#### **U.S. Department of Transportation**

#### **Federal Transit Administration**

# **Application**

Federal Award Identification Number (FAIN)	AL-2021-021-00
Temporary Application Number	1000-2021-5
Application Name	Section 5307 Urbanized Formula FY 2016 Transferred to Section 5311 Non-Urbanized Formula
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/30/2025	
Current Period of Performance End Date	N/A Revision #: N/A	

# **Part 1: Recipient Information**

# Name: Transportation, Alabama Dept Of

Recipient	Recipient OST	Recipient Alias	Recipient
ID	Type		DUNS
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION	837892371

Location Type	Address	City	State	Zip
Headquarters	600 DEXTER AVE STE S105	MONTGOMERY	AL	361303024
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

### **Union Information**

There are no union contacts for this application

# **Part 2: Application Information**

Title: Section 5307 Urbanized Formula FY 2016 Transferred to Section 5311 Non-Urbanized Formula

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AL-2021- 021-00	Final Concurrence / Reservation	Grant	2/1/2021	2/1/2021	No

#### **Application Executive Summary**

Purpose:

This application is for a new award to move lapsing funds from Section 5307 Urbanized Formula FY 2016 Apportionment in the amount of \$5,487,301 in federal funds transferred to Section 5311 (Non-Urban Formula). The total amount of federal funds to be added to the grant is \$5,487,301 and Local Match of \$1,371,825, totaling \$6,859,126. Activities in the project will consist of: Planning Assistance and Capital Support Equipment during FY 2022. See attached POP for details per sub-recipient. Scopes and ALIs are as follows:

ALI-11.42.08 – Cost \$4,327,301 Federal (Support Equipment)

ALI-44.22.00 – Cost \$1,160,000 Federal (Planning)

- \*The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.
- \*No, the Alabama Department of Transportation does not charge Indirect Cost.
- \*The Start Date is the award date of this application and the End Date is 3/30/2023.
- \*No funds are request for Research and Development.
- \*No Union involvement for the State of Alabama.
- \*Attached document are as follows: Program of Projects (POP).

#### Activities to be performed:

Due to the current and ongoing COVID-19 Pandemic the Alabama Department of Transportation will launch a Statewide safety initiative and outreach effort on behalf of our most valuable Alabama Public Transit Passengers and Frontline Transit Employees.

A critical ongoing and growing need for personnel and resources such as Statewide virus protection protocols, consistent sanitation procedures, proper PPE training and protective equipment, vehicle operators and transit staff, must be addressed in order to provide safety, improve passenger confidence, and increase ridership.

The Alabama Department of Transportation, and its project partners, will work towards providing solutions to the crisis presented by the COVID-19 Pandemic. It's through such vital partnerships and collaborations that we achieve our goals of a safer and more secure Alabama Public Transit System.

#### **Expected Outcomes:**

- A. Regional Maintenance & Training Facilities Upgrades (Donated facilities from ACCS and Large Urban 5307 agencies)
- B. PPE, Sanitation Equipment, and Sanitation Plans for all transit agencies
- C. Transit agency facility site repairs and safety security evaluations
- D. Fencing, Gates and Camera installations for needed Agencies
- E. Illuminometers/Test Kits, Sanitation Misters, and Supplies
- F. Site reviews to ensure a Statewide sanitation standard.
- G. Catalytic converter protectors & installation
- H. Outreach videos for agencies to build confidence and reassure the public
- I. Development of recruitment videos.
- J. County Economic & Coordination with Officials to help in providing services in counties that lack services.
- K. Maintenance Facility Parts and Regional Mobile Response Units
- M. Rebranding ALTRANS for a greater Statewide consistency

#### Intended Beneficiaries:

The Intended Beneficiaries are listed below with descriptions

#### Front Line Transit Employees:

Transit professionals will receive PPE supplies training and equipment to ensure safety and give our frontline all the tools they need to stay safe.

#### Public Transit Passengers:

Passengers will receive a sanitary and safe travel experience through enhanced quality service from trained drivers, nurses on some medical trips, and improved service.

#### **Public Transit Agencies**

Agencies will receive facility inspections and enhancements. Agencies will also receive Illuminometers/Test Kits, Sanitation Misters, and Supplies. This will ensure a measurable standard of clean to promote ridership and a safe work environment. Agencies will also receive catalytic converter protectors and enhanced security equipment to protect personnel and equipment

#### Counties that lack services:

Not every county in Alabama has transit services. Coalitions to meet with county officials and community leaders to define the process and evoke partnerships to create services where there are none.

