

Application

Federal Award Identification Number (FAIN)	AZ-2021-053-00
Temporary Application Number	1635-2021-5
Application Name	5311(b) & STBG AZ Rural Public Transit & RTAP FFY 2021
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, ARIZONA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1635	State Agency	AZ DOT Multimodal Planning Division Transit Programs and Grants	098416667

Location Type	Address	City	State	Zip
Headquarters	206 SOUTH 17TH AVENUE	PHOENIX	AZ	850073213
Physical Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007
Mailing Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311(b) & STBG | AZ Rural Public Transit & RTAP | FFY 2021

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AZ-2021-053-00	Final Concurrence / Reservation	Grant	6/21/2021	6/21/2021	No

Application Executive Summary

ADOT will not be applying for the full 2021 Formula Apportionment (\$13,954,184 FED) in this application, but will instead be applying for:

\$ 7,352,470 2021 Formula Apportionment
 \$190,477 RTAP
 \$1,000,000 2020 STBG

Local match: \$ 2,873,770
 Local in-kind: \$1,082,153

Also, this application includes the Hopi Tribe's FY2020 funding award (\$500,000 FED) that ADOT did not originally apply for in our FY2020 application because the Hopi Tribe chose not to transfer their award to FTA.

To be obligated: \$ 8,542,947

Purpose: The purpose of this grant is to provide Project Administration, Operating, Preventive Maintenance, and capital funding to rural transit agencies in Arizona to continue to support the operation of their transit programs. The State of Arizona will utilize Admin funding to manage the grant activities and provide technical assistance to our sub recipients.

Activities to be performed: Operations of rural transit services in Arizona including demand response service, deviated fixed route service, fixed route with complementary paratransit and intercity feeder services and intercity bus services. Procurement of Capital projects that will further assist with maintaining rural transit vehicle fleets in good repair and enhancing the overall experience for the public when using these rural transit services.

Expected Outcomes: Provide transit trips to rural residents while maintaining safe operations of transit vehicles.

Intended Beneficiaries: local residents, businesses and health care providers by providing trips for employment and essential needs.

Sub recipient Activities: sub recipients will be utilized to provide transit services for residents in rural Arizona and intercity bus services will continue in the state.

Higher federal share rates for capital costs are available to Arizona which has obtained a signed agreement with FHWA under 23 U.S.C. 120(b) (2). The maximum federal match allowed for Arizona is 94.3% for capital projects and 58.94% for operating. Arizona chooses to use a 90% federal match for capital and a 58% federal match for operating.

The State of Arizona solicits and awards GSA style contracts, as per FTA C 4220.1F and allows all state agencies to purchase from the contract. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process and will acquire rolling stock on behalf of 5311 sub-recipients with the 2021 awarded funds.

[Note: Though not included in this application, four (4) tribes requested transfer of \$403,200 award from

the FY2021 formula apportionment to FTA as direct recipients per FTA's Circular 9040.1G:

- Hualapai Indian Tribe, \$81,000 fed
- Navajo Transit System, \$121,200 fed
- San Carlos Apache Tribe, \$163,000 fed
- White Mountain Apache Tribe, \$38,000 fed

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award includes research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect Cost Rate: 3.65% Direct Expenditures & Wages excluding Fringe Benefits
Amount of Indirect Costs: \$311,817.57

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID: DTA21010

Date Received by State: 6/22/2021 8:00 PM EDT

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Arianna	Valle	General Engineer	arianna.valle@dot.gov	(602) 382-8967
Aubree	Perry	Transit Program Manager	aperry2@azdot.gov	602-712-8947

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,000,000
5311 - Rural Area Formula	5311-1A	20509	\$7,542,947
Local			\$2,873,770
Local/In-Kind			\$1,082,153
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,498,870

