

## Application

<b>Federal Award Identification Number (FAIN)</b>	MP-2021-001-00
<b>Temporary Application Number</b>	7169-2021-3
<b>Application Name</b>	5311 Operations and Program Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: COMMONWEALTH OFFICE OF TRANSIT AUTHORITY**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7169	State Agency	Commonwealth Office Of Transit Authority	854858263

Location Type	Address	City	State	Zip
Headquarters	ISA DRIVE FIRST FLOOR ADMINIS	SAIPAN	MP	
Physical Address	ISA DRIVE FIRST FLOOR ADMINIS	SAIPAN	MP	96950
Mailing Address	CALLER BOX 10007	SAIPAN	MP	96950

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311|Operations and Program Administration**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MP-2021-001-00	Final Concurrence / Reservation	Grant	5/31/2021	5/31/2021	No

**Application Executive Summary**

Purpose: The purpose of this grant is to continue to provide operating assistance and program administration funds for the Commonwealth Office of Transit Authority (COTA) in order to continue and expand its existing public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI). The grant application applies for a total of \$1,350,018.00.

Activities to be performed: Activities to be performed with this grant are to continue to execute the demand-responsive shared-ride service while also resurrecting the fixed flex route on the island of Saipan, CNMI.

Expected Outcomes: The expected outcomes are to provide alternate forms of transportation and provide accessibility into our community - most especially for people with disabilities, the elderly, and medical conditions.

Intended beneficiaries: local residents, the private and public sector, visitors, tourists, the whole entire community.

Subrecipient Activities: COTA does not have any sub-recipients.

The breakdown of the \$1,350,018 is as follows: FY2019 SECTION 5339- \$1,000,000| FY2019 SECTION 5310- \$9,385 | FY2019 SECTION 5311 \$275,537 | FY2019 SECTION 5311(b)(3)- \$15,162 | and FY2018 SECTION 5339- \$49,934.

FTA Circular 9040. 1F, Chapter III-5, Section 1e, and 48 U.S.C. Section 14 U.S.C 1469(a) allows insular areas such as the Northern Mariana Islands to consolidate all the above-mentioned grants to a single grant namely, Section 5311 grant program.

- 1) Federal agencies to consolidate any or all grants to each of the insular areas and to waive requirements for matching funds, applications, and reports with respect to the consolidated grants, and
- 2) Each insular area to use the consolidated grant funds for any purposes or program authorized for any of the consolidated grants.

This grant application overlaps the current CARES act funds as the current funding through the CARES Act grant is insufficient to carry out the current COVID-19 related operations that the COTA is still actively responsible for fulfilling through the state's Governor's COVID-19 Task Force.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect costs will be applied to this application and apply to one or more of its activities contained within the awarded scope of work. The cognizant agency , U.S. Department of the Interior approved this rate. A copy of our organization's approved rate is on file in TRAMS Recipient Documents . The approved rate will be charged to the applicable activity line description.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677
Alfreda	Camacho	Special Assistant for Public Trans.	alfreda.camacho@gov.mp	670-664-2682

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,350,018
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,350,018</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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MP-2021-001-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$225,503.00	\$0.00	\$225,503.00	1
MP-2021-001-01-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$225,503.00	\$0.00	\$225,503.00	1
MP-2021-001-01-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$50,034.00	\$0.00	\$50,034.00	1
MP-2021-001-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$50,034.00	\$0.00	\$50,034.00	1
MP-2021-001-02-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$49,934.00	\$0.00	\$49,934.00	1
MP-2021-001-02-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$49,934.00	\$0.00	\$49,934.00	1
MP-2021-001-03-00	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$9,385.00	\$0.00	\$9,385.00	1
MP-2021-001-03-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$9,385.00	\$0.00	\$9,385.00	1
MP-2021-001-04-00	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$15,162.00	\$0.00	\$15,162.00	1
MP-2021-001-04-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$15,162.00	\$0.00	\$15,162.00	1
MP-2021-001-05-00	300-00 (300-A6)	OPERATING ASSISTANCE	\$1,000,000.00	\$0.00	\$1,000,000.00	1
MP-2021-001-05-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$1,000,000.00	\$0.00	\$1,000,000.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

## Project Title: Operating Assistance and Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2021-001-01-00	7169-2021-3-P1	6/1/2021	6/21/2021	9/30/2023

### Project Description

The Commonwealth Office of Transit Authority (COTA) will utilize these funds to operate its current public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI). COTA will also utilize these funds to administer this program, which includes indirect cost at a rate of 9.23%.

