

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2019-002-02
<b>Temporary Application Number</b>	1346-2019-1
<b>Application Name</b>	New Commuter Service Western Maine - CMAQ Funds
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	3/4/2019	
<b>Original Period of Performance End Date</b>	2/28/2022	
<b>Current Period of Performance End Date</b>	2/28/2022	Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Maine Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1346	State Agency	MAINE DEPARTMENT OF TRANSPORTATION	809045966

Location Type	Address	City	State	Zip
Headquarters	1 STATEHOUSE STN 236	AUGUSTA	ME	043330001
Physical Address	24 CHILD ST	AUGUSTA	ME	04330
Mailing Address	24 CHILD ST	AUGUSTA	ME	04330

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	10000 New Hampshire Avenue
Address 2	
City	Silver Spring
State	MD

Zipcode	20903
Contact Name	John A Costa
Telephone	(301) 431-7100
Fax	(301) 431-7117
E-mail	jcostaatu@gmail.com
Website	https://www.atu.org/union/contact
<b>Union Name</b>	<b>LOCAL 481, COUNCIL 93, AMERICAN FEDERATION OF STATE, COUNTY AND MUNICI</b>
Address 1	20 Winter Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	James Mackie
Telephone	(207) 622-6191
Fax	(207) 622-6550
E-mail	jmackey@afscmecouncil93.org
Website	afscmecouncil93.org/maine
<b>Union Name</b>	<b>SEIU LOCAL 1989</b>
Address 1	65 State Street
Address 2	
City	Augusta
State	ME
Zipcode	04330
Contact Name	Alec Maybarduk
Telephone	(207) 622-3151
Fax	(207) 623-4916
E-mail	mseaseiu@mseaseiu.org
Website	http://www.mseaseiu.org/

## Part 2: Application Information

### Title: New Commuter Service Western Maine - CMAQ Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ME-2019-002-02	Final Concurrence / Reservation	Grant	4/22/2021	4/22/2021	No

#### Application Executive Summary

\*\*\*Amendment 2\*\*\*

The Maine Department of Transportation (MaineDOT) is requesting \$200,000 of FY2020 FHWA CMAQ funds which have been transferred to FTA.

This grant is for Year 3 of new commuter route(s) between Farmington/Wilton with connecting service to Rumford/Mexico to Lewiston/Auburn as outlined in the Western Maine Transportation Services Transit Feasibility Study last revised July 2018. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with \$50,000 in local funds for a total project cost of \$250,000.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were executed on January 25, 2021. The project is in the FY20 element of the Maine STIP. All administrative and statutory requirements have been met in accordance circular 9040.1G Formula grants for Rural Areas and Interim CMAQ guidance.

\*\*\*Amendment 1\*\*\*

The Maine Department of Transportation (MaineDOT) is requesting \$200,000 of FY18 FHWA CMAQ funds which have been transferred to FTA.

This grant is for Year 2 of 3. Year 2 will be 3/1/20 through 2/28/21, with one more amendment to this grant requested over the next year for Year 3 funding. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with \$50,000 in local funds for a total project cost of \$250,000.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. The project is in the FY20 element of the Maine STIP. All administrative and statutory requirements have been met in accordance circular 9040.1G Formula grants for Rural Areas and Interim CMAQ guidance.

\*\*\*Original Grant\*\*\*

Project Details: This is a Maine Department of Transportation (MaineDOT) grant application for \$352,000 of FY19 FHWA CMAQ funds which have been transferred to FTA. The transfer requests were processed in FMIS on 11/15/18.

This grant is for Year 1 of 3. Year 1 will be 3/1/19 through 2/29/20, with amendments to this grant requested over the next two years for Year 2 and Year 3 funding. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with local funds for a total project cost of \$440,000.

These funds will be used for a new commuter route(s) between Farmington/Wilton with connecting service to Rumford/Mexico to Lewiston/Auburn as outlined in the Western Maine Transportation Services Transit Feasibility Study last revised July 2018.

Projects to include:

- Operating assistance
- Capital procurement

Source of Local Match – Western Maine Transportation Services will provide the local match for all activities from eligible sources.

Attached - Program of Projects

Attached - STIP

Ridership - It is anticipated that this new service will increase ridership by approximately 30,000 after the

first three years.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. All administrative and statutory requirements have been met.