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-053-01-00	111-00 (111-A3) BUS - ROLLING STOCK	\$563,400.00	\$62,600.00	\$626,000.00	6
AZ-2021-053-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$563,400.00	\$62,600.00	\$626,000.00	6
AZ-2021-053-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$386,600.00	\$96,650.00	\$483,250.00	21
AZ-2021-053-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$386,600.00	\$96,650.00	\$483,250.00	21
AZ-2021-053-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$50,000.00	\$0.00	\$50,000.00	1
AZ-2021-053-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$50,000.00	\$0.00	\$50,000.00	1
AZ-2021-053-02-00	635-00 (635-B2) RURAL TRANSIT ASSISTANCE PROGRAM	\$190,477.00	\$0.00	\$190,477.00	1
AZ-2021-053-02-00	43.50.01 TRAINING	\$190,477.00	\$0.00	\$190,477.00	1

AZ-2021-053-03-00	111-00 (111-A7)	BUS - ROLLING STOCK		\$751,842.00	\$83,538.00	\$835,380.00	27
AZ-2021-053-03-00		11.12.04 BUY REPLACEMENT <30 FT BUS		\$723,018.00	\$80,335.00	\$803,353.00	6
AZ-2021-053-03-00		11.14.04 REHAB/REBUILD <30-FT BUS		\$9,180.00	\$1,020.00	\$10,200.00	6
AZ-2021-053-03-00		11.18.15 LEASE VANS		\$19,644.00	\$2,183.00	\$21,827.00	15
AZ-2021-053-03-00	113-00 (113-B1)	BUS - STATION/STOPS/TERMINALS		\$18,000.00	\$2,000.00	\$20,000.00	3
AZ-2021-053-03-00		11.33.10 CONSTRUCT - BUS PASSENGER SHELTERS		\$18,000.00	\$2,000.00	\$20,000.00	3
AZ-2021-053-03-00	114-00 (114-A8)	BUS: SUPPORT EQUIP AND FACILITIES		\$7,727.00	\$859.00	\$8,586.00	2
AZ-2021-053-03-00		11.42.06 ACQUIRE - SHOP EQUIPMENT		\$7,727.00	\$859.00	\$8,586.00	2
AZ-2021-053-03-00	117-00 (117-A6)	OTHER CAPITAL ITEMS (BUS)		\$512,744.00	\$128,186.00	\$640,930.00	15
AZ-2021-053-03-00		11.7A.00 PREVENTIVE MAINTENANCE		\$275,744.00	\$68,936.00	\$344,680.00	12
AZ-2021-053-03-00		11.7C.00 NON FIXED ROUTE ADA PARATRANSIT SERVICE		\$237,000.00	\$59,250.00	\$296,250.00	3
AZ-2021-053-03-00	600-00 (600-B3)	OTHER PROGRAM COSTS		\$3,132,750.00	\$2,268,544.00	\$5,401,294.00	22
AZ-2021-053-03-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)		\$3,132,750.00	\$2,268,544.00	\$5,401,294.00	22

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AZ-2021-053-03-00	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$659,606.00	\$0.00	\$659,606.00	1
AZ-2021-053-03-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$659,606.00	\$0.00	\$659,606.00	1
AZ-2021-053-03-00	620-00 (620-A5)	PROJECT ADMINISTRATION	\$696,218.00	\$174,054.00	\$870,272.00	14
AZ-2021-053-03-00	11.79.00	PROJECT ADMINISTRATION	\$696,218.00	\$174,054.00	\$870,272.00	14
AZ-2021-053-03-00	634-00 (634-A9)	INTERCITY BUS TRANSPORTATION	\$1,573,583.00	\$1,139,492.00	\$2,713,075.00	4
AZ-2021-053-03-00	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$1,573,583.00	\$1,139,492.00	\$2,713,075.00	4

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 STBG FY 2020

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2021-053-01-00	1635-2021-5-P1	6/24/2021	2/26/2021	9/30/2026

Project Description

This project is funding state administration (\$50,000) and 11 Sub-recipients (\$950,000).

