

Application

Federal Award Identification Number (FAIN)	NM-2021-018-00
Temporary Application Number	7303-2021-2
Application Name	Pueblo of Zuni FY19 lapsing 5311(c) Operating and Capital Formula Funds - Tribal Transit Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/20/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: ZUNI, PUEBLO OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7303	Indian Tribe	PUEBLO OF ZUNI	069421410

Location Type	Address	City	State	Zip
Headquarters	1203 B STATE HWY 53	ZUNI	NM	873270000
Physical Address	1203 B STATE HWY 53	ZUNI	NM	87327
Mailing Address	PO BOX 339	ZUNI	NM	87327

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Pueblo of Zuni FY19 lapsing 5311(c) Operating and Capital Formula Funds - Tribal Transit Program</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2021-018-00	Final Concurrence / Reservation	Grant	7/20/2021	7/20/2021	No

Application Executive Summary

The Pueblo of Zuni is applying for available TTP formula funds for FY19 5311(c) for the sum of \$219,915.00.

Purpose: The Pueblo of Zuni is applying for available TTP formula funds for FY19 5311(c) for the sum of \$219,915.00. Funds will be used for The pueblo of Zuni Operating assistance and capital purchases. 100% FTA funding.

Activities to be performed: The Pueblo of Zuni A:Shiwi Transit will utilize \$121,661.00 for operating assistance. \$98,254.00 will be utilized for Capital expenses which include the acquisition of a mechanic service truck along with needed equipment to preserve, maintain and repair our fleet vehicles. Other capital costs include equipment necessary for bus maintenance.

Expected Outcomes: Expenses are those costs directly related to system operations at a minimum, this includes salaries and fringe benefits for drivers, dispatchers, and transit supervisors, fuel, oil, licenses, facilities needs, and vehicle maintenance.

\$98,254.00 will be utilized for Capital expenses which include the acquisition of a mechanic service truck along with needed equipment to preserve, maintain and repair our fleet vehicles. Other capital costs include equipment necessary for bus maintenance.

Intended Beneficiaries: The Pueblo of Zuni A:Shiwi Transit provides public transportation service for the Pueblo of Zuni community members and surrounding rural areas which allows riders access to services such as health care, shopping, education, recreation, public service, and employment.

Subrecipient Activities: N/A

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Pueblo of Zuni has an approved Indirect Cost rate of 19.86%. The approved document will be added to supporting documents

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Todd	Naktewa	Transit Manager	todd.naktewa@ashiwi.org	(505) 782-7288

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$219,915
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$219,915

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$219,915.00	\$0.00	\$219,915.00	0
NM-2021-018-01-00	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$98,254.00	\$0.00	\$98,254.00	0
NM-2021-018-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$121,661.00	\$0.00	\$121,661.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: A:Shiwi Transit Fixed Route Operations
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2021-018-01-00	7303-2021-2-P1	7/20/2021	10/1/2021	9/30/2025

Project Description

The Pueblo of Zuni A:Shiwi Transit is applying for available TTP formula funds for FY19 5311(c) \$219,915.00 for operating assistance to provide public transportation for the community members of Zuni, NM. Funds will be used to improve and expand our fixed-route service. An expansion of routes will include three additional routes that service Cibola County, Albuquerque NM, and Zuni NM. With the expansion of route and service, more personnel/drivers will be needed to meet the demand for our growing transit program

The Pueblo of Zuni will continue to provide transportation service for the community members of Zuni, NM, and surrounding rural areas so they may have access to services such as health care, shopping, education, recreation, public service, and employment.

Project Benefits

The Pueblo of Zuni A:Shiwi Transit is a vital service that provides the Pueblo of Zuni community members & rural McKinley county public transportation service. To date, the transit service has provided 85,457 trips.

Pueblo of Zuni A:Shiwi Transit provides public transportation for those who don't have reliable transportation and those who have transportation, it eases time management, saves households money and increases mobility to those who otherwise wouldn't be able to get from Point A to Point B. With the continued service that A:Shiwi Transit offers, riders can have the option to access employment, educational and leisure opportunity's throughout Southern McKinley County and parts of Cibola County.

