

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	RI-2021-005-00
Temporary Application Number	1388-2021-9
Application Name	FFY 2021 - Vehicles, Preventative Maintenance, Technical Assistance and Operating
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1388	Transit Authority	RHODE ISLAND PUBLIC TRANSIT AUTHORITY	041275652

Location Type	Address	City	State	Zip
Headquarters	705 ELMWOOD AVE	PROVIDENCE	RI	029072102
Physical Address	705 ELMWOOD AVE	PROVIDENCE	RI	02907
Mailing Address	705 ELMWOOD AVENUE	PROVIDENCE	RI	02907

Union Information

Union Name	AMALGAMATED TRANSIT UNION DIVISION #618
Address 1	172 Longfellow Street
Address 2	
City	Providence

State	RI
Zipcode	02907
Contact Name	Nick Decristofaro
Telephone	4017854020
Fax	
E-mail	ndecristofaro@atu618.net
Website	
Union Name	LABORERS INTERNATIONAL UNION OF NORTH AMERICA LOCAL #808
Address 1	410 South Main Street
Address 2	
City	Providence
State	Rhode Island
Zipcode	02903
Contact Name	Frank Ciccone
Telephone	4013318080
Fax	
E-mail	
Website	

Part 2: Application Information

Title: FFY 2021 - Vehicles, Preventative Maintenance, Technical Assistance and Operating

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
RI-2021-005-00	Final Concurrence / Reservation	Grant	5/18/2021	5/18/2021	No

Application Executive Summary

This is a Rhode Island Public Transit Authority (RIPTA) request for \$6,281,246 in formula funds for a program of projects that includes vehicle purchases, operating assistance, technical assistance, and preventive maintenance.

Funds are allocated across the following three projects in the amounts detailed below:

Project 1: Urbanized Area 5310 and 5337 funds:

\$2,059,818 in 5310 funds to support replacement of fourteen (14) paratransit vehicles

\$3,406,576 in 5337 funds to support ongoing preventative maintenance expenditures

FFY 2019 5310 - Norwich - New London, CT-RI UZA: \$22,396

FFY 2020 5310 - Norwich - New London, CT-RI UZA: \$22,905

FFY 2019 5310 - Providence, RI-MA UZA: \$993,964

FFY 2020 5310 - Providence, RI-MA UZA: \$1,020,553

FFY 2021 5337 - Providence, RI-MA UZA: \$3,406,576

Project 2: State Apportioned 5310 funds
\$107,796 in 5310 funds to support replacement of one (1) paratransit vehicle

FFY 2019 5310 - Rhode Island/State: \$52,683
FFY 2020 5310 - Rhode Island/State: \$55,113

Project 3: Rural/5311 and RTAP funds apportioned to state
\$632,431 in 5311 funds to support rural transit service
\$74,625 in RTAP funds to provide technical assistance for rural service initiatives

FFY 2019 5311 - Rhode Island/State: \$632,431
FFY 2019 RTAP - Rhode Island/State: \$74,625

The CRRSAA waives the requirement for local share for previously apportioned (FFY21 and prior) unobligated Section 5310 funds. This grant therefore requests 100% federal share for all 5310 funded projects.

Match for all projects will come from RIPTA Operating funds, primarily through gas tax receipts, of which, the Authority receives approximately \$44 million annually. \$1,484,075 of gas tax will be set aside to support these expenditures.

By executing this grant, RIPTA certifies that projects funded in this grant with Section 5337 funds are included in the Transit Asset Management (TAM) Plan investment prioritization section.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Bartlett	Transportation Program Specialist	ryan.bartlett@dot.gov	(617) 494-3940
Christopher	Durand	Director of Budget and Finance	cdurand@ripta.com	(401) 784-9500 1231

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$2,167,614
5337 - State of Good Repair Grants	5337	20525	\$3,406,576
5311 - Rural Area Formula	5311-1A	20509	\$707,056
Local			\$1,484,075
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,765,321

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-005-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$2,059,818.00	\$0.00	\$2,059,818.00	14
RI-2021-005-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$2,059,818.00	\$0.00	\$2,059,818.00	14
RI-2021-005-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$3,406,576.00	\$851,644.00	\$4,258,220.00	0
RI-2021-005-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$3,406,576.00	\$851,644.00	\$4,258,220.00	0

