

Application

Federal Award Identification Number (FAIN)	SC-2021-033-00
Temporary Application Number	6852-2020-1
Application Name	Catawba Transit CARES
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Catawba Indian Nation

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6852	Indian Tribe	CATAWBA INDIAN NATION	831001185

Location Type	Address	City	State	Zip
Headquarters	996 AVE OF THE NATIONS	ROCK HILL	SC	297307645
Physical Address	996 AVE OF THE NATIONS	ROCK HILL	SC	29730
Mailing Address	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Catawba Transit CARES

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SC-2021-033-00	Final Concurrence / Reservation	Grant	6/24/2020	6/24/2020	No

Application Executive Summary

This is an FFY 2020 Section 5311 (c) 1 Tribal CARES Act application in the amount of \$404,968. Per the CARES Act, the grantee requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Operating Assistance. As a Federally recognized tribe these funds will come to the Catawba Indian Nation (CIN) as a direct recipient.

The start date for this funding will be date that the funding is awarded and end date when the funding has been expended. Catawba Transit agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long Range Transportation Plan or Statewide Transportation Improvement Program.

The Operational Expenses requested in this application are determined by the Catawba Indian Nation. CIN understands that the expenses requested in this application are subject to an FTA review.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Catawba Nation has a 2020 IDC rate approved through the Interior Business Center for 2020 of 23.44%. We will include an attachment.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Julia	Walker	Environmental Protection Specialist	julia.walker@dot.gov	404-865-5645
Elizabeth	Harris	Community Planner	elizabeth.harris@catawbaindian.net	803-366-4792

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$404,968
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$404,968

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2021-033-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$404,968.00	\$0.00	\$404,968.00	1
SC-2021-033-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$404,968.00	\$0.00	\$404,968.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Catawba Transit CARES

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SC-2021-033-01-00	6852-2020-1-P1	12/12/2020	5/1/2021	9/30/2022

Project Description

5311(c)1 Tribal CARES Act Funding is being requested in the amount of \$404,968. 5311(c)1 Tribal CARES Act funding will be utilized for operating assistance activities. Operating assistance funding will also be utilized for CIN Transit staff salaries affected by the COVID-19 Pandemic as well as administrative leave granted for employees due to the crisis. Funding will also provide transportation for essential activities in relation to COVID-19.

Project Benefits

5311(c)1 Tribal CARES Act Funding will be utilized to pay salaries for transit employees that have been placed on administrative leave. This funding will also fund the salaries of the employees that continue to work during the coronavirus event. Funding will also assist in the provision of transportation to essential services for our continued ridership. Benefits include access to medical appointments in our service area, grocery shopping and other human services.

Additional Information

The project location will be within our service area located on the Catawba Indian Nation Tribal lands and the surrounding community in Rock Hill, SC.

Location Description

The project location will be within our service area located on the Catawba Indian Nation Tribal lands and the surrounding community in Rock Hill, SC.

Project Location (Urbanized Areas)

UZA Code	Area Name
450000	South Carolina

Congressional District Information

State	District	Representative
South Carolina	5	Mick Mulvaney

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 12/31/2018

Description: Transportation department including transit goals can be found on page 30-31 of the document.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$404,968
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$404,968

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2021-033-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$404,968.00	\$0.00	\$404,968.00	1
SC-2021-033-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$404,968.00	\$0.00	\$404,968.00	1

Project Budget Activity Line Items**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING	30.09.08	EMER RELIEF - OPERATING	OPERATING	1

ASSISTANCE (300-00)

ASSIST - 100% Fed Share

ASSISTANCE

Extended Budget Description

Operating Funds will cover expenses for existing service beginning 05/01/2021 until 09/30/2022, including cost of salaries for employees affected and/or responding to the coronavirus event. Funding will also be used to cover expenses related to Catawba Transit's response to coronavirus event including but not limited to fare income lost, disinfecting supplies, modifications to vehicles and facilities to encourage prevention of exposure to COVID-19. CIN will be applying the Indirect Cost Rate of 23.44% to this Operating Assistance ALI 30.09.08. The approved Indirect Cost Rate Document will be attached to this application. The CIN's Indirect Cost Rate is approved by the United States Department of the Interior - Interior Business Center.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$404,968
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$404,968

Milestone Name	Est. Completion Date	Description
Start Date	5/1/2021	Start date
End Date	9/30/2022	End date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/23/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Julia Walker

Comment Type	DOL Review for Information
Date	8/17/2021
Comment	This is a Tribal CARES Act Grant that is being transmitted for informational purposes.

