimbursement.
- 4) CKTA, HMTA and MDOT have certified that the agencies and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).
- 5) If Federal funding is received from the Federal Emergency Management Agency (FEMA), a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, written notification will be provided and FTA will be reimbursed for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
- 6) No Statewide Transportation Improvement Plan (STIP) or Transportation Improvement Plan (TIP) documentation is necessary for this ARP application since the project scope primarily includes

operations, administrative costs and training expenses, with no substantial functional, location or capacity changes.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$5,158,524.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,158,524.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$5,158,524.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$4,673,897
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$406,569
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$78,058
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,158,524</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, HAWAII DEPARTMENT OF*