

Application

Federal Award Identification Number (FAIN)	AK-2021-041-00
Temporary Application Number	7287-2021-2
Application Name	FY 2020 and FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Ketchikan Indian Community

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7287	Indian Tribe	KETCHIKAN INDIAN CORPORATION DBA KETCHIKAN INDIAN COMMUNITY	093681401

Location Type	Address	City	State	Zip
Headquarters	2960 TONGASS AVE	KETCHIKAN	AK	999015742
Physical Address	2960 TONGASS AVE	KETCHIKAN	AK	99901
Mailing Address	2960 TONGASS AVE	KETCHIKAN	AK	99901

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY 2020 and FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2021-041-00	Final Concurrence / Reservation	Grant	2/16/2021	2/16/2021	No

Application Executive Summary

This grant includes \$56,702 in FY 2020, and \$51,795 in FY 2021, Section 5311 TTP Formula funds for operating assistance and project administration by using a federally approved indirect cost rate, for the Ketchikan Indian Community which provides public transportation services in and around the Ketchikan Gateway Borough.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: DOI has approved the Ketchikan Indian Community's indirect cost rate on August 25, 2020, which covers 1/1/2020 through 12/31/2020. The currently approved indirect cost rate is 48.18% and will be applied to this grant. Once the 2021 rate and all future rates are approved, they will also be applied to this grant. A statement is attached to this grant application certifying that the 2020 indirect cost rate is the most currently approved rate.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Myrna	Chaney	Housing Director	mchaney@kictribe.org	(907) 228-9459
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$108,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,497

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-041-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$108,497.00	\$0.00	\$108,497.00	0
AK-2021-041-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)	\$10,850.00	\$0.00	\$10,850.00	0
AK-2021-041-01-00	30.09.01 Operating Assistance - (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)	\$97,647.00	\$0.00	\$97,647.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2020 and FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Costs
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-041-01-00	7287-2021-2-P1	2/16/2021	1/20/2020	12/31/2023

Project Description

This project includes \$56,702 in FY 2020, and \$51,795 in FY 2021, Section 5311 TTP Formula funds for operating assistance and project administration by using a federally approved indirect cost rate. Operating assistance costs include but are not limited to salaries and benefits, fuel, preventive maintenance, disinfecting supplies and equipment, and personal protective equipment (PPE) for the Ketchikan Indian Community's transit system.

Project Benefits

Maintain reliable transportation options.

Additional Information

None provided.

Location Description

The service area is the Ketchikan Gateway Borough, Alaska.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10).

UPWP

Date: Not Provided

Description: n/a

Long Range Plan

Date: Not Provided
 Description: n/a

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$108,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,497

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-041-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$108,497.00	\$0.00	\$108,497.00	0
AK-2021-041-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)	\$10,850.00	\$0.00	\$10,850.00	0
AK-2021-041-01-00	30.09.01 Operating Assistance - (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)	\$97,647.00	\$0.00	\$97,647.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Operating Assistance - (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0
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Extended Budget Description

This activity includes \$51,032 in FY 2020, and \$46,615 in FY 2021, Section 5311 TTP formula funds for operating assistance, for the time-period of 1/20/2020 to 12/31/2023. Operating assistance costs include but are not limited to salaries and benefits, fuel, preventive maintenance, disinfecting supplies and equipment, and personal protective equipment (PPE) for the Ketchikan Indian Community's transit system.

Match

The federal to non-federal match ratio is 100:00.

STIP

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$97,647
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$97,647

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	1/20/2020	
Operating Assistance End Date	12/31/2023	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (FY 2020 and FY 2021 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$5,670 in FY 2020, and \$5,180 in FY 2021, Section 5311 TTP Formula funds for project administration by using an indirect cost rate, for the time-period of 1/20/2020 to 12/31/2023.

Match

The federal to non-federal match ratio is 100:00.

STIP

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement under the 5311 TTP Formula or Discretionary Program (Circular 9040.1G, Chapter X, page X-3, Subsection 10).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,850
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,850

Milestone Name	Est. Completion Date	Description
Indirect Costs Start Date	1/20/2020	
Indirect Costs End Date	12/31/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Mark Stojak

Comment Type	DOL Review for Information
Date	9/21/2021
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Mark Stojak

Comment Type	Pre-Award Manager Returns Application
Date	9/2/2021
Comment	

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ketchikan Indian Community

Recipient ID: 7287

DUNS No: 093681401

Application Information

Federal Award Identification Number: AK-2021-041-00

Application Name: FY 2020 and FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Costs

Application Start Date: N/A

Original Award End Date: 3/31/2024

Current Award End Date: N/A

Application Executive Summary: This grant includes \$56,702 in FY 2020, and \$51,795 in FY 2021, Section 5311 TTP Formula funds for operating assistance and project administration by using a federally approved indirect cost rate, for the Ketchikan Indian Community which provides public transportation services in and around the Ketchikan Gateway Borough.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$108,497.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$108,497.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$108,497.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$108,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,497

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ketchikan Indian Community