RI-2021-005-02-00	111-00 (111-A3)	BUS - ROLLING STOCK	\$107,796.00	\$0.00	\$107,796.00	1
RI-2021-005-02-00		BUY 11.12.04 REPLACEMENT <30 FT BUS	\$107,796.00	\$0.00	\$107,796.00	1
RI-2021-005-03-00	300-00 (300-A5)	OPERATING ASSISTANCE	\$632,431.00	\$632,431.00	\$1,264,862.00	0
RI-2021-005-03-00		UP TO 50% 30.09.01 FEDERAL SHARE	\$632,431.00	\$632,431.00	\$1,264,862.00	0
RI-2021-005-03-00	435-00 (435-A4)	RURAL TRANSIT ASST PROGRAM	\$74,625.00	\$0.00	\$74,625.00	0
RI-2021-005-03-00		43.50.02 TECHNICAL ASSISTANCE	\$74,625.00	\$0.00	\$74,625.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Urbanized Area Funds - Capital and Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
RI-2021-005-01-00	1388-2021-9-P1	5/21/2021	2/18/2021	4/30/2023

Project Description

RIPTA is requesting to obligate \$5,466,394 of federal transit funds apportioned to the Providence urbanized area to support state of good repair initiatives (Section 5337 funds) and to support the enhanced mobility of seniors and individuals with disabilities (Section 5310 funds).

\$2,059,818 of RIPTA's 5310 funds is being requested for the purchase of paratransit vehicles for the replacement of aging vehicles which have exceeded their useful life. RIPTA has elected to utilize flexibility provided by CRRSA and not contribute match to these federal funds. All vehicles being purchased will comply with Buy America, Clean Air Act, and ADA rules. The vehicles being replaced will have exceeded their useful life by both years and miles. Attached to this application is a list of vehicles RIPTA is targeting for retirement. Total expenditures are \$2,059,818.

In addition, RIPTA is looking to obligate \$3,406,576 in Section 5337 funds to support ongoing preventative maintenance expenditures. RIPTA will provide \$851,644 in gas tax funds as match. Total expenditures will be \$4,258,220.

Funds will be used from the following apportionments:

FFY 2019 5310 - Norwich - New London, CT-RI UZA: \$22,396

FFY 2020 5310 - Norwich - New London, CT-RI UZA: \$22,905

FFY 2019 5310 - Providence, RI-MA UZA: \$993,964
 FFY 2020 5310 - Providence, RI-MA UZA: \$1,020,553
 FFY 2021 5337 - Providence, RI-MA UZA: \$3,406,576

Project Benefits

Both projects ensure a state of good repair of RIPTA's assets. The paratransit fleet has exceeded its useful life and has become costly to operate. Quality, reliable service is essential to RIPTA's mission and ensuring vehicles are in a state of good repair is critical to accomplishing this goal.

Likewise, preventative maintenance funds assist RIPTA in maintaining all vehicles and facilities (apart from paratransit vehicles) also in support of RIPTA's mission.

Additional Information

None provided.

Location Description

The State of Rhode Island.

Project Location (Urbanized Areas)

UZA Code	Area Name
440310	Providence, RI-MA

Congressional District Information

State	District	Representative
Rhode Island	1	David N Cicilline
Rhode Island	2	James R Langevin

Program Plan Information

STIP/TIP

Date: 2/25/2021

Description: Paratransit vehicles can be found on page 288 and preventive maintenance can be found on page 294.

UPWP

Date: 6/11/2020

Description: Not Provided

Long Range Plan

Date: 12/31/2020

Description: Not Provided

Project Control Totals

Funding Source	Section of	CFDA	Amount
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	Statute	Number	
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$2,059,818
5337 - State of Good Repair Grants	5337	20525	\$3,406,576
Local			\$851,644
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,318,038

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-005-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$2,059,818.00	\$0.00	\$2,059,818.00	14
RI-2021-005-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$2,059,818.00	\$0.00	\$2,059,818.00	14
RI-2021-005-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$3,406,576.00	\$851,644.00	\$4,258,220.00	0
RI-2021-005-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$3,406,576.00	\$851,644.00	\$4,258,220.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This is a request for \$3,406,576 of FFY 2021 Section 5337 state of good repair funds. RIPTA will use these funds for preventative maintenance of its vehicles, equipment, and facilities. Our average monthly preventative maintenance reimbursement is \$1.44 million (80%). Funds will be used to reimburse a portion

of expenses from 3/1/2022 through 6/1/2022.