Preventive Maintenance (80/20) \$ 386,600 :

City of Kingman \$ 30,000

City of Coolidge \$ 11,000

City of Cottonwood \$ 120,000

City of Show Low \$ 9,600

Helping Hands Agency \$ 16,000

Hopi Tribe \$ 68,000

Regional Public Transportation Authority \$ 56,000

Regional Transportation Authority of Pima County \$ 40,000

Yavapai Regional Transit, Inc \$ 12,000

Yuma County Intergovernmental Public Transportation Authority \$ 24,000

6 Replacement: Bus < 30 FT (90/10) \$ 563,400 :
 Yuma County Intergovernmental Public Transportation Authority (x2) \$ 131,400
 Payson Senior Center, Inc. \$ 135,000
 City of Kingman \$ 144,000
 Regional Transportation Authority of Pima County (x2) \$ 153,000

Project Benefits

Please see project description.

Additional Information

This funding will benefit 11 transit agencies statewide.

Location Description

This funding will benefit 11transit agencies statewide.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	3	Raul M Grijalva
Arizona	4	Paul A Gosar
Arizona	6	David Schweikert
Arizona	1	Tom O'Halleran
Arizona	2	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: 2/2/2021

Description: STIP is the current STIP program. <https://estip.azdot.gov/> Each MPO / COG has added the 5311 projects in their region to the TIP and also the STIP.

UPWP

Date: Not Provided

Description: Planning is not part of this grant and so the UPWP is not required.

Long Range Plan

Date: 2/1/2018

Description: What Moves You Arizona 2040 is the latest Long Range Plan for AZ. This is the link to the plan <https://azdot.gov/sites/default/files/2019/05/lrtp-2040.pdf>

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,000,000
Local			\$159,250
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,159,250

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-053-01-00	111-00 (111-A3) BUS - ROLLING STOCK	\$563,400.00	\$62,600.00	\$626,000.00	6
AZ-2021-053-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$563,400.00	\$62,600.00	\$626,000.00	6
AZ-2021-053-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$386,600.00	\$96,650.00	\$483,250.00	21
AZ-2021-053-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$386,600.00	\$96,650.00	\$483,250.00	21
AZ-2021-053-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$50,000.00	\$0.00	\$50,000.00	1
AZ-2021-053-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$50,000.00	\$0.00	\$50,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	6

Extended Budget Description

The following agencies will be replacing vehicles and purchasing six (6) Replacement <30 FT buses:

Yuma County Intergovernmental Public Transportation Authority will be purchasing two (2) vehicles to replace two (2) vehicle VINs: 1198 and 1202. \$ 131,400

Payson Senior Center, Inc. will be purchasing a vehicle to replace vehicle VIN: 2848. \$ 135,000

City of Kingman will be purchasing a vehicle to replace vehicle VIN: 4222. \$ 144,000

Regional Transportation Authority of Pima County will be purchasing two (2) vehicles to replace two (2) vehicle VINs: 0766 and 0761 \$ 153,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	28

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$563,400
Local			\$62,600
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$626,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/26/2021	
Contract Award Date	5/1/2021	
Initial Delivery Date	9/30/2022	
Final Delivery Date	5/30/2025	
Contract Completion Date	12/30/2025	These are the ADOA vehicles. The Contract delivery dates are expected to be delayed for these vehicles as we are hearing 18-24 months delays due to chips and other shortages.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

STBG Flex Funds will be used for eligible program administration activities.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	21

Extended Budget Description

The following agencies will be receiving \$ 386,600 (federal) in preventive maintenance.

- City of Kingman \$ 30,000
- City of Coolidge \$ 11,000
- City of Cottonwood \$ 120,000
- City of Show Low \$ 9,600
- Helping Hands Agency \$ 16,000
- Hopi Tribe \$ 68,000
- Regional Public Transportation Authority \$ 56,000
- Regional Transportation Authority of Pima County \$ 40,000
- Yavapai Regional Transit, Inc \$ 12,000
- Yuma County Intergovernmental Public Transportation Authority \$ 24,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$386,600
Local			\$96,650
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$483,250

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	21	\$386,600.00	\$483,250.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$50,000.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	6	\$563,400.00	\$626,000.00

Project Title: RTAP 5311 FY2021

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2021-053-02-00	1635-2021-5-P2	6/24/2021	10/1/2021	9/30/2026

Project Description

This application includes the 2021 apportionment for RTAP.

RTAP FY 2020 Apportionment \$190,477.