### Project Benefits

The project will allow COTA to continue achieving its goal in providing a safe, efficient, effective, flexible, and responsive transit service that meet the public demand, and provide a more reliable form of transportation for the CNMI community- most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

### Additional Information

*None provided.*

### Location Description

The Operating Assistance and Program Administration project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

## Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

## Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

## Program Plan Information

### STIP/TIP

Date: 7/19/2020

Description: Not Provided

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$275,537
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$275,537</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2021-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$225,503.00	\$0.00	\$225,503.00	1
MP-2021-001-01-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$225,503.00	\$0.00	\$225,503.00	1
MP-2021-001-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$50,034.00	\$0.00	\$50,034.00	1
MP-2021-001-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$50,034.00	\$0.00	\$50,034.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

COTA will utilize these funds for day to day operations of the program (i.e. wages and salaries, fuel and

lubrications, repairs and maintenance, communications, etc.)

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$225,503
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$225,503</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/21/2021	
End Date	9/30/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

The specified amount in this line item scope will cover the approved indirect cost rate of 9.23% which amounts to \$124,515.00.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,034
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$50,034</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/21/2021	
End Date	9/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Project Title: Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2021-001-02-00	7169-2021-3-P2	7/20/2021	7/26/2021	9/30/2023

#### Project Description

The Commonwealth Office of Transit Authority (COTA) will utilize these funds to administer this program that operates public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI) will also include an indirect cost at a rate of 9.23%.

#### Project Benefits

The project will allow COTA to continue achieving its goal in providing safe, efficient, effective, flexible, and responsive transit services that meet the public demand and provide a more reliable form of transportation for the CNMI community- most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

#### Additional Information

*None provided.*



**Location Description**

The Program Administration project will be located on the island of Saipan which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
690000	North Mariana Islands

**Congressional District Information**

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$49,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$49,934</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2021-001-02-00	610-00 STATE / PROGRAMS (610-A3) ADMINISTRATION	\$49,934.00	\$0.00	\$49,934.00	1
MP-2021-001-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$49,934.00	\$0.00	\$49,934.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

COTA shall utilize these funds to help administer the program which operates to provide public transportation services in the CNMI.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$49,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$49,934</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/26/2021	
End Date	9/30/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: Program Administration**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2021-001-03-00	7169-2021-3-P3	7/20/2021	7/26/2021	9/30/2023

**Project Description**

The Commonwealth Office of Transit Authority (COTA) will utilize these funds to administer this program and support the public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI) which includes indirect cost at a rate of 9.23%.

**Project Benefits**

The project will allow COTA to continue achieving its goal in providing a safe, efficient, flexible, and responsive transit service that meet the public demand, and provide a more reliable form of transportation for the CNMI community - most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

**Additional Information**

*None provided.*

**Location Description**

The Program Administration project will be located on the island of Saipan, which is an Insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
690000	North Mariana Islands

## Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,385
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,385</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2021-001-03-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$9,385.00	\$0.00	\$9,385.00	1
MP-2021-001-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$9,385.00	\$0.00	\$9,385.00	1

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

### Extended Budget Description

COTA will utilize these funds to help administer which operates to provide public transportation services in the CNMI.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,385
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$9,385</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/26/2021	
End Date	9/30/2023	

## Project Environmental Findings

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

**Project Title: Program Administration**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2021-001-04-00	7169-2021-3-P4	7/20/2021	7/26/2021	9/30/2023

**Project Description**

The Commonwealth Office of Transit Authority (COTA) will utilize these funds to administer this program that operates public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI) which includes indirect cost at a rate of 9.23%.

