

## Application

|   |   |
|---|---|
| <b>Federal Award Identification Number (FAIN)</b> | WA-2021-128-00  |
| <b>Temporary Application Number</b>               | 6903-2021-1   |
| <b>Application Name</b>                           | FY 2018 Section 5311 Discretionary - Planning and Feasibility Study |
| <b>Application Status</b>                         | Final Concurrence / Reservation                                     |
| <b>Application Budget Number</b>                  | 0   |

|  |           |                 |
|--|-----------|-----------------|
| <b>Period of Performance Start Date</b>        | N/A       |                 |
| <b>Original Period of Performance End Date</b> | 4/30/2023 |                 |
| <b>Current Period of Performance End Date</b>  | N/A       | Revision #: N/A |

### Part 1: Recipient Information

**Name: Swinomish Indian Tribal Community**

| Recipient ID | Recipient OST Type | Recipient Alias                   | Recipient DUNS |
|--------------|--------------------|-----------------------------------|----------------|
| 6903         | Indian Tribe       | SWINOMISH INDIAN TRIBAL COMMUNITY | 079256194      |

| Location Type    | Address           | City      | State | Zip       |
|------------------|-------------------|-----------|-------|-----------|
| Headquarters     | 11404 MOORAGE WAY | LA CONNER | WA    | 982579450 |
| Physical Address | 11404 MOORAGE WAY | LA CONNER | WA    | 98257     |
| Mailing Address  | 11404 MOORAGE WAY | LA CONNER | WA    | 98257     |

### Union Information

There are no union contacts for this application

### Part 2: Application Information

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|--|
| <p><b>Title: FY 2018 Section 5311 Discretionary - Planning and Feasibility Study</b></p> |
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| <b>FAIN</b>    | <b>Application Status</b>       | <b>Application Type</b> | <b>Date Created</b> | <b>Last Updated Date</b> | <b>From TEAM?</b> |
|----------------|---------------------------------|-------------------------|---------------------|--------------------------|-------------------|
| WA-2021-128-00 | Final Concurrence / Reservation | Grant                   | 8/19/2021           | 8/19/2021                | No                |

**Application Executive Summary**

•Purpose:

This grant includes \$25,000 in FY 2018 Section 5311 Discretionary (D2018-TRTR-033) funding for a planning and feasibility study related to expanding and coordinating transit services, and project administration using a federally approved indirect cost rate.

•Activities to be performed:

A planning and feasibility study related to expanding and coordinating transit services, and project administration.

•Expected Outcomes:

To build a better understanding of the best methods of delivering transit service to the Swinomish Indian Tribal Community and the surrounding areas.

•Intended Beneficiaries:

The planning and feasibility study, and subsequent transit service, will cover the Swinomish Indian Tribal Community reservation, nearby tribal lands, Skagit County, Island County, and the Town of La Conner, serving tribal members, non-tribal members, and members of the public.

•Subrecipient Activities:

n/a

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) has approved the Swinomish Indian Tribal

Community's indirect cost rate on 10/23/2020, which covers 1/1/2021 through 12/31/2021. The currently approved indirect cost rate is 31.66% and will be applied to this grant. The 2022 indirect cost rate proposal has been submitted for DOI review and negotiation. Once the 2022 rate and all future rates are approved, they will also be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

| First Name | Last Name | Title                             | E-mail Address          | Phone          |
|------------|-----------|-----------------------------------|-------------------------|----------------|
| Mark       | Stojak    | Transportation Program Specialist | mark.stojak@dot.gov     | (206) 220-7501 |
| Robert     | Huitt     | Associate Planner                 | rhuitt@swinomish.nsn.us | (360) 707-8358 |
| Scot       | Rastelli  | Transportation Program Specialist | Scot.Rastelli@dot.gov   | (206) 220-7965 |

**Application Budget Control Totals**

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Competitive | 5311-1C            | 20509       | \$25,000        |
| Local                             |                    |             | \$7,375         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| Adjustment                        |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$32,375</b> |

