

# DOT

U.S. Department of Transportation

# FTA

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	MT-2020-003-01
<b>Temporary Application Number</b>	1144-2020-1
<b>Application Name</b>	FFY19 5311 Formula Grant /Operating and Capital SFY20
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	1/28/2020
<b>Original Period of Performance End Date</b>	9/30/2021
<b>Current Period of Performance End Date</b>	9/30/2021 Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Montana Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1144	State Agency	Montana Department of Transportation	809791320

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL, ROOM 204	HELENA	MT	59620
Physical Address	2701 PROSPECT AVENUE	HELENA	MT	59601
Mailing Address	PO BOX 201001	HELENA	MT	59620

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FFY19 5311 Formula Grant /Operating and Capital SFY20**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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MT-2020-003-01      Final Concurrence / Reservation      Grant      5/11/2021      5/11/2021      No

**Application Executive Summary**

09/1/2021

Amendment #1

This amendment is adding the lapsing funds from FFY19 5311 formula (\$207,643). Total for state/program admin does not exceed the allowable 10%.

\$207,643 (lapsing funds remaining in FY19 5311)

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\$207,643 (total amount added to scope 610)

The period of performance for operating and administrative performance under this award will need to be extended because of overlapping period of performances on the CARES award MT-2020-013-03. The Period of Performance for operating and administrative funding requires an extension to offer agencies the financial support still needed to bring operations back up to pre-pandemic levels. The decrease of efficiency and heightened costs during and after the pandemic continues to have an impact on MDT subrecipients. Recognizing these heightened operational costs (i.e.: operating with lower capacity, furloughs, sterilizations, extra equipment, etc.), on 01/20/20, MDT stopped using 5311 Formula funds and began using CARES funding. This allowed MDT to provide expenditure reimbursements to agencies and allowed these agencies to continue operations throughout the pandemic. Since CARES Act has been fully obligated/expended, the funding in this award will allow MDT to provide the level of support necessary to bring transit operations back to pre-pandemic levels. This will also result in an extension of each ALIs milestones.

3 out of 5 ICB providers will be utilizing in-kind unsubsidized miles - Salt Lake Express, Jefferson Lines & Flathead Transit. Documentation has been uploaded to this award to clarify the in-kind match.

All match rates remain the same. Vehicles use sliding scale 86.58 Federal / 13.42 State

IDC rate has changed to 10.99% (See attached).

**FOR REFERENCE ONLY**

FFY19 Apportionments to Montana

5311 App.: \$11,618,598

5311(b) App.: \$134,679

Total FTA Apportionment: \$11,753,277

Obligated for Operating: \$11,485,634

Remainder: \$267,643

Suballocated to Chippewa Cree Tribe for Operating: \$60,000

Obligated this Amendment \$207,643

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**Budget Revision #1**

Zeroed out ALI 11.12.04

Added Fergus County COA and City of Helena as subrecipients for ALI 11.12.13 and 11.13.15

Removed funding from Opportunity Link

Reallocate funding from PM to cover additional cost.

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11/06/2018

FFY19 5311 Funds - \$11,485,634 total amount requested

FFY19 5311 Rural Funds - \$11,350,955 (partial apportionment)

FFY19 5311 RTAP Funds - \$134,679 (full apportionment)

The remaining apportionment balance of \$207,643 will be obligated at a later time.

This application is to apply for \$11,485,634 from FFY19 5311 apportionment for the Transit Program for the State of Montana. This award includes operating, rolling stock, preventive maintenance, state/program administration, project administration, RTAP, and Intercity Bus Funding. The Program of Projects is attached to the application.

MDT is using the sliding scale match ratio for Operating funding at 54.11%/45.89%, per a previously approved agreement with the FHWA Division Office. Project Administration and Preventive Maintenance projects are 80%/20%. These matching rates also apply to intercity bus funds for the same scopes.

Rolling stock also uses the sliding scale of 86.58%/13.42%. State/Program admin (100% federal match) is charged at the estimated actual cost which is less than 10%.

MDT has obligated 100% of the FY19 RTAP funds (100% match) for various functions such as travel, conference cost, participant support and training to provide technical assistance.