These funds will provide training and support for grantees statewide.

Project Benefits

These funds will provide training and support for grantees statewide.

Additional Information

None provided.

Location Description

Grantees statewide benefit from RTAP training and projects.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	3	Raul M Grijalva
Arizona	4	Paul A Gosar
Arizona	6	David Schweikert
Arizona	1	Tom O'Halleran
Arizona	2	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: 2/2/2021

Description: STIP is the current STIP program. <https://estip.azdot.gov/> Each MPO / COG has added the 5311 projects in their region to the TIP and also the STIP.

UPWP

Date: Not Provided

Description: Planning is not part of this grant and so the UPWP is not required.

Long Range Plan

Date: 2/8/2018

Description: What Moves You Arizona 2040 is the latest Long Range Plan for AZ. This is the link to the plan <https://azdot.gov/sites/default/files/2019/05/lrtp-2040.pdf>

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$190,477
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$190,477

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-053-02-00	635-00 (635-B2) RURAL TRANSIT ASSISTANCE PROGRAM	\$190,477.00	\$0.00	\$190,477.00	1
AZ-2021-053-02-00	43.50.01 TRAINING	\$190,477.00	\$0.00	\$190,477.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Funding will be used for eligible statewide RTAP Trainings / Scholarships for sub-recipients.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$190,477
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$190,477

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

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Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$190,477.00	\$190,477.00

Project Title: 5311 Rural Public Transit and 5311f Intercity 2021 funds

- Formula

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2021-053-03-00	1635-2021-5-P3	6/24/2021	5/1/2018	9/30/2026

Project Description

This project is funding:

State administration \$ 659,606 (fed)

25 Sub-recipients \$ 6,692,864 (fed)

Total project cost: \$ 11,149,142

25 Sub-recipients will receive the following amounts (federal):

Project Administration (Transit Programs): \$ 696,218 - 80/20

Operating: \$ 3,132,750 - 58/42

Intercity: \$ 1,573,583 - 58/42

Capital: \$ 777,569 - 90/10

Capital: \$237,000- 80/20 (ADA Complementary Paratransit)

Capital: \$ 275,744 - 80/20 (Preventive Maintenance)

Local match: \$ 2,714,520

Local in-kind: \$1,082,153

Capital items funded at a federal ratio of 90/10 include:

Bus Shelters

Diagnostic scanner

Vanpool

Vehicle Wraps

6 Replacement Buses < 30 FT

Project Benefits

See project description.

Additional Information

None provided.

Location Description

This rural public transit program grant will impact communities statewide. 25 rural and intercity bus agencies will receive funding from this grant.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	3	Raul M Grijalva

Arizona	4	Paul A Gosar
Arizona	6	David Schweikert
Arizona	1	Tom O'Halleran
Arizona	2	Ann Kirkpatrick

Program Plan Information

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Date: 2/1/2018

Description: What Moves You Arizona 2040 is the latest Long Range Plan for AZ. This is the link to the plan <https://azdot.gov/sites/default/files/2019/05/lrtp-2040.pdf>

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,352,470
Local			\$2,714,520
Local/In-Kind			\$1,082,153
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,149,143

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-053-03-00	111-00 (111-A7) BUS - ROLLING STOCK	\$751,842.00	\$83,538.00	\$835,380.00	27
AZ-2021-	11.12.04 BUY REPLACEMENT	\$723,018.00	\$80,335.00	\$803,353.00	6