#### Alabama Community College System ACCS

ACCS will receive facility enhancements as well as education and workforce development.

#### Subrecipient Activities:

This will be a partnership between several subrecipients. The University of Alabama Huntsville will be the lead on the project with direct oversite from the Alabama Department of Transportation (ALDOT). Some 5307 Urban and several 5311 agencies will also be involved with pertinent issues when relevant.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### **Pre-Award Authority**

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

#### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

#### **Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

# **Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Courtney	Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	(404) 865-5478
Catrina	Rollins	Engineering Asst. II	rollinscm@dot.state.al.us	(334) 242-6766

# **Application Budget Control Totals**

Section of Statute	CFDA Number	Amount
5311-1A	20509	\$5,487,301
		\$1,371,825
		\$0
		\$0
		\$0
		\$0
		\$0
		\$0
		\$6,859,126

# **Application Budget**

Projec t Numb er		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantit y
AL- 2021- 021- 01-00	114- 00 (114 -A1)	BUS: SUPPORT EQUIP AND FACILITIES	\$4,327,301. 00	\$1,081,825. 00	\$5,409,126. 00	0
AL- 2021- 021- 01-00		11.42.2 ACQUIRE - MISC SUPPORT 0 EQUIPMENT	\$4,167,301. 00	\$1,041,825. 00	\$5,209,126. 00	0

AL- 2021- 021- 01-00		11.44.2 REHAB/RENOVATE - MISC 0 SUPPORT EQUIPMENT	\$160,000.0 0	\$40,000.00	\$200,000.0 0	0
AL- 2021- 021- 01-00	442- 00 (442 -A2)	METROPOLITAN PLANNING	\$1,160,000. 00	\$290,000.0 0	\$1,450,000. 00	0
AL- 2021- 021- 01-00		44.22.0 GENERAL DEVELOPMENT/COMPREHEN SIVE PLANNING	\$1,160,000. 00	\$290,000.0 0	\$1,450,000. 00	0

### **Discretionary Allocations**

This application does not contain discretionary allocations.

# **Part 3: Project Information**

	<u> </u>			,		
P	roje	ect <sup>-</sup>	Title:	5307/	5311	

Project Number	Temporary Project Number	<b>Date Created</b>	Start Date	End Date
AL-2021-021-01-00	1000-2021-5-P1	3/30/2021	10/1/2021	9/30/2022

#### **Project Description**

Purpose:

This application is for a new award to move lapsing funds from Section 5307 Urbanized Formula FY 2016 Apportionment in the amount of \$5,487,301 in federal funds transferred to Section 5311 (Non-Urban Formula). The total amount of federal funds to be added to the grant is \$5,487,301 and Local Match of \$1,371,825, totaling \$6,859,126. Activities in the project will consist of: Planning Assistance and Capital Support Equipment during FY 2022. See attached POP for details per sub-recipient. Scopes and ALIs are as follows:

ALI-11.42.08 - Cost \$4,327,301 Federal (Support Equipment)

ALI-44.22.00 - Cost \$1,160,000 Federal (Planning)

#### **Project Benefits**

**Expected Outcomes:** 

A. Regional Maintenance & Training Facilities Upgrades (Donated facilities from ACCS and Large Urban 5307 agencies)

- B. PPE, Sanitation Equipment, and Sanitation Plans for all transit agencies
- C. Transit agency facility site repairs and safety security evaluations
- D. Fencing, Gates and Camera installations for needed Agencies
- E. Illuminometers/Test Kits, Sanitation Misters, and Supplies
- F. Site reviews to ensure a Statewide sanitation standard.
- G. Catalytic converter protectors & installation

<sup>\*</sup>The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

<sup>\*</sup>No, the Alabama Department of Transportation does not charge Indirect Cost.

<sup>\*</sup>The Start Date is the award date of this application and the End Date is 3/30/2023.

<sup>\*</sup>No funds are request for Research and Development.

<sup>\*</sup>No Union involvement for the State of Alabama.

<sup>\*</sup>Attached document are as follows: Program of Projects (POP).

- H. Outreach videos for agencies to build confidence and reassure the public
- I. Development of recruitment videos.
- J. County Economic & Coordination with Officials to help in providing services in counties that lack services.
- K. Maintenance Facility Parts and Regional Mobile Response Units
- M. Rebranding ALTRANS for a greater Statewide consistency

#### **Additional Information**

None provided.

