

Application

Federal Award Identification Number (FAIN)	MN-2021-036-00
Temporary Application Number	2039-2021-6
Application Name	Section 5311 Operating, Intercity Bus, and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551899
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 Operating, Intercity Bus, and RTAP

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2021-036-00	Final Concurrence / Reservation	Grant	5/20/2021	5/20/2021	No

Application Executive Summary

This grant provides \$4,226,996 of Federal Funds [\$3,159,852 FY2019; \$782,758 FY2020; \$284,386 FY2021] of Section 5311 Rural Formula funds for Intercity Bus project expenses from January 1, 2022-December 31, 2022, Rural Transit Assistance Program (RTAP) expenses from June 1, 2021 - December 31, 2022, and State Program administrative expenses. The state of Minnesota will provide \$3,151,045 of Intercity Bus matching funds.

Attachments to this application include the 2021-2024 Minnesota Department of Transportation STIP approval letter dated November 23, 2020, the applicable STIP project pages, and applicable STIP Amendment approval letters.

MnDOT anticipates that the balance of the FY2020 and FY2021 Apportionments for Rural Operating and Intercity Bus Funds will be requested in future grant amendments,

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency Federal Highway Administration approved MnDOT's indirect cost (IDC) rate on 06/30/21 and a copy of the organization's approved rate of 19.70% is on file with the FTA (in TrAMS).

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
William	Wheeler	Transportation Program Specialist	William.Wheeler@dot.gov	(312) 353-2639
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	(312) 705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,226,996
Local			\$0
Local/In-Kind			\$0
State			\$3,151,045
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,378,041

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-036-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-00	11.80.00 State or Program Administration	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-2021-036-01-00	30.09.01 Intercity Bus Operating - Cash Match	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-2021-036-01-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$568,772.00	\$0.00	\$568,772.00	0
MN-2021-036-01-00	43.50.01 TRAINING	\$568,772.00	\$0.00	\$568,772.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY2019, FY2020, and FY2021 Section 5311 Intercity Bus, State Program Admin, and RTAP (CY 2022 Expenses)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-036-01-00	2039-2021-6-P1	5/24/2021	10/1/2021	12/31/2023

Project Description

Funding will be provided to three Intercity Bus companies in our efforts to support regularly scheduled bus service to the general public that connects two or more communities that are not in close proximity, and have limited stops over fixed routes, from January 1, 2022 -December 31, 2022. Funding will also support the allowable state program administration expenses associated with these intercity bus projects and state program expenses associated with rural operating funds that have been allocated to Tribal programs and received those funds directly from the FTA.

This project will also provide funds for Rural Transportation Assistance Program (RTAP) to provide Training and Technical Support for rural public transit providers and Section 5310 providers, including the continued development and maintenance of MnDOT's RTAP Website and continued support for the MnDOT RTAP Advisory Committee.

Project Benefits

The Intercity Bus Program provides meaningful connections between non-urbanized areas and the larger regional national system of intercity Bus service to meet the intercity travel needs of residents in Greater Minnesota. The RTAP activities will enhancing the quality of training and technical assistance, encourage and assist with peer networking and engagement, advance the skills, competencies, and knowledge of transit professionals, and provide proactive communication supporting RTAP trainings, workshops, effective practices and opportunities

Additional Information

MnDOT will allocate \$1,413,572 of FY2020 Rural Operating Funds to Tribal Programs to support their Calendar Year 2021 Operating Activities.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: 11/23/2020

Description: 2021 Intercity Bus STIP Project Page

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,226,996
Local			\$0
Local/In-Kind			\$0
State			\$3,151,045
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,378,041

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-	610- STATE / PROGRAMS	\$507,179.00	\$0.00	\$507,179.00	0

036-01-00	00 (610-A2)	ADMINISTRATION				
MN-2021-036-01-00		11.80.00 State or Program Administration	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-00	634-00 (634-A1)	INTERCITY BUS TRANSPORTATION	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-2021-036-01-00		30.09.01 Intercity Bus Operating - Cash Match	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-2021-036-01-00	635-00 (635-A3)	RURAL TRANSIT ASSISTANCE PROGRAM	\$568,772.00	\$0.00	\$568,772.00	0
MN-2021-036-01-00		43.50.01 TRAINING	\$568,772.00	\$0.00	\$568,772.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State or Program Administration

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Provide \$507,179 (\$294,620 FY2019; \$212,559 FY2020) for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 Rural Formula program during Calendar Year 2022 (January 1 - December 31). TRF-9101-21A; approved 06/24/21. \$507,179 represents the remaining 1.62% of FY2019 state program administration funds allowed and 11.7% of the total FY2020 State Program administration funds allowed. MnDOT intends to draw indirect costs charged to this grant at the rate approved by FHWA in 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$507,179
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$507,179