053-03-00		<30 FT BUS					
AZ-2021-053-03-00		11.14.04	REHAB/REBUILD <30-FT BUS	\$9,180.00	\$1,020.00	\$10,200.00	6
AZ-2021-053-03-00		11.18.15	LEASE VANS	\$19,644.00	\$2,183.00	\$21,827.00	15
AZ-2021-053-03-00	113-00 (113-B1)		BUS - STATION/STOPS/TERMINALS	\$18,000.00	\$2,000.00	\$20,000.00	3
AZ-2021-053-03-00		11.33.10	CONSTRUCT - BUS PASSENGER SHELTERS	\$18,000.00	\$2,000.00	\$20,000.00	3
AZ-2021-053-03-00	114-00 (114-A8)		BUS: SUPPORT EQUIP AND FACILITIES	\$7,727.00	\$859.00	\$8,586.00	2
AZ-2021-053-03-00		11.42.06	ACQUIRE - SHOP EQUIPMENT	\$7,727.00	\$859.00	\$8,586.00	2
AZ-2021-053-03-00	117-00 (117-A6)		OTHER CAPITAL ITEMS (BUS)	\$512,744.00	\$128,186.00	\$640,930.00	15
AZ-2021-053-03-00		11.7A.00	PREVENTIVE MAINTENANCE	\$275,744.00	\$68,936.00	\$344,680.00	12
AZ-2021-053-03-00		11.7C.00	NON FIXED ROUTE ADA PARATRANSIT SERVICE	\$237,000.00	\$59,250.00	\$296,250.00	3
AZ-2021-053-03-00	600-00 (600-B3)		OTHER PROGRAM COSTS	\$3,132,750.00	\$2,268,544.00	\$5,401,294.00	22
AZ-2021-053-03-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$3,132,750.00	\$2,268,544.00	\$5,401,294.00	22
AZ-2021-053-03-00	610-00 (610-A4)		STATE / PROGRAMS ADMINISTRATION	\$659,606.00	\$0.00	\$659,606.00	1

AZ-2021-053-03-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$659,606.00	\$0.00	\$659,606.00	1
AZ-2021-053-03-00	620-00 (620-A5)		PROJECT ADMINISTRATION	\$696,218.00	\$174,054.00	\$870,272.00	14
AZ-2021-053-03-00		11.79.00	PROJECT ADMINISTRATION	\$696,218.00	\$174,054.00	\$870,272.00	14
AZ-2021-053-03-00	634-00 (634-A9)		INTERCITY BUS TRANSPORTATION	\$1,573,583.00	\$1,139,492.00	\$2,713,075.00	4
AZ-2021-053-03-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$1,573,583.00	\$1,139,492.00	\$2,713,075.00	4

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	22

Extended Budget Description

22 subrecipients will receive the following federal amounts for operating assistance (58/42). :

Bisbee, City of \$ 21,600
 City of Benson \$ 33,000
 City of Bullhead City \$ 617,080
 City of Coolidge \$ 49,950
 City of Cottonwood \$ 672,312
 City of Douglas \$ 228,736
 City of Kingman \$ 171,976
 City of Maricopa \$ 97,538
 City of Show Low \$ 66,240
 City of Willcox \$ 89,747
 City of Winslow \$ 21,600
 Gila River Indian Community \$ 48,240
 Helping Hands Agency \$ 79,200
 Hopi Tribe \$ 47,400
 Payson Senior Center, Inc. \$ 17,100
 Regional Public Transportation Authority \$ 88,695
 Regional Transportation Authority of Pima County \$ 353,186
 Salt River Pima-Maricopa Indian Community \$ 31,950

Town of Miami \$ 30,600
 Town of Quartzsite \$ 12,600
 Yavapai Regional Transit, Inc \$ 36,000
 Yuma County Intergovernmental Public Transportation Authority \$ 81,000
 Hopi Tribe \$ 237,000 - FY 2020 Award that was not transferred to FTA

Also, included in Hopi Tribe's FY 2020 Award that they opted not to transfer to FTA.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,132,750
Local			\$2,200,426
Local/In-Kind			\$68,118
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,401,294

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.14.04 - REHAB/REBUILD <30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.14.04	REHAB/REBUILD <30-FT BUS	REHAB/REBUILD - CAPITAL BUS	6

Extended Budget Description

The City of Maricopa will be replacing six (6) vehicle wraps as they are quickly fading make the vehicles appear unkempt. Since the City of Maricopa is a growing community they want to raise awareness of their transportation services as they have rebranded our entire transit system.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	Used	26

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,180
Local			\$1,020
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,200

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	11/1/2021	
Initial Delivery Date	1/1/2022	
Final Delivery Date	9/30/2022	
Contract Completion Date	9/30/2022	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Funds will be used for eligible state program administration activities.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$659,606
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$659,606
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Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	14

