

## Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2021-049-00
<b>Temporary Application Number</b>	6935-2021-4
<b>Application Name</b>	5311 Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: Fond Du Lac Reservation**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	557188161
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 Operating Assistance**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2021-049-00	Final Concurrence / Reservation	Grant	6/29/2021	6/29/2021	No

**Application Executive Summary**

\$383,785 in FY20 5311 funding will be used to for Operational expenses (01/01/2021-09/30/2024) 5311 such as, salaries. gas and supplies with a shelf life of less than one year. Local funding of \$383,785 will be provided by Fond du Lac Reservation. This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment because will continue to operate Fond du Lac Transit in calendar year 2021. Our people have come to depend on Fond du Lac Transit for access to these many places and Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation. Fond du Lac Transit runs 6:00am-6:00pm seven days a week at this time. With two runs to Duluth Monday - Friday. More information can be found at fdlrez.com under Transit tab.

Due to a decrease in local share, available funding in awards MN-2021-024, MN-2020-040, and MN-2021-006 is insufficient to cover eligible operating expenses within the period.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Matthew	Lange	Transportation Program Specialist	matthew.lange@dot.gov	(312) 353-4118
Tammy	Anderson	Transit Manager	tammyanderson@fdlrez.com	(218) 878-8008

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$383,785
Local			\$383,785
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$767,570</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-049-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-00	UP TO 50% 30.09.01 FEDERAL SHARE	\$383,785.00	\$383,785.00	\$767,570.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: MnDot 5311 Operational

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-049-01-00	6935-2021-4-P2	7/28/2021	1/1/2021	12/31/2021

### Project Description

Grant will be used for Operational expenses (01/01/2021-09/30/2024) 5311 FY20 such as salaries, gas and supplies with a shelf life of less than one year.

**Project Benefits**

Grant will be used to service the Fond du Lac Reservation and surrounding area. This project makes a large impact on the community by improving the access to employment, medical, school, shopping, recreation and community events. Fond du Lac Transit has a duty to provide service to all Fond du Lac Band members who live on or near the Reservation. Fond du Lac Transit is open Monday - Friday 5am-6pm and Saturday & Sunday 6am-6pm. Fond du Lac Transit has a fixed route 3 times a day Monday - Friday 7am-5pm from the Reservation to Duluth.

**Additional Information**

During the pandemic we are more of a on demand to service people going to vaccination sites and food banks being our top priority. Our hours have been extended by an hour for a few months to accommodate employment and elderly shopping safer hours.

**Location Description**

Pete Stauber is our Congressman in the 8th district.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
270000	Minnesota

**Congressional District Information**

State	District	Representative
Minnesota	8	Richard M Nolan

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 8/3/2018

Description: Long range plan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$383,785

Local		\$383,785
Local/In-Kind		\$0
State		\$0
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## Project Budget

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MN-2021-049-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$383,785.00	\$383,785.00	\$767,570.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

Grant will be used for Operational expenses (01/01/2021-09/30/2024) (5311 FY20) such as salaries, gas and supplies with a shelf life of less than one year. The undertakings are consistent with allowable activities outlined in C90230.1E, pages IV-14 to IV-15 and appendix B, respectively.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

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State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$767,570</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Operating end date
End Date	12/31/2021	end date completion

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Matthew Lange**

Comment Type DOL Review for Information

Date 8/17/2021

Comment Information only.

## Application Review Comments

Comment By **Matthew Lange**

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Comment Type Pre-Award Manager Returns Application

Date 7/30/2021

Comment

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Fond Du Lac Reservation

Recipient ID: 6935

DUNS No: 039582366

## **Application Information**

Federal Award Identification Number: MN-2021-049-00

Application Name: 5311 Operating Assistance

Application Start Date: N/A

Original Award End Date: 12/31/2023

Current Award End Date: N/A

Application Executive Summary: \$383,785 in FY20 5311 funding will be used to for Operational expenses (01/01/2021-09/30/2024) 5311 such as, salaries. gas and supplies with a shelf life of less than one year. Local funding of \$383,785 will be provided by Fond du Lac Reservation. This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment because will continue to operate Fond du Lac Transit in calendar year 2021. Our people have come to depend on Fond du Lac Transit for access to these many places and Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation. Fond du Lac Transit runs 6:00am-6:00pm seven days a week at this time. With two runs to Duluth Monday - Friday. More information can be found at fdlrez.com under Transit tab.

Due to a decrease in local share, available funding in awards MN-2021-024, MN-2020-040, and MN-2021-006 is insufficient to cover eligible operating expenses within the period.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$767,570.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$383,785.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$383,785.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$383,785.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$383,785.00



### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$383,785
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$767,570</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Fond Du Lac Reservation*