

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2020-005-03
Temporary Application Number	1564-2020-1
Application Name	NMDOT FY20 Section 5311 Rural Area CARES Act Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	4/14/2020	
Original Period of Performance End Date	12/31/2026	
Current Period of Performance End Date	12/31/2026	Revision #: 0

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	

City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: NMDOT FY20 Section 5311 Rural Area CARES Act Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2020-005-03	Final Concurrence / Reservation	Grant	8/19/2021	8/19/2021	No

Application Executive Summary

8/19/21 Amendment 3: is de-obligating \$117,851

NMDOT is requesting an Amendment to use cost savings (from Intercity projects) in the amount of \$117,851 to award Ohkay Owingeh for FY 2022 Operating project in the amount of \$117,851. Ohkay Owingeh is a Direct recipient for funds awarded through NMDOT annual application process, they will apply directly for these funds which will decrease the amount of our award by \$117,851.

- Purpose of the Award: Provide additional funding to cover 100% of operating costs to Ohkay Owingeh. COVID-19 pandemic caused unforeseen financial hardship on many 5311 agencies across New Mexico. The use of funds at 100% will provide the financial assistance for FY22 needed to continue to recover.
- Activities to be performed: Provide public transportation services to pueblo citizens.
- Expected Outcomes: The use of funds at 100% will provide the financial assistance to continue public transit operations during the financial hardship of the impending COVID-19 pandemic.
- Intended Beneficiaries: 5311 Subrecipients and their ridership
- Subrecipient Activities: Public transportation operations provide access to employment, education, healthcare, shopping and recreational activities to ridership.

Amendment 2:

8/17/20: NMDOT is applying for the remaining balance of \$3,474,223 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The remaining funds will be programmed for Program Administration expenses for FFY 21 to prevent, prepare for, and respond to COVID-19. There aren't any projects that lead to a functional, location, or capacity change; therefore, per the CARES Act, the projects in this application are not required to be programmed in the Statewide Transportation Improvement Program. The Pre-Award Authority start date for CARES Act funding is January 20, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

NMDOT has also uploaded a split letter to Ohkay Owingeh, who will apply directly for Program Administration funds (\$24,297), which, when added to \$3,474,223 equals the amount of funding remaining: \$3,498,520.

The funding request for this application are as follows:

FY20:

Rural Category A: NMDOT has awarded an additional \$150,000 of FY2020 5311-5 Rural Area Cares apportionment to 1 sub-recipient for Rural Operating Assistance. Additional Rural Category A project totals are as follows:

Operating Assistance (Sub-recipients): \$150,000 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$ 3,324,223 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Project Administration. Additional Rural Category A projects for FY2021 are as follows:

Project Administration, including ICB (Sub-recipients): \$3,324,223 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

Amendment 01:

4/7/2020 NMDOT is applying for \$32,775,705 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The funds will be programmed for the Public Rural Transportation program for State Administration and Operating and Program Administration expenses to prevent, prepare for, and respond to COVID-19. The remaining balance of \$3,498,520 will be requested in future grants or amendments. There aren't any projects that lead to a functional, location, or capacity change; therefore, per the CARES Act, the projects in this application are not required to be programmed in the Statewide Transportation Improvement Program. The Pre-Award Authority start date for CARES Act funding is January 20, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and

reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

NMDOT has also uploaded a split letter to Ohkay Owingeh to apply directly for the following funds: Program Administration (\$13,498) and operating (\$68,746) for FY20 and operating (\$117,851) for FY21 (totaling \$200,095).

The amount of funding remaining for future use is \$3,498,520 (see attached POP & Budget). The funding request for this application are as follows:

FY20:

State Administration: \$3,647,432 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration has been programmed within the 10% allowed (UZA 35000).

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000
Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

15% ICB has been met. See attached Program of Projects and Budget workbook.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	(817) 978-0563

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,132,077
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,132,077