#### **Location Description**

Statewide Non-Urbanize Project

# **Project Location (Urbanized Areas)**

UZA Code	Area Name
010000	Alabama

## **Congressional District Information**

State	District	Representative	
Alabama	1	Bradley Byrne	
Alabama	2	Martha Roby	
Alabama	3	Mike Rogers	
Alabama	4	Robert B Aderholt	
Alabama	5	Mo Brooks	
Alabama	6	Gary J Palmer	
Alabama	7	Terri A Sewell	

# **Program Plan Information**

#### STIP/TIP

Date: N/A
Description: N/A

**UPWP** 

Date: N/A Description: N/A

### Long Range Plan

Date: N/A
Description: N/A

# **Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,487,301

Local	\$1,371,825
Local/In-Kind	\$0
State	\$0
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$6,859,126

# **Project Budget**

Projec t Numb er		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quanti ty
AL- 2021- 021- 01-00	114 -00 (11 4- A1)	BUS: SUPPORT EQUIP AND FACILITIES	\$4,327,301. 00	\$1,081,825. 00	\$5,409,126. 00	0
AL- 2021- 021- 01-00		11.42. ACQUIRE - MISC SUPPORT 20 EQUIPMENT	\$4,167,301. 00	\$1,041,825. 00	\$5,209,126. 00	0
AL- 2021- 021- 01-00		11.44. REHAB/RENOVATE - MISC 20 SUPPORT EQUIPMENT	\$160,000.0 0	\$40,000.00	\$200,000.0 0	0
AL- 2021- 021- 01-00	442 -00 (44 2- A2)	METROPOLITAN PLANNING	\$1,160,000. 00	\$290,000.0	\$1,450,000. 00	0
AL- 2021- 021- 01-00		44.22. GENERAL DEVELOPMENT/COMPREHE NSIVE PLANNING	\$1,160,000. 00	\$290,000.0 0	\$1,450,000. 00	0

# Project Budget Activity Line Items Budget Activity Line Item: 11.44.20 - REHAB/RENOVATE - MISC SUPPORT EQUIPMENT

Budget Activity Line item. 11.44.20 - KEHAD/KENOVATE - MIGO GOTT OKT EQUI MENT				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	0

#### FACILITIES (114-00)

#### **Extended Budget Description**

\$160,000 in Federal Funds for Capital Support Equipment: Rehab/Rebuild for the purchase of various items for the outreach project to rebuild Alabama Transit throughout the state.

#### **Funding Items**

Outreach and Recruitment Videos

This will be the development of resources for our Transit agencies.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$160,000
Local			\$40,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2022	

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- 1	udget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT
	Nodel ACIIVIIV LINE IIEM: 11.42.20 - ACGUIRE - WISC SUPPORT EGUIPWENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

#### **Extended Budget Description**

\$4,167,301 in Federal Funds for Capital Support Equipment for the purchase of various items for the outreach project to rebuild Alabama Transit throughout the state.

#### Funding Items:

Instructional equipment Rental

Video Surveillance Equipment/site repair evaluations

Fencing and Gates for Agencies (useful life 15 years)

Training Facility Interior remodeling (useful life 10 years)

PPE and Sanitation Equipment & Sanitation Plans

Illuminometers & Test Kits

Catalytic Converter Protection & Installation Temp driver service Training and Placement Maintenance Facility upgrades and Parts Regional Mobile Response Units

All other equipment is below the useful life requirements

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,167,301
Local			\$1,041,825
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,209,126

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2022	

#### Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

#### **Extended Budget Description**

\$1,320,000 in Federal Funds for Planning for the purchase of various items for the outreach project to rebuild Alabama Transit throughout the state.

Funding Items:
Seminar Instruction Materials
Training center Audio Visual
Project Manager
County Economic & Coordination with Officials
Consulting Travel 75 Trainings/Site Visits

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,160,000
Local			\$290,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,450,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2022	

### **Project Environmental Findings**

Finding: Class II(c) - Categorical Exclusions (C-List)

#### **Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### **Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial offsite impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$4,167,301.00	\$5,209,126.00

Finding: Class II(c) - Categorical Exclusions (C-List)

#### **Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Numbe r	Line Item Name	Quantit y	FTA Amount	Total Eligible Cost
METROPOLITA N PLANNING (442-00)	44.22.0 0	GENERAL DEVELOPMENT/COMPREHENSI VE PLANNING	0	\$1,160,000.0 0	\$1,450,000.0 0

#### Finding: Class II(c) - Categorical Exclusions (C-List)

#### **Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### **Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial offsite impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	0	\$160,000.00	\$200,000.00

# Part 4: Fleet Details

No fleet data exists for this application.