The operating, project administration and PM funds are for 35 rural providers and 5 intercity bus providers. This includes operating, administration, and repairs & maintenance. All amounts include applicable IDC rate at 10.41%.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award includes research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* SFY2020 approved rate by FHWA is 10.41% (See attached) SFY2021 Approved rate by FHWA is 10.99% (See attached)

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Eric	Romero	Fiscal Transportation Planner	eromero@mt.gov	(406) 444-7645
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,693,277
Local			\$5,831,800
Local/In-Kind			\$449,107
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,974,184</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MT-2020-003-01-01	111-00 (111-A1) BUS - ROLLING STOCK	\$543,341.00	\$84,220.00	\$627,561.00	9
MT-2020-003-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$0.00	\$0.00	\$0.00	0
MT-2020-003-01-01	11.12.15 BUY REPLACEMENT VAN	\$103,891.00	\$16,104.00	\$119,995.00	3
MT-2020-003-01-01	11.13.01 BUY 40-FT BUS FOR EXPANSION	\$181,627.00	\$28,152.00	\$209,779.00	1
MT-2020-	11.13.15 BUY VAN FOR	\$257,823.00	\$39,964.00	\$297,787.00	5

003-01-01		SVC EXPANSION					
MT-2020-003-01-01	117-00 (117-A5)	OTHER CAPITAL ITEMS (BUS)	\$765,411.00	\$191,354.00	\$956,765.00	0	
MT-2020-003-01-01		11.7A.00 PREVENTIVE MAINTENANCE	\$765,411.00	\$191,354.00	\$956,765.00	0	
MT-2020-003-01-01	300-00 (300-A3)	OPERATING ASSISTANCE	\$4,799,272.00	\$4,070,200.00	\$8,869,472.00	0	
MT-2020-003-01-01		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,799,272.00	\$4,070,200.00	\$8,869,472.00	0	
MT-2020-003-01-01	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$807,643.00	\$0.00	\$807,643.00	0	
MT-2020-003-01-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$807,643.00	\$0.00	\$807,643.00	0	
MT-2020-003-01-01	620-00 (620-A4)	PROJECT ADMINISTRATION	\$2,884,753.00	\$721,188.00	\$3,605,941.00	0	
MT-2020-003-01-01		11.79.00 PROJECT ADMINISTRATION	\$2,884,753.00	\$721,188.00	\$3,605,941.00	0	
MT-2020-003-01-01	634-00 (634-A6)	INTERCITY BUS TRANSPORTATION	\$1,758,178.00	\$1,213,945.00	\$2,972,123.00	0	
MT-2020-003-01-01		11.79.00 PROJECT ADMINISTRATION - ICB	\$300,804.00	\$75,201.00	\$376,005.00	0	
MT-2020-003-01-01		11.7A.00 PREVENTIVE MAINTENANCE - ICB	\$162,580.00	\$40,646.00	\$203,226.00	0	
MT-2020-003-01-01		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$1,294,794.00	\$1,098,098.00	\$2,392,892.00	0	
MT-2020-003-01-01	635-00 (635-A7)	RURAL TRANSIT ASSISTANCE PROGRAM	\$134,679.00	\$0.00	\$134,679.00	0	
MT-2020-003-01-01		43.50.01 TRAINING - RTAP	\$53,872.00	\$0.00	\$53,872.00	0	
MT-2020-003-01-01		43.50.02 TECHNICAL ASSISTANCE - RTAP	\$80,807.00	\$0.00	\$80,807.00	0	

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: 5311 Formula Grant /Operating and Capital SFY20 (FFY19)</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MT-2020-003-01-01	1144-2020-1-P1	5/11/2021	10/13/2017	6/30/2021

### Project Description

Amendment #1

Adds \$207,643 in federal share to line item 11.80.00 to cover administrative salaries. Several activities under this project require extensions to period of performance through June 2022.

FFY19 administrative rate changes to 6.99%.

Match rates remain the same.

Original:

Operating funds for 35 rural agencies and 5 intercity providers. This grant also includes funds for the purchase of rolling stock; preventive maintenance of vehicles, state admin, project admin, 15% for Intercity bus for project admin, preventive maintenance and operations; and RTAP training.

### Project Benefits

These funds will ensure continued service for Montana rural and intercity providers and provide funding for some rolling stock for expansion of services and replacement of buses which have met their useful life.

### Additional Information

*None provided.*

### Location Description

Statewide except the 3 small urbans.