Transit Provider Description:

Western Maine Transportation Services, Inc. (WMTS) – Region 7

WMTS is a non-profit corporation serving as the designated transit provider in Maine's Transit Region 7, serving low income, seniors, and people with disabilities as well as the general public in Androscoggin, Franklin, and Oxford Counties with demand response and flex route services. The three-county area includes all of MaineDOT Region 7 and, under agreement with York County Community Action Corporation, that portion of Region 8 that includes nine Oxford County towns. WMTS also operates the Brunswick Explorer a flex route service in Brunswick which is in Cumberland County, part of Region 5.

WMTS provides public, wheelchair-accessible, "green" paratransit bus service around the more populated regions of its service area. Flex routes services are offered in Lisbon, Farmington, Wilton, Jay, Livermore Falls, Rumford, Mexico, Dixfield, Norway, South Paris, and Oxford. Seasonal services are provided to the Bethel/Sunday River and Sugarloaf regions. In addition, WMTS operates the Lewiston/Auburn citylink bus service (the purple bus) for the Lewiston-Auburn Transit Committee.

FFY2017 Ridership: 214,158

Service Area: Androscoggin, Franklin, and Oxford Counties along with part of Cumberland County

Website: [www.wmtsbus.org](http://www.wmtsbus.org)

MaineDOT's 2013-2017 Locally Coordinated Transit Plans:  
<http://www.maine.gov/mdot/transit/publications/lcp/>

MaineDOT has requested and received approval to extend the 2013-2017 LCP through CY2018. Approval from FTA is attached. The 2019-2023 Locally Coordinated Transit Plan is currently being developed.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Lori	Brann	Transit Program Coordinator	lori.brann@maine.gov	207-624-3299
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	(617) 494-2484

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$752,000
Local			\$188,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$940,000</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-002-01-02	111-00 (111-A1) BUS - ROLLING STOCK	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-02	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$192,000.00	\$48,000.00	\$240,000.00	2

ME-2019-002-01-02	300-00 (300-A2)	OPERATING ASSISTANCE		\$560,000.00	\$140,000.00	\$700,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL		\$160,000.00	\$40,000.00	\$200,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL YEAR 2		\$200,000.00	\$50,000.00	\$250,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL Year 3		\$200,000.00	\$50,000.00	\$250,000.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: New Service in Western Maine

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2019-002-01-02	1346-2019-1-P1	4/22/2021	6/9/2018	2/28/2022

#### Project Description

Amendment #2 - Adding funds for year 3 of service. All other descriptions are unchanged.

Amendment #1 - Adding funds for year 2 of service. All other descriptions are unchanged.

Scope of Work: The commuter route between Farmington and Lewiston~Auburn with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times beginning in March 2019 with trial runs to begin prior to full service. WMTS expects to use two (2) 24+2 passenger vehicles to support this run. During the trial period WMTS will use accessible buses available in the existing fleet. This will be a fare based service with different fares by zones with multi-ride discounts passes available for purchase.

Other Information: Annual revenue hours for the Farmington to Lewiston~Auburn service is expected to be 3,379 hours and annual revenue miles is expected to be 92,664 miles. The connecting service to Rumford/Mexico is expected to be 1,365 revenue hours and 11,492 revenue miles. After three (3) years of service the annual ridership is expected to be 20,375 persons on the Farmington to Lewiston~Auburn route with an additional 8,925 persons on the connector route to Rumford/Mexico from Livermore. The approximate cost per passenger trip is expected to be \$10.81 for the Farmington to Lewiston~Auburn run and \$9.97 per passenger trip for the route connecting Rumford/Mexico to Livermore.

Vehicle purchases information: Vehicles to support the commuter route would be an expansion to the

existing WMTS fleet. The service would rely on two 24+2 accessible buses with digital signage, video/audio recording ability and the ability to support electronic data collection and annunciator programming and passenger WIFI capabilities.

**Project Benefits**

This expansion will create opportunities for expanded service to employment, higher education, and medical services in an area where general public transportation is very limited. Services that do exist are demand-responsive, so the need for commuter service was a high priority in the WMTS feasibility study. This allows persons living in the rural area access to services in the urban center that are unavailable in the smaller communities on a dependable regular schedule.

