

Application

Federal Award Identification Number (FAIN)	OR-2021-051-00
Temporary Application Number	6978-2021-1
Application Name	FY2018-2019 Section 5311 TTP Formula Operating and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/31/2023	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6978	Indian Tribe	CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON	041266073

Location Type	Address	City	State	Zip
Headquarters	1233 VETERANS ST	WARM SPRINGS	OR	97761
Physical Address	1233 VETERANS ST	WARM SPRINGS	OR	97761
Mailing Address	P.O. BOX C	WARM SPRINGS	OR	97761

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY2018-2019 Section 5311 TTP Formula Operating and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2021-051-00	Final Concurrence / Reservation	Grant	3/24/2021	3/24/2021	No

Application Executive Summary

This application includes \$153,170 in FY2018-2019 Section 5311 TTP Formula Funds for operating, and indirect costs for general public transit services between Warm Springs and the City of Madras, Oregon. Operations are provided by COIC (Cascades East Transit).

Fiscal Year 2018 5311 TTP Formula: \$84,534

Fiscal Year 2019 5311 TTP Formula: \$68,636

\$153,170 Total FY's 2018-2019 Section 5311 TTP Formula

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award includes research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved The Confederated Tribes of Warm Springs Reservation of Oregon's indirect cost rate on 8/25/2021 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 20.11% or the most current rate will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Kristine	Ziglar	Transportation Program Specialist	Kristine.Ziglar@dot.gov	(206) 220-7963
Michele	Stacona	Secretary-Treasurer/CEO	michele.stacona@wstribes.org	541-553-3212
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$153,170
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$153,170

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-051-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$153,170.00	\$0.00	\$153,170.00	0
OR-2021-051-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (FY2018-2019 5311 TTP 100:00)(00)	\$5,000.00	\$0.00	\$5,000.00	0
OR-2021-051-01-00	30.09.01 OPERATING (FY2018-2019 5311 TTP 100:00)(00)	\$148,170.00	\$0.00	\$148,170.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY2018-2019 Section 5311 TTP Formula Operating and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2021-051-01-00	6978-2021-1-P1	3/25/2021	1/1/2021	12/31/2022

Project Description

This application includes \$153,170 in FY2018-2019 Section 5311 TTP Formula Funds for operating, and indirect costs for general public transit services between Warm Springs and the City of Madras, Oregon. Operations are provided by COIC (Cascades East Transit).

Fiscal Year 2018 5311 TTP Formula: \$84,534

Fiscal Year 2019 5311 TTP Formula: \$68,636

\$153,170 Total FY's 2018-2019 Section 5311 TTP Formula

Project Benefits

Benefits shall include demanded and needed transit services for Tribal members and general public to access locations to get employment and other services.

Additional Information

None provided.

Location Description

Transit community connector operations between Warm Springs and Madras with some circulation in both communities (Jefferson County and Clackamas County)

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

Congressional District Information

State	District	Representative
Oregon	2	Greg Walden

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$153,170
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$153,170

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-051-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$153,170.00	\$0.00	\$153,170.00	0
OR-2021-051-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (FY2018-2019 5311 TTP 100:00)(00)	\$5,000.00	\$0.00	\$5,000.00	0
OR-2021-051-01-00	30.09.01 OPERATING (FY2018-2019 5311 TTP 100:00)(00)	\$148,170.00	\$0.00	\$148,170.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (FY2018-2019 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$5,000 in FY2018-2019 Section 5311 TTP Formula funds at the match ratio of 100:0 for indirect costs related general public transit services between the community of Warm Springs and the City of Madras for the time period of 1/1/2021 through 12/31/2022. This service may include future expansion of routes to a select few community areas within the reservation.

\$2,500 2018 5311 TTP Formula

\$2,500 2019 5311 TTP Formula

\$5,000 Total FY2018-2019 Section 5311 TTP Formula

Match

There is no match requirement for 5311 TTP Formula funds (100:0 match ratio).

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,000

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	1/1/2021	

End Indirect Costs	12/31/2022
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Budget Activity Line Item: 30.09.01 - OPERATING (FY2018-2019 5311 TTP 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity includes \$148,170 in FY2018-2019 Section 5311 TTP Formula funds at the match ratio of 100:0 for operating costs related general public transit services between the community of Warm Springs and the City of Madras for the time period of 1/1/2021 through 12/31/2022. This service may include future expansion of routes to a select few community areas within the reservation.

\$82,034 2018 5311 TTP Formula

\$66,136 2019 5311 TTP Formula

 \$148,170 Total FY2018-2021 Section 5311 TTP Formula

Match

There is no match requirement for 5311 TTP Formula funds (100:0 match ratio).

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$148,170
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$148,170

Milestone Name	Est. Completion Date	Description
Start Operating	1/1/2021	
End Operating	12/31/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - INDIRECT (FY2018-2019 5311 TTP 100:00)(00)	0	\$5,000.00	\$5,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	OPERATING (FY2018-2019 5311 TTP	0	\$148,170.00	\$148,170.00

100:00)(00)

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Kristine Ziglar**

Comment Type DOL Review for Information

Date 9/16/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON

Recipient ID: 6978

DUNS No: 041266073

Application Information

Federal Award Identification Number: OR-2021-051-00

Application Name: FY2018-2019 Section 5311 TTP Formula Operating and Indirect Costs

Application Start Date: N/A

Original Award End Date: 3/31/2023

Current Award End Date: N/A

Application Executive Summary: This application includes \$153,170 in FY2018-2019 Section 5311 TTP Formula Funds for operating, and indirect costs for general public transit services between Warm Springs and the City of Madras, Oregon. Operations are provided by COIC (Cascades East Transit).

Fiscal Year 2018 5311 TTP Formula: \$84,534

Fiscal Year 2019 5311 TTP Formula: \$68,636

\$153,170 Total FY's 2018-2019 Section 5311 TTP Formula

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$153,170.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$153,170.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$153,170.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$153,170
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$153,170

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

CONFEDERATED TRIBES OF WARM SPRINGS RESERVATION OF OREGON