Extended Budget Description

The following 14 subrecipients will receive the following federal amounts for project administration:

- City of Benson \$ 11,000
- City of Bullhead City \$ 126,266
- City of Cottonwood \$ 143,480
- City of Douglas \$ 40,246
- City of Kingman \$ 51,510
- City of Maricopa \$ 8,256
- City of Willcox \$ 46,732
- Gila River Indian Community \$ 19,600
- Hopi Tribe \$ 20,000
- Northern Arizona Intergovernmental Public Transportation Authority \$ 4,400
- Regional Public Transportation Authority \$ 8,860
- Regional Transportation Authority of Pima County \$ 49,588
- Salt River Pima-Maricopa Indian Community \$ 19,880
- City of Sedona \$ 46,400

Also, includes the FY 2020 Awarded to Hopi Tribe that they opted not to transfer to FTA.
Hopi Tribe \$ 100,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$696,218
Local			\$174,054
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$870,272
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Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	12

Extended Budget Description

This project is funding 6 Sub-recipients and includes Hopi Tribe's FY 2020 award that they opted not to transfer to FTA.

- Bisbee, City of \$ 5,000
- City of Benson \$ 33,600
- City of Bullhead City \$ 88,000
- City of Douglas \$ 14,000
- Hopi Tribe \$ 100,000 - - FY 2020 Award that was not transferred to FTA
- City of Maricopa \$ 10,000
- City of Winslow \$ 4,000
- Gila River Indian Community \$ 6,800
- Payson Senior Center, Inc. \$ 2,400
- Salt River Pima-Maricopa Indian Community \$ 4,544
- Town of Miami \$ 4,800
- Town of Quartzsite \$ 2,600

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$275,744
Local			\$68,936
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$344,680

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2021
End Date	9/30/2026

Budget Activity Line Item: 11.7C.00 - NON FIXED ROUTE ADA PARATRANSIT SERVICE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7C.00	NON FIXED ROUTE ADA PARATRANSIT SERVICE	OTHER CAPITAL ITEMS (BUS)	3

Extended Budget Description

3 subrecipients will receive the following federal amounts for ADA Paratransit Service:

City of Benson \$ 45,000
City of Bullhead City \$ 160,000
City of Cottonwood \$ 32,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$237,000
Local			\$59,250
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$296,250

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.18.15 - LEASE VANS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.18.15	LEASE VANS	LEASE - EXPANSION - CAPITAL BUS	15

Extended Budget Description

Northern Arizona Intergovernmental Public Transportation Authority vanpool lease program. Provides

Vehicles, insurance, and maintenance as part of the vehicle lease. This also provides sufficient spare ratio for the vanpool program. The lease was more cost effective than the purchase and maintenance of the vehicles for the vanpool program. This lease covers 1 year of the program. Northern Arizona Intergovernmental Public Transportation Authority is currently coordinating with NACOG to provide vanpools to serve employees of Yavapai County Sheriff's Office (YCSO) which would travel within Yavapai County.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	Used	20

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,644
Local			\$2,183
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$21,827

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/1/2018	RFP/IFB Issue Date
Contract Award Date	7/1/2018	Contract Award Date
Initial Delivery Date	10/1/2021	Vanpool program begins
Final Delivery Date	9/30/2025	Vanpool program complete
Contract Completion Date	9/30/2025	Vanpool program complete

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	6

Extended Budget Description

The following five (5) agencies will be purchasing a Replacement < 30FT bus from the State Contract.:

- City of Bisbee will be replacing VIN 8308 - \$ 91,852
- City of Bullhead City (x2) will be replacing VINs 5288 and 5526 - \$ 245,500
- City of Kingman will be replacing VIN 4109 - \$ 148,500

Helping Hands Agency will be replacing VIN 5459 - \$ 118,670
 Town of Quartzsite will be replacing VIN 6047 - \$ 118,496

Will 3rd Party contractors be used to fulfill this activity line item?
 Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	28

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$723,018
Local			\$80,335
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$803,353

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/26/2021	
Contract Award Date	5/1/2021	
Initial Delivery Date	9/30/2022	
Final Delivery Date	5/30/2025	
Contract Completion Date	12/30/2025	Theses are the ADOA vehicles. The Contract delivery dates are expected to be delayed for these vehicles as we are hearing 18-24 month delays are to chips and other shortages.

