

## Application

<b>Federal Award Identification Number (FAIN)</b>	NE-2021-008-00
<b>Temporary Application Number</b>	6955-2021-1
<b>Application Name</b>	Omaha Tribe of NE Sec. 5311 Tribal CRRSAA for Operating & Preventive Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/16/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: OMAHA TRIBE OF NEBRASKA**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6955	Indian Tribe	OMAHA TRIBE OF NEBRASKA	118683309

Location Type	Address	City	State	Zip
Headquarters	100 HEALTH CENTER DR	MACY	NE	680390000
Physical Address	100 HEALTH CENTER DR	MACY	NE	68039
Mailing Address	P.O. BOX #368	MACY	NE	68039

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Omaha Tribe of NE Sec. 5311 Tribal CRRSAA for Operating & Preventive Maintenance**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NE-2021-008-00	Final Concurrence / Reservation	Grant	6/17/2021	6/17/2021	No

**Application Executive Summary**

This is an FFY 2021 Section 5311 Tribal Transit CRRSAA application in the amount of \$353,213, representing 100% federal share of the total eligible amount. This application utilized CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes The use of the funds is using pre-award approval using the date 3/6/2020 until all funds are expended.

The Omaha Tribe of Nebraska agrees if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T Stafford Disaster Relief and emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this GRANT Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal Share that duplicates funding provided by FEMA, another Federal agency or an insurance company.

“The Recipient certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; or (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency.”

There is no Local Match requirement for 5311 TTP Formula Funds, per the CARES Act policy.

**STIP**

There is no STIP requirement for Section 5311 CARES Act TTP Formula Funds.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The indirect cost approval rate is 25.25% for the Omaha Tribe of Nebraska.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Dawn	Baxter	Transit Coordinator	dbaxter@theomahatribe.com	(402) 837-4361 129

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$353,213
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$353,213</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$353,213.00	\$0.00	\$353,213.00	0
NE-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$45,000.00	\$0.00	\$45,000.00	0

NE-2021-008-01-00	30.09.08	CRRSAA - OPERATING ASSIST - 100% Fed Share	\$308,213.00	\$0.00	\$308,213.00	0
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Operating & Preventative Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2021-008-01-00	6955-2021-1-P1	6/17/2021	6/24/2021	8/30/2022

#### Project Description

Project Description

FY 2021 CRRSSA Projects TTFP funds in the amount of \$353,213.00 will be used for operating expenses, and preventative maintenance on vehicles.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 03/6/2020 until expended

There is no Local Match requirement for 5311 TTP CARES Act Formula Funds.

STIP

There is no STIP requirement.

#### Project Benefits

Our tribe is economically challenged with little commerce being conducted within the reservation. It is therefore necessary for our tribal members to travel significant distances to obtain or maintain employment. Many of our members do not have access to transportation at all or only to unreliable transportation, so there is a huge lack of transportation which represents a gaping need for transit services in this area.

Because of the isolated, rural nature of our communities, it is vital that our tribal members have access to the nearest cities for many services that are not available within our communities of Macy, Walthill, and Rosalie. Many of our tribal members lack the appropriate transportation or licensing to drive the distance to these services. The operation funding would expand our obvious need to provide this transit service to our members and the public. The operation assistance would expand our obvious need to provide this transit service to our members and the public. By adding two vans we would expect to only maintain, but to improve our ability to provide expanded services to our members and the public.

Although we have a medical facility on our reservation, many individuals who live in outlying rural areas need transportation to access these services. In addition, the services provided at our clinic are limited, and travel is necessary when referred out to Sioux City, or Omaha to receive expanded medical care. As stated previously, job opportunities are severely limited on the reservation so it is critical that our members have access to employment in other communities such as Sioux City and the surrounding area. There is no grocery store or other retail outlets within the reservation which can provide healthy alternatives for our members so it is vital to provide access to food stores and other retail outlets for necessities.

The educational opportunities on our reservation are limited to a community college with narrow degree options and only an associated level degree. This transit enables our tribal members to continue past that level of education or to pursue expanded degree areas not offered locally.

