

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	WA-2021-125-00
Temporary Application Number	7176-2021-1
Application Name	FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Nooksack Indian Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7176	Indian Tribe	NOOKSACK INDIAN TRIBE	039515234

Location Type	Address	City	State	Zip
Headquarters	5016 DEMING RD	DEMING	WA	982447500
Physical Address	5016 DEMING RD	DEMING	WA	98244
Mailing Address	5016 DEMING ROAD	DEMING	WA	98244

Union Information

Union Name	AMALGAMATED RANSIT UNION (ATU) #843
Address 1	ATU Local 843
Address 2	1155 North State Street # 316
City	Bellingham

State	Washington
Zipcode	98225
Contact Name	Mark Lowry
Telephone	360-738-32
Fax	360-738-41
E-mail	atu843@yaoo.com
Website	

Part 2: Application Information

Title: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2021-125-00	Final Concurrence / Reservation	Grant	7/6/2021	7/6/2021	No

Application Executive Summary

This grant includes \$15,316 in FY 2018, and \$8,637 in FY 2019, Section 5311 TTP Formula funds for operating assistance, via contracted transit service with Whatcom Transit Authority that will operate services in and around the Nooksack Indian Tribe reservation lands, and related project administration using a Federally approved indirect cost rate.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Department of Interior (DOI) has approved the Nooksack Indian Tribe's indirect cost rate on 12/14/2020, which covers 1/1/2021 through 12/31/2021. The currently approved indirect cost

rate is 29.38% and will continue to be applied to this grant. Once the 2022 rate and all future rates are approved, they will be applied to this grant.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Roswell	Cline	Planning Director	rcline@nooksack-nsn.gov	360-592-5176
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$23,953
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,953

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-125-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$23,953.00	\$0.00	\$23,953.00	0
WA-2021-125-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)	\$2,396.00	\$0.00	\$2,396.00	0

WA-2021-125-01-00	30.09.01	Operating Assistance (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)	\$21,557.00	\$0.00	\$21,557.00	0
-------------------	----------	--	-------------	--------	-------------	---

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2021-125-01-00	7176-2021-1-P1	7/6/2021	10/1/2020	9/30/2023

Project Description

This project includes \$15,316 in FY 2018, and \$8,637 in FY 2019, Section 5311 TTP Formula funds for operating assistance, via contracted transit service with Whatcom Transit Authority that will operate services in and around the Nooksack Indian Tribe reservation lands, and related project administration using a Federally approved indirect cost rate.

Project Benefits

Maintain reliable transportation options.

Additional Information

None provided.

Location Description

The contracted service operates in Lynden, Everson, Nooksack, Deming, Acme, and the surrounding areas within Whatcom and Skagit Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington
533060	Bellingham, WA

Congressional District Information

State	District	Representative
Washington	2	Rick Larsen

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10.)

UPWP

Date: Not Provided

Description: n/a

Long Range Plan

Date: Not Provided

Description: n/a

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$23,953
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,953

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-125-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$23,953.00	\$0.00	\$23,953.00	0
WA-2021-125-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)	\$2,396.00	\$0.00	\$2,396.00	0
WA-2021-125-	30.09.01 Operating	\$21,557.00	\$0.00	\$21,557.00	0

01-00	Assistance (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)
-------	--

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$1,532 in FY 2018, and \$864 in FY 2019 Section 5311 TTP Formula funds for project administration, using a federally approved indirect cost rate, for the time-period of 10/1/2020 to 9/30/2023.

Match

The federal to non-federal match ratio is 100:00.

STIP

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10.)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$2,396
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,396

Milestone Name	Est. Completion Date	Description
Indirect Costs Start Date	10/1/2020	

Indirect Costs End Date	9/30/2023
-------------------------	-----------

Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 2018 & FY 2019 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity includes \$13,784 in FY 2018 and \$7,773 in FY 2019 Section 5311 TTP Formula funds for operating assistance, via contracted service with Whatcom Transit Authority that will operate services in and around the Nooksack Indian Tribe reservation lands, for the time-period of 10/1/2020 to 9/30/2023.

Match

The federal to non-federal match ratio is 100:00.

STIP

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10.)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$21,557
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$21,557

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	10/1/2020	
Operating Assistance End Date	9/30/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Mark Stojak**

Comment Type	DOL Review for Information
Date	9/14/2021
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided

below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Nooksack Indian Tribe

Recipient ID: 7176

DUNS No: 039515234

Application Information

Federal Award Identification Number: WA-2021-125-00

Application Name: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: This grant includes \$15,316 in FY 2018, and \$8,637 in FY 2019, Section 5311 TTP Formula funds for operating assistance, via contracted transit service with Whatcom Transit Authority that will operate services in and around the Nooksack Indian Tribe reservation lands, and related project administration using a Federally approved indirect cost rate.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$23,953.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$23,953.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$23,953.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$23,953
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$23,953

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Nooksack Indian Tribe