

Application

Federal Award Identification Number (FAIN)	CO-2021-041-00
Temporary Application Number	1130-2021-8
Application Name	FFY20 & 21 Section 5311 - Operating, Capital, State Admin, Program Admin, ICB and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, COLORADO DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1130	State Agency	Colorado Department of Transportation	960738771

Location Type	Address	City	State	Zip
Headquarters	4201 E ARKANSAS AVE	DENVER	CO	802223406
Physical Address	2829 W HOWARD PL	DENVER	CO	80204
Mailing Address	2829 W HOWARD PL	DENVER	CO	80204

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY20 & 21 Section 5311 - Operating, Capital, State Admin, Program Admin, ICB and RTAP

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CO-2021-041-00	Final Concurrence / Reservation	Grant	7/13/2021	7/13/2021	No

Application Executive Summary

This grant will use the remaining FFY20 and full FY21 5311 Apportionments. CDOT selected transit projects in Colorado rural areas totaling:

\$590,848 - (FFY 2020 5311 remaining apportionment - to be applied to operating scope)
 + \$179,595 - (FFY 2021 RTAP full apportionment - to be applied to RTAP scope)
 + \$13,275,471 - (FFY 2021 5311 full apportionment - to be applied to all other scopes)

 Total: \$14,045,914

PROGRAM REQUIREMENTS:

FFY20 funding program requirements have been satisfied in grant CO-2021-007

FFY21 funding program requirements in this application total:

- 5.58% of FFY 2021 5311 funds are for State Admin funding, satisfying the 10% maximum admin requirement.
- 16.81% of FFY2021 5311 funds toward 5311 (f) projects, meets the 15% minimum ICB program requirement.

CDOT has entered and rolled the remaining FFY20 and FFY2021 5311 program into CDOT 2022-2025 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The total amount of SST6729.00 under the roll forward amount, includes FFY2020 and FFY2021 funds. The STIP page is attached.

CDOT has selected 2021 5311 projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR. The approximate amount that will be using pre-award authority is \$5,448,461 based on invoicing from the end of July 2021.

All local match sources by project are captured in the Program of Projects (POP). All local match sources were confirmed as eligible local match sources.

Documents Attached:

- Program of Projects (POP)
- STIP pages
- Transportation Development Credits approval letter

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Peter	Hadley	Transit Programming Specialist	peter.hadley@state.co.us	(303) 757-9973
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
Callion	Maddox	Transportation Program Specialist	callion.maddox@dot.gov	(303) 362-2414

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,045,914
Local			\$9,162,374
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,116,041
Adjustment			\$0
Total Eligible Cost			\$23,208,288

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-041-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$9,375,804.00	\$8,783,004.00	\$18,158,808.00	39
CO-2021-041-01-00	11.12.01 RFTA 40' Bus Replacement	\$496,000.00	\$124,000.00	\$620,000.00	1
CO-2021-041-01-00	11.12.02 Mountain Express 35' Bus Replacement	\$166,000.00	\$41,500.00	\$207,500.00	1
CO-2021-041-01-00	11.12.04 Mountain Express 26' Bus Replacement	\$128,400.00	\$32,100.00	\$160,500.00	1
CO-2021-041-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$8,585,404.00	\$8,585,404.00	\$17,170,808.00	36
CO-2021-041-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$740,956.00	\$0.00	\$740,956.00	1
CO-2021-041-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$740,956.00	\$0.00	\$740,956.00	1
CO-2021-041-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$1,517,477.00	\$379,370.00	\$1,896,847.00	24
CO-2021-041-01-00	11.79.00 PROJECT ADMINISTRATION	\$1,517,477.00	\$379,370.00	\$1,896,847.00	24
CO-2021-041-01-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$2,232,082.00	\$0.00	\$2,232,082.00	5
CO-2021-041-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,232,082.00	\$0.00	\$2,232,082.00	5
CO-2021-041-01-00	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$179,595.00	\$0.00	\$179,595.00	1
CO-2021-041-01-00	43.50.01 TRAINING	\$179,595.00	\$0.00	\$179,595.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 2020/2021 5311 Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-041-01-00	1130-2021-8-P1	7/14/2021	1/1/2021	12/31/2023

Project Description

This project covers 5 scope activities:

1) 600-00 Other Program Costs

This scope includes:

A) the replacement of 3 vehicles, all at an 80/20 Federal share ratio (FTA amount of \$790,400 /Local amount of 197,600).

B) operating assistance for 36 rural transit providers with a 50/50 cost share (FTA amount of \$8,585,404 /local amount \$8,585,404).

