

Application

Federal Award Identification Number (FAIN)	SD-2021-018-00
Temporary Application Number	7044-2021-2
Application Name	Yankton Sioux Tribe FFY20 CARES Act - Operating and Program Admin
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	11/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Yankton Sioux Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7044	Indian Tribe	YANKTON SIOUX TRIBE DBA FORT RANDALL CASINO	122118409

Location Type	Address	City	State	Zip
Headquarters	1153 MAIN SW	WAGNER	SD	57380
Physical Address	1153 MAIN SW	WAGNER	SD	57380
Mailing Address	800 MAIN SW	WAGNER	SD	57380

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Yankton Sioux Tribe FFY20 CARES Act - Operating and Program Admin</p>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2021-018-00	Final Concurrence / Reservation	Grant	3/3/2021	3/3/2021	No

Application Executive Summary

This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$366,566 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

The grant scope of work includes the following projects in support of the Tribal Transit Program:

- 1) Operating Assistance - \$300,000
- 2) Program Admin - \$66,566

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

The tribe selected pre-award authority and will draw the full grant amount to be reimbursed for expenses already incurred as soon as the grant is executed.

Purpose: Reimburse the tribe for expenses incurred in 2020.

Activities to be performed: Reimburse transit service and personnel admin leave for 3/14/2020 - 12/31/2020.

Expected Outcomes: Allow transit service to be maintained.

Intended Beneficiaries: Yankton Sioux Tribal members.

Subrecipient Activities: N/A

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
Georgiana	Abdo	Transit Director	georgianaabdo2019@gmail.com	(605) 384-5050

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$366,566
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$366,566

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-018-01-00	600-00 (600- OTHER PROGRAM COSTS	\$366,566.00	\$0.00	\$366,566.00	2

		A1)					
SD-2021-018-01-00	11.79.00	Project Administration	\$66,566.00	\$0.00	\$66,566.00	1	
SD-2021-018-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$300,000.00	\$0.00	\$300,000.00	1	

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Yankton Sioux Operating and Program Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2021-018-01-00	7044-2021-2-P1	3/3/2021	3/14/2020	12/31/2020

Project Description

Yankton Sioux Tribe will use FFY20 CARES Act funds to reimburse the tribe for operating and program administrative that began when the tribe instituted a tribal closure on 3/14/2020 related to the COVID-19 Pandemic. This closure required some transit staff to be on partial admin leave throughout the full year following the initial closure.

Federal share is 100%.

Project Benefits

Maintain transit program throughout the pandemic.

Additional Information

None provided.

Location Description

We service the Yankton Sioux area

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
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Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$366,566
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$366,566

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$366,566.00	\$0.00	\$366,566.00	2
SD-2021-018-01-00	11.79.00 Project Administration	\$66,566.00	\$0.00	\$66,566.00	1
SD-2021-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$300,000.00	\$0.00	\$300,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

FFY20 CARES Act funds will be used to provide operating assistance for the continued operations of the tribal transit program. This is 100% Federal.

Operating funds will cover the timeframe of 3/14/2020 - 12/31/2020, including expenses for: drivers and dispatchers salaries and fringe benefits, fuel, oil, and licenses, less revenues (fare revenue, advertising revenue). This also includes paying administrative leave for operations and maintenance personnel due to reductions/suspensions of service. Operating expenses are based on actuals spent in 2020.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$300,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$300,000

Milestone Name	Est. Completion Date	Description
Start Date	3/14/2020	
End Date	12/31/2020	

Budget Activity Line Item: 11.79.00 - Project Administration

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY20 CARES Act Tribal funds in the amount of \$66,566 will be used for Program Administration for the timeframe of 3/14/2020 through 12/31/2020. When the COVID-19 Pandemic started the tribe initiated a nearly full closure and put approximately 50% of the transit staff on full admin leave. The amount of time personnel were on admin leave varied throughout this time as COVID-19 cases increased and declined throughout the months.

This is 100% federally funded. Documentation is available upon request.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$66,566
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$66,566

Milestone Name	Est. Completion Date	Description
Start Date	3/14/2020	Begin incurring expenses
End Date	12/31/2020	End incurring expenses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type DOL Review for Information

Date 8/19/2021

Comment Stimulus funds for Operating for 1/1/2020-12/31/2020. Grantee contact:
Georgiana Abdo
(605) 384-5050
georgianaabdo2019@gmail.com

Application Review Comments

Comment By Emma Belmont

Comment Type Pre-Award Manager Returns Application

Date 8/16/2021

Comment

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021,

<http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Yankton Sioux Tribe

Recipient ID: 7044

DUNS No: 122118409

Application Information

Federal Award Identification Number: SD-2021-018-00

Application Name: Yankton Sioux Tribe FFY20 CARES Act - Operating and Program Admin

Application Start Date: N/A

Original Award End Date: 11/30/2021

Current Award End Date: N/A

Application Executive Summary: This is a FFY2020 Section 5311 Tribal CARES Act grant in the amount of \$366,566 (full apportionment). Per the CARES Act, the grant requests 100% federal share. This grant utilizes CARES Act funding to prevent, prepare for, and respond to COVID-19.

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- 1) Operating Assistance - \$300,000
- 2) Program Admin - \$66,566

The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

The tribe selected pre-award authority and will draw the full grant amount to be reimbursed for expenses already incurred as soon as the grant is executed.

Purpose: Reimburse the tribe for expenses incurred in 2020.

Activities to be performed: Reimburse transit service and personnel admin leave for 3/14/2020 - 12/31/2020.

Expected Outcomes: Allow transit service to be maintained.

Intended Beneficiaries: Yankton Sioux Tribal members.

Subrecipient Activities: N/A

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$366,566.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$366,566.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$366,566.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$366,566
Local			\$0
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State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$366,566

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Yankton Sioux Tribe