

Application

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| Federal Award Identification Number (FAIN) | AK-2021-038-00 |
| Temporary Application Number | 1725-2021-5 |
| Application Name | CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance for the Alaska Marine Highway System |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

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|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 3/31/2023 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|---|----------------|
| 1725 | State Agency | ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES | 809387434 |

| Location Type | Address | City | State | Zip |
|------------------|---------------------|--------|-------|-----------|
| Headquarters | CAPITOL BLDG 3RD FL | JUNEAU | AK | 998110000 |
| Physical Address | 3132 CHANNEL DR | JUNEAU | AK | 99801 |
| Mailing Address | PO BOX 112500 | JUNEAU | AK | 99801 |

Union Information

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|-------------------|---------------|
| Union Name | AFSCME |
|-------------------|---------------|

| | |
|--------------|----------------------|
| Address 1 | 1625 L Street NW |
| Address 2 | |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20036 |
| Contact Name | Gerald McEntee |
| Telephone | 2024291000 |
| Fax | 2024291293 |
| E-mail | kkorpi@afscme.org |
| Website | www.afscme.org/ |

| | |
|-------------------|--|
| Union Name | Alaska Public Employees Association |
| Address 1 | 211 4th Street, Suite 306 |
| Address 2 | |
| City | Juneau |
| State | Alaska |
| Zipcode | 99801 |
| Contact Name | Bruce Ludwig |
| Telephone | 9075862334 |
| Fax | 9074862334 |
| E-mail | bludwig@apea-aft.org |
| Website | www.apea-aft.org/ |

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|-------------------|---|
| Union Name | Confidential Employees Association |
| Address 1 | 211 4th Street |
| Address 2 | |
| City | Juneau |
| State | Alaska |
| Zipcode | 99801 |
| Contact Name | Bruce Ludwig |
| Telephone | 9075862334 |
| Fax | 9074862334 |
| E-mail | cea@apea-aft.org |
| Website | www.apea-aft.org/ |

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|-------------------|---|
| Union Name | InlandBoatmans Union National Office |
| Address 1 | 1711 West Nickerson ST Suite D |
| Address 2 | |
| City | Seattle |
| State | Washington |
| Zipcode | 98111 |
| Contact Name | David Freiboth |

| | |
|-----------|--------------------------|
| Telephone | 2062846001 |
| Fax | 2062845043 |
| E-mail | david.ibu@mindspring.com |
| Website | www.ibu.org/ |

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|-------------------|--|
| Union Name | International Org of Masters, Mates and Pilots International HQ |
| Address 1 | 700 Maritime BLVD |
| Address 2 | |
| City | Linthicum Heights |
| State | Maryland |
| Zipcode | 21090 |
| Contact Name | Timothy Brown |
| Telephone | 4108508700 |
| Fax | 4108500973 |
| E-mail | president@bridgedeck.org |
| Website | www.bridgedeck.org/ |

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|-------------------|--|
| Union Name | Laborers International Union of North America |
| Address 1 | 905 16th ST NW |
| Address 2 | |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20006 |
| Contact Name | Terence OSullivan |
| Telephone | 2027378320 |
| Fax | 2027372754 |
| E-mail | communications@liuna.org |
| Website | www.liuna.org/ |

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|-------------------|--|
| Union Name | MARINE ENGINEERS BENEFICIAL ASSOCIATION |
| Address 1 | 444 North Capitol St., NW, Sui |
| Address 2 | |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20001 |
| Contact Name | Ron Davis |
| Telephone | 2026385355 |
| Fax | 2026385369 |
| E-mail | mebahq@d1meba.org |
| Website | www.d1meba.org |

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|-------------------|---|
| Union Name | Public Employees Local 71 AFL-CIO Anchorage Office |
| Address 1 | 2510 Arctic Boulevard |

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|--|-----------------------------|
| Address 2 | |
| City | Anchorage |
| State | Alaska |
| Zipcode | 99530 |
| Contact Name | Jim Ashton |
| Telephone | 9072767211 |
| Fax | 9072797171 |
| E-mail | tracy@local71.com |
| Website | ak.afclcio.org/anchorageclc |
| Union Name Public Employees Local 71 AFL-CIO Juneau Office | |
| Address 1 | 710 West 9th St |
| Address 2 | |
| City | Juneau |
| State | Alaska |
| Zipcode | 99801 |
| Contact Name | Tom Brice |
| Telephone | 9075866993 |
| Fax | 9075865757 |
| E-mail | tom@local71.com |
| Website | www.ak.afclcio.org/12 |

Part 2: Application Information

Title: CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance for the Alaska Marine Highway System

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| AK-2021-038-00 | Final Concurrence / Reservation | Grant | 7/14/2021 | 7/14/2021 | No |

Application Executive Summary

Purpose:

This is an FFY 2021 Section 5311 CRRSAA application in the amount of \$48,000,200, representing 86.16% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating assistance to help the Alaska Marine Highway System's public transportation services. A future grant application made by the AKDOT & PF public transportation office will utilize the remaining funding allocation for operating expenses for thirteen rural communities throughout the state of Alaska.

Activities to be performed:

Activities include providing operating assistance to the Alaska Marine Highway public transportation system that was affected by the COVID-19 pandemic. This activity includes both shoreside and vessel operating costs, with no substantial functional, location, or capacity change.