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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NM-2020-005-01-03	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-01-03		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-02-03	600-00 (600-A4)	OTHER PROGRAM COSTS	\$22,212,229.00	\$0.00	\$22,212,229.00	0
NM-2020-005-02-03		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$22,212,229.00	\$0.00	\$22,212,229.00	0
NM-2020-005-02-03	620-00 (620-A2)	PROJECT ADMINISTRATION	\$4,819,830.00	\$0.00	\$4,819,830.00	0
NM-2020-005-02-03		11.79.00 PROJECT ADMINISTRATION	\$4,819,830.00	\$0.00	\$4,819,830.00	0
NM-2020-005-02-03	634-00 (634-A3)	INTERCITY BUS TRANSPORTATION	\$5,452,586.00	\$0.00	\$5,452,586.00	0
NM-2020-005-02-03		11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,074,932.00	\$0.00	\$1,074,932.00	0
NM-2020-005-02-03		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$596,435.00	\$0.00	\$596,435.00	0
NM-2020-005-02-03		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,781,219.00	\$0.00	\$3,781,219.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Rural Area CARES - State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-005-01-03	1564-2020-1-P1	8/19/2021	1/20/2020	12/31/2024

Project Description

Provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico.

Project Benefits

State provided program administration under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,647,432
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,647,432

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-005-01-03	610-00 STATE / PROGRAMS (610-A1) ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0
NM-2020-005-01-03	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,647,432.00	\$0.00	\$3,647,432.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico.

State provided program administration under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum

extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,647,432
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,647,432

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 01/20/2020 This project will provide comprehensive program administration to 5311 subrecipients in rural areas to prevent, prepare for, and respond to COVID-19 across the State of New Mexico including technical assistance and planning activities and capital projects.
End Date	12/31/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,647,432.00	\$3,647,432.00

<p>Project Title: Rural Area CARES - Subrecipients Program Administration and Operating Assistance</p>

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-005-02-03	1564-2020-1-P2	8/19/2021	1/20/2020	12/31/2024

Project Description
8/19/21 Amendment 3:

NMDOT is requesting an Amendment to use cost savings (from Intercity projects) in the amount of \$117,851 to award Ohkay Owingeh for FY 2022 Operating project in the amount of \$117,851. Ohkay Owingeh is a Direct recipient for funds awarded through NMDOT annual application process, they will apply directly for these funds which will decrease the amount of our award by \$117,851.

Amendment 2:

8/17/20:Amendment 2: NMDOT is applying for the remaining balance of \$3,474,223 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The remaining funds will be programmed for Program Administration expenses for FFY 21 to prevent, prepare for, and respond to COVID-19. The remaining balance of \$3,498,520 will be requested in future grants or amendments. There aren't any projects that lead to a functional, location, or capacity change; therefore, per the CARES Act, the projects in this application are not required to be programmed in the Statewide Transportation Improvement Program. The Pre-Award Authority start date for CARES Act funding is January 20, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

NMDOT has also uploaded a split letter to Ohkay Owingeh, who will apply directly for Program Administration funds (\$24,297), which, when added to \$3,474,223 equals the amount of funding remaining: \$3,498,520.

The funding request for this application are as follows:

FY20:

Rural Category A: NMDOT has awarded an additional \$150,000 of FY2020 5311-5 Rural Area Cares apportionment to 1 sub-recipient for Rural Operating Assistance. Additional Rural Category A project totals are as follows:

Operating Assistance (Sub-recipients): \$150,000 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$ 3,324,223 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Project Administration. Additional Rural Category A projects for FY2021 are as follows:

Project Administration, including ICB (Sub-recipients): \$3,324,223 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

FY20:

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000

Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

Project Benefits

Providing Project Administration and Operating Assistance funding to subrecipients under CARES is designed to assist rural subrecipients to prevent, prepare for and respond to a pandemic in order to (1) help meet the transportation needs of transit-dependent people so they may access essential services during a pandemic; (2) assist in the maintenance, development, improvement and use of public transportation systems during a pandemic; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight during a pandemic; (4) assist in the development and support of intercity bus transportation operations to prepare for, prevent and respond during a pandemic; to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Deb Haaland
New Mexico	2	Xochitil Torres Small
New Mexico	3	Ben R. Lujan

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$32,484,645
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$32,484,645
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-005-02-03	600-00 (600-A4) OTHER PROGRAM COSTS	\$22,212,229.00	\$0.00	\$22,212,229.00	0
NM-2020-005-02-03	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$22,212,229.00	\$0.00	\$22,212,229.00	0
NM-2020-005-02-03	620-00 (620-A2) PROJECT ADMINISTRATION	\$4,819,830.00	\$0.00	\$4,819,830.00	0
NM-2020-005-02-03	11.79.00 PROJECT ADMINISTRATION	\$4,819,830.00	\$0.00	\$4,819,830.00	0
NM-2020-005-02-03	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$5,452,586.00	\$0.00	\$5,452,586.00	0
NM-2020-005-02-03	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,074,932.00	\$0.00	\$1,074,932.00	0
NM-2020-005-02-03	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$596,435.00	\$0.00	\$596,435.00	0
NM-2020-005-02-03	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,781,219.00	\$0.00	\$3,781,219.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