Additional Information

The Pueblo of Zuni A:Shiwi Transit provides public transportation service to The Pueblo of Zuni, McKinley County, and Cibola County, and other rural areas within the southwestern region of New Mexico

Location Description

The Pueblo of Zuni offers public transportation to the community members of Zuni, NM. Our service offers riders a safe reliable way to get to their health care appointments, public service locations, goods stores and attend leisure activities.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben Ray Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$219,915
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$219,915

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$219,915.00	\$0.00	\$219,915.00	0
NM-2021-018-01-00	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$98,254.00	\$0.00	\$98,254.00	0

NM-2021-018-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$121,661.00	\$0.00	\$121,661.00	0
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

The Pueblo of Zuni will utilize \$121,661.00 for operating assistance. Expenses are those costs directly related to system operations, at a minimum, this includes salaries and fringe benefits for drivers, dispatchers and transit supervisors, fuel, oil, licenses, and vehicle maintenance. The purchase of needed PPE equipment and supplies.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$121,661
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$121,661

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	The Pueblo of Zuni will utilize \$121,661.00 for operating assistance. Expenses are those costs directly related to system operations, at a minimum, this includes salaries and fringe benefits for drivers, dispatchers and transit supervisors, fuel, oil, licenses, and vehicle maintenance. The purchase of needed PPE equipment and supplies, employee training.
End Date	9/30/2025	The Pueblo of Zuni will have used \$121,661.00 in formula funds for operating assistance. expenses used would be system operations, salaries, benefite, fuel, oil

and vehicle maintenance

Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

\$98,254.00 will be utilized for Capital expenses which include the acquisition of a mechanic service truck along with needed equipment to preserve, maintain and repair our fleet vehicles. Other capital costs include equipment necessary for bus maintenance. The use of a mechanic service truck would serve as vital equipment in maintaining our fleet vehicles. The service truck will feature a diesel engine, and rear storage compartment boxed flatbed, outfitted with a gooseneck hitch system to haul the gooseneck trailer in the event a fleet vehicle is out of service while on route

Useful life 4years/100k miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$98,254
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$98,254

Milestone Name	Est. Completion Date	Description
Contract Award Date	10/1/2021	The Pueblo of Zuni will advertise a complete RFP bid. Once RFP has closed our organization's internal RFP committee will review once RFP and select the best one. Complete an RFP bid to the service truck, Review and select bids, Place vehicle order.
RFP Notice	7/31/2022	The Pueblo of Zuni will advertise a complete RFP bid by 7/31/2022. Once RFP has closed our organization's internal RFP committee will review once RFP and select the best one. Complete an RFP bid to the service truck, Review and select bids, Place vehicle order

Deliver Date	9/30/2023	Once the Service truck is delivered, the Pueblo of Zuni shall complete the post delivery reports and include make use of it within ou.
Completion Date	9/30/2025	The Pueblo of Zuni will close this milestone once the support vehicle has been purchase & delivered and added to our insurance.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	0	\$98,254.00	\$98,254.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$121,661.00	\$121,661.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Abel Ayala

Comment Type DOL Review for Information

Date 8/16/2021

Comment Tribal Section 5311c LAPSING for Information ONLY.

Application Review Comments

Comment By Abel Ayala

Comment Type Pre-Award Manager Returns Application

Date 7/22/2021

Comment

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: ZUNI, PUEBLO OF

Recipient ID: 7303

DUNS No: 069421410

Application Information

Federal Award Identification Number: NM-2021-018-00

Application Name: Pueblo of Zuni FY19 lapsing 5311(c) Operating and Capital Formula Funds - Tribal Transit Program

Application Start Date: N/A

Original Award End Date: 12/20/2025

Current Award End Date: N/A

Application Executive Summary: The Pueblo of Zuni is applying for available TTP formula funds for FY19 5311(c) for the sum of \$219,915.00.

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Intended Beneficiaries: The Pueblo of Zuni A:Shiwi Transit provides public transportation service for the Pueblo of Zuni community members and surrounding rural areas which allows riders access to services such as health care, shopping, education, recreation, public service, and employment.

Subrecipient Activities: N/A

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$219,915.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$219,915.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$219,915.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$219,915
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$219,915

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective

Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

ZUNI, PUEBLO OF