**Additional Information**

WMTS began operation of the GreenLine Commuter Route operating between Farmington, Maine and Lewiston/Auburn, Maine in May of 2019. In the first 5 months of operations there were 914 riders. During FY 2020 (10/01/2019-09/30/2020) the first six months of operation carried 933 riders and then the pandemic shuttered colleges on each end of the route, businesses reduced staffing or requested that persons working commute by single occupancy vehicles. At the end of the most recent fiscal year the GreenLine carried 1,279 riders. For the first six months of the present FY 2021(10/01/2020-03/31/2021) there have been 334 riders with ridership increasing. There have been more riders in the first two weeks of April 2021 than the entire previous month.

Although the pandemic impacted the ridership of the service, it is allowing persons to get to employment car-free, it has created a connection for students between home and school, between school and intercity bus service, and has allowed students' access to other services and businesses not previously available to then on a regular basis. The GreenLine has also proven to be a viable option for medical services not available in local rural Maine and the ridership referrals from the local health providers continues to increase.

One unexpected, but especially meaningful story: A young couple who lived in Scarborough, Maine had made weekly trips to Wilton, Maine to assist a young friend that was trying to overcome multiple obstacles to live an independent life. They were so grateful for this this service making their lives easier as they did not need to make the weekly drive to western Maine and it is allowing the friend to meet many goals on his way to an independent life without the ability to drive.

WMTS expects the GreenLine ridership to increase as capacity restraints are lifted and more and more people feel comfortable being out in the public again.

**Location Description**

MaineDOT Transit Region 7. Western Maine, to include Androscoggin County, Franklin County, and northern Oxford County.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
230000	Maine

**Congressional District Information**

State	District	Representative
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Maine	2	Jared Golden
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## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: STIP page for Pin 22398.21. No STIP revision needed as the amount is unchanged.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$752,000
Local			\$188,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$940,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2019-002-01-02	111-00 (111-A1) BUS - ROLLING STOCK	\$192,000.00	\$48,000.00	\$240,000.00	2
ME-2019-002-01-02	11.13.03 BUY 30-FT BUS FOR EXPANSION	\$192,000.00	\$48,000.00	\$240,000.00	2



ME-2019-002-01-02	300-00 (300-A2)	OPERATING ASSISTANCE	\$560,000.00	\$140,000.00	\$700,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL	\$160,000.00	\$40,000.00	\$200,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL YEAR 2	\$200,000.00	\$50,000.00	\$250,000.00	0
ME-2019-002-01-02		30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL Year 3	\$200,000.00	\$50,000.00	\$250,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.80.01 - OPERATING ASSISTANCE - 80% CMAQ CAPITAL Year 3

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	OPERATING ASSISTANCE	0

#### Extended Budget Description

AMENDMENT #2: MaineDOT requested transfer for year 3 of operating funds for the Green Line route from Auburn to Farmington with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times. This funding will support operating expenses beginning March 1st, 2021 through February 28th, 2022. The transfer was processed in FMIS by FHWA on (insert date here) 2021.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$200,000
Local			\$50,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$250,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2021	
End Date	2/28/2022	

**Budget Activity Line Item: 11.13.03 - BUY 30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	2

**Extended Budget Description**

Project involves the purchase of two 30' 2019 or 2020 transit buses that have a useful life of 5 years or 150,000 miles. Our independent cost estimate indicates the cost for these two buses to be approximately \$280,000, which is over the value of this line item.

New vehicles will be cutaways with curbside wheelchair lifts, diesel powered with a useful life of 5 years or 150,000 miles. These buses will support expanding the commuter route and WMTS fleet. The service would rely on two (2) 30' accessible buses with digital signage, video/audio recording features, the ability to support electronic data collection, annunciator programming, and passenger WiFi capabilities. Vehicles are being procured for WMTS – Region 7 lead by MaineDOT.

WTMS will provide 20%, plus any overmatch, match through eligible sources, including revenue contracts, private donations, and municipal/county funds.

MaineDOT will contract with a third party to procure the vehicles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	24+2

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$192,000
Local			\$48,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$240,000</b>
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Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	6/9/2018	
Contract Award Date	2/15/2019	
Initial Delivery Date	8/1/2019	
Final Delivery Date	8/1/2019	
Contract Completion Date	12/31/2019	

**Budget Activity Line Item: 30.80.01 - OPERATING ASSISTANCE - 80% CMAQ CAPITAL**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating assistance to support new route. The commuter route between Farmington and Lewiston~Auburn with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times beginning in January 2019 with trial runs to begin prior to full service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$160,000
Local			\$40,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$200,000</b>

Milestone Name	Est. Completion Date	Description
Service Begin Year 1	3/1/2019	Service for year 1 to begin 3/1/19. CMAQ funds are eligible for 3 years of startup, so year 2 will begin 3/1/20, and year 3 will begin 3/1/21.
End of Year 1 Operating	2/29/2020	End of year 1 service.

