

Application

Federal Award Identification Number (FAIN)	ND-2021-017-00
Temporary Application Number	1153-2021-3
Application Name	ARP Section 5311 Rural NDDOT Operating Assistance and Project Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	9/30/2027	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Transportation, North Dakota Dept Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1153	State Agency	North Dakota Department of Transportation	802742742

Location Type	Address	City	State	Zip
Headquarters	600 E BOULEVARD AVE	BISMARCK	ND	585050601
Physical Address	608 E BOULEVARD AVE	BISMARCK	ND	58505
Mailing Address	608 E BOULEVARD AVE	BISMARCK	ND	58505

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: ARP Section 5311 Rural NDDOT Operating Assistance and Project Administration</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2021-017-00	Final Concurrence / Reservation	Grant	6/17/2021	6/17/2021	No

Application Executive Summary

Purpose: This is an FFY2021 Section 5311 Rural ARP funding application for NDDOT for the partial amount of \$465,282 (remaining \$85,000 included in grant#ND-2021-011-00). This application applies 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus.

Activities to be performed:

Project#1 Operating Assistance for Rural Transit Agencies

Project#2 Project Administration for Rural Transit Agencies

Expected Outcomes: Funding to provide operating assistance to rural transit agencies who provide and coordinate with neighboring transit agencies to provide in their communities. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing.

Intended Beneficiaries: Rural transit agencies staff and rural transit riders.

Subrecipient Activities: Rural transit agencies throughout North Dakota will receive ARP funds for operating assistance and project administration expenses.

This application does not include the 15% ICB requirement, grant ND-2021-011-00 includes the ARP 15% ICB requirement.

Program of Projects is uploaded in the application documents.

Per the ARP Act, the projects in this grant are not required to be programmed in the Statewide Transportation Improvement Program.

The NDDOT agrees that if it receives federal funding from Federal Emergency Management Agency (FEMA) or through a pass-through entity through Robert T. Stafford Disaster Relief and Emergency Assistance Act , a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ranae	Tunison	Transportation Program Specialist	ranae.tunison@dot.gov	(303) 362-2397
Becky	Hanson	Transit Program Manager	bhanson@nd.gov	701-328-2542
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	(303) 362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$465,282
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$465,282

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2021-017-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$232,641.00	\$0.00	\$232,641.00	1
ND-2021-017-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$232,641.00	\$0.00	\$232,641.00	1
ND-2021-017-02-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$232,641.00	\$0.00	\$232,641.00	1
ND-2021-017-02-00	11.79.00 PROJECT ADMINISTRATION	\$232,641.00	\$0.00	\$232,641.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2021-017-01-00	1153-2021-3-P1	6/21/2021	10/1/2023	6/30/2025

Project Description

NDDOT is requesting FFY2021 5311 Rural ARP Act funds (\$232,641) to provide operating assistance to ND rural transit agencies. The federal share of these funds will be 100%.

Project Benefits

Funding for operations is essential for transit agencies in rural communities and the COVID-19 emergency has been especially hard in these areas. Many agencies have had to cut staff and hours due to decreased demands and will use these funds to get back to running as normal in the coming months.

These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments. ND rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

Additional Information

None provided.

Location Description

Statewide, ND

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations with no substantial functional, location, or capacity change. Therefore, per the ARP Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$232,641
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$232,641

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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ND-2021-017-01-00	600-00 (600-A2)	OTHER PROGRAM COSTS	\$232,641.00	\$0.00	\$232,641.00	1
ND-2021-017-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$232,641.00	\$0.00	\$232,641.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Funding to provide operating assistance to rural transit agencies who provide transportation and coordinate with neighboring transit agencies to provide transportation in their communities. All of our rural transit agencies have been affected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to normal operations. Operating funds will cover expenses for existing service incurred beginning 10/1/2023 until 6/30/2025.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$232,641
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$232,641

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	NDDOT will use these funds to reimburse for current contracts and for future contracts. Once applications have been approved.

End Date	6/30/2025	NDDOT will close contracts and projects once all invoicing is completed. I am anticipating the date of completion, at this time is unsure what costs may increase.
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Project Administration for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2021-017-02-00	1153-2021-3-P2	6/21/2021	10/1/2025	6/30/2027

Project Description

NDDOT is requesting FFY2021 5311 Rural ARP Act funds (\$232,641) for Project Administration for rural transit agencies to provide transportation services in ND. The federal share of these funds will be 100%.