## Project Location (Urbanized Areas)

UZA Code	Area Name
300000	Montana

## Congressional District Information

State	District	Representative
Montana	1	Ryan K Zinke

## Program Plan Information

### STIP/TIP

Date: 6/30/2019

Description: Page 10-15

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,693,277
Local			\$5,831,800
Local/In-Kind			\$449,107
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,974,184</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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MT-2020-003-01-01	11.12.04 BUY REPLACEMENT <30 FT BUS	\$0.00	\$0.00	\$0.00	0
MT-2020-003-01-01	11.12.15 BUY REPLACEMENT VAN	\$103,891.00	\$16,104.00	\$119,995.00	3
MT-2020-003-01-01	11.13.01 BUY 40-FT BUS FOR EXPANSION	\$181,627.00	\$28,152.00	\$209,779.00	1

MT-2020-003-01-01		11.13.15	BUY VAN FOR SVC EXPANSION	\$257,823.00	\$39,964.00	\$297,787.00	5
MT-2020-003-01-01	117-00 (117-A5)		OTHER CAPITAL ITEMS (BUS)	\$765,411.00	\$191,354.00	\$956,765.00	0
MT-2020-003-01-01		11.7A.00	PREVENTIVE MAINTENANCE	\$765,411.00	\$191,354.00	\$956,765.00	0
MT-2020-003-01-01	300-00 (300-A3)		OPERATING ASSISTANCE	\$4,799,272.00	\$4,070,200.00	\$8,869,472.00	0
MT-2020-003-01-01		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,799,272.00	\$4,070,200.00	\$8,869,472.00	0
MT-2020-003-01-01	610-00 (610-A2)		STATE / PROGRAMS ADMINISTRATION	\$807,643.00	\$0.00	\$807,643.00	0
MT-2020-003-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$807,643.00	\$0.00	\$807,643.00	0
MT-2020-003-01-01	620-00 (620-A4)		PROJECT ADMINISTRATION	\$2,884,753.00	\$721,188.00	\$3,605,941.00	0
MT-2020-003-01-01		11.79.00	PROJECT ADMINISTRATION	\$2,884,753.00	\$721,188.00	\$3,605,941.00	0
MT-2020-003-01-01	634-00 (634-A6)		INTERCITY BUS TRANSPORTATION	\$1,758,178.00	\$1,213,945.00	\$2,972,123.00	0
MT-2020-003-01-01		11.79.00	PROJECT ADMINISTRATION - ICB	\$300,804.00	\$75,201.00	\$376,005.00	0
MT-2020-003-01-01		11.7A.00	PREVENTIVE MAINTENANCE - ICB	\$162,580.00	\$40,646.00	\$203,226.00	0
MT-2020-		30.09.02	SLIDING SCALE (5311 OR 5310	\$1,294,794.00	\$1,098,098.00	\$2,392,892.00	0



003-01-01	PILOT ONLY)					
MT-2020-003-01-01	635-00 (635-A7)	RURAL TRANSIT ASSISTANCE PROGRAM	\$134,679.00	\$0.00	\$134,679.00	0
MT-2020-003-01-01		43.50.01 TRAINING - RTAP	\$53,872.00	\$0.00	\$53,872.00	0
MT-2020-003-01-01		43.50.02 TECHNICAL ASSISTANCE - RTAP	\$80,807.00	\$0.00	\$80,807.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	3

#### Extended Budget Description

Amendment #1

no change. No change to the milestones for this activity. Actual dates will be reported in the next milestone report.

Original:

(2) Missoula Ravalli Transportation Mgmt. Association - 6-Passenger non-ADA Minivan AWD (7years /100,000) Replacing 2012 Dodge Caravan 104,211 miles 2C4RDGCG1CR324713. and 2009 Dodge Caravan 201,585 miles 1D8HN44E79B512133  
Garfield County dba Big Dry Transit - 6-Passenger non-ADA Minivan AWD (7years /100,000) 2007 Chevy Uplander 132,468 miles VIN #1GBDV13157D126178  
Useful life is based on MDT's SMP.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	minivan

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$103,891
Local			\$16,104

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$119,995</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/13/2017	5-year contract
Contract Award Date	10/24/2019	POA Issued
Initial Delivery Date	12/31/2019	
Final Delivery Date	1/31/2020	
Contract Completion Date	3/31/2020	

**Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	5

**Extended Budget Description**

Amendment #1  
no change to activity.

Milestones will need to be extended through December 2021 for final delivery of vehicles. Milestone dates will be updated in the next MPR.

**Budget Revision #1**

Adds Fergus County COA -12-Passenger Non-ADA 4X4 Van (7years/100,000) and (2) City of Helena - 9-Passenger ADA Mid Roof Van (7years/100,000)  
Useful life is per MDT SMP.

Note: I tried to change minivan to various under "Rolling Stock Information" below, but the system would not save my change.