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	2

Extended Budget Description

The City of Bisbee will be procuring two (2) diagnostic scanners that are essential for proper and effective maintenance of the buses. The City has a small scanner for smaller vehicles but will need a larger one for the busses. The scanners will allow us to read a variety of maintenance items allowing more independence for maintenance.

Will 3rd Party contractors be used to fulfill this activity line item?
 Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,727
Local			\$859
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,586

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
RFP Issue	11/1/2021	
Contract Awarded	1/1/2022	
Contract Complete	9/30/2022	

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	4

Extended Budget Description

4 subrecipients will receive the following federal amounts for intercity operating (5311f):

Greyhound Lines, Inc. \$ 1,228,733

Hopi Tribe \$ 12,600

Regional Public Transportation Authority \$ 173,250

Regional Transportation Authority of Pima County \$ 96,000

Hopi Tribe \$ 63,000 - FY 2020 Award that was not transferred to FTA

Also, includes Hopi Tribes FY 2020 Award that they opted to not have transferred to FTA.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,573,583
Local			\$125,457
Local/In-Kind			\$1,014,035

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,713,075

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2026	

Budget Activity Line Item: 11.33.10 - CONSTRUCT - BUS PASSENGER SHELTERS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.33.10	CONSTRUCT - BUS PASSENGER SHELTERS	CONSTRUCTION OF BUS STATIONS/TERMINALS	3

Extended Budget Description

The City of Show Low will be replacing three (3) existing bus shelters on their the Four Seasons Connection routes that desperately need to be replaced due to extreme deterioration that is not repairable. These bus shelters will be installed by their public works. These bus shelters are needed to be replaced due to prolonged months of snow and ice cause the shelter frameworks to rust , weakening the support structure and to provide passenger with some protection during the changing weather.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,000
Local			\$2,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,000

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2021	
RFP Issued	11/1/2021	
Contract Awarded	1/1/2022	
Contract Complete	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$659,606.00	\$659,606.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	14	\$696,218.00	\$870,272.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	12	\$275,744.00	\$344,680.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER CAPITAL ITEMS (BUS) (117- 00)	11.7C.00	NON FIXED ROUTE ADA PARATRANSIT SERVICE	3	\$237,000.00	\$296,250.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.18.15	LEASE VANS	15	\$19,644.00	\$21,827.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING	11.12.04	BUY REPLACEMENT	6	\$723,018.00	\$803,353.00

STOCK (111-00)

<30 FT BUS

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	2	\$7,727.00	\$8,586.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	4	\$1,573,583.00	\$2,713,075.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - STATION/STOPS/TERMINALS (113-00)	11.33.10	CONSTRUCT - BUS PASSENGER SHELTERS	3	\$18,000.00	\$20,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.14.04	REHAB/REBUILD <30-FT BUS	6	\$9,180.00	\$10,200.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	22	\$3,132,750.00	\$5,401,294.00

Part 4: Fleet Details

Fleet Type: Commuter Rail

Fleet Comments

There is no fleet at this time as this is an Alternatives Analysis (AA) / Environmental Impact Statement to identify a range of viable alternatives for operating intercity passenger rail service between Phoenix and Tucson, and evaluate and compare the alternatives within the context of the NEPA process.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	0
	B. Spares	0
	C. Total (A+B)	0
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	0

Fleet Type: Fixed Route

Fleet Comments

The ADOT 5311 Fixed Route Vehicles are largely 30-40 foot buses.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	69
	B. Spares	6
	C. Total (A+B)	75
	D. Spare Ratio (B/A)	8.7%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	Total (I.C and II.C)	77

Fleet Type: Paratransit

Fleet Comments

The 5311 Complimentary Paratransit fleet consists of small buses and vans.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	39
	B. Spares	8
	C. Total (A+B)	47
	D. Spare Ratio (B/A)	20.51%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	Total (I.C and II.C)	49