# **Part 5: FTA Review Comments**

### **FTA Comments for DOL**

## **Comment By Courtney Roberts**

Comment Type	DOL Review for Information
Date	9/23/2021
Comment	This grant application is for Section 5311. Please review this application for information only. The grantee contact is Catrina Rollins and can be reached at (334) 242-6766, rollinscm@dot.state.al.us

# **Application Review Comments**

### **Comment By Courtney Roberts**

Comment Type	Application Details
Date	4/13/2021
	Remove this from the application executive summary:
	Anniston \$928,328 Federal funds: \$232,082 Capital \$204,232 Preventive Maintenance \$492,014 Operating
	Auburn \$1,020,493 Federal funds: \$275,533 Capital \$153,074 Preventive Maintenance \$510,247 Operating \$81,639 Planning
Comment	Daphne/Fairhope \$651,798 Federal funds: \$195,540 Capital \$65,180 Preventive Maintenance \$130,360 Operating \$260,718 Construction
	Decatur \$870,475 Federal funds: \$217,619 Capital \$104,457 Preventive Maintenance \$348,190 Operating \$200,209 Planning
	Dothan \$1,053,604 Federal funds: \$105,360 Capital \$105,360 Preventive Maintenance \$421,442 Operating \$421,442 JARC
	Florence \$962,603 Federal funds: \$9,626 Preventive Maintenance \$952,977 Operating
	It's confusing and it's broken down again in the paragraphs below.

### **Comment By Courtney Roberts**

Comment Type	Application Details
Date	5/24/2021
Comment	<ul> <li>The total amount of FY2016 lapsing funds is \$5,488,062.00. The application is \$761 short of that total. Is that \$761 going to lapse? Or can it be added it into the application to prevent that funding from lapsing? If that funding is going to lapse, please send an email stating that.</li> <li>Please add the state UZA code into each Project Location</li> </ul>

- Please set Period of Performance end date to at least 2 years after latest milestone date.
- Auburn & Decatur Planning projects: required to be programmed in the UPWP, please attach applicable UPWP and approval.
- Change Fairhope CE selection to #5 per email from Carrie attached.
  Please ensure all ALIs using 3rd party contractors have at least 3 milestone dates.

Comment By	Courtney Roberts
Comment Type	Application Details
Date	9/21/2021
Comment	<ul> <li>OFPO Director Comments-</li> <li>The nursing student project and the outreach videos were deemed as ineligible? Why are they being included here?</li> <li>What is the difference between the 2 ALIs? Right now they appear to be copy/pastes of one another. Please distinguish and specifically include what is to be funded by each. Not a general overview of the whole grant.</li> <li>For 11.42.20, need a useful life estimate for any equipment valued over \$5k, or a statement confirming no equipment is valued over \$5k.</li> <li>Might need to further break out 11.42.20 to ensure accuracy in what is actually being funded. I.e. if re-branding is being done, why isn't that under a bus ALI? Ensure POP matched any breakout as well.</li> </ul>

# **Application Review Comments**

8/23/2021

Comment By Courtney Roberts

Date

Comment

Common By	Courtiley Nobelto
Comment Type	Pre-Award Manager Returns Application
Date	4/13/2021
Comment	

Comment By	Courtney Roberts
Comment Type	Pre-Award Manager Returns Application
Date	5/24/2021
Comment	Please review FTA comments.
Comment By	Courtney Roberts
Comment Type	Pre-Award Manager Returns Application

Returned at ALDOT's request to transfer funds to 5311

#### Comment By Courtney Roberts

Comment
Type
Pre-Award Manager Returns Application

Date 9/21/2021

Comment

#### **Comment By Courtney Roberts**

Comment Type Pre-Award Manager Returns Application

Date 9/23/2021

Comment Please address Robert's comments made via phone call.