**Project Benefits**

The project will allow COTA to continue achieving its goal in providing a safe, efficient, effective, flexible, and responsive transit service that meets the public demand and provides a more reliable form of transportation for the CNMI community - most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

**Additional Information**

*None provided.*

**Location Description**

The Program Administration project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
690000	North Mariana Islands

**Congressional District Information**

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,162
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$15,162</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2021-001-04-00	610-00 STATE / PROGRAMS (610-A5) ADMINISTRATION	\$15,162.00	\$0.00	\$15,162.00	1
MP-2021-001-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$15,162.00	\$0.00	\$15,162.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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STATE / PROGRAMS 11.80.00 STATE OR PROGRAM STATE / PROGRAM 1  
 ADMINISTRATION (610-00) ADMINISTRATION ADMINISTRATION

**Extended Budget Description**

COTA shall utilize these funds to help administer the program which operates to provide public transportation services in the CNMI.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,162
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$15,162</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/26/2021	
End Date	9/30/2023	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved



## Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2021-001-05-00	7169-2021-3-P5	7/20/2021	7/26/2021	9/30/2023

### Project Description

The Commonwealth Office of Transit Authority (COTA) shall utilize these funds to operate its current public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI).

### Project Benefits

The project will allow COTA to continue achieving its goal in providing a safe, efficient, effective, flexible, and responsive transit service that meets the public demand and provides a more reliable form of transportation for the CNMI community - most especially people with no other option for transportation such as the elderly, people with disabilities, and people with medical conditions.

### Additional Information

*None provided.*

### Location Description

The Operating Assistance project will be located on the island of Saipan which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

## Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

## Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2021-001-05-00	300-00 (300-A6) OPERATING ASSISTANCE	\$1,000,000.00	\$0.00	\$1,000,000.00	1
MP-2021-001-05-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$1,000,000.00	\$0.00	\$1,000,000.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

COTA shall utilize these funds to support the operations of the public transportation services in the CNMI.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/26/2021	
End Date	9/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By Ryan Fujii**

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Comment Type DOL Review for Information

Date 7/31/2021

Comment This application is for DOL information. Application is for operating and administrative expenses

## Application Review Comments

**Comment By Ryan Fujii**

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Comment Type Pre-Award Manager Returns Application

Date 6/27/2021

Comment Project needs to be broken out by funding source. Each funding source should be its own project. Note other grants that have duplication of operating funds. Verify GTIP

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: COMMONWEALTH OFFICE OF TRANSIT AUTHORITY

Recipient ID: 7169

DUNS No: 854858263

### **Application Information**

Federal Award Identification Number: MP-2021-001-00

Application Name: 5311|Operations and Program Administration

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: Purpose: The purpose of this grant is to continue to provide operating assistance and program administration funds for the Commonwealth Office of Transit Authority (COTA) in order to continue and expand its existing public transportation services for the Commonwealth of the Northern Mariana Islands (CNMI). The grant application applies for a total of \$1,350,018.00.

Activities to be performed: Activities to be performed with this grant are to continue to execute the demand-responsive shared-ride service while also resurrecting the fixed flex route on the island of Saipan, CNMI.

Expected Outcomes: The expected outcomes are to provide alternate forms of transportation and provide accessibility into our community - most especially for people with disabilities, the elderly, and medical conditions.

Intended beneficiaries: local residents, the private and public sector, visitors, tourists, the whole entire community.

Subrecipient Activities: COTA does not have any sub-recipients.

The breakdown of the \$1,350,018 is as follows: FY2019 SECTION 5339- \$1,000,000| FY2019 SECTION 5310- \$9,385 | FY2019 SECTION 5311 \$275,537 | FY2019 SECTION 5311(b)(3)- \$15,162 | and FY2018 SECTION 5339- \$49,934.

FTA Circular 9040. 1F, Chapter III-5, Section 1e, and 48 U.S.C. Section 14 U.S.C 1469(a) allows insular areas such as the Northern Mariana Islands to consolidate all the above-mentioned grants to a single grant namely, Section 5311 grant program.

- 1) Federal agencies to consolidate any or all grants to each of the insular areas and to waive requirements for matching funds, applications, and reports with respect to the consolidated grants, and
- 2) Each insular area to use the consolidated grant funds for any purposes or program authorized for any

of the consolidated grants.

This grant application overlaps the current CARES act funds as the current funding through the CARES Act grant is insufficient to carry out the current COVID-19 related operations that the COTA is still actively responsible for fulfilling through the state's Governor's COVID-19 Task Force.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$1,350,018.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,350,018.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,350,018.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$1,350,018
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,350,018</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*COMMONWEALTH OFFICE OF TRANSIT AUTHORITY*