RIPTA's bus system is a part of a fixed-guideway system due to the East Side Tunnel, a 0.8 mile bus-only tunnel which reports to NTD as fixed-guideway and contributes to the overall 5337 fixed-guideway apportionment. Consequently, the fleet, equipment, and facilities associated with this tunnel are eligible for 5337 funds.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5337 - State of Good Repair Grants	5337	20525	\$3,406,576
Local			\$851,644
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,258,220

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2022	Date by which expenditures are expected to commence.
End Date	6/1/2022	Date by which expenditures will be complete.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	14

Extended Budget Description

RIPTA requests to obligate \$2,059,818 of 2019 and 2020 FTA Section 5310 funding for the purchase of fourteen (14) paratransit buses (<30') at an estimated price of \$145,530 each. RIPTA has elected to utilize flexibility provided by CRRSAA and not contribute match. These vehicles will be ordered in FY 2023 with expected delivery later in the same fiscal year. These vehicles will replace fourteen (14) older diesel buses, which entered service in 2016 and will have reached their useful life of 5-years or 150,000 miles by the time these vehicles are delivered to RIPTA.

As these funds are only enough to support a fraction of the fourteenth bus, \$44,767.50 from RI-2020-002 will be used to complete the purchase.

All vehicles purchased will be fully complaint with the Clean Air Act, The Americans with Disabilities Act, and Buy America. RIPTA follows disposition requirements as outlined in circular 5010.1E. All vehicles

being purchased with this grant will have a useful life of 5-years or 150,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$2,059,818
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,059,818

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/18/2021	Date the vehicle bid was issued.
Contract Award Date	5/19/2021	Date the vehicle contract was awarded by the board.
Initial Delivery Date	3/31/2022	Date first vehicle will be delivered.
Final Delivery Date	3/31/2023	Date last vehicle will be delivered.
Contract Completion Date	4/30/2023	Date order will be complete and contract is closed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Statewide Funds - Vehicle Replacement

Project Number	Temporary Project Number	Date Created	Start Date	End Date
RI-2021-005-02-00	1388-2021-9-P2	5/21/2021	2/18/2021	4/30/2023

Project Description

RIPTA is requesting to obligate \$107,796 of federal transit funds apportioned to Rhode Island to support the enhanced mobility of seniors and individuals with disabilities (Section 5310 funds). RIPTA has elected not to contribute match, utilizing flexibility provided by CRRSA.

RIPTA will use these funds for the purchase of paratransit vehicles. All vehicles being purchased will comply with buy America, Clean Air Act, and ADA rules. The vehicles being replaced will have exceeded their useful life by both years and miles. Attached to this application is a list of vehicles RIPTA is targeting for retirement. Total Expenditures is \$107,796.

Funds will be used form the following apportionments:

FFY 2019 5310 - Rhode Island/State: \$52,683

FFY 2020 5310 - Rhode Island/State: \$55,113

Project Benefits

The paratransit fleet has exceeded its useful life and has become costly to operate. Quality, reliable service is essential to RIPTA's mission and ensuring vehicles are in a state of good repair is critical to accomplishing this goal.

Additional Information

None provided.

Location Description

The State of Rhode Island.

Project Location (Urbanized Areas)

UZA Code	Area Name
440000	Rhode Island
440070	Boston, MA--NH--RI
441450	Norwich--New London, CT--RI

Congressional District Information

State	District	Representative
Rhode Island	1	David N Cicilline
Rhode Island	2	James R Langevin

Program Plan Information

STIP/TIP

Date: 2/25/2021

Description: Paratransit vehicle replacement can be found on page 288.

UPWP

Date: 6/11/2020

Description: Not Provided

Long Range Plan

Date: 12/31/2020

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$107,796
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$107,796

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-005-02-00	111-00 (111-A3) BUS - ROLLING STOCK	\$107,796.00	\$0.00	\$107,796.00	1
RI-2021-005-02-00	11.12.04 BUY REPLACEMENT	\$107,796.00	\$0.00	\$107,796.00	1

<30 FT BUS

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

RIPTA requests to obligate \$107,796 of 2019 and 2020 FTA Section 5310 funding for the purchase of one (1) paratransit bus (<30') at an estimated price of \$145,530. RIPTA has elected to not contribute match in accordance to CRRSA flexibility. These vehicles will be ordered in FY 2023 with expected delivery later in the same fiscal year. This vehicle will replace one (1) older diesel bus, which entered service in 2016 and will have reached its useful life of 5-years or 150,000 miles by the time this vehicle is delivered to RIPTA.

As these funds are only enough to support a fraction of one bus, \$37,734 from RI-2020-002 will be used to complete the purchase.