**Application Budget**

| Project Number    | Budget Item   | FTA Amount  | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-------------|----------------|-----------------------|----------|
| WA-2021-128-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                                 | \$25,000.00 | \$7,375.00     | \$32,375.00           | 0        |
| WA-2021-128-01-00 | 11.79.00 Project Administration - Indirect Costs (FY 2018 5311 TTP) | \$2,500.00  | \$0.00         | \$2,500.00            | 0        |

|                   |          |   |             |            |             |   |
|-------------------|----------|---|-------------|------------|-------------|---|
| WA-2021-128-01-00 | 44.24.00 | Discretionary<br>100:00)(00)<br>Planning and<br>Feasibility Study<br>(FY 2018 5311<br>TTP Discretionary<br>75.313:24.687)(00) | \$22,500.00 | \$7,375.00 | \$29,875.00 | 0 |
|-------------------|----------|---|-------------|------------|-------------|---|

## Discretionary Allocations

| Discretionary ID | Project Title   | Amount Applied | FAIN           | Congressional Release Date |
|------------------|---|----------------|----------------|----------------------------|
| D2018-TRTR-033   | The Swinomish Indian Tribal Council will receive funding for a feasibility study to assess expanding transit services to rural areas of Skagit County, which would add connections to jobs, schools and services. | \$25,000       | WA-2021-128-00 |                            |

## Part 3: Project Information

### Project Title: FY 2018 Section 5311 Discretionary - Planning and Feasibility Study

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| WA-2021-128-01-00 | 6903-2021-1-P1           | 8/19/2021    | 1/1/2022   | 1/31/2023 |

#### Project Description

This project includes \$25,000 in FY 2018 Section 5311 Discretionary (D2018-TRTR-033) funding for a planning and feasibility study related to expanding and coordinating transit services, and project administration using a federally approved indirect cost rate.

#### Project Benefits

To build a better understanding of the best methods of delivering transit service to the Swinomish Indian Tribal Community and the surrounding areas.

#### Additional Information

*None provided.*

#### Location Description

The planning and feasibility study, and subsequent transit service, will cover the Swinomish Indian Tribal Community reservation, nearby tribal lands, Skagit County, Island County, and the Town of La Conner.

## Project Location (Urbanized Areas)

| UZA Code | Area Name  |
|----------|------------|
| 530000   | Washington |

## Congressional District Information

| State      | District | Representative |
|------------|----------|----------------|
| Washington | 2        | Rick Larsen    |

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: There is no TIP/STIP requirement for 5311 TTP formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Competitive | 5311-1C            | 20509       | \$25,000        |
| Local                             |                    |             | \$7,375         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| Adjustment                        |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$32,375</b> |

## Project Budget

| Project Number    | Budget Item                         | FTA Amount  | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|-------------|----------------|-----------------------|----------|
| WA-2021-128-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$25,000.00 | \$7,375.00     | \$32,375.00           | 0        |
| WA-2021-128-01-00 | 11.79.00 Project Administration -   | \$2,500.00  | \$0.00         | \$2,500.00            | 0        |

|                       |          |   |             |            |             |   |
|-----------------------|----------|---|-------------|------------|-------------|---|
|                       |          | Indirect Costs (FY<br>2018 5311 TTP<br>Discretionary<br>100:00)(00)                           |             |            |             |   |
| WA-2021-128-<br>01-00 | 44.24.00 | Planning and<br>Feasibility Study<br>(FY 2018 5311<br>TTP Discretionary<br>75.313:24.687)(00) | \$22,500.00 | \$7,375.00 | \$29,875.00 | 0 |

## Project Budget Activity Line Items

### Budget Activity Line Item: 44.24.00 - Planning and Feasibility Study (FY 2018 5311 TTP Discretionary 75.313:24.687)(00)

| Scope Name / Code            | Line Item # | Line Item Name               | Activity              | Quantity |
|------------------------------|-------------|------------------------------|-----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 44.24.00    | SHORT RANGE TRANSIT PLANNING | METROPOLITAN PLANNING | 0        |

#### Extended Budget Description

This activity includes \$22,500 in FY 2018 Section 5311 Discretionary (D2018-TRTR-033) funding for a planning and feasibility study related to expanding and coordinating transit services.

#### Match

The federal to non-federal match ratio is 75.313:24.687. The match ratio for planning activities under the TTP Discretionary program is usually 100:00. However, the grantee indicated they will provide \$7,375 as local match, and the funds were awarded based, in part, upon on that pledge.