2) 610-00 State Admin

CDOT will utilize \$740,956 (FTA) at a 100/0 match rate for state admin expenses. \$740,956 is approximately 5.58% of FFY2021 5311 apportionment, and is below the 10% cap available for state admin.

3) 635-00 RTAP

CDOT applies for the full amount of 2021 5311(b) apportionment of RTAP funding, \$179,595, (100% FTA), for training and conferences conducted by CASTA.

4) 620-00 Project Admin.

2021 5311 Project Admin funds were awarded at FTA Amount of \$1,517,477 /Local amount of \$379,370, with a 80/20 local match rate.

5) 634-00 Intercity Bus (ICB)

Five ICB route services were selected for FTA ICB funds in the federal amount of \$2,232,082 and Toll Credits in the amount of \$1,116,041. All five routes were approved for Transportation Development Credits, which essentially increases the federal share to 100% of the total service cost. The Transportation Development Credit Memos and approval emails are attached within this application. Please see details on Budget Activity Line item for Greyhound, Alpine Express, SRDA, and SoCoCaa projects.

Project Benefits

CDOT utilized 2020/2021 5311 funds to 1) enhance the access of people in rural areas to health care, shopping, education, recreation, public services and employment by encouraging the development, maintenance, improvement, and use of public transportation services, and 2) to develop, support, and promote a network of intercity bus (ICB) transportation services in Colorado.

Additional Information

Although the State of Colorado is eligible for sliding scale match ratios, CDOT does not apply sliding scale ratios as a matter of policy described in CDOT's State Management Plan.

Location Description

Please see POP for details on each sub-recipients service area.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	1	Diana DeGette
Colorado	2	Joe Neguse
Colorado	3	Lauren Boebert
Colorado	4	Ken Buck
Colorado	5	Doug Lamborn
Colorado	6	Jason Crow
Colorado	7	Ed Perlmutter

Program Plan Information

STIP/TIP

Date: 7/1/2021

Description: CDOT has entered and rolled all 2021 5311 projects into the current CDOT SFY 2022-2025 STIP under the program pool ID- SST6729.00

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,045,914
Local			\$9,162,374
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,116,041
Adjustment			\$0
Total Eligible Cost			\$23,208,288

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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CO-2021-041-01-00	11.12.01 RFTA 40' Bus Replacement	\$496,000.00	\$124,000.00	\$620,000.00	1
CO-2021-041-01-00	11.12.02 Mountain Express 35' Bus Replacement	\$166,000.00	\$41,500.00	\$207,500.00	1
CO-2021-041-01-00	11.12.04 Mountain Express 26' Bus Replacement	\$128,400.00	\$32,100.00	\$160,500.00	1
CO-2021-041-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$8,585,404.00	\$8,585,404.00	\$17,170,808.00	36
CO-2021-041-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$740,956.00	\$0.00	\$740,956.00	1
CO-2021-041-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$740,956.00	\$0.00	\$740,956.00	1
CO-2021-041-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$1,517,477.00	\$379,370.00	\$1,896,847.00	24
CO-2021-041-01-00	11.79.00 PROJECT ADMINISTRATION	\$1,517,477.00	\$379,370.00	\$1,896,847.00	24
CO-2021-041-01-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$2,232,082.00	\$0.00	\$2,232,082.00	5
CO-2021-041-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,232,082.00	\$0.00	\$2,232,082.00	5

CO-2021-041-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$179,595.00	\$0.00	\$179,595.00	1
CO-2021-041-01-00		43.50.01 TRAINING	\$179,595.00	\$0.00	\$179,595.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - Mountain Express 26' Bus Replacement

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This line item includes one diesel ADA accessible 26-foot bus replacement awarded to Mountain Express.

The useful life for the new bus is 200,000 miles and 7 years. The useful life of the vehicle being replaced is 10 years 350,000 miles. Both per CDOT's SMP.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment.

Matching ratio is 80/20 per State Management Plan.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$128,400
Local			\$32,100
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$160,500

Milestone Name	Est. Completion Date	Description
Award Date	6/1/2021	CDOT made the 2021 capital project selection.
Contract Execution Date	12/31/2021	CDOT grant agreement will be executed.
Vehicle Delivery Date	12/31/2022	The vehicle is expected to be delivered by the end of 2022.
Contract Closed Date	3/31/2023	The grant agreement will be closed.

Budget Activity Line Item: 11.12.02 - Mountain Express 35' Bus Replacement

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This line item includes one gasoline ADA accessible 35-foot bus replacement awarded to Mountain Express.