**Budget Activity Line Item: 30.80.01 - OPERATING ASSISTANCE - 80% CMAQ CAPITAL YEAR 2**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	OPERATING ASSISTANCE	0

**Extended Budget Description**

MaineDOT requested transfer for year 2 of operating funds for the Green Line route from Auburn to Farmington with a connection in Livermore to the Rumford/Mexico area is expected to run four (4) round trips daily on weekdays (M-F) with the exception of major holidays during peak times. This funding will support operating expenses beginning March 1st, 2020 through February 28th, 2021. The transfer was processed in FMIS by FHWA on 2/24/2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$200,000
Local			\$50,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$250,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	3/1/2020	
End Date	3/31/2021	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional

use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.03	BUY 30-FT BUS FOR EXPANSION	2	\$192,000.00	\$240,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	0	\$160,000.00	\$200,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL YEAR 2	0	\$200,000.00	\$250,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL Year 3	0	\$200,000.00	\$250,000.00

## Part 4: Fleet Details

**Fleet Type:** Other

**Fleet Comments**

Reviewed; no changes needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data. Other = Demand Response & Flex Route data. Copy of FFY 2015 Fleet Status Report added to Project Documents.

Data entered:

Fixed Route for DTI

Paratransit for YCCAC

Waterbourne for CBITD  
 Other (Demand Response) for ARTS

FFY2013 Fleet Status Data for all Maine Transit Providers is detailed in the attached document.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	239
	B. Spares	54
	C. Total (A+B)	293
	D. Spare Ratio (B/A)	22.59%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	6
	B. Pending Disposal	24
	C. Total (A+B)	30
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>323</b>

**Fleet Type:** Paratransit

**Fleet Comments**

Reviewed; no changes needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications. The State of Maine has gone to a non-emergency medical brokerage service which has drastically reduced the number of Paratransit services needed.

5/3/2016 - fleet details do not appear to have transferred over into TrAMS; updating data. Uploaded copy of FFY 2015 Fleet Status Report.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	2
	B. Spares	0
	C. Total (A+B)	2
	D. Spare Ratio (B/A)	0%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>2</b>

**Fleet Type:** Waterbourne

**Fleet Comments**

Reviewed; no updates needed

5/20/2016 - Fleet State Report updated; transit providers updated data with their FFY 2016 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	7
	B. Spares	2
	C. Total (A+B)	9
	D. Spare Ratio (B/A)	28.57%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	1
	C. Total (A+B)	1
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>10</b>

**Fleet Type:** Fixed Route

**Fleet Comments**

Reviewed; no changes needed

5/20/2016 - Fleet State updated; transit providers updated data with their 5311 Grant Applications.

5/3/2016 - Fleet data does not appear to have transferred over into TrAMS; updating data. Uploaded FFY 2015 Fleet Status Report.

No rolling stock will be purchased with these funds.

The sub-recipients within this application fleets are less than 50 vehicles and are not subject to the guidelines, however they remain committed to adhering as closely as possible to the FTA guidelines.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	6
	B. Spares	4
	C. Total (A+B)	10
	D. Spare Ratio (B/A)	66.67%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	1
	B. Pending Disposal	1
	C. Total (A+B)	2
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>12</b>



# Part 5: FTA Review Comments

## FTA Comments for DOL

**Comment By** Michael Burns

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**Comment Type** DOL Review for Information

**Date** 7/1/2021

**Comment** Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Transportation, Maine Department Of

Recipient ID: 1346

DUNS No: 809045966

## **Application Information**

Federal Award Identification Number: ME-2019-002-02

Application Name: New Commuter Service Western Maine - CMAQ Funds

Application Start Date: 3/4/2019

Original Award End Date: 2/28/2022

Current Award End Date: 2/28/2022

Application Executive Summary: \*\*\*Amendment 2\*\*\*

The Maine Department of Transportation (MaineDOT) is requesting \$200,000 of FY2020 FHWA CMAQ funds which have been transferred to FTA.

