

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2021-024-00
<b>Temporary Application Number</b>	7320-2021-1
<b>Application Name</b>	CRRSAA - FY 2021 Section 5311 TTP - Operating Assistance and Indirect Expenses for Ninilchik Village Tribe
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/30/2022
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: NINILCHIK VILLAGE**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7320	Indian Tribe	Ninilchik	614159697

Location Type	Address	City	State	Zip
Headquarters	15910 STERLING HWY	NINILCHIK	AK	99639
Physical Address	15910 STERLING HWY	NINILCHIK	AK	99639
Mailing Address	P.O. BOX 39070	NINILCHIK	AK	99639

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: CRRSAA - FY 2021 Section 5311 TTP - Operating Assistance and Indirect Expenses for Ninilchik Village Tribe</b></p>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AK-2021-024-00	Final Concurrence / Reservation	Grant	4/1/2021	4/1/2021	No

**Application Executive Summary**

**Purpose:**

This application includes \$66,064 in FY 2021 Section 5311 CRRSAA funds, which is the full amount allocated to the Ninilchik Village Tribe to prevent, prepare for, and respond to the COVID-19 pandemic.

**Activities To Be Performed:**

These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

**Expected Outcomes:**

The project will increase cleaning activities associated with passenger transport to decrease the risks of COVID-19 transmission for bus riders on the Kenai Peninsula. The activities include the installation of pathway isolation, cleaning stations, and the acquisition of supplies associated with the COVID-19 pandemic.

**Intended Beneficiaries:**

These funds will be used for the Ninilchik Village Tribe public transportation system riders located on the Kenai Peninsula in Alaska.

**Subrecipient Activities:**

There are no subrecipients to this grant.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020.

**\*\*\* Special Condition \*\*\***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Indirect Rate Details: The Ninilchik Village Tribe indirect cost rate federally approved on July 22, 2020 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to renegotiate their rates annually. The approved rate of 31.41% is Fixed Carry Forward and should not have any significant changes. Any future approved rates will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Darrel	Williams	Resource Director	darrel@niniichiktribe-nsn.gov	907-567-3815
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$66,064
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$66,064</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2021-024-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$66,064.00	\$0.00	\$66,064.00	0
AK-2021-024-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (21 CRRSAA 5311 TTP 100:0)(00)	\$6,606.00	\$0.00	\$6,606.00	0
AK-2021-024-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 TTP 100:0)(00)	\$59,458.00	\$0.00	\$59,458.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CRRSAA - FY 2021 Section 5311 TTP - Operating Assistance and Indirect Expenses for Ninilchik Village Tribe

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2021-024-01-00	7320-2021-1-P1	4/1/2021	4/15/2021	9/30/2022

#### Project Description

This project includes \$66,064 in FY 2021 Section 5311 CRRSAA funds, which is the full amount allocated to the Ninilchik Village Tribe. These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

#### Project Benefits

The project will increase cleaning activities associated with passenger transport to decrease the risks of COVID transmission.

#### Additional Information

The expected results include reducing the risk of infection for passengers, staff, and maintenance workers associated with the transportation service.

#### Location Description

These funds will be used for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$66,064
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$66,064</b>

## Project Budget

Project Number	Budget Item	FTA	Non-	Total	Quantity
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			Amount	FTA Amount	Eligible Amount	
AK-2021-024-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$66,064.00	\$0.00	\$66,064.00	0
AK-2021-024-01-00	11.79.00	PROJECT ADMINISTRATION - Indirect (21 CRRSAA 5311 TTP 100:0)(00)	\$6,606.00	\$0.00	\$6,606.00	0
AK-2021-024-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 TTP 100:0)(00)	\$59,458.00	\$0.00	\$59,458.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (21 CRRSAA 5311 TTP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

This activity line item uses \$6,606 in FY2021 CRRSAA Section 5311 TTP formula funds at 100% federal share for indirect administration assistance to prevent, prepare for, and respond to the COVID-19 pandemic for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$6,606
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$6,606</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	4/15/2021	
End Indirect Expenses	9/30/2022	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (21 CRRSAA 5311 TTP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity line item uses \$59,458 in FY2021 CRRSAA Section 5311 TTP funds at 100% federal share for operating assistance to prevent, prepare for, and respond to the COVID-19 pandemic for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska. The activities include the installation of pathway isolation, cleaning stations, and the acquisition of supplies associated with the COVID activities.

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$59,458
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$59,458</b>

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	4/15/2021	
End Operating Assistance	9/30/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Annette McKelvey**

Comment Type DOL Review for Information

Date 8/2/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Application Review Comments



**Comment By** Annette McKelvey

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Comment Type Pre-Award Manager Returns Application

Date 4/14/2021

Comment Returning for minor edits

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: NINILCHIK VILLAGE

Recipient ID: 7320

DUNS No: 614159697

#### **Application Information**

Federal Award Identification Number: AK-2021-024-00

Application Name: CRRSAA - FY 2021 Section 5311 TTP - Operating Assistance and Indirect Expenses for Ninilchik Village Tribe

Application Start Date: N/A

Original Award End Date: 12/30/2022

Current Award End Date: N/A

Application Executive Summary: Purpose:

This application includes \$66,064 in FY 2021 Section 5311 CRRSAA funds, which is the full amount allocated to the Ninilchik Village Tribe to prevent, prepare for, and respond to the COVID-19 pandemic.

Activities To Be Performed:

These funds will be used, at 100% federal share, for operating and indirect expenses to prevent, prepare for, and respond to the COVID-19 pandemic for the Ninilchik Village Tribe public transportation system located on the Kenai Peninsula in Alaska.

Expected Outcomes:

The project will increase cleaning activities associated with passenger transport to decrease the risks of COVID-19 transmission for bus riders on the Kenai Peninsula. The activities include the installation of pathway isolation, cleaning stations, and the acquisition of supplies associated with the COVID-19 pandemic.

Intended Beneficiaries:

These funds will be used for the Ninilchik Village Tribe public transportation system riders located on the Kenai Peninsula in Alaska.

Subrecipient Activities:

There are no subrecipients to this grant.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020.

\*\*\* Special Condition \*\*\*

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$66,064.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$66,064.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$66,064.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$66,064
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$66,064</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*NINILCHIK VILLAGE*