

Application

Federal Award Identification Number (FAIN)	CO-2022-014-00
Temporary Application Number	6965-2022-1
Application Name	FY21 ARP Section 5311 Tribal Competitive- Southern Ute-Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	7/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: SOUTHERN UTE INDIAN TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE		078353919

Location Type	Address	City	State	Zip
Headquarters	356 OURAY DR	IGNACIO	CO	81137
Physical Address	356 OURAY DR	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY21 ARP Section 5311 Tribal Competitive- Southern Ute-Capital

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CO-2022-014-00	Final Concurrence / Reservation	Grant	2/7/2022	2/7/2022	No

Application Executive Summary

•Purpose: This is a FY21 ARP 5311 Tribal competitive grant in the amount of \$73,395 (full apportionment), representing 100% federal share of the total eligible amount. Since the original selection for this funding, vehicle prices have risen causing an increase in the total cost of the vehicle. The exact cost is not known at this time because the recipient is piggybacking on the State approved bid list, and the State is still in negotiations with the vendor.

Recipient estimates the total cost of the vehicle will be \$140,000. Remaining costs of the vehicle total is \$66,605 and will be covered by funding obligated in a future award.

Discretionary ID #D2022-RPTC-003

•Activities to be performed:

-The application scope of work includes the procurement of a replacement bus serving Dial a Ride.

•Expected Outcomes: Allow Southern Ute Tribe to continue to provide service to Tribal members, and to Ignacio and Bayfield, CO community members.

•Intended Beneficiaries: Tribal members and local public

•Subrecipient Activities: N/A- The Southern Ute Indian Tribe subcontracts transit operations to Southern Colorado Community Action Agency (SoCoCAA).

Attached to this application:

-competitive proposal application documents

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per ARP and the Tribal Transit Program, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Mikki	Naranjo	Contracts & Grants Manager	minaranjo@southernute-nsn.gov	(970) 563-2441 2409
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$73,395
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,395

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2022-014-01-00	600-00 (600- OTHER PROGRAM COSTS	\$73,395.00	\$0.00	\$73,395.00	1

	A1)						
CO-2022-014-01-00		11.12.04	BUY REPLACEMENT <30 FT BUS	\$73,395.00	\$0.00	\$73,395.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2022-RPTC-003	New bus for Ignacio, CO area Dial a Ride	\$73,395	CO-2022-014-00	

Part 3: Project Information

Project Title: Rolling Stock-Replacement Vehicle

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2022-014-01-00	6965-2022-1-P1	2/7/2022	5/31/2022	4/20/2023

Project Description

Southern Ute Tribe will use FY21 ARP Section 5311 Tribal competitive funding to procure a replacement vehicle to be used for an expansion in service to provide Dial a Ride (DAR) throughout the Southern Ute Indian Tribe Reservation in Ignacio, CO and the nearby town of Bayfield, CO (both in La Plata County). The Federal share is 100%.

Project Benefits

This project will allow the recipient to serve an increasing ridership and to continue the DAR service. The service has been successful at increasing the mobility of persons residing in the service area since it began service in 2012. It is currently the largest trip generator in the entire Road Runner Transit system. The service is open to the general public and approximately 80% of ridership is Native American.

Additional Information

Service hours are: Monday through Fridays from 12 p.m. to 6 p.m. and on Saturdays from 12 p.m. to 8 p.m. Expansion of services into Bayfield only began in April of 2021, and helps provide transportation options for riders attending the community college, and destinations to all Tribal government offices, Tribal enterprise and employment centers, public amenities such as the post office, grocery store, public schools and community centers.

Location Description

This vehicle will be used on the Ignacio Area Dial-a-Ride service, covering the Southern Ute Tribal Campus on the Southern Ute Indian Reservation, nearby housing projects and subdivision on tribal land, the Town of Ignacio, and an area three miles from the Town boundaries, and also into the city of Bayfield, approximately 10 miles north of Ignacio. The community is located in the Four Corners Area of Southwest Colorado, about 24 miles from Durango, bordering on the Colorado-New Mexico state line.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	3	Lauren Boebert

Program Plan Information

STIP/TIP

Date: 1/1/2022

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10. Road Runner Transit was and remains an active participant in the planning process and this project is consistent with the most recent Southwest Transportation Planning Region Regional Coordinated Transit and Human Services Plan as follows: Strategy 4.4: Maintain and enhance Road Runner Transit services, pages 81-82.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$73,395
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,395

