

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2021-018-00
<b>Temporary Application Number</b>	6776-2021-5
<b>Application Name</b>	Winnebago Tribe FY2021 Sec. 5311 ARPA Operating Formula Funds
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/29/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: WINNEBAGO TRIBE OF NEBRASKA**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<b>Title: Winnebago Tribe FY2021 Sec. 5311 ARPA Operating Formula Funds</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NE-2021-018-00	Final Concurrence / Reservation	Grant	8/24/2021	8/24/2021	No

**Application Executive Summary**

This is a Section 5311 Tribal Transit Program FY 2021 American Rescue Plan (ARP) application in the amount of \$364,349 representing 100% federal share of the total eligible amount.

Purpose: The Winnebago Tribe of Nebraska will utilize this ARP Formula Award for \$364,349 to maintain existing transit services on the reservation.

Activities to be Performed: Funds will be used to cover all eligible operating expenses such as driver salaries, fuel, maintenance, etc. in order to continue transit operations and to prevent, prepare for, and respond to coronavirus. Use of Operating funds will cover expenses for existing service incurred beginning 09/01/2021 until 08/31/2023. While the Operating dates in this ARP grant overlap the CRRSAA grant NE-2021-002-00 by three months, the Tribe will not be duplicating any grant supported services or operational costs.

Expected Outcomes: Funding will permit the Winnebago Tribe of Nebraska to continue to provide operations that would otherwise have to be cut due to lack of funding and provide for extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers.

Intended Beneficiaries: Tribal and non-Tribal riders on the Winnebago Reservation

Subrecipients: None

The Winnebago Tribe agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project(s) in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* This award is applying an approved Indirect cost rate(s). Indirect Rate Details: The Winnebago Tribe of Nebraska's approved IDC rate is 11.74%.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Joy	Johnson	Planning and Development Director	joy.johnson@winnebagotribe.com	(402) 878-4120

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$364,349
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$364,349</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
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				Amount	Amount		
NE-2021-018-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS		\$364,349.00	\$0.00	\$364,349.00	0
NE-2021-018-01-00		30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	\$364,349.00	\$0.00	\$364,349.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Section 5311 ARPA Tribal Operating Formula Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-018-01-00	6776-2021-5-P1	8/24/2021	9/1/2021	8/31/2023

#### Project Description

This project will provide necessary operating costs to the Winnebago Tribal Transit program. This application utilizes American Rescue Plan (ARP) funding to support operating costs of the transit facility.

FY 2021 ARP Funding \$364,349; representing 100% federal share of the total eligible amount. There is no local match required.

#### Project Benefits

The Winnebago Tribe will be able to maintain transit services for its clients.

#### Additional Information

Winnebago Tribal Members, residents of the Winnebago Tribe of Nebraska Reservation shall benefit from the ability of the Winnebago Tribal Transit to continue to provide safe transportation to work, for educational, and health access.

#### Location Description

Winnebago Tribe, Winnebago, Thurston County, Nebraska

## Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

## Congressional District Information

State	District	Representative
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## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$364,349
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$364,349</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$364,349.00	\$0.00	\$364,349.00	0
NE-2021-018-01-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$364,349.00	\$0.00	\$364,349.00	0

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

These funds will cover salary, fringe benefits, repairs and maintenance, fuel, PPE, and all other operating costs including but not limited to insurance, legal fees, etc.

Operating funds will cover expenses for existing service incurred beginning 09/01/2021 until 08/31/2023. While the Operating dates in this ARP grant overlap by one year with other COVID-19 emergency funding grants' dates, the Tribe will not be duplicating any grant supported services or operational costs.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$364,349
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$364,349</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2021	Start use of Operating funds that will cover expenses for existing service incurred beginning 01/01/2022 until 12/31/2024.
End Date	8/31/2023	

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/26/2021

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Logan Daniels

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Comment Type DOL Review for Information

Date 8/26/2021

The Winnebago Tribe is coming in for Tribal Transit Program FY 2021 American Rescue Plan (ARP) application in the amount of \$364,349;

Comment Purpose: The Winnebago Tribe of Nebraska will utilize this ARP Formula Award for \$364,349 to maintain existing transit services on the reservation through the COVID pandemic.

## Application Review Comments

**Comment By** Gerri Doyle

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Comment Type Environmental Findings

Date 8/26/2021

Project Title Section 5311 ARPA Tribal Operating Formula Funds

Project Number NE-2021-018-01-00

Comment The proposed operating assistance activity using ARP funding is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID: 6776

DUNS No: 041643834

**Application Information**

Federal Award Identification Number: NE-2021-018-00

Application Name: Winnebago Tribe FY2021 Sec. 5311 ARPA Operating Formula Funds

Application Start Date: N/A

Original Award End Date: 12/29/2023

Current Award End Date: N/A



Application Executive Summary: This is a Section 5311 Tribal Transit Program FY 2021 American Rescue Plan (ARP) application in the amount of \$364,349 representing 100% federal share of the total eligible amount.

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Activities to be Performed: Funds will be used to cover all eligible operating expenses such as driver salaries, fuel, maintenance, etc. in order to continue transit operations and to prevent, prepare for, and respond to coronavirus. Use of Operating funds will cover expenses for existing service incurred beginning 09/01/2021 until 08/31/2023. While the Operating dates in this ARP grant overlap the CRRSAA grant NE-2021-002-00 by three months, the Tribe will not be duplicating any grant supported services or operational costs.

Expected Outcomes: Funding will permit the Winnebago Tribe of Nebraska to continue to provide operations that would otherwise have to be cut due to lack of funding and provide for extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers.

Intended Beneficiaries: Tribal and non-Tribal riders on the Winnebago Reservation

Subrecipients: None

The Winnebago Tribe agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project(s) in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$364,349.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$364,349.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$364,349.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$364,349
Local			\$0
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$364,349</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*WINNEBAGO TRIBE OF NEBRASKA*