Project Benefits

Our agencies have been doing a very good job of keeping their agencies going during these emergency times. The ARP Act Project Administration funds will allow them to provide transportation services in their communities and get back to normal operations and include updated safety procedures.

Additional Information

None provided.

Location Description

Statewide, ND

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations with no substantial functional, location, or capacity change. Therefore, per the ARP Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$232,641
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$232,641

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2021-017-	620- PROJECT ADMINISTRATION	\$232,641.00	\$0.00	\$232,641.00	1

02-00	00 (620-A1)						
ND-2021-017-02-00		11.79.00	PROJECT ADMINISTRATION	\$232,641.00	\$0.00	\$232,641.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

Requesting ARP Act funds for Project Administration for rural transit agencies to provide transportation services in ND for a time period starting 10/1/2025 through 6/30/27. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. All of our rural transit agencies have been affected by the COVID-19 emergency and these funds will help them continue to operate and when the crisis is over they will be able to get back to providing their regular services.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$232,641
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$232,641

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2025	NDDOT will reimburse funds for future contracts.
End Date	6/30/2027	NDDOT will close this project and all associated contracts when all invoicing is completed. This is an approximation of when the funding will be expended and updates will be made on future milestones.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ranae Tunison

Comment Type DOL Review for Information

Date 9/8/2021

Comment Becky Hanson
NDDOT – Transit Section
bhanson@nd.gov

Application Review Comments

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 6/24/2021

Comment The RTAP scope code is currently incorrect at 435, returning to recipient to change the scope code to 635.

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 6/30/2021

Comment Accidentally selected no for DOL, need to send back to recipient so I can choose send to DOL for information.

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 8/17/2021

Many agencies have had to cut staff and hours due to decreased demands and will these funds to get back to running as normal in the coming months.

Comment Unfortunately if NDDOT or NDDOTs subrecipients and contractors have furloughed any of their employees since March 27, 2020 (the enactment of the CARES Act) per the ARP act the funds are to be directed to payroll and operations of public transit (including that of private providers of public transportation).

It appears to me that the RTAP project for training and conferences is not allowed per the ARP act if subrecipients and contractors have been furloughed. The operating project looks fine as is, take a look at the project administration project to make sure all of the expenses are eligible as well.

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 9/3/2021

Comment Please change the scope code for project admin to 620. Thanks!

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 9/7/2021

Comment

Comment By Ranae Tunison

Comment Type Pre-Award Manager Returns Application

Date 9/7/2021

Comment Apologies, please change operating assistance scope code back to the original scope code of 600.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, North Dakota Dept Of

Recipient ID: 1153

DUNS No: 802742742

Application Information

Federal Award Identification Number: ND-2021-017-00

Application Name: ARP Section 5311 Rural NDDOT Operating Assistance and Project Administration

Application Start Date: N/A

Original Award End Date: 9/30/2027

Current Award End Date: N/A

Application Executive Summary: Purpose: This is an FFY2021 Section 5311 Rural ARP funding application for NDDOT for the partial amount of \$465,282 (remaining \$85,000 included in grant#ND-2021-011-00). This application applies 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus.

Activities to be performed:

Project#1 Operating Assistance for Rural Transit Agencies

Project#2 Project Administration for Rural Transit Agencies

Expected Outcomes: Funding to provide operating assistance to rural transit agencies who provide and coordinate with neighboring transit agencies to provide in their communities. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing.

Intended Beneficiaries: Rural transit agencies staff and rural transit riders.

Subrecipient Activities: Rural transit agencies throughout North Dakota will receive ARP funds for operating assistance and project administration expenses.

This application does not include the 15% ICB requirement, grant ND-2021-011-00 includes the ARP 15% ICB requirement.

Program of Projects is uploaded in the application documents.

Per the ARP Act, the projects in this grant are not required to be programmed in the Statewide Transportation Improvement Program.

The NDDOT agrees that if it receives federal funding from Federal Emergency Management Agency (FEMA) or through a pass-through entity through Robert T. Stafford Disaster Relief and Emergency Assistance Act , a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$465,282.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$465,282.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$465,282.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$465,282
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$465,282

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, North Dakota Dept Of