

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NE-2021-015-00
Temporary Application Number	1815-2021-7
Application Name	NDOT American Rescue Plan (ARP) Section 5310 and 5311 Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Transportation, Nebraska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K ST RM 2316	LINCOLN	NE	685082731
Physical Address	1500 HWY 2	LINCOLN	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	
Address 2	
City	

State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT American Rescue Plan (ARP) Section 5310 and 5311 Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2021-015-00	Final Concurrence / Reservation	Grant	6/23/2021	6/23/2021	No

Application Executive Summary

July 16, 2021

PURPOSE OF THE AWARD:

This application is utilizing FY 2021 (ARP) funds in the amount of \$2,061,855, per the ARP Act. It is 100% federally funded to prevent, prepare for, and respond to coronavirus and continue subsequent economic recovery. The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022.

ACTIVITIES TO BE PERFORMED:

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

EXPECTED OUTCOMES:

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

INTENDED BENEFICIARIES:

This grant will support the large Urbanized Area of Lincoln, NE, Small Urbanized Areas of Grand Island and South Sioux City, Rural Nebraska Areas, and Intercity Bus providers and citizens particularly in the rural and non-urbanized areas of the state.

SUBRECIPIENT:

This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

FUNDING:

ARP funding amounts and categories apportioned to Nebraska, include:

Section 5310 Operating Assistance - \$144,190
Section 5311 Operating Assistance - \$544,298
Section 5311 RTAP - \$93,392
Section 5311 Intercity Bus - \$1,279,975
Grant Total: \$2,061,855

For these ARP funds, as is true with all funding, the coding setup within the State of Nebraska accounting system will specifically identify these funds for ARP purposes. There isn't any way these ARP funds will overlap other funding.

See the POP for more details about funding.

The Recipient (NDOT) agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Though activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program, all envisioned projects can be found as programmatic projects within NDOT's POP attached.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Ken	Rouch	Federal Aid Administrator III	ken.rouch@nebraska.gov	(402) 479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$144,190
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$93,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,061,855

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-01-00	641-00 (641-A5) 5310 PROJECTS	\$38,231.00	\$0.00	\$38,231.00	0
NE-2021-015-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$38,231.00	\$0.00	\$38,231.00	0
NE-2021-015-02-00	641-00 (641-A1) 5310 PROJECTS	\$19,365.00	\$0.00	\$19,365.00	0

NE-2021-015-02-00		30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	\$19,365.00	\$0.00	\$19,365.00	0
NE-2021-015-03-00	641-00 (641-A2)		5310 PROJECTS	\$86,594.00	\$0.00	\$86,594.00	0
NE-2021-015-03-00		30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	\$86,594.00	\$0.00	\$86,594.00	0
NE-2021-015-04-00	300-00 (300-A3)		OPERATING ASSISTANCE	\$544,298.00	\$0.00	\$544,298.00	0
NE-2021-015-04-00		30.09.08	ARPA - 5311 OPERATING ASSIST - 100% Fed Share	\$544,298.00	\$0.00	\$544,298.00	0
NE-2021-015-05-00	635-00 (635-A6)		RURAL TRANSIT ASSISTANCE PROGRAM	\$93,392.00	\$0.00	\$93,392.00	0
NE-2021-015-05-00		43.50.01	TRAINING	\$93,392.00	\$0.00	\$93,392.00	0
NE-2021-015-06-00	634-00 (634-A4)		INTERCITY BUS TRANSPORTATION	\$1,279,975.00	\$0.00	\$1,279,975.00	0
NE-2021-015-06-00		30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	\$1,279,975.00	\$0.00	\$1,279,975.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5310 Pandemic Funds for Operating Assistance - Lincoln

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-01-00	1815-2021-7-P1	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This

grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

Additional Information

None provided.

Location Description

Lincoln falls into District 1 in Nebraska.

Project Location (Urbanized Areas)

UZA Code	Area Name
311310	Lincoln, NE

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not necessary for pandemic grants

UPWP

Date: Not Provided

Description: Not necessary for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not necessary for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$38,231
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$38,231

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-01-00	641-00 (641-A5) 5310 PROJECTS	\$38,231.00	\$0.00	\$38,231.00	0
NE-2021-015-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$38,231.00	\$0.00	\$38,231.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
5310 PROJECTS (641-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$38,231
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$38,231

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/17/2021

Project Title: Section 5310 Pandemic Funds for Operating Assistance - Small Urban
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-02-00	1815-2021-7-P2	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

Additional Information

None provided.

Location Description

Small Urban includes Grand Island and South Sioux City, NE, which are both in the 3rd congressional district.

Project Location (Urbanized Areas)

UZA Code	Area Name
312460	Grand Island, NE

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not needed for pandemic grants.

UPWP

Date: Not Provided

Description: Not needed for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not needed for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$19,365
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,365

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-02-00	641-00 (641-A1) 5310 PROJECTS	\$19,365.00	\$0.00	\$19,365.00	0
NE-2021-015-02-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$19,365.00	\$0.00	\$19,365.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

5310 PROJECTS (641-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just add add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$19,365
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,365

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2021

Project Title: Section 5310 Pandemic Funds for Operating Assistance - Rural

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-03-00	1815-2021-7-P3	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

Additional Information

None provided.