#### STIP

There is no TIP/STIP requirement for 5311 TTP formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Competitive | 5311-1C            | 20509       | \$22,500        |
| Local                             |                    |             | \$7,375         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| Adjustment                        |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$29,875</b> |

| Milestone Name                            | Est. Completion Date | Description |
|---|----------------------|-------------|
| RFP Date                                  | 1/1/2022             |             |
| Contract Award Date                       | 2/1/2022             |             |
| Planning and Feasibility Study Start Date | 2/1/2022             |             |
| Planning and Feasibility Study End Date   | 12/31/2022           |             |
| Contract Closeout Date                    | 1/31/2023            |             |

**Budget Activity Line Item: 11.79.00 - Project Administration - Indirect Costs (FY 2018 5311 TTP Discretionary 100:00)(00)**

| Scope Name / Code            | Line Item # | Line Item Name         | Activity                  | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00    | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 0        |

**Extended Budget Description**

This activity includes \$2,500 in FY 2018 Section 5311 Discretionary (D2018-TRTR-033) funding for project administration, using a federally approved indirect cost rate for the time-period of 1/1/2022 to 1/31/2023.

**Match**

The federal to non-federal match ratio for planning activities under the TTP Discretionary program is 100:00.

**STIP**

There is no TIP/STIP requirement for 5311 TTP formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount         |
|-----------------------------------|--------------------|-------------|----------------|
| 5311 - Tribal Transit Competitive | 5311-1C            | 20509       | \$2,500        |
| Local                             |                    |             | \$0            |
| Local/In-Kind                     |                    |             | \$0            |
| State                             |                    |             | \$0            |
| State/In-Kind                     |                    |             | \$0            |
| Other Federal                     |                    |             | \$0            |
| Transportation Development Credit |                    |             | \$0            |
| Adjustment                        |                    |             | \$0            |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$2,500</b> |

| Milestone Name | Est. Completion | Description |
|----------------|-----------------|-------------|
|----------------|-----------------|-------------|

| Date                      |           |
|---------------------------|-----------|
| Indirect Costs Start Date | 1/1/2022  |
| Indirect Costs End Date   | 1/31/2023 |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Mark Stojak**

Comment Type DOL Review for Information

Date 9/20/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement



**DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Swinomish Indian Tribal Community

Recipient ID: 6903

DUNS No: 079256194

**Application Information**

Federal Award Identification Number: WA-2021-128-00

Application Name: FY 2018 Section 5311 Discretionary - Planning and Feasibility Study

Application Start Date: N/A

Original Award End Date: 4/30/2023

Current Award End Date: N/A

Application Executive Summary: •Purpose:

This grant includes \$25,000 in FY 2018 Section 5311 Discretionary (D2018-TRTR-033) funding for a planning and feasibility study related to expanding and coordinating transit services, and project administration using a federally approved indirect cost rate.

•Activities to be performed:

A planning and feasibility study related to expanding and coordinating transit services, and project administration.

•Expected Outcomes:

To build a better understanding of the best methods of delivering transit service to the Swinomish Indian Tribal Community and the surrounding areas.

•Intended Beneficiaries:

The planning and feasibility study, and subsequent transit service, will cover the Swinomish Indian Tribal Community reservation, nearby tribal lands, Skagit County, Island County, and the Town of La Conner, serving tribal members, non-tribal members, and members of the public.

•Subrecipient Activities:

n/a

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$32,375.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$25,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$7,375.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$25,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,375.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source                    | Section of Statute | CFDA Number | Amount   |
|-----------------------------------|--------------------|-------------|----------|
| 5311 - Tribal Transit Competitive | 5311-1C            | 20509       | \$25,000 |
| Local                             |                    |             | \$7,375  |
| Local/In-Kind                     |                    |             | \$0      |
| State                             |                    |             | \$0      |

|                                   |  |                 |
|-----------------------------------|--|-----------------|
| State/In-Kind                     |  | \$0             |
| Other Federal                     |  | \$0             |
| Transportation Development Credit |  | \$0             |
| Adjustment                        |  | \$0             |
| <b>Total Eligible Cost</b>        |  | <b>\$32,375</b> |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Swinomish Indian Tribal Community*