This grant is for Year 3 of new commuter route(s) between Farmington/Wilton with connecting service to Rumford/Mexico to Lewiston/Auburn as outlined in the Western Maine Transportation Services Transit Feasibility Study last revised July 2018. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with \$50,000 in local funds for a total project cost of \$250,000.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were executed on January 25, 2021. The project is in the FY20 element of the Maine STIP. All administrative and statutory requirements have been met in accordance circular 9040.1G Formula grants for Rural Areas and Interim CMAQ guidance.

\*\*\*Amendment 1\*\*\*

The Maine Department of Transportation (MaineDOT) is requesting \$200,000 of FY18 FHWA CMAQ funds which have been transferred to FTA.

This grant is for Year 2 of 3. Year 2 will be 3/1/20 through 2/28/21, with one more amendment to this grant requested over the next year for Year 3 funding. The project is located in rural Maine, so therefore this is a Section 5311 application. Federal funds will be matched with \$50,000 in local funds for a total project cost of \$250,000.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. Annual Certifications and Assurances were signed and filed March 9, 2020. The project is in the FY20 element of the Maine STIP. All administrative and statutory requirements have been met in accordance circular 9040.1G Formula grants for Rural Areas and Interim CMAQ guidance.

\*\*\*Original Grant\*\*\*

Project Details: This is a Maine Department of Transportation (MaineDOT) grant application for \$352,000 of FY19 FHWA CMAQ funds which have been transferred to FTA. The transfer requests were processed in FMIS on 11/15/18.

This grant is for Year 1 of 3. Year 1 will be 3/1/19 through 2/29/20, with amendments to this grant requested over the next two years for Year 2 and Year 3 funding. The project is located in rural Maine, so

therefore this is a Section 5311 application. Federal funds will be matched with local funds for a total project cost of \$440,000.

These funds will be used for a new commuter route(s) between Farmington/Wilton with connecting service to Rumford/Mexico to Lewiston/Auburn as outlined in the Western Maine Transportation Services Transit Feasibility Study last revised July 2018.

Projects to include:

- Operating assistance
- Capital procurement

Source of Local Match – Western Maine Transportation Services will provide the local match for all activities from eligible sources.

Attached - Program of Projects

Attached - STIP

Ridership - It is anticipated that this new service will increase ridership by approximately 30,000 after the first three years.

MaineDOT has a Statewide ITS Architecture as defined in the FTA National ITS Architecture Policy issued on April 8, 2001. It was approved on July 12, 2005 by the FHWA and was updated January 2011.

MaineDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports. All administrative and statutory requirements have been met.

Transit Provider Description:

Western Maine Transportation Services, Inc. (WMTS) – Region 7

WMTS is a non-profit corporation serving as the designated transit provider in Maine's Transit Region 7, serving low income, seniors, and people with disabilities as well as the general public in Androscoggin, Franklin, and Oxford Counties with demand response and flex route services. The three-county area includes all of MaineDOT Region 7 and, under agreement with York County Community Action Corporation, that portion of Region 8 that includes nine Oxford County towns. WMTS also operates the Brunswick Explorer a flex route service in Brunswick which is in Cumberland County, part of Region 5.

WMTS provides public, wheelchair-accessible, "green" paratransit bus service around the more populated regions of its service area. Flex routes services are offered in Lisbon, Farmington, Wilton, Jay, Livermore Falls, Rumford, Mexico, Dixfield, Norway, South Paris, and Oxford. Seasonal services are provided to the Bethel/Sunday River and Sugarloaf regions. In addition, WMTS operates the Lewiston/Auburn citylink bus service (the purple bus) for the Lewiston-Auburn Transit Committee.

FFY2017 Ridership: 214,158

Service Area: Androscoggin, Franklin, and Oxford Counties along with part of Cumberland County

Website: [www.wmtsbus.org](http://www.wmtsbus.org)

MaineDOT's 2013-2017 Locally Coordinated Transit Plans:  
<http://www.maine.gov/mdot/transit/publications/lcp/>

MaineDOT has requested and received approval to extend the 2013-2017 LCP through CY2018. Approval from FTA is attached. The 2019-2023 Locally Coordinated Transit Plan is currently being developed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$940,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$200,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$50,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$752,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$188,000.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$752,000
Local			\$188,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$940,000</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

## **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Maine Department Of*