

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	RI-2021-008-00
Temporary Application Number	1388-2021-11
Application Name	Rhode Island Public Transit Authority Section 5311 CARES ACT 2020 - OPERATING ASSISTANCE
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1388	Transit Authority	RHODE ISLAND PUBLIC TRANSIT AUTHORITY	041275652

Location Type	Address	City	State	Zip
Headquarters	705 ELMWOOD AVE	PROVIDENCE	RI	029072102
Physical Address	705 ELMWOOD AVE	PROVIDENCE	RI	02907
Mailing Address	705 ELMWOOD AVENUE	PROVIDENCE	RI	02907

Union Information

Union Name	AMALGAMATED TRANSIT UNION DIVISION #618
Address 1	172 Longfellow Street
Address 2	
City	Providence

State	RI
Zipcode	02907
Contact Name	Nick Decristofaro
Telephone	4017854020
Fax	
E-mail	ndecristofaro@atu618.net
Website	
Union Name	LABORERS INTERNATIONAL UNION OF NORTH AMERICA LOCAL #808
Address 1	410 South Main Street
Address 2	
City	Providence
State	Rhode Island
Zipcode	02903
Contact Name	Frank Ciccone
Telephone	4013318080
Fax	
E-mail	
Website	

Part 2: Application Information

Title: Rhode Island Public Transit Authority Section 5311 CARES ACT 2020 - OPERATING ASSISTANCE

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
RI-2021-008-00	Final Concurrence / Reservation	Grant	8/3/2021	8/3/2021	No

Application Executive Summary

Rhode Island Public Transit Authority requests \$1,916,705 of FFY 2020 Section 5311 CARES Act funds directly apportioned to Rhode Island to prevent, prepare for, and respond to coronavirus. This grant obligates 100% of 5311 CARES funds allocated to RIPTA.

The application scope of work includes:

- Operating Assistance
- Preventive Maintenance
- 15% of the funding is set aside for Intercity Bus operating and preventive maintenance activities. Any subrecipients will be determined based on the result of the ongoing consultation with intercity bus operators.

Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

RIPTA agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Relief and Emergency Assistance Act, a different Federal agency or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Bartlett	Transportation Program Specialist	ryan.bartlett@dot.gov	(617) 494-3940
Christopher	Durand	Director of Budget and Finance	cdurand@ripta.com	(401) 784-9500 1231

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,916,705
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,916,705

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-008-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$500,000.00	\$0.00	\$500,000.00	0
RI-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$500,000.00	\$0.00	\$500,000.00	0
RI-2021-008-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$1,129,199.00	\$0.00	\$1,129,199.00	0
RI-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,129,199.00	\$0.00	\$1,129,199.00	0
RI-2021-008-01-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$287,506.00	\$0.00	\$287,506.00	0
RI-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$287,506.00	\$0.00	\$287,506.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: RIPTA CARES Act FFY 2020 Section 5311 Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
RI-2021-008-01-00	1388-2021-11-P1	8/3/2021	1/20/2020	9/30/2023

Project Description

This project funds RIPTA's operating, preventive maintenance expenses, and funds to support intercity bus service incurred January 20, 2020 forward in the amount of \$1,916,705.

Funds will be used as follows:

- Rural Service operations: \$1,129,199
 - Preventive Maintenance: \$500,000
 - Intercity bus service: \$287,506
- Total funds requested: \$1,916,705

Project Benefits

RIPTA continues to provide transit service to the public during the COVID-19 pandemic.

Additional Information

None provided.

Location Description

The State of Rhode Island.

Project Location (Urbanized Areas)

UZA Code	Area Name
440000	Rhode Island
440310	Providence, RI-MA
441450	Norwich--New London, CT--RI

Congressional District Information

State	District	Representative
Rhode Island	1	David N Cicilline
Rhode Island	2	James R Langevin

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: This project includes only operations projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,916,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,916,705

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-008-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$500,000.00	\$0.00	\$500,000.00	0
RI-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$500,000.00	\$0.00	\$500,000.00	0
RI-2021-008-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$1,129,199.00	\$0.00	\$1,129,199.00	0
RI-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,129,199.00	\$0.00	\$1,129,199.00	0
RI-2021-008-01-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$287,506.00	\$0.00	\$287,506.00	0
RI-2021-008-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$287,506.00	\$0.00	\$287,506.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Fifteen (15%) percent of the FFY20 CARES Act statewide apportionment will be used for Intercity bus service in the State of Rhode Island. Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker. The Intercity Bus operators and routes will be selected after a needs assessment and consultation with operators is completed. A budget revision will be completed after routes are identified.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$287,506
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$287,506

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Date which eligible expenses began accruing.
End Date	9/30/2023	Date eligible expenses complete.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for all operating activities (net fare revenues) incurred January 20, 2020 forward for demand response, ADA Paratransit, Fixed Route and Flex services in the non-urban areas of Rhode Island. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and

administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,129,199
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,129,199

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Date eligible rural service operations began.
End Date	9/30/2023	Date eligible rural service expenses complete.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Funds will be used for preventive maintenance activities for RIPTA's vehicles and facilities. Preventive maintenance includes all activities, supplies, materials, labor, services, and associated costs required to preserve or extend the functionality and serviceability of the asset in a cost-effective manner, up to and including the current state of the art for maintaining such asset. Such examples of activities funded include but are not limited to:

- Increase in daily cleaning of rolling stock and facilities;
- Hand sanitizer and dispensers;
- Public service announcements and signage related to the prevention of spreading COVID-19;
- Increase in cleaning of bus shelters.

Rural area service comprises approximately 1.2% of RIPTA's overall fixed route service. Preventive maintenance costs will be allocated between rural (1.2%) and urban (98.8%).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Date preventive maintenance activities began.
End Date	9/30/2023	Date preventive maintenance activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Bartlett

Comment Type DOL Review for Information

Date 8/10/2021

Rhode Island Public Transit Authority requests \$1,916,705 of FFY 2020 Section 5311 CARES Act funds directly apportioned to Rhode Island to prevent, prepare for, and respond to coronavirus. This grant obligates 100% of 5311 CARES funds allocated to RIPTA.

Comment The application scope of work includes:
- Operating Assistance
- Preventive Maintenance
- 15% of the funding is set aside for Intercity Bus operating and preventive maintenance activities. Any subrecipients will be determined based on the result of the ongoing consultation with intercity bus operators.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Recipient ID: 1388

DUNS No: 041275652

Application Information

Federal Award Identification Number: RI-2021-008-00

Application Name: Rhode Island Public Transit Authority Section 5311 CARES ACT 2020 - OPERATING ASSISTANCE

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: Rhode Island Public Transit Authority requests \$1,916,705 of FFY 2020 Section 5311 CARES Act funds directly apportioned to Rhode Island to prevent, prepare for, and respond to coronavirus. This grant obligates 100% of 5311 CARES funds allocated to RIPTA.

The application scope of work includes:

- Operating Assistance
- Preventive Maintenance
- 15% of the funding is set aside for Intercity Bus operating and preventive maintenance activities. Any subrecipients will be determined based on the result of the ongoing consultation with intercity bus operators.

Activities in this grant began on January 20, 2020 and will continue until spent. Per the CARES Act, the grant requests 100% federal share and the activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

RIPTA agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Relief and Emergency Assistance Act, a different Federal agency or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,916,705.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,916,705.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,916,705.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,916,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,916,705

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

RHODE ISLAND PUBLIC TRANSIT AUTHORITY