

## Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2021-038-00
<b>Temporary Application Number</b>	2039-2021-8
<b>Application Name</b>	Section 5311 CRRSAA Operating Assistance and State Program Administration
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551899
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<p><b>Title: Section 5311 CRRSAA Operating Assistance and State Program Administration</b></p>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2021-038-00	Final Concurrence / Reservation	Grant	5/25/2021	5/25/2021	No

**Application Executive Summary**

This is an FFY 2021 Section 5311 CRRSAA application by the State of Minnesota Department of Transportation (MnDOT) in the amount of \$14,696,885 (100% of the State's apportionment). This application utilizes CRRSAA funding to assist in the prevention of, prepare for, and respond to coronavirus and subsequent economic recovery. The application scope of work includes Rural Operating and State program administrative expenses.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

No STIP or local Match is required.

A Complete Program of Projects has been added to the Application Documents

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The cognizant agency Federal Highway Administration approved MnDOT's indirect

cost (IDC) rate on 06/30/21 and a copy of the organization's approved rate of 19.70% is on file with the FTA (in TrAMS).

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	(312) 705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$14,696,885
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,696,885</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-038-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$13,227,197.00	\$0.00	\$13,227,197.00	0
MN-2021-038-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$13,227,197.00	\$0.00	\$13,227,197.00	0
MN-2021-	610- STATE / PROGRAMS	\$1,469,688.00	\$0.00	\$1,469,688.00	0

038-01-00	00 (610- A2)	ADMINISTRATION					
MN-2021- 038-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,469,688.00	\$0.00	\$1,469,688.00	0	

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY 2021 Section 5311 CRRSAA Act Operating and State Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-038-01-00	2039-2021-8-P1	5/25/2021	1/1/2022	12/29/2023

#### Project Description

MnDOT will utilize FFY 2021 Section 5311 CRRSAA funds in the amount of \$13,227,197 to provide local rural transit providers with approximately 30% of their calendar year 2022 (estimated as January 1 - April 30) at 100% operating deficit to support their efforts to prevent, prepare for, and respond to coronavirus, and continue subsequent economic recovery. 100% of the balance of transit providers' CY 2022 operating costs (not included in this grant) will be paid by the State of Minnesota. No local share is expected to be provided by the transit providers. Additionally, MnDOT will utilize \$1,469,688 (the full 10% allowed by C9040.1G) of the CRRSSA apportionment for state program administration costs.

A complete Program of Projects has been added to the Application Documents

#### Project Benefits

This project will maintain the ability of local transit providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota while responding to coronavirus and subsequent economic recovery.

#### Additional Information

*None provided.*

#### Location Description

Cities of Fosston, Hibbing, LeSueur, Morris, St. Peter, and Winona; and the Counties of Anoka, Becker, Beltrami, Benton, Blue Earth, Brown, Carver, Clay, Clearwater, Cottonwood, Crow Wing, Dakota, Douglas, Freeborn, Goodhue, Grant, Hennepin, Hubbard, Jackson, Kanabec, Kittson, Lake of the Woods, LeSueur, Lincoln, Lyon, Marshall, Mille Lacs, Morrison, Mower, Murray, Nicollet, Nobles, Norman, Otter Tail, Pennington, Pipestone, Polk, Pope, Ramsey, Red Lake, Redwood and Rock, Rice, Roseau, Scott, Sherburne, Southern Todd, Stearns, Steele, Stevens, Traverse, Wabasha, Wadena, Waseca, Washington, Watonwan, and Wilkin.

## Project Location (Urbanized Areas)

<b>UZA Code</b>	<b>Area Name</b>
270000	Minnesota

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations with no substantial functional, location, or capacity change. Therefore, per ARPA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$14,696,885
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,696,885</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-038-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$13,227,197.00	\$0.00	\$13,227,197.00	0
MN-2021-038-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$13,227,197.00	\$0.00	\$13,227,197.00	0
MN-2021-038-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,469,688.00	\$0.00	\$1,469,688.00	0
MN-2021-038-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,469,688.00	\$0.00	\$1,469,688.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

Provide \$13,227,197 of Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) funds for approximately 30% of calendar year 2022 Operating Assistance (estimated as January 1 - April 30) to operate, maintain, and manage public transit systems that provide services in the services areas of Becker County Transit, Brown County Human Services, Cedar Valley Services, Inc., City of Brainerd, City of Fosston, City of Hibbing, City of Morris, City of Winona, Hubbard County, Metropolitan Council - Transit Link, Mn River Valley Transit, Paul Bunyan Transit, Productive Alternatives Inc., Rainbow Rider Transit Board, Southwestern Minnesota Opportunity Council, Inc., Three Rivers Community Action, Inc., Tri-County Action Program Inc., Tri-Valley Opportunity Council, Inc., United Community Action Partnership, Inc. (Western), Vine Faith In Action, Wadena County Highway Department, and Watonwan County. Operating funds will cover expenses for existing service incurred beginning 01/01/2022 until approximately 4/30/2022, to operate, maintain and manage public transport service. Operating assistance activities consist of driver salaries (may include administrative leave), fuel costs and items with a useful life of less than one year as outlined in C9040.1G. No Local Match will be required.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$13,227,197
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$13,227,197</b>

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2022	CY 2022 Operating activities begin.
CRRSAA Funds Expended	4/30/2022	CY 2022 CRRSAA Funds fully expended by transit systems.
Contracts Complete	12/29/2023	CY 2022 contracts are audited, final expenditures are made and grant is ready to be closed

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Provide \$1,469,688 of Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) funds for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program during calendar year 2022 (January 1 - December 31). MnDOT intends to draw indirect costs of 19.7% of salaries charged to this grant. \$1,469,688 represents the full allowance (10%) of the State Program Allowance as allowed by 9070.1G.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$1,469,688
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$1,469,688</b>

Milestone Name	Est. Completion Date	Description
Program Administration Begins	1/1/2022	Begin utilizing obligated funds for on-going State Program Administration.
Program Administration is Complete	12/31/2022	All available funds for on-going State Program Administration are drawn from grant.
Grant is read to be Closed	12/29/2023	Final state program activities completed prior to closing grant and grant is read to be closed.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **William Wheeler**

Comment Type DOL Review for Information

Date 7/9/2021



Comment Please review.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF

Recipient ID: 2039

DUNS No: 957271604

#### **Application Information**

Federal Award Identification Number: MN-2021-038-00

Application Name: Section 5311 CRRSAA Operating Assistance and State Program Administration

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 CRRSAA application by the State of Minnesota Department of Transportation (MnDOT) in the amount of \$14,696,885 (100% of the State's apportionment). This application utilizes CRRSAA funding to assist in the prevention of, prepare for, and respond to coronavirus and subsequent economic recovery. The application scope of work includes Rural Operating and State program administrative expenses.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

No STIP or local Match is required.

A Complete Program of Projects has been added to the Application Documents

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$14,696,885.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$14,696,885.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,696,885.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$14,696,885
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,696,885</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,

- (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, MINNESOTA DEPARTMENT OF*