

## Application

<b>Federal Award Identification Number (FAIN)</b>	WA-2021-103-00
<b>Temporary Application Number</b>	6677-2021-1
<b>Application Name</b>	FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	1/1/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Quinault Indian Nation**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6677	Indian Tribe	QUINAULT TRIBE OF THE QUINAULT RESERVATION	605668524

Location Type	Address	City	State	Zip
Headquarters	1214 AALIS DR	TAHOLAH	WA	985870059
Physical Address	1214 AALIS DR	TAHOLAH	WA	98587
Mailing Address	P.O. BOX 70	TAHOLAH	WA	98587

### Union Information

<b>Union Name</b>	<b>NON-UNION</b>
Address 1	

Address 2	
City	
State	
Zipcode	
Contact Name	---
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

### Title: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2021-103-00	Final Concurrence / Reservation	Grant	8/6/2021	8/6/2021	No

#### Application Executive Summary

This grant includes \$44,064 in FY 2018, and \$35,299 in FY 2019, Section 5311 TTP Formula funds for operating assistance and indirect costs.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

#### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) has approved the Quinault Indian Nation's indirect

cost rate on July 23, 2019, which covers 10/1/2020 through 9/30/2021. The currently approved indirect cost rate is 52.17% and will be applied to this grant. The 2022 indirect cost rate proposal will be submitted for DOI review and negotiation. Once the 2022 rate and all future rates are approved, they will also be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965
Bennett	Hestmark	Grant Writer	bhestmark@quinault.org	(360) 276-8211

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,363
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$79,363</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-103-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$79,363.00	\$0.00	\$79,363.00	0
WA-2021-103-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 5311 TTP)	\$7,936.00	\$0.00	\$7,936.00	0

		Formula 100:00)(00)				
WA-2021-103-01-00	30.09.01	Operating Assistance (FY 2018 & FY 2019 5311 TTP Formula 100:00)(00)	\$71,427.00	\$0.00	\$71,427.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2021-103-01-00	6677-2021-1-P1	8/6/2021	10/1/2021	10/1/2024

#### Project Description

This project includes \$44,064 in FY 2018, and \$35,299 in FY 2019, Section 5311 TTP Formula funds for operating assistance and indirect costs.

#### Project Benefits

To maintain reliable transportation options.

#### Additional Information

*None provided.*

#### Location Description

The service area is in and around the reservation and Grays Harbor County.

## Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

## Congressional District Information

State	District	Representative
Washington	6	Derek Kilmer

## Program Plan Information

**STIP/TIP**

Date: Not Provided

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

**UPWP**

Date: Not Provided

Description: n/a

**Long Range Plan**

Date: Not Provided

Description: n/a

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,363
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$79,363</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-103-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$79,363.00	\$0.00	\$79,363.00	0
WA-2021-103-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 5311 TTP Formula 100:00)(00)	\$7,936.00	\$0.00	\$7,936.00	0
WA-2021-103-01-00	30.09.01 Operating Assistance (FY 2018 & FY 2019 5311 TTP Formula	\$71,427.00	\$0.00	\$71,427.00	0

100:00)(00)

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Costs (FY 2018 & FY 2019 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

This activity includes \$4,406 in FY 2018, and \$3,530 in FY 2019, Section 5311 TTP Formula funds for project administration, using a federally approved indirect cost rate for the time-period of 10/1/2021 to 10/1/2024.

### Match

The federal to non-federal match ratio is 100:00.

### STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$7,936
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,936</b>

Milestone Name	Est. Completion Date	Description
Indirect Costs Start Date	10/1/2021	
Indirect Costs End Date	10/1/2024	

**Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 2018 & FY 2019 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity includes \$39,658 in FY 2018, and \$31,769 in FY 2019, Section 5311 TTP Formula funds for operating assistance, via contracted service, for the time-period of 10/1/2021 to 10/1/2024.

**Match**

The federal to non-federal match ratio is 100:00.

**STIP**

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$71,427
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$71,427</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	10/1/2021	
Operating Assistance End Date	10/1/2024	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Mark Stojak**

Comment Type DOL Review for Information

Date 9/2/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Quinault Indian Nation

Recipient ID: 6677

DUNS No: 605668524

#### **Application Information**

Federal Award Identification Number: WA-2021-103-00

Application Name: FY 2018 and FY 2019 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

Application Start Date: N/A

Original Award End Date: 1/1/2025

Current Award End Date: N/A

Application Executive Summary: This grant includes \$44,064 in FY 2018, and \$35,299 in FY 2019, Section 5311 TTP Formula funds for operating assistance and indirect costs.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$79,363.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$79,363.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$79,363.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,363
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$79,363</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Quinault Indian Nation*