Application Review Comments

Comment By Julia Walker

Comment Type	Pre-Award Manager Returns Application
Date	3/23/2021
Comment	Please revise the grant application to follow the CARES Act template language as we discussed. Please contact me if you have any questions.

Comment By Julia Walker

Comment Type	Pre-Award Manager Returns Application
Date	7/21/2021
Comment	<p>Please use the CARES Act language in the grant application Executive Summary. Please use the specifics for your grant application. Once this is completed please sent the grant application back via TrAMS.</p> <p>Application Executive Summary This is an FFY 2020 Section 5311 (c) 1 Tribal CARES Act application in the amount of \$590,160. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Operating Assistance and Capital Assistance. As a Federally recognized tribe these funds will come to the EBCI as a direct recipient. The start date for this funding will be date that the funding is awarded and end date when the funding has been expended. Also included in this application is FFY 2020 Section 5311</p>

CARES Act application in the amount of \$534,839. This application is for 5311 Operating Assistance for public transportation in rural and small urban areas by way of formula grant program administered by NCDOT. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The applications scope of work includes; Operating Assistance activities in response to COVID 19 Event and Capital Assistance. The NCDOT Transfer Request of NC Section 5311 CARES Act Rural Formula Program funds to 5311 Tribal Transit funds for the Eastern Band of Cherokee Indians dated 04/20/2020, are attached in TrAMS. EBCI will be applying for FFY 2020 -CARES Act 5311 Rural Formula funding in the amount of \$336,949 with no local match and 5311 CARES Act Appalachian funding in the amount of \$197,890 with no local match for a total award amount of \$534,839 and to include indirect cost rate. The combined total amount of CARES Act Funding being requested in this application is \$1,124,999. As a federally recognized tribe these funds will come to us as a direct recipient. The overall start date in the grant award date and the overall end date is 09/30/2022. The start date for this funding will be date that the funding is awarded and end date when the funding has been expended.

EBCI Transit agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long Range Transportation Plan or Statewide Transportation Improvement Program. The Operational Expenses requested in this application are determined by the Eastern Band of Cherokee Indians (EBCI). The EBCI understands that the expenses requested in this application are subject to an FTA review.

Comment By Julia Walker

Comment Type	Pre-Award Manager Returns Application
Date	7/22/2021
Comment	Please add the language into the executive summary for CARES Act grants. This language was previously sent to the grant recipient. Additionally, any changes to building/facilities will require a NEPA checklist and separate ALI from operations.

Comment By Julia Walker

Comment Type	Pre-Award Manager Returns Application
Date	8/4/2021
Comment	Please remove the following from the Exec. Summary, "The overall start date in the grant award date and the end date is 09/30/2022."

Comment By Julia Walker

Comment	Pre-Award Manager Returns Application
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Type

Date	8/16/2021
Comment	Are you putting this grant in under CARES, where you have \$404,968.00 available? If so, please change the amount in the grant application to reflect \$404,968.

Application Review Comments

Comment By Elizabeth Harris

Comment Type	Application Details
Date	7/21/2021
Comment	<p>The portions of the EBCI text that are applicable to CIN were already incorporated into the executive summary. Many parts of the text does not apply to CIN since they were combining multiple funding streams into one application.</p> <p>If there is specific language that you would like to see please let me know. But, based on the EBCI text, we have edited to fit our situation.</p>

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Catawba Indian Nation

Recipient ID: 6852

DUNS No: 831001185

Application Information

Federal Award Identification Number: SC-2021-033-00

Application Name: Catawba Transit CARES

Application Start Date: N/A

Original Award End Date: 9/30/2022

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2020 Section 5311 (c) 1 Tribal CARES Act application in the amount of \$404,968. Per the CARES Act, the grantee requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Operating Assistance. As a Federally recognized tribe these funds will come to the Catawba Indian Nation (CIN) as a direct recipient.

The start date for this funding will be date that the funding is awarded and end date when the funding has been expended. Catawba Transit agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the project(s) in this application is not required to be programmed in the Long Range Transportation Plan or Statewide Transportation Improvement Program.

The Operational Expenses requested in this application are determined by the Catawba Indian Nation. CIN understands that the expenses requested in this application are subject to an FTA review.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$404,968.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$404,968.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$404,968.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$404,968
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$404,968

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Catawba Indian Nation