

Application

Federal Award Identification Number (FAIN)	UT-2021-015-00
Temporary Application Number	1164-2021-2
Application Name	UDOT Section 5311 CARES Act - Operations and Project Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	10/31/2022	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Utah Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1164	State Agency	Utah Department of Transportation	878690338

Location Type	Address	City	State	Zip
Headquarters	2110 STATE OFFICE BLDG	SALT LAKE CITY	UT	841141201
Physical Address	4501 S 2700 WEST	SALT LAKE CITY	UT	84119
Mailing Address	4501 S 2700 WEST	SALT LAKE CITY	UT	84114

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: UDOT Section 5311 CARES Act - Operations and Project Administration

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
UT-2021-015-00	Final Concurrence / Reservation	Grant	6/15/2021	6/15/2021	No

Application Executive Summary

This is an FFY 2020 Section 5311 CARES Act grant in the amount of \$6,973,013. This is a partial apportionment. Per the CARES Act, the grant request 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects:

- 1) Operating assistance for 3 rural transit agencies - \$6,356,059
- 2) Project Administration for 3 rural transit agencies - \$616,954

Details are included in the program of projects (POP) in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

\$22,313,111 - (Original CARES Apportionment to UDOT)
 - \$7,547,000 - (applied in FAIN UT-2020-008)
 - \$2,240,000 - (suballocation letter for Navajo Nation, Ute Tribe and Cache Valley Transit dated 6/10/21)
 - \$6,973,013 - (this application)

 \$5,553,098 - Remaining CARES apportionment to be applied for in future grants or amendments.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID: 200528010-ac

Date Received by State: 5/26/2020 8:00 PM EDT

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Talbot	Hauffe	Transportation Program Specialist	talbot.hauffe@dot.gov	(303) 362-2402
Tim	Boschert	Public Transit Director	tboschert@utah.gov	801-870-4770
Kevin	Osborn	Transportation Program Specialist	kevin.osborn@dot.gov	(303) 362-2393

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,973,013
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,973,013

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-015-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,356,059.00	\$0.00	\$6,356,059.00	3
UT-2021-015-	30.09.08 EMER RELIEF -	\$6,356,059.00	\$0.00	\$6,356,059.00	3

01-00		OPERATING ASSIST - 100% Fed Share				
UT-2021-015-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$616,954.00	\$0.00	\$616,954.00	3
UT-2021-015-01-00	11.79.00	PROJECT ADMINISTRATION	\$616,954.00	\$0.00	\$616,954.00	3

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Rural Transit Agencies - Operating Assistance and Project Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
UT-2021-015-01-00	1164-2021-2-P1	6/15/2021	7/1/2021	7/30/2022

Project Description

FFY20 CARES Act 5311 funds in the amount of \$6,356,059 will be used for operating assistance and project administration for three rural transit agencies providing service throughout rural Utah. The federal share is 100%. A Program of Projects is attached in the Application Documents.

The three rural transit agencies are Cedar Area Transit System, Park City Transit and Uintah Basin Association of Governments.

Project Benefits

The funds are essential for transit agencies in rural communities to continue to provide transit service during the COVID-19 pandemic. These rural communities provide transportation for the general public, elderly, and individuals with disabilities to shopping, employment, medical, work, and social appointments. The rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

Additional Information

None provided.

Location Description

Rural areas throughout Utah

Project Location (Urbanized Areas)

UZA Code	Area Name
490000	Utah

Congressional District Information

State	District	Representative
Utah	1	Blake Moore
Utah	2	Chris Stewart
Utah	3	John Curtis

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations and project admin and will have no substantial function, location, or capacity change. Per CARES Act requirements, no STIP documentation is needed.

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,973,013
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,973,013

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
UT-2021-015-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,356,059.00	\$0.00	\$6,356,059.00	3

UT-2021-015-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,356,059.00	\$0.00	\$6,356,059.00	3
UT-2021-015-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$616,954.00	\$0.00	\$616,954.00	3
UT-2021-015-01-00	11.79.00	PROJECT ADMINISTRATION	\$616,954.00	\$0.00	\$616,954.00	3

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	3

Extended Budget Description

These CARES Act funds will be used to provide operating assistance to three rural transit agencies (Cedar Area Transit System, Park City Transit and Uintah Basin Association of Governments) who provide transportation in their communities and coordinate with neighboring transit agencies to provide transportation in their communities. The federal share is 100%. Operating funds will cover expenses incurred beginning June 1, 2021 thru July 30, 2022 for expenses, including drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries, and fringe benefits, and licenses, and preventative maintenance costs. See attached Program of Projects for a list of rural transit agencies. This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspending of service. Operating expenses are based on an average of their current monthly spending.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,356,059
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,356,059

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Estimated date when funds will start being spent
End Date	7/30/2022	Estimated date when funds will be spent.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	3

Extended Budget Description

These CARES Act funds will be used for Project Administration for three rural transit agencies (Cedar Area Transit System, Park City Transit and Uintah Basin Association of Governments) to provide transportation services in their communities and coordinate with other transit agencies in surrounding communities for public transportation. The federal share is 100%. Project Admin expenses will be covered for existing service incurred beginning June 1, 2021 thru July 30, 2022 for expenses that include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing. This also includes paying administrative leave for general administrative personnel due to reductions/suspending of service.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$616,954
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$616,954

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Estimated date when first invoice will be paid
End Date	7/30/2022	Estimated date when funds will be spent.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kevin Osborn

Comment Type DOL Review for Information

Date 8/4/2021

UDOT POC:

CARES 5311

Comment LeAnn Abegglen
UDOT / Public Transit Team
4501 South 2700 West / PO Box 143600
Salt Lake City UT 84114-3600
labegglen@utah.gov
Office: 801-965-4119 / Cell: 801-244-9569

Application Review Comments

Comment By Kevin Osborn

Comment Type Application Details

Date	7/2/2021
Comment	Planning - This grant includes only operations and project administration and will have no substantial function, location, or capacity change. Per CARES Act requirements, no STIP documentation is needed.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Utah Department Of

Recipient ID: 1164

DUNS No: 878690338

Application Information

Federal Award Identification Number: UT-2021-015-00

Application Name: UDOT Section 5311 CARES Act - Operations and Project Administration

Application Start Date: N/A

Original Award End Date: 10/31/2022

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 CARES Act grant in the amount of \$6,973,013. This is a partial apportionment. Per the CARES Act, the grant request 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects:

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Details are included in the program of projects (POP) in the application documents.

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Per the CARES Act, the projects in this grant are not required to be programmed in the LRTP or STIP.

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- \$2,240,000 - (suballocation letter for Navajo Nation, Ute Tribe and Cache Valley Transit dated 6/10/21)

- \$6,973,013 - (this application)

\$5,553,098 - Remaining CARES apportionment to be applied for in future grants or amendments.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$6,973,013.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,973,013.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,973,013.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$6,973,013
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,973,013

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Utah Department Of