

Application

Federal Award Identification Number (FAIN)	WA-2021-093-00
Temporary Application Number	6898-2021-1
Application Name	FY 2019, FY 2020, & FY 2021 Sec 5311 TTP Formula - Operating Assistance and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: LOWER ELWHA TRIBAL COMMUNITY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6898	Indian Tribe	LOWER ELWHA KLALLAM TRIBE	097252902

Location Type	Address	City	State	Zip
Headquarters	2851 Lower Elwha Rd	Port Angeles	WA	98363
Physical Address	2851 Lower Elwha Rd	Port Angeles	WA	98363
Mailing Address	2851 LOWER ELWHA RD	PORT ANGELES	WA	98363

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY 2019, FY 2020, & FY 2021 Sec 5311 TTP Formula - Operating Assistance and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2021-093-00	Final Concurrence / Reservation	Grant	3/11/2021	3/11/2021	No

Application Executive Summary

This grant includes \$9,566 in FY 2019, \$11,271 in FY 2020, and \$9,122 in FY 2021 Section 5311 TTP Formula funds for operating assistance and indirect costs.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Department of Interior (DOI) approved the Lower Elwha Tribal Community's indirect cost rate on 1/13/2020, which covers 10/1/2020 through 9/30/2021, and a copy of the DOI approved indirect cost rate is on file with FTA in TrAMS. The DOI requires tribes to renegotiate their rates annually. The approved 2021 rate of 28.19%, and any future approved rates, will be applied to this grant.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Chris	Grewell	Public Works Manger	chris.grewell@elwha.org	(360) 452-8471 7469
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$29,959
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,959

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-093-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$29,959.00	\$0.00	\$29,959.00	0
WA-2021-093-01-00	11.79.00 Project Administration - Indirect (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)	\$2,996.00	\$0.00	\$2,996.00	0
WA-2021-093-01-00	30.09.01 Operating Assistance (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)	\$26,963.00	\$0.00	\$26,963.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2019, FY 2020, & FY 2021 Sec 5311 TTP Formula - Operating Assistance and Indirect Costs
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2021-093-01-00	6898-2021-1-P1	3/11/2021	10/1/2021	9/30/2024

Project Description

This project includes \$9,566 in FY 2019, \$11,271 in FY 2020, and \$9,122 in FY 2021 Section 5311 TTP Formula funds for operating assistance and indirect costs.

Project Benefits

Maintain reliable transportation options.

Additional Information

None provided.

Location Description

Lower Elwha Reservation, Trust Lands and communities east and west of Lower Elwha River.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

Congressional District Information

State	District	Representative
Washington	6	Derek Kilmer

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula and Discretionary Funds (9040.1G Page X-3 #10).

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$29,959
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,959

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2021-093-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$29,959.00	\$0.00	\$29,959.00	0
WA-2021-093-01-00	11.79.00 Project Administration - Indirect (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)	\$2,996.00	\$0.00	\$2,996.00	0
WA-2021-093-01-00	30.09.01 Operating Assistance (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)	\$26,963.00	\$0.00	\$26,963.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - Project Administration - Indirect (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$957 in FY 2019, \$1,127 in FY 2020, and \$912 in FY 2021 Section 5311 TTP Formula funds, for project administration using a federally approved indirect cost rate, for the time-period of 10/1/2021 through 9/30/2024.

MATCH

The federal to non-federal match ratio is 100:00.

STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds (9040.1G Page X-3 #10).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$2,996
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,996

Milestone Name	Est. Completion Date	Description
Indirect Costs Start Date	10/1/2021	
Indirect Costs End Date	9/30/2024	

Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 2019, FY 2020, & FY 2021 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity includes \$8,609 in FY 2019, \$10,144 in FY 2020, and \$8,210 in FY 2021 Section 5311 TTP Formula funds, for operating assistance, for the time-period of 10/1/2021 through 9/30/2024.

MATCH

The federal to non-federal match ratio is 100:00.

STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds (9040.1G Page X-3 #10).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$26,963
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$26,963

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	10/1/2021	
Operating Assistance End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Mark Stojak

Comment Type	DOL Review for Information
Date	9/8/2021
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Mark Stojak

Comment Type	Pre-Award Manager Returns Application
Date	6/23/2021
Comment	Returning to recipient to correct funding source code.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: LOWER ELWHA TRIBAL COMMUNITY

Recipient ID: 6898

DUNS No: 097252902

Application Information

Federal Award Identification Number: WA-2021-093-00

Application Name: FY 2019, FY 2020, & FY 2021 Sec 5311 TTP Formula - Operating Assistance and Indirect Costs

Application Start Date: N/A

Original Award End Date: 12/31/2024

Current Award End Date: N/A

Application Executive Summary: This grant includes \$9,566 in FY 2019, \$11,271 in FY 2020, and \$9,122 in FY 2021 Section 5311 TTP Formula funds for operating assistance and indirect costs.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$29,959.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$29,959.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$29,959.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$29,959
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,959

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

LOWER ELWHA TRIBAL COMMUNITY