

Application

Federal Award Identification Number (FAIN)	AK-2021-028-00
Temporary Application Number	7320-2021-2
Application Name	FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Expenses
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/30/2021
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: NINILCHIK VILLAGE

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7320	Indian Tribe	Ninilchik	614159697

Location Type	Address	City	State	Zip
Headquarters	15910 STERLING HWY	NINILCHIK	AK	99639
Physical Address	15910 STERLING HWY	NINILCHIK	AK	99639
Mailing Address	P.O. BOX 39070	NINILCHIK	AK	99639

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Expenses

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2021-028-00	Final Concurrence / Reservation	Grant	4/15/2021	4/15/2021	No

Application Executive Summary

Purpose:

This application includes \$69,420 in FY 2021 Section 5311 TTP formula funding for operating and administration costs through the use of a federally approved indirect cost rate for public transportation service on the Kenai Peninsula in the state of Alaska.

Activities To Be Performed:

This funding is used to help provide continued fixed route bus service on the Kenai Peninsula, operating between Homer and Kenai. This service, open to the general public, covers 750 miles a week on routes consisting of 250 road miles.

Expected Outcomes:

The project benefits include the continued operation of the fixed route bus system that provided public transportation to the general public on the Kenai Peninsula.

Intended Beneficiaries:

These funds will be used for the Ninilchik Village Tribe public transportation system riders located on the Kenai Peninsula in Alaska.

Subrecipient Activities:

There are no subrecipients to this grant.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Ninilchik Village Tribe indirect cost rate federally approved on July 22, 2020 and

a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to renegotiate their rates annually. The approved rate of 31.41% is Fixed Carry Forward and should not have any significant changes. Any future approved rates will be applied to the applicable activity line descriptions

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darrel	Williams	Resource Director	darrel@niniichiktribe-nsn.gov	907-567-3815
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,420
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,420

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$69,420.00	\$0.00	\$69,420.00	0
AK-2021-028-01-	11.79.00 PROJECT	\$6,942.00	\$0.00	\$6,942.00	0

00		ADMINISTRATION - Indirect (21 5311 TTP 100:0)(00)				
AK-2021-028-01- 00	30.09.01	UP TO 50% FEDERAL SHARE (21 5311 TTP 100:0)(00)	\$62,478.00	\$0.00	\$62,478.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Expenses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-028-01-00	7320-2021-2-P1	4/15/2021	4/15/2021	9/30/2021

Project Description

This project includes \$69,420 in FY 2021 Section 5311 TTP formula funding for operating and administration costs through the use of a federally approved indirect cost rate for public transportation service on the Kenai Peninsula in the state of Alaska. This funding is used to help provide continued fixed route bus service on the Kenai Peninsula, operating between Homer and Kenai. This service, open to the general public, covers 750 miles a week on routes consisting of 250 road miles.

Project Benefits

The benefits include the continued operation of the fixed route bus system that provided public transportation to the general public on the Kenai Peninsula.

Additional Information

None provided.

Location Description

The location of the transit service is on the Kenai Peninsula, Alaska. The service is a fixed route service that operates between Homer and Kenai covering 750 miles a week.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
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Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 5/30/2019

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,420
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,420

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-028-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$69,420.00	\$0.00	\$69,420.00	0
AK-2021-028-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (21 5311 TTP 100:0)(00)	\$6,942.00	\$0.00	\$6,942.00	0
AK-2021-028-	30.09.01 UP TO 50%	\$62,478.00	\$0.00	\$62,478.00	0

01-00	FEDERAL SHARE (21 5311 TTP 100:0)(00)
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE (21 5311 TTP 100:0)(00)
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity line item uses \$62,478 in FY 2021 Section 5311 TTP formula funds at 100% federal share for operating assistance for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

There is no STIP requirement for 5311 TTP Funds, per Circular 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$62,478
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$62,478

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	5/1/2021	
End Operating Assistance	9/30/2021	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (21 5311 TTP

100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity line item uses \$6,942 in FY2021 Section 5311 TTP formula funds at 100% federal share for indirect project administration assistance for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

There is no STIP requirement for 5311 TTP Funds, per Circular 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$6,942
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,942

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	4/15/2021	
End Indirect Expenses	9/30/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type DOL Review for Information

Date 8/6/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 5/5/2021

Comment returning for budget edits

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: NINILCHIK VILLAGE

Recipient ID: 7320

DUNS No: 614159697

Application Information

Federal Award Identification Number: AK-2021-028-00

Application Name: FY 2021 Section 5311 TTP Formula - Operating Assistance and Indirect Expenses

Application Start Date: N/A

Original Award End Date: 12/30/2021

Current Award End Date: N/A

Application Executive Summary: Purpose:

This application includes \$69,420 in FY 2021 Section 5311 TTP formula funding for operating and administration costs through the use of a federally approved indirect cost rate for public transportation service on the Kenai Peninsula in the state of Alaska.

Activities To Be Performed:

This funding is used to help provide continued fixed route bus service on the Kenai Peninsula, operating

between Homer and Kenai. This service, open to the general public, covers 750 miles a week on routes consisting of 250 road miles.

Expected Outcomes:

The project benefits include the continued operation of the fixed route bus system that provided public transportation to the general public on the Kenai Peninsula.

Intended Beneficiaries:

These funds will be used for the Ninilchik Village Tribe public transportation system riders located on the Kenai Peninsula in Alaska.

Subrecipient Activities:

There are no subrecipients to this grant.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$69,420.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$69,420.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$69,420.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,420
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,420

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

NINILCHIK VILLAGE