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Begin utilizing obligated funds for on-going State Program Administration.
End Date	12/31/2023	All final state program activities completed prior to closing grant. MnDOT intends to draw indirect costs of 19.70% to this line item.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Provide \$568,772 of RTAP funds (\$284,386 FY2020; \$284,386 FY2021 for on-going training and technical support during the period of October 1, 2021-December 31, 2022 TRF-9101-21B; approved 06/24/21. Note: This funding period overlaps with RTAP assistance in MN-2019-018-01 and Grant Application Number 2039-2021-7 (FAIN not yet assigned) as funding was insufficient in those grants to cover all of the possible planned activities listed for the entire period. The on-going RTAP Program supports the following activities that include, but are not limited to:

1. Provide training services for Greater Minnesota Transit Agency staff and MnDOT RTAP Trainers, utilizing a variety of training methods including, but not limited to multi-day workshops, specialized trainings by MnDOT RTAP trainers, national training offered by FTA, on-line training, and peer-to-peer transit agency workshops. Topics will include drivers' education and awareness, Maltreatment and PASS, management/leadership, marketing, compliance and reporting training, customer service, dispatching, drug and alcohol compliance training, FTA grant technical support training, procurement/purchasing training, and other topics as requested by the Transit Agencies.
2. Providing scholarships to 5310 and tribal transit providers to attend trainings, and the evaluation and implementation of a scholarship program for eligible small urban and rural public transit providers.
3. Continue to support and advance the MnDOT RTAP advisory committee via monthly meetings, development of a strategic plan for RTAP, evaluation of training needs, and reviewing training reporting requirements.
4. Work with the RTAP consultant to continue the support of RTAP day-to-day activities, including but not limited to scheduling trainings, procuring and monitoring training, facilitation of RTAP advisory committee, identifying agency training needs, maintaining MnDOT RTAP website, and providing newsletters and other periodic communications, as requested.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$568,772
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$568,772

Milestone Name	Est. Completion Date	Description
Projects Start	10/1/2021	MnDOT starts drawing on-going RTAP training funds from this grant.
Project Funds Expended	12/31/2022	Utilize all of the RTAP funds in this grant on on-going training programs.
Projects Completed	8/31/2023	Contracts for On-going training programs end.

Budget Activity Line Item: 30.09.01 - Intercity Bus Operating - Cash Match

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Provide \$3,151,045 (\$2,865,232 FY2019; \$285,813 FY2020) of Section 5311 Rural Transit Formula funds for Intercity Bus services to be provided by Jefferson Lines, Blue Earth Blue Sky (dba as Land to Air Express), and Greyhound Lines, Inc. for calendar year 2022 expenses (January 1-December 31) [TRF-9081-21,21-00; Approved 11/23/20]. Matching funds (50% of Operating Deficit) of \$3,151,045 will be provided by the State of Minnesota's the Greater Minnesota Transit Assistance (GMTA) Funds.

The remaining FY2020 Rural Transit Formula funds of \$2,225,587 for Intercity Bus, will continue to be unobligated. MnDOT intends to obligate the remaining FY2020 balances before the funds lapse in FY2022. The projected use of the FY2021 Rural appropriations will be evaluated after the CRRSAA and ARPA Funds are depleted.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,151,045
Local			\$0
Local/In-Kind			\$0

State		\$3,151,045
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$6,302,090

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2022	CY 2022 Intercity Bus activities begin
Projects Completed	12/31/2022	CY 2022 Intercity Bus activities end.
Contracts Completed	12/29/2023	CY 2022 contracts are audited, final expenditures are made and grant is ready to be closed.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **William Wheeler**

Comment DOL Review for Information

Type

Date	7/9/2021
Comment	Please review

Application Review Comments

Comment By William Wheeler

Comment Type Pre-Award Manager Returns Application

Date	6/15/2021
------	-----------

Comment

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2021-036-00

Application Name: Section 5311 Operating, Intercity Bus, and RTAP

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This grant provides \$4,226,996 of Federal Funds [\$3,159,852 FY2019; \$782,758 FY2020; \$284,386 FY2021] of Section 5311 Rural Formula funds for Intercity Bus project expenses from January 1, 2022- December 31, 2022, Rural Transit Assistance Program (RTAP) expenses from June 1, 2021 - December 31, 2022, and State Program administrative expenses. The state of Minnesota will provide \$3,151,045 of Intercity Bus matching funds.

Attachments to this application include the 2021-2024 Minnesota Department of Transportation STIP approval letter dated November 23, 2020, the applicable STIP project pages, and applicable STIP Amendment approval letters.

MnDOT anticipates that the balance of the FY2020 and FY2021 Apportionments for Rural Operating and Intercity Bus Funds will be requested in future grant amendments,

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$7,378,041.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$4,226,996.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,151,045.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$4,226,996.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,151,045.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,226,996
Local			\$0
Local/In-Kind			\$0
State			\$3,151,045
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,378,041

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in

which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, MINNESOTA DEPARTMENT OF