Expected Outcomes:

This project will provide continued operation of the Alaska Marine Highway System which provides public transportation systems throughout the coast of the State of Alaska.

Intended Beneficiaries:

Beneficiaries include Alaska Marine Highway public transportation system riders throughout the coast of the State of Alaska.

Subrecipient Activities:

There are no subrecipients to this award.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|--|--------------------------|----------------|
| Matthew | McLaren | Marine Transportation Services Manager | matt.mclaren@alaska.gov | (907) 228-7274 |
| Annette | McKelvey | Transportation Program Specialist | Annette.McKelvey@dot.gov | (206) 220-4461 |
| Scot | Rastelli | Transportation Program Specialist | Scot.Rastelli@dot.gov | (206) 220-7965 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|------------------------------------|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula (CRRSAA) | 5311-9A | 20509 | \$48,000,200 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$48,000,200 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-----------------|----------------|-----------------------|----------|
| AK-2021-038-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$48,000,200.00 | \$0.00 | \$48,000,200.00 | 0 |
| AK-2021-038-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 100:0)(00) | \$48,000,200.00 | \$0.00 | \$48,000,200.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

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|---|
| Project Title: CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance for the Alaska Marine Highway System |
|---|

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| AK-2021-038-01-00 | 1725-2021-5-P1 | 7/14/2021 | 7/1/2021 | 12/31/2022 |

Project Description

This grant includes \$48,000,200 in CRRSAA Act program funding allocated to Alaska. Funds will be used for operating assistance to help the Alaska Marine Highway System's public transportation services to prevent, respond to, and recover from the COVID-19 pandemic.

Project Benefits

The benefits of this project will be providing emergency relief funds to subrecipients affected by the COVID-19 pandemic by providing continued operation of the Alaska Marine Highway System which provides public transportation systems throughout the coast of the State of Alaska. The Alaska Marine Highway System also operates routes that are part of the National Highway System.

Additional Information

None provided.

Location Description

The location for this project will be numerous rural State of Alaska coastal communities serviced by the Alaska Marine Highway System.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 020000 | Alaska |

Congressional District Information

| State | District | Representative |
|--------|----------|----------------|
| Alaska | 1 | Don Young |

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations and administrative activities to operate the Alaska Marine Highway System, with no substantial functional, location change. Therefore, per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP), or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|------------------------------------|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula (CRRSAA) | 5311-9A | 20509 | \$48,000,200 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$48,000,200 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-----------------|----------------|-----------------------|----------|
| AK-2021-038-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE | \$48,000,200.00 | \$0.00 | \$48,000,200.00 | 0 |
| AK-2021-038-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 100:0)(00) | \$48,000,200.00 | \$0.00 | \$48,000,200.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|-------------------|-------------|----------------|----------|----------|
|-------------------|-------------|----------------|----------|----------|

OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

Extended Budget Description

This activity line item utilizes FY 2021 Section 5311 CRRSAA rural funds in the amount of \$48,000,200. Funds will be used for operating the Alaska Marine Highway System's public transportation services to prevent, respond to and recover from the COVID-19 pandemic for the period of July 1, 2021 to December 31, 2022.

The federal-to-local match ration is 100:0

This activity includes both shoreside and vessel operating costs, with no substantial functional, location, or capacity change. Therefore, per CRRSAA Act requirements, no Statewide Transportation Improvement Program (STIP), or Transportation Improvement Plan (TIP) documentation is needed.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|------------------------------------|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula (CRRSAA) | 5311-9A | 20509 | \$48,000,200 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$48,000,200 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
| Start Date | 7/1/2021 | |
| End Date | 12/31/2022 | |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type DOL Review for Information

Date 9/3/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF

Recipient ID: 1725

DUNS No: 809387434

Application Information

Federal Award Identification Number: AK-2021-038-00

Application Name: CRRSAA - FY 2021 Section 5311 Formula - Operating Assistance for the Alaska Marine Highway System

Application Start Date: N/A

Original Award End Date: 3/31/2023

Current Award End Date: N/A

Application Executive Summary: Purpose:

This is an FFY 2021 Section 5311 CRRSAA application in the amount of \$48,000,200, representing 86.16% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating assistance to help the Alaska Marine Highway System's public transportation services. A future grant application made by the AKDOT & PF public transportation office will utilize the remaining funding allocation for operating expenses for thirteen rural communities throughout the state of Alaska.

Activities to be performed:

Activities include providing operating assistance to the Alaska Marine Highway public transportation system that was affected by the COVID-19 pandemic. This activity includes both shoreside and vessel operating costs, with no substantial functional, location, or capacity change.

Expected Outcomes:

This project will provide continued operation of the Alaska Marine Highway System which provides public transportation systems throughout the coast of the State of Alaska.

Intended Beneficiaries:

Beneficiaries include Alaska Marine Highway public transportation system riders throughout the coast of

the State of Alaska.

Subrecipient Activities:

There are no subrecipients to this award.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$48,000,200.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$48,000,200.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$48,000,200.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|------------------------------------|---------------------------|--------------------|---------------|
| 5311 - Rural Area Formula (CRRSAA) | 5311-9A | 20509 | \$48,000,200 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |

| | |
|----------------------------|---------------------|
| Total Eligible Cost | \$48,000,200 |
|----------------------------|---------------------|

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION AND PUBLIC FACILITIES, ALASKA DEPARTMENT OF