The useful life for the new bus is 500,000 miles and 12 years, the useful life of the vehicle being replaced was 7 years, 200,000. Both per CDOT's SMP.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment. The useful life and match rate for rolling stock is per CDOT's SMP.

Matching ratio is 80/20 per State Management Plan.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$166,000
Local			\$41,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$207,500

Milestone Name	Est. Completion Date	Description
Award Date	6/1/2021	CDOT selected 2021 capital awards.
Contract Execution Date	12/31/2021	The grant agreement executed.
Vehicle Delivery Date	9/1/2023	The vehicle delivered.
Contract Close Date	12/31/2023	The contract closed.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	5

Extended Budget Description

This ALI covers operating assistance for five ICB service routes all for the timeframe of 1/1/2021 – 12/31/2021:

1. Pueblo SRDA was awarded \$244,890 FTA 5311(f) funds for service between Lamar and Colorado Springs.
2. Durango SoCoCaa was awarded \$479,731 FTA 5311(f) funds for service between Durango and Grand Junction.
3. Greyhound Lines was awarded \$850,000 FTA 5311 (f) funds for service between Craig and Denver.
4. Alpine Express was awarded \$230,000 FTA 5311 (f) funds for service between Telluride and Grand Junction.
5. Alpine Express was awarded \$427,000 FTA 5311 (f) funds for service between Gunnison and Grand Junction.

The local matching funds were provided through Transportation Development Toll Credits (TDC). The TDC memos to FTA and FHWA were attached within the application.

16.81% of FFY2021 5311 funds toward 5311 (f) projects, meets the 15% minimum ICB program requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,232,082
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,116,041
Adjustment			\$0
Total Eligible Cost			\$2,232,082

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	The ICB services begins.
End Date	12/31/2021	The ICB services ends.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	24

Extended Budget Description

This line items includes 24 sub-recipients awarded 2021 project admin funds that cover the timeframe of 1/1/2021 through 12/31/2021 for general administrative expenses (salaries of project director, administrative assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing).

Federal/local share ratio is 80/20 for this activity.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,517,477
Local			\$379,370
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,896,847

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	The project admin begins.
End Date	12/31/2021	The project admin ends.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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STATE / PROGRAMS 11.80.00 STATE OR PROGRAM STATE / PROGRAM 1
 ADMINISTRATION (610-00) ADMINISTRATION ADMINISTRATION

Extended Budget Description

CDOT will utilize \$740,956 at a 100/0 match rate for state admin expenses, staff salaries and benefits, and consultant services to provide FTA grants administration, planning and technical assistance. Consultant services may be utilized for Drug and Alcohol testing support, CASTA transit coordination support, Asset Management, price agreement and transit and rail planning support, as needed. Consultants will be procured according to State Procurement standards, likely through on-call contracts already in place.

\$740,956 is approximately 5.58% of FFY2021 5311 apportionment, and is below the 10% cap available for state admin.

Federal share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$740,956
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$740,956

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	CDOT will start to draw down the funds.
End Date	12/31/2021	CDOT will complete the drawdowns on the state admin funds.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

This line item includes CDOT's RTAP program funds. CDOT contracts with the Colorado Association of Transit Agencies (CASTA) to carry out most of its RTAP program, to accomplish the following goals:

- Provide and arrange training to rural transportation providers
- Provide technical assistance to rural agencies
- Promote research into rural transit issues and possible solutions

- Serve as an information clearinghouse for information related to rural transit
- Maintain a library of resources
- Coordinate with national organizations such as CTAA, APTA, and TRB to disseminate information relevant to rural transit providers
- Communicate relevant information of interest to rural transportation providers via newsletters, workshops and an annual conference

This is for the timeframe of 1/1/2021 – 12/31/2021.

Federal share is 100%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$179,595
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$179,595

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	2021 CASTA contract starts.
End Date	12/31/2021	2021 CASTA contract ends.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	36

Extended Budget Description

This line items includes 36 sub-recipients that were awarded 2021 operating assistance which covers the operating timeframe of 1/1/2021 through 12/31/2021 for drivers' salaries and fringe benefits, fuel, oil, dispatcher salaries and fringe benefits, and licenses.

Federal/local share ratio is 50/50.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,585,404
Local			\$8,585,404
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,170,808

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Operating services begin.
End Date	12/31/2021	Operating services end.

Budget Activity Line Item: 11.12.01 - RFTA 40' Bus Replacement

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This line item includes one diesel ADA accessible 40-foot bus replacement awarded to Roaring Fork Transportation Authority.

The useful life for the new and replacement buses are 500,000 miles and 12 years.