**Original**

Mineral County Pioneer Council - 6-Passenger non-ADA Minivan AWD (7years/100,000)  
Liberty Place, Inc dba Whitehall Transportation - 6-Passenger non-ADA Minivan (7years/100,000)  
Useful life is per MDT SMP.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	minivan

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$257,823
Local			\$39,964
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$297,787</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/13/2017	
Contract Award Date	10/24/2019	
Initial Delivery Date	12/31/2019	
Final Delivery Date	1/31/2020	
Contract Completion Date	2/28/2020	

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	0

**Extended Budget Description**

Budget Revision #1  
Deleted this ALI

02/06/2020

Changing funding source - discretionary grant awarded to NCMT .

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	28-Passenger <30'

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$0</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/7/2017	5-Year Contract
Contract Award Date	1/31/2020	
Initial Delivery Date	7/31/2020	
Final Delivery Date	9/30/2020	
Contract Completion Date	11/30/2020	

**Budget Activity Line Item: 11.13.01 - BUY 40-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.01	BUY 40-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Amendment #1  
no change. Milestones will need to be extended through June 2022 for final delivery. Milestones will be updated in the next MPR.

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Original  
Flathead County - 43-Passenger ADA Bus (8 years/300,000). Useful life is per MDT SMP.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	40'

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$181,627

Local		\$28,152
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$209,779</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/31/2019	
Contract Award Date	2/28/2020	
Final Delivery Date	12/31/2020	
Contract Completion Date	2/28/2021	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Amendment #1

Adding \$207,643 to cover wages & fringe benefits and minor admin costs.

Total Admin rate for FFY2019 - 6.99%

These additional funds will extend the milestone end date through June 2022. The milestones will be modified during next MPR report.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$807,643
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$807,643</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment #1:

no change to activity or subrecipients. The operating activities will be extended by one year, through June 2022. Milestones will be updated in the next MPR.

Original:

Operating Cost for 35 rural agencies

Match 54.11%/45.89%

Operating timeframe is approximately 12/1/2019 - 6/30/2021.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,799,272
Local			\$4,070,200
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,869,472</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	

End Date	6/30/2021
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**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Amendment #1

no change to activity or subrecipients. The admin activity will be extended by one year, through June 2022. Milestones will be updated in the next MPR.

Administration Cost for 35 rural agencies

Match 80%/20%

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,884,753
Local			\$721,188
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,605,941</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Amendment #1

no change to activity or subrecipients. The PM activity will be extended by one year, through June 2022. Milestones will be updated in the next MPR.

Original:

Preventive Maintenance for 35 rural agencies this includes routine PM and possibly major overhauls. The sub-grantees provide exact details at the time of reimbursement.

Match 80%/20%

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$765,411
Local			\$191,354
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$956,765</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment #1

Added ICB in-kind Match documentation and description to line item.

Includes two for-profit and one tribal ICB providers using in-kind:

1. Salt Lake Express was awarded FTA operating assistance to operate Great Falls to Butte route from 07/01/2019 to 06/30/2020.
2. Jefferson Lines was awarded FTA operating assistance to operate Billings to Missoula route from 07/01/2019 to 06/30/2020.
3. Flathead Transit was awarded FTA operating assistance to operate Whitefish to Missoula from 07/01/2019 to 06/30/2020.



The local in-kind match from 3 providers will be provided though Jefferson Lines and Salt Lake Express un-subsidized miles as outlined in the ES. Match letters are attached. The other 2 providers will be using cash match and will provide service through 6/30/2022. This will result in a need to extend the milestones for this line item. Milestones will be updated in the next MPR.

Milestones will need to be extended in this line item and will be updated in the next MPR.

Original  
 Operating costs 5 intercity agencies  
 Match rate 54.11%/45.89%  
 Operating timeframe is approximately 12/1/2019 - 6/30/2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,294,794
Local			\$648,991
Local/In-Kind			\$449,107
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,392,892</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - ICB**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Amendment #1  
 no change to activity or subrecipients. The admin activity will be extended by one year, through June 2022. Milestones will be updated in the next MPR.

.....  
 .....

Original:  
 Administration costs 5 bus intercity agencies - Match at 80%/20%

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,804
Local			\$75,201
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$376,005</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - ICB**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Amendment #1  
 no change to activity or subrecipients. The PM activity will be extended one year, through June 2022.  
 Milestones will be updated in the next MPR.

