

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	KY-2021-033-00
Temporary Application Number	1003-2021-8
Application Name	FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL-700 CAPITOL AVE	FRANKFORT	KY	406013410
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	

City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
KY-2021-033-00	Final Concurrence / Reservation	Grant	5/31/2021	5/31/2021	No

Application Executive Summary

ORIGINAL

MAY 31, 2021

SECTION 5311 FFY20 APPORTIONMENT

TOTAL APPORTIONMENT IS \$19,637,208

RTAP APPORTIONMENT IS \$317,172

APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19.

KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency

Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
 END DATE 3/30/2026

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,785,262
Local			\$1,764,000
Local/In-Kind			\$3,204,090

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$11,753,352

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-01-00	43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-02-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-03-00	648-00 (648-A3) APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-00	30.09.01 50% Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: RTAP 5311 FFY20

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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KY-2021-033-01-00

1003-2021-8-P1

5/31/2021

10/1/2021

7/30/2023

Project Description

This is a training project. Statewide rural operators will utilize RTAP to train their drivers and transit staff

43.50.01 RTAP 635

Total Apportionment \$317,172 will be utilized

zero balance after this amount is awarded

100% Federal

Project Benefits

Keep drivers aware of latest COVID and safety training.

Saver transports.

Additional Information

None provided.

Location Description

statewide rural training

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$317,172

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-01-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$317,172.00	\$0.00	\$317,172.00	0
KY-2021-033-01-00	43.50.01 TRAINING	\$317,172.00	\$0.00	\$317,172.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP training for transit employees and drivers
 Federal Shar: \$317,172
 100% Federal

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$317,172
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$317,172

Milestone Name	Est. Completion Date	Description
RTAP Start Date	10/1/2021	Training projected to begin Oct 1, 2021
RTAP award	7/1/2022	RTAP contract for training
RTAP End Date	7/30/2023	RTAP training scheduled to be completed July 30, 2023

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$317,172.00	\$317,172.00

Project Title: FFY20 5311 State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-02-00	1003-2021-8-P3	5/31/2021	10/1/2021	7/1/2022

Project Description

FFY20 State Administration
state project managers, managers, administrative staff salaries and benefits and supplies.
to oversee and manage subrecipient public transit grants
Requesting 100% Federal \$1,500,000
This leaves \$18,137,209 for nonurbanized FFY20 Formula Apportionment.
State Admin will be limited to 10% total

Project Benefits

To maintain compliance and oversight of transit operators

State Admin will be limited to 10% total

Additional Information

State Admin will be limited to 10% total of overall Section 5311 Allocation

Location Description

statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A
Description: N/A

UPWP

Date: N/A
Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,500,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-02-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$1,500,000.00	\$0.00	\$1,500,000.00	0
KY-2021-033-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,500,000.00	\$0.00	\$1,500,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Original Application
May 31, 2021
State Adm FFY20 5311 Federal 100% \$1,500,000
Salaries, benefits, supplies for Office of Transportation staff

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,500,000

Milestone Name	Est. Completion Date	Description
State Adm FFY20 5311 Start Date	10/1/2021	Oct 1, 2021 is projected start date on State Adm expenses
State Adm FFY20 5311 End Date	7/1/2022	Section 5311 FFY20 State Adm end date projected July 1, 2022

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,500,000.00	\$1,500,000.00

Project Title: APPALACHIAN OPERATING 50%

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2021-033-03-00	1003-2021-8-P4	6/17/2021	8/1/2021	1/2/2023

Project Description

Original Grant applying for the full FFY20 Appalachian Apportionment of \$1,764,000
 Appalachian Operating 50% FEDERAL
 The Appalachian Kentucky mountainous areas have high poverty levels. The request for 50% formula funds will enable rural transit operators to maintain operations during increased gas prices. In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels.

Project Benefits

Maintain rural transit operations within the Appalachian Kentucky Counties

Additional Information

None provided.

Location Description

Kentucky Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	5	Harold Rogers

Program Plan Information

STIP/TIP

Date: N/A
 Description: N/A

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,528,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-03-00	648-00 (648-A3) APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0
KY-2021-033-03-00	50% 30.09.01 Federal Share	\$1,764,000.00	\$1,764,000.00	\$3,528,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - 50% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Original Application

Federal Share 100% \$1,764,000 (the entire FFY20 Appalachian Apportionment)

Rural transit operators located in the 54 Kentucky Appalachian counties are seeking to maintain their public transit operations.

50% Operating Assistance

Operating includes drivers salaries and benefits, fuel, insurance etc.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,764,000
Local			\$1,764,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,528,000

Milestone Name	Est. Completion Date	Description
Appalachian Start Date	8/1/2021	Appalachian Start date Aug 1 2021
Appalachian End Date	1/2/2023	Appalachian End Date Jan 2, 2023

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	50% Federal Share	0	\$1,764,000.00	\$3,528,000.00

Project Title: INTERCITY BUS OPERATING 50% FEDERAL

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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KY-2021-033-04-00

1003-2021-8-P5

6/18/2021

7/16/2021

6/30/2024

Project Description

Despite the COVID-19 pandemic, Greyhound has continued to operate scheduled intercity bus service in Kentucky but at a reduced level of service. Nationwide, Greyhound is operating roughly 45% of the 2019 operating year miles at approximately 40% of prior year revenue. In Kentucky, Greyhound has been able to retain about 53% of the 2019 operating year miles. Greyhound has reduced costs significantly, and has been able to continue to provide critical, essential intercity bus transportation services and connections for the residents of Kentucky. These funds are important in aiding Greyhound get on a path to provide essential intercity bus services.