Location Description

Subrecipients include all of Nebraska except Omaha, Lincoln, and Small Urban areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not needed for pandemic grants.

UPWP

Date: Not Provided

Description: Not needed for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not needed for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$86,594
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$86,594

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-03-00	641-00 (641-A2) 5310 PROJECTS	\$86,594.00	\$0.00	\$86,594.00	0
NE-2021-015-03-00	30.09.08 ARPA - OPERATING	\$86,594.00	\$0.00	\$86,594.00	0

ASSIST -
100% Fed
Share

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
5310 PROJECTS (641-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just add add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$86,594
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$86,594

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2021

Project Title: Section 5311 Pandemic Funds for Operating Assistance - Statewide Rural

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-04-00	1815-2021-7-P4	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

Additional Information

None provided.

Location Description

This covers all of Nebraska except Omaha, Lincoln, and Small Urban subrecipients.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: Not needed for pandemic grants.

UPWP

Date: Not Provided

Description: Not needed for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not needed for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$544,298

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-04-00	300-00 (300-A3) OPERATING ASSISTANCE	\$544,298.00	\$0.00	\$544,298.00	0
NE-2021-015-04-00	30.09.08 ARPA - 5311 OPERATING ASSIST - 100% Fed Share	\$544,298.00	\$0.00	\$544,298.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - 5311 OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just add add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of June 1, 2021, to June 30, 2021. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$544,298
----------------------------	------------------

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2021

Project Title: Section 5311 Pandemic Funds for Operating Assistance - RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-05-00	1815-2021-7-P5	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership.

Project Benefits

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting

the transit services.

Additional Information

Nebraska uses RTAP funds for training.

Location Description

All of Nebraska except for Omaha, Lincoln, and Small Urban.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not needed for pandemic grants.

UPWP

Date: Not Provided

Description: Not needed for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not needed for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$93,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$93,392

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-05-00	635-00 (635-A6) RURAL TRANSIT ASSISTANCE PROGRAM	\$93,392.00	\$0.00	\$93,392.00	0
NE-2021-015-05-00	43.50.01 TRAINING	\$93,392.00	\$0.00	\$93,392.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just add add funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$93,392
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$93,392

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2021

Project Title: Section 5311 Pandemic Funds for Operating Assistance - Intercity Bus

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-015-06-00	1815-2021-7-P6	6/24/2021	8/15/2021	9/30/2024

Project Description

This grant is made available in response to the pandemic. it is 100% federally funded; no local match. This grant is intended to provide Operating Assistance for subrecipients.

Operating Assistance includes compensation and leaves for drivers and workers supporting ridership, fuel, oil, vehicle maintenance, drivers/workers' personal safety devices, and other items and activities supporting ridership.

Project Benefits

Benefits include additional funding to assist subrecipient(s) to recover from the pandemic. The pandemic caused reduced ridership which reduced fare income and losses of scale of operations.

Additional Information

None provided.

Location Description

All of Nebraska except for Omaha, Lincoln, and Small Urban.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

State	District	Representative
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not needed for pandemic grants.

UPWP

Date: Not Provided

Description: Not needed for pandemic grants.

Long Range Plan

Date: Not Provided

Description: Not needed for pandemic grants.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$1,279,975
----------------------------	--------------------

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-015-06-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,279,975.00	\$0.00	\$1,279,975.00	0
NE-2021-015-06-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$1,279,975.00	\$0.00	\$1,279,975.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. These funds will help subrecipients recover from the pandemic, not just adding funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022. Moreover, ARP funds will be specifically coded for ARP purposes, within the State of Nebraska accounting system, thereby avoiding any possibility that ARP funds will overlap other source funding.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,279,975

Milestone Name	Est. Completion Date	Description
Start Date	8/15/2021	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type	DOL Review for Information
Date	8/18/2021

This application is utilizing FY 2021 (ARP) funds in the amount of \$2,061,855, per the ARP Act. ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

Comment FUNDING:
 ARP funding amounts and categories apportioned to Nebraska, include:
 Section 5310 Operating Assistance - \$144,190
 Section 5311 Operating Assistance - \$544,298
 Section 5311 RTAP - \$93,392
 Section 5311 Intercity Bus - \$1,279,975
 Grant Total: \$2,061,855

Application Review Comments

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	6/28/2021
Comment	Update per TEAMS call in language

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	7/6/2021
Comment	include the dates that the operating assistance covers and whether that funding overlaps with any other COVID grants.

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	7/7/2021
Comment	

Comment By Logan Daniels

Comment Type	Pre-Award Manager Returns Application
Date	7/14/2021
Comment	Please add and complete the dates for each Operating ALIs Extended Budget Description: For Operations activities: Operating funds will cover expenses for existing service incurred beginning XX/XX/20XX until XX/XX/20XX, including.

or
Operating funds will cover expenses for new or expanded service incurred beginning XX/XX/20XX until XX/XX/20XX, including.