8/17/20 Amendment 2:

FFY 21

Additional Subrecipient awards for Program Administration (rural) at 100% under CARES Act will be awarded for FFY 21 to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency are as follows:

City of Carlsbad: \$208,062
City of Clovis: \$238,960
Gallup Express: \$128,405
Golden Spread Coalition: \$87,240
Grant County: \$234,360
City of Hobbs: \$92,281
Pueblo of Laguna: \$34,163
City of Las Vegas: \$82,101
Los Alamos: \$195,565
Village of Milan: \$100,474
NCRTD: \$592,846
City of Portales: \$74,736
Town of Red River: \$59,482
City of Roswell: \$294,798
SCRTD: \$204,048
City of Socorro: \$52,657
Zia Therapy: \$279,615
Zuni Pueblo: \$104,394

Total: \$3,064,187

Budget Revision 8/2020:

FFY 2020:

Amended Subrecipient awards for FFY 2020 Program Administration (rural) at 100% under CARES Act to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency. Adjustments made to subrecipient awards based on YTD expenditures and need. The adjustments to Program Administration funding are as follows:

City of Carlsbad: \$122,034
City of Clovis: \$135,528
Gallup Express: \$67,092
Golden Spread Coalition: \$42,789
Grant County: \$143,258
City of Hobbs: \$57,133
Pueblo of Laguna: \$18,979
City of Las Vegas: \$39,430
Los Alamos: \$95,557
Village of Milan: \$72,352
NCRTD: \$322,460
City of Portales: \$46,527

Town of Red River: \$37,357
 City of Roswell: \$200,711
 SCRTD: \$101,530
 City of Socorro: \$30,102
 Zia Therapy: \$163,075
 Zuni Pueblo: \$59,729

Total: \$1,755,643

Subrecipient awards for Program Administration (rural) at 100% under CARES Act to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency are as follows:

City of Carlsbad: \$115,590
 City of Clovis: \$132,756
 Gallup Express: \$71,336
 Golden Spread Coalition: \$48,467
 Grant County: \$140,000
 City of Hobbs: \$51,267
 Pueblo of Laguna: \$18,979
 City of Las Vegas: \$45,611
 Los Alamos: \$114,080
 Village of Milan: \$59,193
 NCRTD: \$341,154
 City of Portales: \$41,521
 Town of Red River: \$33,344
 City of Roswell: \$163,777
 SCRTD: \$113,360
 City of Socorro: \$29,254
 Zia Therapy: \$162,409
 Zuni Pueblo: \$57,996

Total: \$1,740,094

- Description of Administration expenses commonly incurred include:
- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
 - Fringe Benefits
 - Contractual services
 - Telephone and utilities
 - Advertising
 - Dues and Subscriptions
 - General Liability and vehicle insurance
 - Per Diem and registration fees to attend training and statewide conference
 - Office Supplies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,819,830

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,819,830

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Program Administration expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

8/19/21 Amendment 3: NMDOT is requesting an Amendment to use cost savings from Intercity administrative projects in the amount of \$31,952:

Navajo Nation - (\$31,952)

8/17/2020 Amendment 2:

FFY 21

Additional Subrecipient awards for Program Administration (CB) at 100% under CARES Act will be awarded for FFY 21 to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency are as follows:

NCRTD: \$248,355

Navajo Nation: \$151,173

Total: \$399,528

Budget Revision 8/2020:

FFY 2020:

Amended Subrecipient awards for Program Administration (intercity bus) at 100% under CARES Act to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency. Adjustments to awards based on YTD expenditures and need. The adjusted awards are as follows:

NCRTD: \$144,874

Navajo Nation: \$83,985

Total: \$228,859

Description of Administration expenses commonly incurred include:

- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
- Fringe Benefits
- Contractual services
- Telephone and utilities
- Advertising
- Dues and Subscriptions
- General Liability and vehicle insurance
- Per Diem and registration fees to attend training and statewide conference
- Office Supplies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$596,435
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$596,435

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Intercity Program Administration expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

8/19/21 Amendment 3: NMDOT is requesting an Amendment to use cost savings from Intercity operating projects in the amount of \$85,440:

- NCRTD - (\$5,613)
- Navajo Nation - (\$72,121)
- SCRTD - (\$7,706)

Budget Revision 8/2020:

FFY 2020:

Adjustments to the awards for the following subrecipients, across the state of New Mexico, that received rural operating assistance in FY 20 were made based on YTD expenditures and need. The adjusted operating awards are as follows:

- Navajo Nation: \$424,566
- RMRTD: \$228,359
- NCRTD: \$527,053
- SCRTD: \$111,368

Total: \$1,291,346

FFY 2021:

Adjustments to the awards for the following subrecipients, across the state of New Mexico, that received rural operating assistance in FY 21 were made based on YTD expenditures and need. The adjusted operating awards are as follows:

- Navajo Nation: \$727,827
- RMRTD: \$671,544
- NCRTD: \$955,942
- SCRTD: \$220,000

Total: \$2,575,313

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 21 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19:

- Navajo Nation: \$727,827

RMRTD: \$746,792
 NCRTD: \$975,801
 SCRTD: \$133,799

Total: \$2,584,219

- Descriptions of operating expenses commonly incurred include:
- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
 - Fringe Benefits
 - Contractual services
 - Uniforms and Physicals
 - Per Diem to attend training and statewide conference
 - Shop Supplies, replacement parts and vehicle maintenance
 - Fuel

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$3,781,219
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,781,219

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Operating Assistance to maintain intercity bus transit service expenses and including prevention, preparation for, and response to COVID-19.
End Date	12/31/2024	

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

8/19/21 Amendment 3: NMDOT is requesting an Amendment to use cost savings from Intercity capital cost of contracting projects in the amount of \$459:

New Mexico Park and Ride - (\$459)

8/17/20 Amendment 2:

Subrecipient award at 100% under CARES Act is as follows:

FFY21: New Mexico Park and Ride awarded additional \$1,474

Budget Revision 8/2020

FFY 2020: New Mexico Park and Ride award reduced to \$773,917 (federal) due to anticipated cost savings

FFY 2021: New Mexico Park and Ride awarded \$300,000 (federal)

Subrecipient award at 100% under CARES Act is as follows:

FY20: \$1,250,000 to New Mexico Park and Ride to provide and maintain intercity transit services. Current program, total cost of \$7,646,777, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service in fiscal year 2019 included the following:

- Reduced traffic congestion by removing 7.7 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 3,598 tons
- Reduced gasoline consumption by 372,484 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 926 passengers
- Provides a safe means of travel: In FY 2019, Park and Ride buses served over 4 million passenger rides without a fatality or serious injury.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$1,074,932
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$1,074,932

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Intercity Bus Service expenses to prevent, prepare for, and respond to COVID-19.
End Date	12/31/2024	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

8/17/20 Amendment 2: The following additional subrecipient will receive rural operating assistance (\$150,000) in FY 20 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

New Mexico Park and Ride: \$150,000

The following subrecipient will receive additional rural operating assistance (\$9,034) in FY 20 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

RMRTD: \$987,993 (\$978,959 +\$9,034)

Budget Revision 8/2020

FFY2020:

Adjustments to the awards of following subrecipients, across the state of New Mexico, that received rural operating assistance in FY 20 were based on YTD expenditures and need. The adjustments are as follows:

- City of Carlsbad: \$347,352
- City of Clovis: \$454,395
- Gallup Express: \$209,715
- Golden Spread Coalition: \$121,095
- Grant County: \$424,673
- City of Hobbs: \$466,494

Pueblo of Laguna: \$108,817
City of Las Vegas: \$91,905
Los Alamos County: \$1,614,929
Village of Milan: \$108,877
NCRTD: \$1,581,158
New Mexico Park and Ride: \$150,000
City of Portales: \$151,032
Town of Red River: \$60,666
RMRTD: \$609,880
City of Roswell: \$791,818
SCRTD: \$201,256
City of Socorro: \$128,692
Zia Therapy: \$705,674
Zuni Pueblo: \$174,612

Total: \$8,466,758

FFY 2021:

Adjustments to the awards of following subrecipients, across the state of New Mexico, that received rural operating assistance in FY 21 were based on YTD expenditures and need. The adjustments are as follows:

City of Carlsbad: \$564,151
City of Clovis: \$646,000
Gallup Express: \$311,160
Golden Spread Coalition: \$177,448
Grant County: \$672,500
City of Hobbs: \$765,246
Pueblo of Laguna: \$186,544
City of Las Vegas: \$188,419
Los Alamos County: \$3,026,656
Village of Milan: \$190,957
NCRTD: \$2,458,134
City of Portales: \$188,950
Town of Red River: \$110,397
RMRTD: \$978,959
City of Roswell: \$1,175,850
SCRTD: \$388,177
City of Socorro: \$180,742
Zia Therapy: \$1,133,314
Zuni Pueblo: \$242,833

Total: \$13,595,471

FFY 2020:

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 20 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$329,088

City of Clovis: \$376,833
Gallup Express: \$181,510
Golden Spread Coalition: \$103,512
Grant County: \$394,742
City of Hobbs: \$446,394
Pueblo of Laguna: \$108,817
City of Las Vegas: \$109,911
Los Alamos County: \$1,765,549
Village of Milan: \$111,392
NCRTD: \$1,538,994
City of Portales: \$110,221
Town of Red River: \$64,750
RMRTD: \$609,880
City of Roswell: \$685,913
SCRTD: \$276,721
City of Socorro: \$105,433
Zia Therapy: \$661,100
Zuni Pueblo: \$141,652

Total: \$8,122,412

FFY 2021:

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 21 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$564,151
City of Clovis: \$646,000
Gallup Express: \$311,160
Golden Spread Coalition: \$177,448
Grant County: \$676,700
City of Hobbs: \$765,246
Pueblo of Laguna: \$186,544
City of Las Vegas: \$188,419
Los Alamos County: \$3,026,656
Village of Milan: \$190,957
NCRTD: \$2,638,275
City of Portales: \$188,950
Town of Red River: \$111,000
RMRTD: \$912,745
City of Roswell: \$1,175,850
SCRTD: \$474,378
City of Socorro: \$180,742
Zia Therapy: \$1,133,314
Zuni Pueblo: \$242,833

Total: \$13,791,368

Description of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services

- Uniforms and Physicals
 - Per Diem to attend training and statewide conference
 - Shop Supplies, replacement parts and vehicle maintenance
 - Fuel
-
-

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 20 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$329,088
City of Clovis: \$376,833
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NCRTD: \$1,538,994
City of Portales: \$110,221
Town of Red River: \$64,750
RMRTD: \$609,880
City of Roswell: \$685,913
SCRTD: \$276,721
City of Socorro: \$105,433
Zia Therapy: \$661,100
Zuni Pueblo: \$141,652

Total: \$8,122,412

The following subrecipients, across the state of New Mexico, will receive rural operating assistance in FY 21 to maintain transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

City of Carlsbad: \$564,151
City of Clovis: \$646,000
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Grant County: \$676,700
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Pueblo of Laguna: \$186,544
City of Las Vegas: \$188,419
Los Alamos County: \$3,026,656
Village of Milan: \$190,957
NCRTD: \$2,638,275
City of Portales: \$188,950
Town of Red River: \$111,000

RMRTD: \$912,745
 City of Roswell: \$1,175,850
 SCRTD: \$474,378
 City of Socorro: \$180,742
 Zia Therapy: \$1,133,314
 Zuni Pueblo: \$242,833

Total: \$13,791,368

- Description of operating expenses commonly incurred include:
- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
 - Fringe Benefits
 - Contractual services
 - Uniforms and Physicals
 - Per Diem to attend training and statewide conference
 - Shop Supplies, replacement parts and vehicle maintenance
 - Fuel

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$22,212,229
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,212,229

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Project start date: 1/20/2020 The funds will be programmed for the Public Rural Transportation program subrecipients for Operating Assistance to maintain transit service expenses and including prevention, preparation for, and response to COVID-19.
End Date	12/31/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$4,819,830.00	\$4,819,830.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$596,435.00	\$596,435.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$3,781,219.00	\$3,781,219.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$1,074,932.00	\$1,074,932.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$22,212,229.00	\$22,212,229.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Daniel Peschell

Comment Type DOL Review for Information

Date 8/26/2021

Comment Section 5311 for information only.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

(1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and

provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

Application Information

Federal Award Identification Number: NM-2020-005-03

Application Name: NMDOT FY20 Section 5311 Rural Area CARES Act Program

Application Start Date: 4/14/2020

Original Award End Date: 12/31/2026

Current Award End Date: 12/31/2026

Application Executive Summary: 8/19/21 Amendment 3: is de-obligating \$117,851

NMDOT is requesting an Amendment to use cost savings (from Intercity projects) in the amount of \$117,851 to award Ohkay Owingeh for FY 2022 Operating project in the amount of \$117,851. Ohkay Owingeh is a Direct recipient for funds awarded through NMDOT annual application process, they will apply directly for these funds which will decrease the amount of our award by \$117,851.