Fleet Type: Other

Fleet Comments

181 vehicles are used for demand response / deviated / flex routes for 5311 service, 35 spares. 3 pending disposal. 1005 vehicles are for 5310. 26 spares, 979 active fleet 76 pending disposal.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1160
	B. Spares	61

	C. Total (A+B)	1221
	D. Spare Ratio (B/A)	5.26%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	79
	C. Total (A+B)	79
III.	Total (I.C and II.C)	1300

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Arianna Valle

Comment Type	DOL Review for Information
Date	8/9/2021
Comment	Arizona Department of Transportation (ADOT) is applying for \$ 8,542,947 in Section 5311 and STBG federal funding for their Rural Public Transit and RTAP programs. Thank you.

Application Review Comments

Comment By Arianna Valle

Comment Type	Pre-Award Manager Returns Application
Date	8/4/2021
Comment	Per Aug. 4th email, Thank you.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, ARIZONA DEPARTMENT OF

Recipient ID: 1635

DUNS No: 098416667

Application Information

Federal Award Identification Number: AZ-2021-053-00

Application Name: 5311(b) & STBG | AZ Rural Public Transit & RTAP | FFY 2021

Application Start Date: N/A

Original Award End Date: 9/30/2026

Current Award End Date: N/A

Application Executive Summary: ADOT will not be applying for the full 2021 Formula Apportionment (\$13,954,184 FED) in this application, but will instead be applying for:

\$ 7,352,470 2021 Formula Apportionment
\$190,477 RTAP
\$1,000,000 2020 STBG

Local match: \$ 2,873,770
Local in-kind: \$1,082,153

Also, this application includes the Hopi Tribe's FY2020 funding award (\$500,000 FED) that ADOT did not originally apply for in our FY2020 application because the Hopi Tribe chose not to transfer their award to FTA.

To be obligated: \$ 8,542,947

Purpose: The purpose of this grant is to provide Project Administration, Operating, Preventive Maintenance, and capital funding to rural transit agencies in Arizona to continue to support the operation of their transit programs. The State of Arizona will utilize Admin funding to manage the grant activities and provide technical assistance to our sub recipients.

Activities to be performed: Operations of rural transit services in Arizona including demand response service, deviated fixed route service, fixed route with complementary paratransit and intercity feeder services and intercity bus services. Procurement of Capital projects that will further assist with maintaining rural transit vehicle fleets in good repair and enhancing the overall experience for the public when using these rural transit services.

Expected Outcomes: Provide transit trips to rural residents while maintaining safe operations of transit vehicles.

Intended Beneficiaries: local residents, businesses and health care providers by providing trips for employment and essential needs.

Sub recipient Activities: sub recipients will be utilized to provide transit services for residents in rural Arizona and intercity bus services will continue in the state.

Higher federal share rates for capital costs are available to Arizona which has obtained a signed agreement with FHWA under 23 U.S.C. 120(b) (2). The maximum federal match allowed for Arizona is 94.3% for capital projects and 58.94% for operating. Arizona chooses to use a 90% federal match for capital and a 58% federal match for operating.

The State of Arizona solicits and awards GSA style contracts, as per FTA C 4220.1F and allows all state agencies to purchase from the contract. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process and will acquire rolling stock on behalf of 5311 sub-recipients with the 2021 awarded funds.

[Note: Though not included in this application, four (4) tribes requested transfer of \$403,200 award from the FY2021 formula apportionment to FTA as direct recipients per FTA's Circular 9040.1G:

- Hualapai Indian Tribe, \$81,000 fed
- Navajo Transit System, \$121,200 fed
- San Carlos Apache Tribe, \$163,000 fed
- White Mountain Apache Tribe, \$38,000 fed

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$12,498,870.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$8,542,947.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,955,923.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,542,947.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,955,923.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,000,000
5311 - Rural Area Formula	5311-1A	20509	\$7,542,947
Local			\$2,873,770
Local/In-Kind			\$1,082,153
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,498,870

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, ARIZONA DEPARTMENT OF