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2022-014-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$73,395.00	\$0.00	\$73,395.00	1

CO-2022-014-01-00	BUY 11.12.04 REPLACEMENT \$73,395.00 <30 FT BUS	\$0.00	\$73,395.00	1
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Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This funding will be used to replace 1 bus that has met useful life of 7 years or 150,000 miles. The bus being replaced:

Vin Number-1FDEE3FL9GDC11027

Year 2016

Make-STAR

Model-Ford E350

Mileage to date-150,048

The replacement bus is a Type I BOC, ADA accessible vehicle to be used in demand-response service. Replacement vehicle specs include: base vehicle floor plan, backup camera and interior monitor, 2 capacity bike rack, two passenger fold-away seat, full length wheelchair securement track, lettering on buses, mud and snow tires, wheelchair anchorage system, scooter anchorage system, and wheelchair lift belts. The estimated total cost of the vehicle is \$140,000. The remaining cost of \$66,605 vehicle will be covered by a future award. Federal share is 100%. Useful life is 7 years or 150,000.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$73,395
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,395

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/31/2022	Completion of RFP or identification of valid procurement options through state bid lists or piggy-backing.

Contract Award Date	6/10/2022	Acceptance of price offer and submission of order form showing vehicle and options selected with prices.
Delivery of Vehicle	3/31/2023	Delivery of vehicle to purchaser in Ignacio, CO.
End Date	4/20/2023	Final invoicing, close out project

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	1/1/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Eboni Younger-Riehl**

Comment Type DOL Review for Information

Date 5/24/2022

This application is using FY21 ARP section 5311 Tribal competitive funding. The activities in this application include purchasing a replacement vehicle.

Contact information for the Tribal Transit program is:

Comment Mikki Naranjo
Southern Ute Indian Tribe
Contracts & Grants Manager
970-563-2441
Minaranjo@southernute-nsn.gov

Application Review Comments

Comment By Eboni Younger-Riehl

Comment Type Pre-Award Manager Returns Application

Date 3/14/2022

Comment Returning to recipient for edits.

Comment By Eboni Younger-Riehl

Comment Type Pre-Award Manager Returns Application

Date 5/18/2022

Comment Per email sent 5/18/22- returning to recipient to make necessary edits.

Application Review Comments

Comment By Morgan Hecht

Comment Type Application Details

Date 5/10/2022

Requirements to Remember for: FTA-assisted vehicle purchases:

Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website:

<https://www.surveymonkey.com/r/vehicleawardreportsurvey>

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: SOUTHERN UTE INDIAN TRIBE

Recipient ID: 6965

UEI:

DUNS: 078353919

Application Information

Federal Award Identification Number: CO-2022-014-00

Application Name: FY21 ARP Section 5311 Tribal Competitive- Southern Ute- Capital

Application Start Date: N/A

Original Award End Date: 7/30/2023

Current Award End Date: N/A

Application Executive Summary: •Purpose: This is a FY21 ARP 5311 Tribal competitive grant in the amount of \$73,395 (full apportionment), representing 100% federal share of the total eligible amount. Since the original selection for this funding, vehicle prices have risen causing an increase in the total cost of the vehicle. The exact cost is not known at this time because the recipient is piggybacking on the State approved bid list, and the State is still in negotiations with the vendor. Recipient estimates the total cost of the vehicle will be \$140,000. Remaining costs of the vehicle total is \$66,605 and will be covered by funding obligated in a future award.

Discretionary ID #D2022-RPTC-003

•Activities to be performed:

-The application scope of work includes the procurement of a replacement bus serving Dial a Ride.

•Expected Outcomes: Allow Southern Ute Tribe to continue to provide service to Tribal members, and to Ignacio and Bayfield, CO community members.

•Intended Beneficiaries: Tribal members and local public

•Subrecipient Activities: N/A- The Southern Ute Indian Tribe subcontracts transit operations to Southern Colorado Community Action Agency (SoCoCAA).

Attached to this application:

-competitive proposal application documents

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency

Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per ARP and the Tribal Transit Program, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$73,395.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$73,395.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$73,395.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$73,395
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$73,395

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

SOUTHERN UTE INDIAN TRIBE