

Application

Federal Award Identification Number (FAIN)	NE-2021-017-00
Temporary Application Number	6776-2021-4
Application Name	Winnebago Tribe Section 5311 FY21 Tribal Operating Assistance Formula Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	041643834

Location Type	Address	City	State	Zip
Headquarters	100 BLUFF ST	WINNEBAGO	NE	680719787
Physical Address	100 BLUFF ST	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: Winnebago Tribe Section 5311 FY21 Tribal Operating Assistance Formula Funds</p>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2021-017-00	Final Concurrence / Reservation	Grant	7/28/2021	7/28/2021	No

Application Executive Summary

This is a FY 2021 Federal Transit Administration (FTA) Public Transportation on Indian Reservations Formula funds application in the amount of \$373,662 representing 100% federal share of the total eligible amount.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 09/01/2022 until 08/31/2024.

Purpose: The Winnebago Tribe of Nebraska will utilize this FTA Formula Award for \$373,662 to maintain existing transit services on the reservation.

Activities to be Performed: Funds will be used to cover all eligible operating expenses such as driver salaries, fuel, maintenance, etc. in order to continue transit operations and to prevent, prepare for, and respond to coronavirus. Start use of Operating funds that will cover expenses for existing service incurred beginning 09/01/2022 to 08/31/2024. While the operating dates in this FTA formula grant overlap by one year with the ARP grant 6776-2021-3 Temporary FAIN dates 9/1/2023 to 8/31/2024, the Tribe will not be duplicating any grant supported services or operational costs.

Expected Outcomes: Funding will permit the Winnebago Tribe of Nebraska to continue to provide operations that would otherwise have to be cut due to lack of funding and provide for extra cleaning and disinfectant supplies, equipment, and hours needed to ensure a clean, sanitized, and safe environment for drivers and passengers.

Intended Beneficiaries: Tribal and non-Tribal riders on the Winnebago Reservation

Subrecipients: None

There is no local match requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The approved IDC rate is 11.74%. See attached approved rate.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Joy	Johnson	Planning and Development Director	joy.johnson@winnebagotribe.com	(402) 878-4120

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$373,662
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$373,662

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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NE-2021-017-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$373,662.00	\$0.00	\$373,662.00	0
NE-2021-017-01-00		30.09.01 UP TO 100% FEDERAL SHARE	\$373,662.00	\$0.00	\$373,662.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY21 TTP Formula Funds for Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-017-01-00	6776-2021-4-P2	7/28/2021	9/1/2022	8/31/2024

Project Description

This project will provide necessary operating costs to the Winnebago Tribal Transit program. This application utilizes Federal Transit Administration (FTA) formula funding to support operating costs of the transit facility.

FY 2021 FTA Formula Funding \$373,662; there is no local match required.

Project Benefits

The Winnebago Tribe will be able to maintain transit services for its clients.

Additional Information

Winnebago Tribal Members, residents of the Winnebago Tribe of Nebraska Reservation shall benefit from the ability of the Winnebago Tribal Transit to continue to provide safe transportation to work, for educational, and health access.

Location Description

Winnebago Tribe, Winnebago, Thurston County, Nebraska

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$373,662
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$373,662

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$373,662.00	\$0.00	\$373,662.00	0
NE-2021-017-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$373,662.00	\$0.00	\$373,662.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

These funds will cover salary, fringe benefits, repairs and maintenance, fuel, PPE, and all other operating costs including but not limited to insurance, legal fees, etc.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 09/01/2022 until 08/31/2024.

While the operating dates in this FTA formula grant overlap by one year with the COVID-19 emergency funding ARPA grants' (6776-2021-5) dates, the Tribe will not be duplicating any grant supported services or operational costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$373,662

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2022	Start use of Operating funds that will cover expenses for existing service incurred beginning 09/01/2022 until 08/31/2024.
End Date	8/31/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/5/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 8/26/2021

Comment The Winnebago Tribe is coming in for their FY 2021 FTA Sec 5311 Tribal Formula Funds \$373,662 for Operating Assistance

Application Review Comments

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 7/29/2021

Comment Ensure you are not overlapping operating dates and if you are place a statement, that the Tribe will not be making double charges for the same services or activities. Also, please attach your Indirect Cost document(s)

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 8/24/2021

Comment

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	FY21 TTP Formula Funds for Operating Assistance
Project Number	NE-2021-017-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/25/2021
Project Title	FY21 TTP Formula Funds for Operating Assistance
Project Number	NE-2021-017-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID: 6776

DUNS No: 041643834

Application Information

Federal Award Identification Number: NE-2021-017-00

Application Name: Winnebago Tribe Section 5311 FY21 Tribal Operating Assistance Formula Funds

Application Start Date: N/A

Original Award End Date: 12/31/2024

Current Award End Date: N/A

Application Executive Summary: This is a FY 2021 Federal Transit Administration (FTA) Public Transportation on Indian Reservations Formula funds application in the amount of \$373,662 representing 100% federal share of the total eligible amount.

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Intended Beneficiaries: Tribal and non-Tribal riders on the Winnebago Reservation

Subrecipients: None

There is no local match requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$373,662.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$373,662.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$373,662.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$373,662
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$373,662

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

WINNEBAGO TRIBE OF NEBRASKA