**Additional Information**

Following up on the OTPT operations regarding coronavirus, we are writing to reiterate important information for faculty and staff members and to ask for your individual assistance during this challenging time. Although there are no coronavirus cases on the Omaha Indian Reservation to date, we all need to take seriously the OTN's urgent message that we will be most successful if we continue to prepare for and mitigate potential impacts if we support one another and work together to protect our community.

As of March 9, 2020 the Omaha Tribe Public Transit's attempts to suppress the spread of the novel coronavirus, we have seen a devastating effect on public transit use. OTPT is finding this a struggle to maintain service amid collapse in ridership. As of March 9, 2020 our ridership has decreased to 3 riders. All 3 riders work at Seaboard Triumph Foods, which is located in an infected area of Woodbury County, Iowa.

Starting March 10, 2020 OTPT will severely curtail our hours, operating between 8:00 a.m. to 5:00 p.m. Monday, Wednesday, & Friday. These days OTPT will provide ridership in our communities to grocery shop, purchase home cleaning supplies, purchase home necessities, etc. We will not transport into Woodbury County, Douglas County, or Lancaster County at this time due to those counties being infected with COVID-19.

**Location Description**

Located at 544 Skunk Hollow drive, Macy, NE 68039 on the Omaha Indian Reservation in Macy, Nebraska.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
310000	Nebraska

**Congressional District Information**

State	District	Representative
Nebraska	1	Jeff Fortenberry

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$353,213
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$353,213</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2021-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$353,213.00	\$0.00	\$353,213.00	0
NE-2021-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$45,000.00	\$0.00	\$45,000.00	0
NE-2021-008-01-00	30.09.08 CRRSAA - OPERATING ASSIST - 100% Fed Share	\$308,213.00	\$0.00	\$308,213.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - CRRSAA - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

The Tribal Transit funds in the amount of \$308,213 are being requested for operating to support OTPT services for the Omaha Tribe's service through the COVID-19 pandemic beginning March 6, 2020 until expended and the funding does not last.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$308,213
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$308,213</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/24/2021	
End Date	8/30/2022	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Preventative maintenance is being requested for the OTPT service fleet. It will cover maintenance of the vehicles, and excluding fuel.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$45,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$45,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/24/2021	
End Date	8/30/2021	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/22/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$45,000.00	\$45,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.



Date Description	Date
Class IIc CE Approved	7/22/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	CRRSAA - OPERATING ASSIST - 100% Fed Share	0	\$308,213.00	\$308,213.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Logan Daniels

Comment Type DOL Review for Information

Date 7/23/2021

Comment The Omaha Tribe is coming in for their CRRSAA Section 5311: \$353,213 Operating & Preventive Maintenance

### Application Review Comments

**Comment By** Gerri Doyle

Comment Type Environmental Findings

Date 7/22/2021

Project Title Operating & Preventative Maintenance

Project Number NE-2021-008-01-00

Comment The proposed preventative maintenance activities on vehicles is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

**Comment By** Gerri Doyle

Comment Type Environmental Findings

Date 7/22/2021

Project Title Operating & Preventative Maintenance

Project Number	NE-2021-008-01-00
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: OMAHA TRIBE OF NEBRASKA

Recipient ID: 6955

DUNS No: 118683309

#### **Application Information**

Federal Award Identification Number: NE-2021-008-00

Application Name: Omaha Tribe of NE Sec. 5311 Tribal CRRSAA for Operating & Preventive Maintenance

Application Start Date: N/A

Original Award End Date: 3/16/2023

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 Tribal Transit CRRSAA application in the amount of \$353,213, representing 100% federal share of the total eligible amount. This application utilized CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes The use of the funds is using pre-award approval using the date 3/6/2020 until all funds are expended.

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“The Recipient certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; or (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency.”

There is no Local Match requirement for 5311 TTP Formula Funds, per the CARES Act policy.

STIP

There is no STIP requirement for Section 5311 CARES Act TTP Formula Funds.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$353,213.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$353,213.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$353,213.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$353,213
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Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$353,213</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*OMAHA TRIBE OF NEBRASKA*