

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NE-2021-020-00
Temporary Application Number	1815-2021-8
Application Name	NDOT: Sec. 5311 ARP Grant No. 1 for Operating Assistance and Intercity Bus
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, NEBRASKA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K ST RM 2316	LINCOLN	NE	685082731
Physical Address	1500 HWY 2	LINCOLN	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	
Address 2	
City	

State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT: Sec. 5311 ARP Grant No. 1 for Operating Assistance and Intercity Bus

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2021-020-00	Final Concurrence / Reservation	Grant	9/8/2021	9/8/2021	No

Application Executive Summary

PURPOSE OF THE AWARD:

This application is utilizing funds in the amount of \$1,824,273, of the 2021 ARP Act of the total of \$2,061,855 apportioned to Nebraska. It is 100% federally funded to prevent, prepare for, and respond to coronavirus and continue subsequent economic recovery. The expenses to be reimbursed, for ALI 300, will be incurred by subrecipients during the period of June 1, 2021, to June 30, 2022. Intercity Bus expenditures, to be reimbursed, will be expended during the period July 1, 2021, through June 30, 2022.

ACTIVITIES TO BE PERFORMED:

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

EXPECTED OUTCOMES:

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

INTENDED BENEFICIARIES:

This grant will provide funds to nine subrecipients for operating assistance. Three other subrecipients, for-profit companies providing Intercity Bus services, will receive operating assistance as well.

Subrecipient Activities:

This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

FUNDING:

ARP funding amounts and categories apportioned to Nebraska, include:
Section 5311 Operating Assistance - \$544,298

Section 5311 Intercity Bus - \$1,279,975
Grant Total: \$1,824,273.

For these ARP funds, as is true with all funding, the coding setup within the State of Nebraska accounting system will specifically identify these funds for ARP purposes. There isn't any way these ARP funds will overlap other funding.

See the POP for more details about funding.

The Recipient (NDOT) agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Though activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program, all envisioned projects can be found as programmatic projects within NDOT's POP attached.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Ken	Rouch	Federal Aid Administrator III	ken.rouch@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,824,273

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-020-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,279,975.00	\$0.00	\$1,279,975.00	0
NE-2021-020-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,279,975.00	\$0.00	\$1,279,975.00	0
NE-2021-020-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$544,298.00	\$0.00	\$544,298.00	0
NE-2021-020-02-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$544,298.00	\$0.00	\$544,298.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: ARP Grant No. 1 funding Intercity Bus

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-020-01-00	1815-2021-8-P1	9/9/2021	7/1/2021	6/30/2022

Project Description

This grant is made available in response to the pandemic. It is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for Intercity Bus for-profit service providers.

Operating Assistance includes compensation and leaves for drivers and workers supporting ridership, fuel, oil, vehicle maintenance, drivers/workers' personal safety devices, and other items and activities supporting ridership.

Project Benefits

Benefits include additional funding to assist subrecipient(s) to recover from the pandemic. The pandemic caused reduced ridership which reduced fare income and losses of scale of operations.

See the uploaded POP for additional information.

Additional Information

None provided.

Location Description

Locations included the service areas of for-profit ICB providers. This includes all of Nebraska.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: Not Provided

Description: STIP Uploaded

UPWP

Date: Not Provided

Description: not needed

Long Range Plan

Date: Not Provided

Description: Vision 2032 uploaded.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,279,975

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-020-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,279,975.00	\$0.00	\$1,279,975.00	0
NE-2021-020-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,279,975.00	\$0.00	\$1,279,975.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This

includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients (listed in attached POP) recover from the pandemic, not just adding funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,279,975

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	9/22/2021

Project Title: ARP Grant No. 1 using 5311 Funds for Operating Assistance for Rural Subrecipients.

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-020-02-00	1815-2021-8-P2	9/9/2021	6/1/2021	6/30/2022

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership.

Select subrecipients will have their expenditures reimbursed which were incurred from June 1, 2021, to June 30, 2021. See POP for more details.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

Additional Information

None provided.

Location Description

Statewide.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	3	Adrian Smith
Nebraska	2	Don Bacon

Program Plan Information

STIP/TIP

Date: Not Provided
Description: Uploaded.

UPWP

Date: Not Provided
Description: n/a

Long Range Plan

Date: Not Provided
Description: Uploaded.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$544,298

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-020-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$544,298.00	\$0.00	\$544,298.00	0
NE-2021-020-02-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$544,298.00	\$0.00	\$544,298.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients (listed in attached POP) recover from the pandemic, not just add add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of June 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$544,298

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	
End Date	6/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	9/22/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 9/23/2021

Subrecipient Activities:
This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

Comment FUNDING:
ARP funding amounts and categories apportioned to Nebraska, include:
Section 5311 Rural Operating Assistance - \$544,298
Section 5311 Intercity Bus - \$1,279,975
Grant Total: \$1,824,273.

Application Review Comments

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 9/22/2021

Project Title ARP Grant No. 1 using 5311 Funds for Operating Assistance for Rural Subrecipients.

Project Number NE-2021-020-02-00

Comment The proposed operating assistance activity is found compliant as a Categorical Exclusion

under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	9/22/2021
Project Title	ARP Grant No. 1 funding Intercity Bus
Project Number	NE-2021-020-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, NEBRASKA DEPARTMENT OF

Recipient ID: 1815

DUNS No: 808881403

Application Information

Federal Award Identification Number: NE-2021-020-00

Application Name: NDOT: Sec. 5311 ARP Grant No. 1 for Operating Assistance and Intercity Bus

Application Start Date: N/A

Original Award End Date: 9/30/2024

Current Award End Date: N/A

Application Executive Summary: PURPOSE OF THE AWARD:

This application is utilizing funds in the amount of \$1,824,273, of the 2021 ARP Act of the total of \$2,061,855 apportioned to Nebraska. It is 100% federally funded to prevent, prepare for, and respond to coronavirus and continue subsequent economic recovery. The expenses to be reimbursed, for ALI 300, will be incurred by subrecipients during the period of June 1, 2021, to June 30, 2022. Intercity Bus expenditures, to be reimbursed, will be expended during the period July 1, 2021, through June 30, 2022.

ACTIVITIES TO BE PERFORMED:

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

EXPECTED OUTCOMES:

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

INTENDED BENEFICIARIES:

This grant will provide funds to nine subrecipients for operating assistance. Three other subrecipients, for-profit companies providing Intercity Bus services, will receive operating assistance as well.

Subrecipient Activities:

This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

FUNDING:

ARP funding amounts and categories apportioned to Nebraska, include:

Section 5311 Operating Assistance - \$544,298

Section 5311 Intercity Bus - \$1,279,975

Grant Total: \$1,824,273.

For these ARP funds, as is true with all funding, the coding setup within the State of Nebraska accounting

system will specifically identify these funds for ARP purposes. There isn't any way these ARP funds will overlap other funding.

See the POP for more details about funding.

The Recipient (NDOT) agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Though activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program, all envisioned projects can be found as programmatic projects within NDOT's POP attached.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,824,273.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,824,273.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,824,273.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,824,273

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, NEBRASKA DEPARTMENT OF