- Purpose of the Award: Provide additional funding to cover 100% of operating costs to Ohkay Owingeh. COVID-19 pandemic caused unforeseen financial hardship on many 5311 agencies across New Mexico. The use of funds at 100% will provide the financial assistance for FY22 needed to continue to recover.
- Activities to be performed: Provide public transportation services to pueblo citizens.
- Expected Outcomes: The use of funds at 100% will provide the financial assistance to continue public transit operations during the financial hardship of the impending COVID-19 pandemic.
- Intended Beneficiaries: 5311 Subrecipients and their ridership
- Subrecipient Activities: Public transportation operations provide access to employment, education, healthcare, shopping and recreational activities to ridership.

Amendment 2:

8/17/20: NMDOT is applying for the remaining balance of \$3,474,223 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The remaining funds will be programmed for Program Administration expenses for FFY 21 to prevent, prepare for, and respond to COVID-19. There aren't any projects that lead to a functional, location, or capacity change; therefore, per the CARES Act, the projects in this application are not required to be programmed in the Statewide Transportation Improvement Program. The Pre-Award Authority start date for CARES Act funding is January 20, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

NMDOT has also uploaded a split letter to Ohkay Owingeh, who will apply directly for Program Administration funds (\$24,297), which, when added to \$3,474,223 equals the amount of funding remaining: \$3,498,520.

The funding request for this application are as follows:

FY20:

Rural Category A: NMDOT has awarded an additional \$150,000 of FY2020 5311-5 Rural Area Cares apportionment to 1 sub-recipient for Rural Operating Assistance. Additional Rural Category A project totals are as follows:

Operating Assistance (Sub-recipients): \$150,000 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$ 3,324,223 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Project Administration. Additional Rural Category A projects for FY2021 are as follows:

Project Administration, including ICB (Sub-recipients): \$3,324,223 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

See attached Program of Projects and Budget workbook.

Amendment 01:

4/7/2020 NMDOT is applying for \$32,775,705 of our FY 2020 CARES ACT SECTION 5311 APPORTIONMENT to the State of New Mexico that has 100% federal share, (totaling \$36,474,320) posted in FTA Table 3, based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020). The funds will be programmed for the Public Rural Transportation program for State Administration and Operating and Program Administration expenses to prevent, prepare for, and respond to COVID-19. The remaining balance of \$3,498,520 will be requested in future

grants or amendments. There aren't any projects that lead to a functional, location, or capacity change; therefore, per the CARES Act, the projects in this application are not required to be programmed in the Statewide Transportation Improvement Program. The Pre-Award Authority start date for CARES Act funding is January 20, 2020.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

NMDOT has also uploaded a split letter to Ohkay Owingeh to apply directly for the following funds: Program Administration (\$13,498) and operating (\$68,746) for FY20 and operating (\$117,851) for FY21 (totaling \$200,095).

The amount of funding remaining for future use is \$3,498,520 (see attached POP & Budget). The funding request for this application are as follows:

FY20:

State Administration: \$3,647,432 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration has been programmed within the 10% allowed (UZA 35000).

Rural Category A: NMDOT has awarded \$12,752,686 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the current FY 2020 program year for Program Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects are as follows:

Program Administration (Sub-recipients): \$1,950,259 - UZA 35000
Operating Assistance (Sub-recipients): \$10,802,427 - UZA 35000

FY21:

Rural Category A: NMDOT plans to award \$16,375,587 of FY2020 5311-5 Rural Area Cares apportionment to 22 sub-grantees for Rural projects in the FY 2021 program year for Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. Rural Category A projects for FY2021 are as follows:

Operating Assistance, including ICB (Sub-recipients): \$16,375,587 - UZA 35000

There are 25 total projects are in Category A, for 20 subrecipients in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

15% ICB has been met. See attached Program of Projects and Budget workbook.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$36,132,077.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): (\$117,851.00)

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$36,132,077.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$36,132,077
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$36,132,077

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: Special Warranty
Original Certification Date: 8/18/2020

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New Mexico Department Of