

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2021-030-00
<b>Temporary Application Number</b>	6985-2021-3
<b>Application Name</b>	FY 2021 Section 5311 TTP Formula Funding - Operating Assistance and Indirect Expenses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: NOME ESKIMO COMMUNITY**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6985	Indian Tribe	NOME ESKIMO COMMUNITY	053486205

Location Type	Address	City	State	Zip
Headquarters	200 W 5TH AVE	NOME	AK	99762
Physical Address	200 W 5TH AVE	NOME	AK	99762
Mailing Address	P.O. BOX 1090	NOME	AK	99762

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: FY 2021 Section 5311 TTP Formula Funding - Operating Assistance and Indirect Expenses</b></p>
--

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2021-030-00	Final Concurrence / Reservation	Grant	5/6/2021	5/6/2021	No

**Application Executive Summary**

This grant uses \$6,369 in FY 2021 Section 5311 TTP program funding for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Nome Eskimo Community indirect cost rate on 7/7/2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 26.26% or the most currently approved annual rate will be applied to the applicable activity line descriptions. The current Indirect Cost Rate proposal has been submitted to DOI and is awaiting negotiation and approval.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jacob	Martin	Tribal Resources Director	jacob.martin@necalaska.org	(907) 443-

Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	2246 (206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$6,369
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,369</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-030-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,369.00	\$0.00	\$6,369.00	0
AK-2021-030-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (21 5311 TTP 100:0)(00)	\$636.00	\$0.00	\$636.00	0
AK-2021-030-01-00	30.09.01 UP TO 50% FEDERAL SHARE (21 5311 TTP 100:0)(00)	\$5,733.00	\$0.00	\$5,733.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: FY 2021 Section 5311 TTP Formula Funding - Operating</b>
--

## Assistance and Indirect Expenses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-030-01-00	6985-2021-3-P1	5/6/2021	1/20/2020	12/31/2021

### Project Description

This project uses \$6,369 in FY 2021 Section 5311 TTP program funding for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

### Project Benefits

This project will benefit NEC and the tribal members by providing funding for Transit service. This service is used to transport the public to and from their employment, grocery store, and other important locations, such as the courthouse, postal office, city hall or their place of residence.

### Additional Information

*None provided.*

### Location Description

Tribal area of Nome, Alaska. NEC Tribal Transit Service is provided in the city limits, occasionally making a stop to one of the grocery stores along the highway .5 miles away.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10.

Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$6,369
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,369</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-030-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,369.00	\$0.00	\$6,369.00	0
AK-2021-030-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (21 5311 TTP 100:0)(00)	\$636.00	\$0.00	\$636.00	0
AK-2021-030-01-00	30.09.01 UP TO 50% FEDERAL SHARE (21 5311 TTP 100:0)(00)	\$5,733.00	\$0.00	\$5,733.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (21 5311 TTP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

This activity uses \$5,733 in FY 2021 Section 5311 TTP funds for operating assistance for transit operations under the Nome Eskimo Community tribal transportation program.

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP)

Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,733
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,733</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	1/20/2020	
End Operating Assistance	12/31/2021	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (21 5311 TTP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity uses \$636 in FY2021 Section 5311 TTP formula funds at 100% federal share for indirect administration assistance for the Nome Eskimo Community public transportation system located on the tribal lands of Nome, Alaska.

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$636

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$636</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	1/20/2020	
End Indirect Expenses	12/31/2021	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Annette McKelvey**

Comment DOL Review for Information

Type

Date	8/12/2021
------	-----------

Comment

The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: NOME ESKIMO COMMUNITY

Recipient ID: 6985

DUNS No: 053486205

#### **Application Information**



Federal Award Identification Number: AK-2021-030-00

Application Name: FY 2021 Section 5311 TTP Formula Funding - Operating Assistance and Indirect Expenses

Application Start Date: N/A

Original Award End Date: 3/31/2022

Current Award End Date: N/A

Application Executive Summary: This grant uses \$6,369 in FY 2021 Section 5311 TTP program funding for operating assistance and indirect expenses for the Nome Eskimo Community public transportation system in Nome, Alaska.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$6,369.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,369.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,369.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$6,369
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$6,369</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*NOME ESKIMO COMMUNITY*