The vehicle purchased will be fully complaint with the Clean Air Act, The Americans with Disabilities Act, and Buy America. RIPTA follows disposition requirements as outlined in circular 5010.1E. All vehicles being purchased with this grant will have a useful life of 5-years or 150,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$107,796
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$107,796

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	2/18/2021	Date the vehicle bid was issued.
Contract Award Date	5/19/2021	Date the vehicle contract was awarded by the board.
Initial Delivery Date	3/31/2022	Date first vehicle will be delivered.
Final Delivery Date	3/31/2023	Date last vehicle will be delivered.
Contract Completion Date	4/30/2023	Date order will be complete and contract is closed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Project Title: Rural Operating and Technical Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
RI-2021-005-03-00	1388-2021-9-P3	5/21/2021	6/30/2022	5/1/2026

Project Description

RIPTA is requesting to obligate \$632,431 of Section 5311 (FFY 2019) rural funding and \$74,625 (FFY 2019) of Rural Transportation Assistance Program funding.

Funds will be used from the following apportionments:

FFY 2019 5311 - Rhode Island/State: \$632,431

FFY 2019 RTAP - Rhode Island/State: \$74,625

Project Benefits

These funds will support rural transit operations, increasing mobility options for Rhode Islanders.

Additional Information

None provided.

Location Description

The State of Rhode Island.

Project Location (Urbanized Areas)

UZA Code	Area Name
440000	Rhode Island
440070	Boston, MA--NH--RI
440310	Providence, RI-MA
441450	Norwich--New London, CT--RI

Congressional District Information

State	District	Representative
Rhode Island	1	David N Cicilline
Rhode Island	2	James R Langevin

Program Plan Information**STIP/TIP**

Date: 2/25/2021

Description: Rural operating assistance can be found on page 292. Rural transportation assistance program can be found on page 293.

UPWP

Date: 6/11/2020

Description: Not Provided

Long Range Plan

Date: 12/31/2020

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$707,056
Local			\$632,431
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$1,339,487

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
RI-2021-005-03-00	300-00 (300-A5) OPERATING ASSISTANCE	\$632,431.00	\$632,431.00	\$1,264,862.00	0
RI-2021-005-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$632,431.00	\$632,431.00	\$1,264,862.00	0
RI-2021-005-03-00	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$74,625.00	\$0.00	\$74,625.00	0
RI-2021-005-03-00	43.50.02 TECHNICAL ASSISTANCE	\$74,625.00	\$0.00	\$74,625.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This is a request for \$632,431 (\$632,431 local match) of FFY 2019 Section 5311 funds that were apportioned to the state of Rhode Island for a total budget of \$1,264,862. RIPTA provides transit service in the non-urban areas of Rhode Island. The non-urban segments of these routes have been identified for inclusion in this grant:

RIPTA provides transit service in the non-urban areas of Rhode Island. The non-urban segments of these routes have been identified for inclusion in this grant:

1. Portions of Route 9 operating to Pascoag and mill villages in Burrillville and Glocester
2. Portions of Route 14 serving rural areas of North Kingstown
3. Portions of Route 66 serving North and South Kingstown and Exeter
4. Portions of Rural Ride Route 301 serving Richmond and Hopkinton
5. Portions of Route 95 serving Ashaway and Hopkinton
6. Portions of Route 61 serving Tiverton.
7. Portions of Route 10 serving North Scituate
8. Flex service in parts of Burrillville and N. Smithfield
9. Portions of Route 59 serving Slatersville and N. Smithfield

This project is found in the TIP on page 295 as Project ID #7005 under TIP element Rural Operations/Intercity Bus in 2019. RIPTA will use its Gas Tax Revenue as Local Match of \$632,431 (50%)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$632,431
Local			\$632,431
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,264,862

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2025	Date expenditures are expected to commence.
End Date	5/1/2026	Date expenditures are expected to be complete.

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

This is a request for \$74,625 (100% No match required) FFY2019 Section 5311 RTAP Funds for technical assistance.

RIPTA is requesting funds for technical assistance to develop RTAP activities through a process that provides maximum opportunity for the participation of rural transit employees who work with and in our rural areas, both public and private, in identifying and establishing priority areas of need for transportation research, technical assistance, training and related support services in rural areas. Program objectives include promoting delivery of transportation services, offering assistance and training, providing peer-to-peer networks and connections, coordinating public, private, specialized and human service transportation.

Delivery could include: Assistance by in-house state staff, contracts with private consultants, universities, nonprofit organizations, state transit associations, tuition and expenses for people to attend training courses or workshops or other ways as RIPTA develops.

