

## Application

<b>Federal Award Identification Number (FAIN)</b>	AL-2021-018-00
<b>Temporary Application Number</b>	1000-2021-11
<b>Application Name</b>	Intercity Bus 5311(f) American Rescue Plan Act
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, ALABAMA DEPT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1000	State Agency	ALABAMA DEPARTMENT OF TRANSPORTATION	837892371

Location Type	Address	City	State	Zip
Headquarters	600 DEXTER AVE STE S105	MONTGOMERY	AL	361303024
Physical Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36110
Mailing Address	1409 COLISEUM BLVD	MONTGOMERY	AL	36130

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<b>Title: Intercity Bus 5311(f) American Rescue Plan Act</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AL-2021-018-00	Final Concurrence / Reservation	Grant	6/15/2021	6/15/2021	No

**Application Executive Summary**

Purpose: The purpose of this award is to provide the state of Alabama and its subrecipients Intercity operating assistance to assist the state and its subrecipients in recovering from COVID-19 during FY2022.

Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit Intercity operations. Funds will also be used for State Administration activities.

Expected Outcomes: Continued safe and effective Intercity operation of transit services for rural areas of Alabama.

Intended Beneficiaries: Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities: The following two subrecipients as providers of intercity bus operations: Greyhound Lines and West Alabama Health Services will receive ARP funds for operating assistance.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Courtney	Roberts	Transportation Program Specialist	courtney.roberts@dot.gov	(404) 865-5478
Catrina	Rollins	Engineering Asst. II	rollinscm@dot.state.al.us	(334) 242-6766

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,465,668
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,465,668</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AL-2021-018-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$2,465,668.00	\$0.00	\$2,465,668.00	0
AL-2021-018-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$246,567.00	\$0.00	\$246,567.00	0
AL-2021-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,219,101.00	\$0.00	\$2,219,101.00	0

**Discretionary Allocations**

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Intercity ARP</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
AL-2021-018-01-00	1000-2021-11-P1	6/15/2021	1/20/2020	3/30/2024

### Project Description

This is a FFY 2021 Section 5311(f) American Rescue Plan Act application in the amount of \$2,465,668 (100% Federal Share). This application utilizes American Rescue Plan Act funding to help respond to and recover from the COVID-19 pandemic. The application is for Intercity Bus and State Admin costs. See project budget and attached POP for details. ALDOT understands that the expenses requested in this application are subject to review for their reasonableness as part of the agency's next Triennial/State Management Review.

### Project Funding Breakdown FTA Funds Budgeted

610-00 State/Programs Administration 10% of 5311 apportionment \$246,567 Activity 11.80.00 – State Administration

634-00 Intercity Bus Transportation 5311(f) apportionment \$2,219,101 Activity 30.09.01 Up to 100% Federal Share

Total Federal Funds Requested \$2,465,668 ALDOT calculated Operating costs based on previous operating costs and requests from subs/Intercity providers.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company. ALDOT will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

### Project Benefits

The statewide funding will assist with meeting transportation needs of the rural areas of Alabama. Additional operating funds will support the maintenance of vehicles, equipment, and facilities related to the operation of the transit programs. The funds will also support the employee's salaries and provide employee benefits for the members of our sub-recipient's staffs. Statewide federal funding will ensure services can be expanded into various area across the state.

### Additional Information

*None provided.*

### Location Description

State-wide American Rescue Plan Act funds

## Project Location (Urbanized Areas)

UZA Code	Area Name
010000	Alabama

## Congressional District Information

State	District	Representative
Alabama	1	Bradley Byrne
Alabama	2	Martha Roby
Alabama	3	Mike Rogers
Alabama	4	Robert B Aderholt
Alabama	5	Mo Brooks
Alabama	6	Gary J Palmer
Alabama	7	Terri A Sewell

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: no stip/tip required

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,465,668
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,465,668</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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AL-2021-018-01-00	634-00 (634-A1)	INTERCITY BUS TRANSPORTATION	\$2,465,668.00	\$0.00	\$2,465,668.00	0
AL-2021-018-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$246,567.00	\$0.00	\$246,567.00	0
AL-2021-018-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,219,101.00	\$0.00	\$2,219,101.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

#### Extended Budget Description

5/15/2021

American Rescue Plan Act Intercity Bus Operating funds - \$2,219,101

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,219,101
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,219,101</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

5/15/2021  
 American Rescue Plan Act State Admin funds - \$246,567

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$246,567
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$246,567</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	3/30/2024	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$2,219,101.00	\$2,219,101.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$246,567.00	\$246,567.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Courtney Roberts

Comment Type DOL Review for Information



Date	9/13/2021
Comment	This grant application is for Section 5311. Please review this application for information only. The grantee contact is Catrina Rollins and can be reached at (334) 242-6766, rollinscm@dot.state.al.us

## Application Review Comments

**Comment By Courtney Roberts**

Comment Type	Application Details
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Date	8/19/2021
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Comment	<p>Please include the 5 elements in the application executive summary-</p> <ol style="list-style-type: none"> <li>1. Purpose:</li> <li>2. Activities to be performed:</li> <li>3. Expected outcomes:</li> <li>4. Intended beneficiaries:</li> <li>5. Subrecipient Activities:</li> </ol> <p>Purpose: The purpose of this award is to provide the state of XXX and its subrecipients operating assistance, eligible capital projects, and for the rural transit assistance program (RTAP) to assist the state and its subrecipients in recovering from COVID-19 during FY2022.</p> <p>Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used to cover eligible capital expenses such as the purchase of five 24-passenger buses. Funds will also be used for training and technical assistance.</p> <p>Expected Outcomes: Continued safe and effective operation of transit services for rural areas of XXX.</p> <p>Intended Beneficiaries: XXX State transit agencies and citizens in the rural and non-urbanized areas of the state.</p> <p>Subrecipient Activities: The following three subrecipients as providers of rural transit service: ABC Rural Inc.; DEF Transit, Inc.; Easy Commute Inc.; and one intercity bus operator: GHI Coach Lines. will receive ARP funds for operating assistance. The following subrecipients will receive capital funding for the purchase of equipment: JKL rural operator; MNO rural operator.</p>
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## Application Review Comments

**Comment By Courtney Roberts**

Comment Type	Pre-Award Manager Returns Application
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Date	8/19/2021
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Comment	Please review FTA comments.
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## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: TRANSPORTATION, ALABAMA DEPT OF

Recipient ID: 1000

DUNS No: 837892371

**Application Information**

Federal Award Identification Number: AL-2021-018-00

Application Name: Intercity Bus 5311(f) American Rescue Plan Act

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: Purpose: The purpose of this award is to provide the state of Alabama and its subrecipients Intercity operating assistance to assist the state and its subrecipients in recovering from COVID-19 during FY2022.

Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one-year, preventive maintenance, etc. in order to continue transit Intercity operations. Funds will also be used for State Administration activities.

Expected Outcomes: Continued safe and effective Intercity operation of transit services for rural areas of Alabama.

Intended Beneficiaries: Alabama State transit agencies and citizens in the rural and non-urbanized areas of the state.

Subrecipient Activities: The following two subrecipients as providers of intercity bus operations: Greyhound Lines and West Alabama Health Services will receive ARP funds for operating assistance.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$2,465,668.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,465,668.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,465,668.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$2,465,668
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,465,668</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, ALABAMA DEPT OF*