CDOT has evaluated sub-recipient's applications and confirmed that all replaced vehicles have met their useful life. The POP has additional details for each grantee, such as local match (80/20), and replacement vehicle information, including age, mileage and VIN is included in the POP.

Projects in this rolling stock scope qualify as Class II(C)-Categorical Exclusions (C-List) Type 07: Acquisition, Maintenance of Vehicles/Equipment.

Matching ratio is 80/20 per State Management Plan.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$496,000
Local			\$124,000
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$620,000

Milestone Name	Est. Completion Date	Description
Award Date	6/1/2021	CDOT selected 2021 capital awards.
Contract Execution Date	12/31/2021	The grant agreement executed.
Vehicle Delivery Date	9/1/2023	The vehicle delivered.
Contract Close Date	12/31/2023	The contract closed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.01	RFTA 40' Bus Replacement	1	\$496,000.00	\$620,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	36	\$8,585,404.00	\$17,170,808.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

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Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	24	\$1,517,477.00	\$1,896,847.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$740,956.00	\$740,956.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$179,595.00	\$179,595.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	5	\$2,232,082.00	\$2,232,082.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.02	Mountain Express 35' Bus Replacement	1	\$166,000.00	\$207,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/28/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	Mountain Express 26' Bus Replacement	1	\$128,400.00	\$160,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Emma Belmont

Comment Type	DOL Review for Information
Date	8/25/2021
Comment	Grantee Contact: Qing Lin, Transit Programming Unit Manager Certified Transit Program Administrator P 303.757.9973 F 303.757.9727 2829 West Howard PL, Suite 422 f, Denver, CO 80204 qing.lin@state.co.us http://www.codot.gov www.cotrip.org

Application Review Comments

Comment By Morgan Hecht

Comment Type	Application Details
Date	7/28/2021
Comment	Requirements to Remember for: FTA-assisted vehicle purchases: Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements. Recipients must report these vehicle purchases on the form on FTA's website: https://www.surveymonkey.com/r/vehicleawardreportsurvey As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle

manufacturers (TVMs) that have DBE programs and goals which are FTA-approved:

<https://www.transit.dot.gov/TVM>

These TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact FTA to confirm.

Requirements to Remember for: Remanufacture or Rebuilt Vehicles:

Your agency must comply with all applicable Federal laws and regulations related to this project, including the accessibility specifications for transportation vehicles.

Specifically, your agency must comply with the ADA Standards for rehabilitating vans and cutaway buses. If altering or rebuilding vehicles, the vehicles must be readily accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA or other civil rights requirements.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, COLORADO DEPARTMENT OF

Recipient ID: 1130

DUNS No: 960738771

Application Information

Federal Award Identification Number: CO-2021-041-00

Application Name: FFY20 & 21 Section 5311 - Operating, Capital, State Admin, Program Admin, ICB and RTAP

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: This grant will use the remaining FFY20 and full FY21 5311 Apportionments. CDOT selected transit projects in Colorado rural areas totaling:

\$590,848 - (FFY 2020 5311 remaining apportionment - to be applied to operating scope)
+ \$179,595 - (FFY 2021 RTAP full apportionment - to be applied to RTAP scope)
+ \$13,275,471 - (FFY 2021 5311 full apportionment - to be applied to all other scopes)

Total: \$14,045,914

PROGRAM REQUIREMENTS:

FFY20 funding program requirements have been satisfied in grant CO-2021-007

FFY21 funding program requirements in this application total:

- 5.58% of FFY 2021 5311 funds are for State Admin funding, satisfying the 10% maximum admin requirement.

- 16.81% of FFY2021 5311 funds toward 5311 (f) projects, meets the 15% minimum ICB program requirement.

CDOT has entered and rolled the remaining FFY20 and FFY2021 5311 program into CDOT 2022-2025 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The total amount of SST6729.00 under the roll forward amount, includes FFY2020 and FFY2021 funds. The STIP page is attached.

CDOT has selected 2021 5311 projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR. The approximate amount that will be using pre-award authority is \$5,448,461 based on invoicing from the end of July 2021.

All local match sources by project are captured in the Program of Projects (POP). All local match sources were confirmed as eligible local match sources.

Documents Attached:

- Program of Projects (POP)
- STIP pages
- Transportation Development Credits approval letter

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$23,208,288.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$14,045,914.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$9,162,374.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,045,914.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$9,162,374.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,045,914
Local			\$9,162,374
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$1,116,041
Adjustment		\$0
Total Eligible Cost		\$23,208,288

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, COLORADO DEPARTMENT OF