This project is included in the FFY 2018-2027 TIP dated 4/2/19; ID 7006; Page 296 Rural Technical Assistance Program (RTAP).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$74,625
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$74,625

Milestone Name	Est. Completion Date	Description
End Date	6/30/2022	Date expenditures are expected to complete.
Start Date	9/1/2022	Date expenditures are expected to commence.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments*None provided.*

		Current Value
I.	Active Fleet	
	A. Peak Requirement	206
	B. Spares	41
	C. Total (A+B)	247
	D. Spare Ratio (B/A)	19.9%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	247

Fleet Type: Paratransit**Fleet Comments***None provided.*

		Current Value
I.	Active Fleet	
	A. Peak Requirement	79
	B. Spares	18
	C. Total (A+B)	97
	D. Spare Ratio (B/A)	22.78%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	97

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Bartlett

Comment Type	DOL Review for Certification
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Date	7/21/2021
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Comment	This is a Rhode Island Public Transit Authority (RIPTA) request for \$6,281,246 in formula funds for a program of projects that includes vehicle purchases, operating assistance,
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technical assistance, and preventive maintenance.

Funds are allocated across the following three projects in the amounts detailed below:

Project 1: Urbanized Area 5310 and 5337 funds:

\$2,059,818 in 5310 funds to support replacement of fourteen (14) paratransit vehicles

\$3,406,576 in 5337 funds to support ongoing preventative maintenance expenditures

Project 2: State Apportioned 5310 funds

\$107,796 in 5310 funds to support replacement of one (1) paratransit vehicle

Project 3: Rural/5311 and RTAP funds apportioned to state

\$632,431 in 5311 funds to support rural transit service

\$74,625 in RTAP funds to provide technical assistance for rural service initiatives

Recipient Contact: Chris Durand - cdurand@ripta.com - (401) 784-9500 x1231

FTA Contact: Ryan Bartlett - ryan.bartlett@dot.gov - 617-494-3940

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

(1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021,

<http://www.transit.dot.gov>,

(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Recipient ID: 1388

DUNS No: 041275652

Application Information

Federal Award Identification Number: RI-2021-005-00

Application Name: FFY 2021 - Vehicles, Preventative Maintenance, Technical Assistance and Operating

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: This is a Rhode Island Public Transit Authority (RIPTA) request for \$6,281,246 in formula funds for a program of projects that includes vehicle purchases, operating assistance, technical assistance, and preventive maintenance.

Funds are allocated across the following three projects in the amounts detailed below:

Project 1: Urbanized Area 5310 and 5337 funds:

\$2,059,818 in 5310 funds to support replacement of fourteen (14) paratransit vehicles

\$3,406,576 in 5337 funds to support ongoing preventative maintenance expenditures

FFY 2019 5310 - Norwich - New London, CT-RI UZA: \$22,396

FFY 2020 5310 - Norwich - New London, CT-RI UZA: \$22,905

FFY 2019 5310 - Providence, RI-MA UZA: \$993,964

FFY 2020 5310 - Providence, RI-MA UZA: \$1,020,553

FFY 2021 5337 - Providence, RI-MA UZA: \$3,406,576

Project 2: State Apportioned 5310 funds

\$107,796 in 5310 funds to support replacement of one (1) paratransit vehicle

FFY 2019 5310 - Rhode Island/State: \$52,683

FFY 2020 5310 - Rhode Island/State: \$55,113

Project 3: Rural/5311 and RTAP funds apportioned to state

\$632,431 in 5311 funds to support rural transit service

\$74,625 in RTAP funds to provide technical assistance for rural service initiatives

FFY 2019 5311 - Rhode Island/State: \$632,431

FFY 2019 RTAP - Rhode Island/State: \$74,625

The CRRSAA waives the requirement for local share for previously apportioned (FFY21 and prior) unobligated Section 5310 funds. This grant therefore requests 100% federal share for all 5310 funded projects.

Match for all projects will come from RIPTA Operating funds, primarily through gas tax receipts, of which, the Authority receives approximately \$44 million annually. \$1,484,075 of gas tax will be set aside to support these expenditures.

By executing this grant, RIPTA certifies that projects funded in this grant with Section 5337 funds are included in the Transit Asset Management (TAM) Plan investment prioritization section.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$7,765,321.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,281,246.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$1,484,075.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,281,246.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,484,075.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula at 100% share	5310-1C	20513	\$2,167,614
5337 - State of Good Repair Grants	5337	20525	\$3,406,576
5311 - Rural Area Formula	5311-1A	20509	\$707,056
Local			\$1,484,075
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,765,321

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

RHODE ISLAND PUBLIC TRANSIT AUTHORITY