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 7/16/2021

Your statement The expenses to be reimbursed will be incurred by subrecipients during June of 2021. Its too vague.

Please delete that statement and add this below for each Operating ALIs Extended Budget Description:

Operating funds will cover expenses for existing service incurred beginning XX/XX/20XX until XX/XX/20XX.

Comment You must also state whether that funding overlaps with any other COVID grants, per note below.

Page 10 of 11 in the ARP Toolkit:

NOTE: If the time period listed for Operating Assistance, Preventive Maintenance, or Project/State/Program Administration overlaps with a corresponding time period in another application (CRRSAA, CARES Act or other FTA funding), please list the applicable FAIN(s) and add a statement explaining that the other available funding is insufficient to cover eligible expenses within the period.

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 7/28/2021

Comment SUBRECIPIENT: This bullet should list ALL subrecipients by name, don't just say rural areas, or intercity bus agencies. Not what you have in there now.

Comment By Logan Daniels

Comment Type Pre-Award Manager Returns Application

Date 8/3/2021

Comment Wrong funding source used; returned to correct

Application Review Comments

Comment By Gerri Doyle

Comment Type Environmental Findings

Date	8/5/2021
Project Title	Section 5310 Pandemic Funds for Operating Assistance - Lincoln
Project Number	NE-2021-015-01-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	Section 5310 Pandemic Funds for Operating Assistance - Small Urban
Project Number	NE-2021-015-02-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	Section 5310 Pandemic Funds for Operating Assistance - Rural
Project Number	NE-2021-015-03-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	Section 5311 Pandemic Funds for Operating Assistance - Statewide Rural
Project Number	NE-2021-015-04-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	Section 5311 Pandemic Funds for Operating Assistance - RTAP
Project Number	NE-2021-015-05-00
Comment	Per the ARP Act, funding is being requested to reimburse training costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/5/2021
Project Title	Section 5311 Pandemic Funds for Operating Assistance - Intercity Bus
Project Number	NE-2021-015-06-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/17/2021
Project Title	Section 5310 Pandemic Funds for Operating Assistance - Lincoln
Project Number	NE-2021-015-01-00
Comment	Per the ARP Act, funding is being requested to reimburse operating costs associated with the response and recovery from the COVID-19 pandemic is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Nebraska Department Of

Recipient ID: 1815

DUNS No: 808881403

Application Information

Federal Award Identification Number: NE-2021-015-00

Application Name: NDOT American Rescue Plan (ARP) Section 5310 and 5311 Funds

Application Start Date: N/A

Original Award End Date: 9/30/2024

Current Award End Date: N/A

Application Executive Summary: July 16, 2021

PURPOSE OF THE AWARD:

This application is utilizing FY 2021 (ARP) funds in the amount of \$2,061,855, per the ARP Act. It is 100% federally funded to prevent, prepare for, and respond to coronavirus and continue subsequent economic recovery. The expenses to be reimbursed will be incurred by subrecipients during the period of July 1, 2021, to June 30, 2022.

ACTIVITIES TO BE PERFORMED:

ARP funds will help subrecipients recover from the pandemic by providing Operating Assistance. This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety

devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover ridership lost due to the pandemic.

EXPECTED OUTCOMES:

Any infusion of cash is always beneficial. These funds will help subrecipients recover from the pandemic, not just additional funds to support routine operational expenses. The pandemic caused reduced ridership which meant reduced fares. These funds can help to recover ridership by using marketing and promoting the transit services.

INTENDED BENEFICIARIES:

This grant will support the large Urbanized Area of Lincoln, NE, Small Urbanized Areas of Grand Island and South Sioux City, Rural Nebraska Areas, and Intercity Bus providers and citizens particularly in the rural and non-urbanized areas of the state.

SUBRECIPIENT:

This includes driver/worker compensation and pandemic-related leaves, fuel, maintenance, personal safety devices, supplies, cleansers, and any other expenses incurred supporting operations and ridership. Marketing will be increased to help recover lost ridership due to the pandemic.

FUNDING:

ARP funding amounts and categories apportioned to Nebraska, include:

Section 5310 Operating Assistance - \$144,190

Section 5311 Operating Assistance - \$544,298

Section 5311 RTAP - \$93,392

Section 5311 Intercity Bus - \$1,279,975

Grant Total: \$2,061,855

For these ARP funds, as is true with all funding, the coding setup within the State of Nebraska accounting system will specifically identify these funds for ARP purposes. There isn't any way these ARP funds will overlap other funding.

See the POP for more details about funding.

The Recipient (NDOT) agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Though activities in this application are not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program, all envisioned projects can be found as programmatic projects within NDOT's POP attached.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$2,061,855.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,061,855.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,061,855.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula (ARPA)	5310-5	20513	\$144,190
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$544,298
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$93,392
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,279,975
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,061,855

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Nebraska Department Of