Original:  
 PM for 5 intercity bus agencies - this includes routine PM and possibly major overhauls. The sub-grantees provide exact details at the time of reimbursement.  
 Match 80%/20%

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$162,580

Local		\$40,646
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$203,226</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2019	
End Date	6/30/2021	

**Budget Activity Line Item: 43.50.01 - TRAINING - RTAP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Amendment #1

Added more detail regarding RTAP activities.

MDT will not use state RTAP funds for state administrative or overhead expenses. However, any state administrative expense incurred in administering the state RTAP program may be covered by the 10 percent of a state's annual Section 5311 formula apportionment available for state administration.

- a. Speakers for conference
- b. Sub-grantee and MDT employees travel and registration fees for National and Regional Conferences. See below this list is not all inclusive.
  - 1. MTA Spring Conference
  - 2. MDT Fall Conference
  - 3. APTA
  - 4. CTAA
  - 5. NRTAP-pay for MDT fees
  - 6. DRIVE
- c. MDT employees travel to cover sub-grantees training needs this could be drug and alcohol for a new DAR, financial for new accountant or what may be requested by the sub-grantee.
- d. The cost associated with MDT New transit managers training.

MDT has obligated 100% of the FY19 RTAP funds for various functions such as costs of providing direct assistance to and training of rural transportation providers, scholarships for rural transportation providers to attend conferences, workshops, and classes for training and peer-to-peer networking, facilitating the development of a local network of rural transit professionals, and fostering the development of state wide capacity for addressing the training and technical assistance needs of the rural transportation community.

In this award, RTAP funds we will cover all conference costs for both sub-grantees and MDT staff.

This activity will also be extended through June 2022. Milestones will be updated in the next MPR.

Original:

Speakers for conference and travel costs associated to conferences

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$53,872
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$53,872</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/31/2020	
End Date	6/30/2021	

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE -RTAP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Amendment #1

Added more details for activities covered by this line item.

Attend TAC Meetings

--Training need for new software system

--Scholarships for DRIVE Program (Defensive Driving program)

MDT plans to train the subrecipients on the new life-cycle grant software system that they will use to submit reports and apply for 5311, 5310 and CMAQ grants and cover subrecipient travel expenses related to this training.

This activity will also be extended through June 2022. Milestones will be updated in the next MPR.

Original

--Travel, participant support costs, training material

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$80,807
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$80,807</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/31/2020	
End Date	6/30/2021	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT	3	\$103,891.00	\$119,995.00

VAN

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	5	\$257,823.00	\$297,787.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	0	\$0.00	\$0.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.01	BUY 40-FT BUS FOR EXPANSION	1	\$181,627.00	\$209,779.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$807,643.00	\$807,643.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$4,799,272.00	\$8,869,472.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,884,753.00	\$3,605,941.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-



site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$765,411.00	\$956,765.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$1,294,794.00	\$2,392,892.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION - ICB	0	\$300,804.00	\$376,005.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.7A.00	PREVENTIVE MAINTENANCE - ICB	0	\$162,580.00	\$203,226.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING - RTAP	0	\$53,872.00	\$53,872.00
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**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	7/27/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE - RTAP	0	\$80,807.00	\$80,807.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### DOL Certification

Comment By Yvette Shelton

Comment Type DOL Review for Information

Date 8/17/2021

Comment

### FTA Comments for DOL

Comment By Eboni Younger-Riehl

Comment Type DOL Review for Information

Date 8/16/2021

Comment Eric J. Romero  
Financial Planner/Accountant

Montana Dept of Transportation  
PO Box 200101  
Helena, MT 59620  
406-444-7645

**Comment By Eboni Younger-Riehl**

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Comment Type DOL Review for Information

Date 9/13/2021

Eric J. Romero  
Southern Region Planner/Accountant  
Montana Dept of Transportation  
PO Box 201001  
Helena, MT 59620  
406-444-7645

Comment Adam Kraft  
Transit Supervisor | Rail, Transit & Planning Division  
Montana Department of Transportation  
2960 Prospect Avenue  
Helena, MT 59620-1001  
406-444-6120 | akraft@mt.gov

## Application Review Comments

**Comment By Morgan Hecht**

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Comment Type Application Details

Date 7/27/2021

Requirements to Remember for: FTA-assisted vehicle purchases:

Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website:

Comment <https://www.surveymonkey.com/r/vehicleawardreportsurvey>

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts.

This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

## Application Review Comments

**Comment By Eboni Younger-Riehl**

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Comment Type Pre-Award Manager Returns Application

Date 7/27/2021

Comment Award manager sending back to recipient per recipient request.