# Part 6: Agreement

# UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

# GRANT AGREEMENT (FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, http://www.transit.dot.gov,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

#### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Alabama Dept Of

Recipient ID: 1000

DUNS No: 837892371

#### **Application Information**

Federal Award Identification Number: AL-2021-021-00

Application Name: Section 5307 Urbanized Formula FY 2016 Transferred to Section 5311 Non-Urbanized Formula

Application Start Date: N/A

Original Award End Date: 3/30/2025

Current Award End Date: N/A

#### <u>Application Executive Summary:</u> Purpose:

This application is for a new award to move lapsing funds from Section 5307 Urbanized Formula FY 2016 Apportionment in the amount of \$5,487,301 in federal funds transferred to Section 5311 (Non-Urban Formula). The total amount of federal funds to be added to the grant is \$5,487,301 and Local Match of \$1,371,825, totaling \$6,859,126. Activities in the project will consist of: Planning Assistance and Capital Support Equipment during FY 2022. See attached POP for details per sub-recipient. Scopes and ALIs are as follows:

ALI-11.42.08 - Cost \$4,327,301 Federal (Support Equipment)

ALI-44.22.00 - Cost \$1,160,000 Federal (Planning)

#### Activities to be performed:

Due to the current and ongoing COVID-19 Pandemic the Alabama Department of Transportation will launch a Statewide safety initiative and outreach effort on behalf of our most valuable Alabama Public Transit Passengers and Frontline Transit Employees.

A critical ongoing and growing need for personnel and resources such as Statewide virus protection protocols, consistent sanitation procedures, proper PPE training and protective equipment, vehicle operators and transit staff, must be addressed in order to provide safety, improve passenger confidence, and increase ridership.

The Alabama Department of Transportation, and its project partners, will work towards providing solutions to the crisis presented by the COVID-19 Pandemic. It's through such vital partnerships and collaborations that we achieve our goals of a safer and more secure Alabama Public Transit System.

#### **Expected Outcomes:**

A. Regional Maintenance & Training Facilities Upgrades (Donated facilities from ACCS and Large Urban 5307 agencies)

B. PPE, Sanitation Equipment, and Sanitation Plans for all transit agencies

<sup>\*</sup>The Alabama Department of Transportation is the Governor of Alabama's designated recipient of Section 5311 Non-Urbanized Transportation allocation.

<sup>\*</sup>No, the Alabama Department of Transportation does not charge Indirect Cost.

<sup>\*</sup>The Start Date is the award date of this application and the End Date is 3/30/2023.

<sup>\*</sup>No funds are request for Research and Development.

<sup>\*</sup>No Union involvement for the State of Alabama.

<sup>\*</sup>Attached document are as follows: Program of Projects (POP).

- C. Transit agency facility site repairs and safety security evaluations
- D. Fencing, Gates and Camera installations for needed Agencies
- E. Illuminometers/Test Kits, Sanitation Misters, and Supplies
- F. Site reviews to ensure a Statewide sanitation standard.
- G. Catalytic converter protectors & installation
- H. Outreach videos for agencies to build confidence and reassure the public
- I. Development of recruitment videos.
- J. County Economic & Coordination with Officials to help in providing services in counties that lack services.
- K. Maintenance Facility Parts and Regional Mobile Response Units
- M. Rebranding ALTRANS for a greater Statewide consistency

#### Intended Beneficiaries:

The Intended Beneficiaries are listed below with descriptions

#### Front Line Transit Employees:

Transit professionals will receive PPE supplies training and equipment to ensure safety and give our frontline all the tools they need to stay safe.

#### Public Transit Passengers:

Passengers will receive a sanitary and safe travel experience through enhanced quality service from trained drivers, nurses on some medical trips, and improved service.

#### **Public Transit Agencies**

Agencies will receive facility inspections and enhancements. Agencies will also receive Illuminometers/Test Kits, Sanitation Misters, and Supplies. This will ensure a measurable standard of clean to promote ridership and a safe work environment. Agencies will also receive catalytic converter protectors and enhanced security equipment to protect personnel and equipment

#### Counties that lack services:

Not every county in Alabama has transit services. Coalitions to meet with county officials and community leaders to define the process and evoke partnerships to create services where there are none.

#### Alabama Community College System ACCS

ACCS will receive facility enhancements as well as education and workforce development.

#### Subrecipient Activities:

This will be a partnership between several subrecipients. The University of Alabama Huntsville will be the lead on the project with direct oversite from the Alabama Department of Transportation (ALDOT). Some 5307 Urban and several 5311 agencies will also be involved with pertinent issues when relevant.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

<u>Suballocation Funds:</u> Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$6,859,126.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$5,487,301.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$1,371,825.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$5,487,301.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,371,825.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,487,301
Local			\$1,371,825
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost	\$6,859,126		

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

# U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

#### **Special Conditions**

There are no special conditions.

#### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

#### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION U.S. DEPARTMENT OF TRANSPORTATION Contact Info: Award Date:

#### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Alabama Dept Of