

Application

Federal Award Identification Number (FAIN)	MN-2021-037-00
Temporary Application Number	2039-2021-7
Application Name	Section 5311 American Rescue Plan Act (ARPA) Operating Assistance and State Program Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551899
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 American Rescue Plan Act (ARPA) Operating Assistance and State Program Administration

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2021-037-00	Final Concurrence / Reservation	Grant	5/24/2021	5/24/2021	No

Application Executive Summary

This is an FFY 2021 Section 5311 American Rescue Plan Act (ARPA) application by the State of Minnesota Department of Transportation (MnDOT) in the amount of \$9,357,149. This application utilizes ARPA funding to assist in the prevention of, prepare for, and respond to coronavirus and subsequent economic recovery. The application scope of work includes Rural Operating expenses, State Program Administration, and Rural Transit Assistance Program (RTAP). \$9,357,149 represents a request for 100% of the State's non-intercity bus operating funds, 83% of the State Program Administration, and 100% of the RTAP Apportionment. MnDOT anticipates the balance of Intercity Bus Related ARPA apportionments to be requested in a future grant amendment application.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No STIP or local Match is required. A Complete Program of Projects has been added to the Application Documents.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency Federal Highway Administration approved MnDOT's indirect cost (IDC) rate on 06/30/21 and a copy of the organization's approved rate of 19.70% is on file with the FTA (in TrAMS).

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	(312) 705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,217,215
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$139,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,357,149

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-037-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$8,295,494.00	\$0.00	\$8,295,494.00	0
MN-2021-037-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,295,494.00	\$0.00	\$8,295,494.00	0
MN-2021-037-01-00	610-00 STATE / PROGRAMS ADMINISTRATION	\$921,721.00	\$0.00	\$921,721.00	0

	(610-A2)						
MN-2021-037-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$921,721.00	\$0.00	\$921,721.00	0
MN-2021-037-01-00	635-00 (635-A3)		RURAL TRANSIT ASSISTANCE PROGRAM	\$139,934.00	\$0.00	\$139,934.00	0
MN-2021-037-01-00		43.50.01	TRAINING	\$139,934.00	\$0.00	\$139,934.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

<p>Project Title: FFY 2020 Section 5311 American Rescue Plan Act (ARPA) Operating, State Program Administration, and RTAP</p>
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-037-01-00	2039-2021-7-P1	5/24/2021	10/1/2021	12/31/2023

Project Description

MnDOT will utilize FFY 2021 Section 5311 ARPA application in the amount of \$8,295,494 to provide local rural transit providers with approximately 30% of their calendar year 2022 (estimated as January 1 - April 30) at 100% operating deficit to support their efforts to prevent, prepare for, and respond to coronavirus, and continue subsequent economic recovery. 100% of the balance of the transit providers' CY 2022 operating costs (not included in this grant) will be paid by the State of Minnesota. No local share is expected to be provided by the transit providers. Additionally, MnDOT will utilize \$921,721 (83% of the full apportionment allowed by C9040.1G) of the ARPA apportionment for state program administration costs and \$139,934 to support RTAP Activities from October 2021 - December 31, 2022.

A complete Program of Projects has been added to the Application Documents

Project Benefits

This project will maintain the ability of local transit providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota while responding to coronavirus and subsequent economic recovery.

Additional Information

None provided.

Location Description

Aitkin, Big Stone, Carlton, Chippewa, Cook, Isanti, Itasca, Kandiyohi, Koochiching, Lac Qui Parle, Lake, McLeod, Meeker, Pine, Renville, St. Louis, Sibley, Swift, Wright, and Yellow Medicine Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project includes only operations with no substantial functional, location, or capacity change. Therefore, per ARPA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,217,215
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$139,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,357,149

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-037-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$8,295,494.00	\$0.00	\$8,295,494.00	0
MN-2021-037-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$8,295,494.00	\$0.00	\$8,295,494.00	0
MN-2021-037-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$921,721.00	\$0.00	\$921,721.00	0
MN-2021-037-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$921,721.00	\$0.00	\$921,721.00	0
MN-2021-037-01-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$139,934.00	\$0.00	\$139,934.00	0
MN-2021-037-01-00	43.50.01 TRAINING	\$139,934.00	\$0.00	\$139,934.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Provide \$139,934 (100% of the RTAP Apportionment).of American Rescue Plan Act (ARPA) for additional on-going training and technical support during the period of October 1, 2021-December 31, 2022 Note: This funding period overlaps with RTAP assistance in MN-2019-018-01 and Grant Application Number 2039-2021-6 (FAIN not yet assigned) as funding was insufficient in those grants to cover all of the possible planned activities listed for the entire period. The on-going RTAP Program supports the following activities that include, but are not limited to:

1. Provide training services for Greater Minnesota Transit Agency staff and MnDOT RTAP Trainers, utilizing a variety of training methods including, but not limited to multi-day workshops, specialized trainings by MnDOT RTAP trainers, national training offered by FTA, on-line training, and peer-to-peer transit agency workshops. Topics will include drivers' education and awareness, Maltreatment and PASS, management/leadership, marketing, compliance and reporting training, customer service, dispatching, drug and alcohol compliance training, FTA grant technical support training, procurement/purchasing training, and other topics as requested by the Transit Agencies.

2. Providing scholarships to 5310 and tribal transit providers to attend trainings, and the evaluation and implementation of a scholarship program for eligible small urban and rural public transit providers.

3. Continue to support and advance the MnDOT RTAP advisory committee via monthly meetings, development of a strategic plan for RTAP, evaluation of training needs, and reviewing training reporting requirements.

4. Work with the RTAP consultant to continue the support of RTAP day-to-day activities, including but not limited to scheduling trainings, procuring and monitoring training, facilitation of RTAP advisory committee, identifying agency training needs, maintaining MDOT RTAP website, and providing newsletters and other periodic communications, as requested.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$139,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$139,934

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	MnDOT starts drawing on-going RTAP training funds from this grant.
End Date	12/31/2022	Utilize all of the RTAP funds in this grant on on-going training programs
Final state program activities completed prior to closing grant.	12/29/2023	Final state program activities completed prior to closing grant.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Provide \$921,721 American Rescue Plan Act (ARPA) funds for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program during calendar year

2022 (January 1 - December 31). MnDOT intends to draw indirect costs of 19.7% of salaries charged to this grant. \$921,721 represents 83% of the State Program Allowance as allowed by 9040.1G.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$921,721
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$921,721

Milestone Name	Est. Completion Date	Description
Program Administration Begins	1/1/2022	Begin utilizing obligated funds for on-going State Program Administration.
Program Administration is Complete	12/31/2022	Final obligated funds expended for on-going State Program Administration. MnDOT intends to draw indirect costs of 19.70% of salaries charged to this grant.
Subawards Completed	12/29/2023	CY 2022 contracts are audited, final expenditures are made, and grant is ready to be closed.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Provide \$8,295,494 of American Rescue Plan Act (ARPA) funds for approximately 30% of calendar year 2022 Operating Assistance (estimated as January 1 - April 30) to operate, maintain, and manage public transit systems that provide services in the services areas of Arrowhead Economic Opportunity Agency, Inc.; Central Community Transit; Prairie Five CAC, Inc. and Trailblazer Joint Powers Board. No Local Match will be required. Operating funds are estimated to cover expenses for existing service incurred beginning 01/01/2022 until approximately 4/30/2022 to operate, maintain and manage public transport service. Operating assistance activities consist of driver salaries (may include administrative leave), fuel costs and items with a useful life of less than one year as outlined in C9040.1G.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$8,295,494
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,295,494

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	CY 2022 Operating activities begin
ARPA Funds Expended	4/30/2022	CY 2022 ARPA Funds expended by transit systems.
Subawards Completed	12/31/2023	CY 2022 contracts are audited, final expenditures are made and grant is ready to be closed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **William Wheeler**

Comment Type DOL Review for Information

Date 7/9/2021

Comment Please review.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2021-037-00

Application Name: Section 5311 American Rescue Plan Act (ARPA) Operating Assistance and State Program Administration

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 American Rescue Plan Act (ARPA) application by the State of Minnesota Department of Transportation (MnDOT) in the amount of \$9,357,149. This application utilizes ARPA funding to assist in the prevention of, prepare for, and respond to coronavirus and subsequent economic recovery. The application scope of work includes Rural Operating expenses, State Program Administration, and Rural Transit Assistance Program (RTAP). \$9,357,149 represents a request for 100% of the State's non-intercity bus operating funds, 83% of the State Program Administration, and 100% of the RTAP Apportionment. MnDOT anticipates the balance of Intercity Bus Related ARPA apportionments to be requested in a future grant amendment application.

MnDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No STIP or local Match is required. A Complete Program of Projects has been added to the Application Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$9,357,149.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$9,357,149.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$9,357,149.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$9,217,215
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$139,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,357,149

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, MINNESOTA DEPARTMENT OF