**Comment By Eboni Younger-Riehl**

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Comment Type Pre-Award Manager Returns Application

Date 8/19/2021

Comment

**Comment By Eboni Younger-Riehl**

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Comment Type Pre-Award Manager Returns Application

Date 9/3/2021

Comment Returning to recipient so that recipient can edit the budget in the amendment as explained in email to recipient dated 9/3/21.

# Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Transportation, Montana Department Of

Recipient ID: 1144

DUNS No: 809791320

### **Application Information**

Federal Award Identification Number: MT-2020-003-01

Application Name: FFY19 5311 Formula Grant /Operating and Capital SFY20

Application Start Date: 1/28/2020

Original Award End Date: 9/30/2021

Current Award End Date: 9/30/2021

Application Executive Summary: 09/1/2021

Amendment #1

This amendment is adding the lapsing funds from FFY19 5311 formula (\$207,643). Total for state/program admin does not exceed the allowable 10%.

\$207,643 (lapsing funds remaining in FY19 5311)

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\$207,643 (total amount added to scope 610)

The period of performance for operating and administrative performance under this award will need to be extended because of overlapping period of performances on the CARES award MT-2020-013-03. The Period of Performance for operating and administrative funding requires an extension to offer agencies the financial support still needed to bring operations back up to pre-pandemic levels. The decrease of efficiency and heightened costs during and after the pandemic continues to have an impact on MDT subrecipients. Recognizing these heightened operational costs (i.e.: operating with lower capacity, furloughs, sterilizations, extra equipment, etc.), on 01/20/20, MDT stopped using 5311 Formula funds and began using CARES funding. This allowed MDT to provide expenditure reimbursements to agencies and allowed these agencies to continue operations throughout the pandemic. Since CARES Act has been fully obligated/expended, the funding in this award will allow MDT to provide the level of support necessary to bring transit operations back to pre-pandemic levels. This will also result in an extension of each ALIs milestones.

3 out of 5 ICB providers will be utilizing in-kind unsubsidized miles - Salt Lake Express, Jefferson Lines & Flathead Transit. Documentation has been uploaded to this award to clarify the in-kind match.

All match rates remain the same. Vehicles use sliding scale 86.58 Federal / 13.42 State

IDC rate has changed to 10.99% (See attached).

FOR REFERENCE ONLY

FFY19 Apportionments to Montana

5311 App.: \$11,618,598

5311(b) App.: \$134,679

Total FTA Apportionment: \$11,753,277

Obligated for Operating: \$11,485,634

Remainder: \$267,643

Suballocated to Chippewa Cree Tribe for Operating: \$60,000

Obligated this Amendment \$207,643

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Budget Revision #1

Zeroed out ALI 11.12.04

Added Fergus County COA and City of Helena as subrecipients for ALI 11.12.13 and 11.13.15

Removed funding from Opportunity Link

Reallocate funding from PM to cover additional cost.

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11/06/2018

FFY19 5311 Funds - \$11,485,634 total amount requested

FFY19 5311 Rural Funds - \$11,350,955 (partial apportionment)

FFY19 5311 RTAP Funds - \$134,679 (full apportionment)

The remaining apportionment balance of \$207,643 will be obligated at a later time.

This application is to apply for \$11,485,634 from FFY19 5311 apportionment for the Transit Program for the State of Montana. This award includes operating, rolling stock, preventive maintenance, state/program administration, project administration, RTAP, and Intercity Bus Funding. The Program of Projects is attached to the application.

MDT is using the sliding scale match ratio for Operating funding at 54.11%/45.89%, per a previously approved agreement with the FHWA Division Office. Project Administration and Preventive Maintenance projects are 80%/20%. These matching rates also apply to intercity bus funds for the same scopes.

Rolling stock also uses the sliding scale of 86.58%/13.42%. State/Program admin (100% federal match) is charged at the estimated actual cost which is less than 10%.

MDT has obligated 100% of the FY19 RTAP funds (100% match) for various functions such as travel, conference cost, participant support and training to provide technical assistance.

The operating, project administration and PM funds are for 35 rural providers and 5 intercity bus providers. This includes operating, administration, and repairs & maintenance. All amounts include applicable IDC rate at 10.41%.

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$17,974,184.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$207,643.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$11,693,277.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$6,280,907.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
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5311 - Rural Area Formula	5311-1A	20509	\$11,693,277
Local			\$5,831,800
Local/In-Kind			\$449,107
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$17,974,184</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 8/17/2021

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,

- (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Montana Department of*