KYTC/OTD is applying for 16% (more than required 15%) of the non-urbanized FY20 apportionment for Intercity Bus

As in accordance with our SMP, a rural Section 5311 agency Paducah Transit Authority will contract with Miller Transportation and Greyhound Transportation for Kentucky Intercity Bus Services.

Due to COVID, Intercity Bus Transportation is in need of assistance. Operating Expenses incurred from January 2020 and after will be allowed.

Operating expenses will include drivers salaries, driver benefits, fuel, insurance and other operating costs. See Attachment for Kentucky Intercity Bus Services descriptions.

SEE POP

See Attachments on Intercity Bus

End Date scheduled by 1/30/2024

Project Benefits

The Intercity Bus funds will allow the Intercity companies to maintain services during this critical time of the pandemic.

Additional Information

None provided.

Location Description

Kentucky Miller Transportation/Greyhound Transportation services

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie

Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,408,180

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2021-033-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0
KY-2021-033-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,204,090.00	\$3,204,090.00	\$6,408,180.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

ORIGINAL GRANT

The FY2020-5311 Non-Urbanized Area Formula is \$19,637,208. This does not include RTAP/Appalachian Formula FY20 Apportionments.

This grant is requesting \$3,204,090 (which is 16%) Intercity Bus Federal Operating FEDERAL 50%; LOCAL/INKIND 50%.

Intercity Bus companies Miller Transportation and Greyhound via the Section 5311 transit agency: Paducah Transit Authority.

See Attached POP

See Attached Intercity Service Information

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,204,090
Local			\$0
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,408,180

Milestone Name	Est. Completion Date	Description
Intercity Bus Start Date	7/16/2021	Intercity Bus Transportation
Intercity Bus End Date	6/30/2024	End Date of Intercity Bus end date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,204,090.00	\$6,408,180.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Michael Sherman**

Comment Type	DOL Review for Information
Date	7/21/2021
Comment	Because this is a Section 5311 grant application, sent to DOL for informational purposes on 07/21/2021

Application Review Comments

Comment By **Michael Sherman**

Comment Type	Pre-Award Manager Returns Application
Date	6/3/2021
Comment	1) Missing STIP documentation 2) Insert comment in ALI indicating State Admin will be limited to 10% total 3) Even though not applying for 15% Intercity bus operator, include a statement in the

Executive Summary indicating that it is considered and will be applied for at a later time
 4) Revise the RTAP scope to 635-00
 5) For the Appalachian 100% Operating, this will fall under the ER Flexibilities jurisdiction so please do the following:
 a. Create a separate project in the application
 b. In the Extended Budget Description, include justification that outlines the activities are above and beyond normal operating expenses and include a list of the activities
 c. Change the scope from 300-00 to 648-00 for Appalachian funds but keep the same ALL code

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 7/6/2021

Comment 1) For the RTAP project, you indicated that you will be using 3rd party contractors. When using 3rd party contractors, you must have a minimum of three milestones. Please go back into the application and add one more milestone.
 2) For the Appalachian project, you indicated that you will be using 3rd party contractors. When using 3rd party contractors, you must have a minimum of three milestones. Please go back into the application and add one more milestone.

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 7/9/2021

Comment

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 7/14/2021

Comment Revise Appalachian to 50/50 match ratio and incorporate language to define above and beyond normal operations for the intercity portion for the 100% ER Flexibility.

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 7/19/2021

Comment 1) Please adjust the Section of Statute for the Appalachian project in the application. The current Section of Statute for the Appalachian project you have currently selected is the COVID-19 ER Response Tribal Formula. Please adjust to the Formula Appalachian Section of Statute.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2021-033-00

Application Name: FFY20 5311 RTAP, APPALACHIAN OPERATING, STATE ADMINISTRATION, INTERCITY OPERATING; KYTC

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: ORIGINAL
MAY 31, 2021
SECTION 5311 FFY20 APPORTIONMENT
TOTAL APPORTIONMENT IS \$19,637,208
RTAP APPORTIONMENT IS \$317,172
APPALACHIAN APPORTIONMENT IS \$1,764,000

KYTC is applying for State Administration in the amount of FEDERAL \$1,500,000; and FEDERAL \$3,204,090 Intercity Bus (which is 16%) of the 5311 nonurbanized Apportionment which will leave \$14,933,118

KYTC is applying for FEDERAL \$317,172 RTAP (after original grant zero \$0 will remain in RTAP Apportionment)

KYTC is apply for \$1,764,000 Appalachian Operating (after original grant zero \$0 will remain in Appalachian Apportionment.)

In Kentucky, we have 54 Appalachian Counties located in mountainous areas with many below poverty levels. Transit rural operators are still experiencing operating deficits due to COVID-19. KYTC is the Direct Recipient is applying on behalf of rural transit statewide.

This is not a research grant.

No indirect will be used.

100% State Administrative dollars and limited to 10% of 5311 Allocation

100% RTAP

50% FEDERAL APPALACHIAN

50% OPERATING INTERCITY BUS

Expenses that occurred on or after January 20, 2020 to projected end date. The application scope of work includes • Focus is on payroll and rural transit operations in the Kentucky Appalachian Counties • 100% FEDERAL OPERATING •Current Section 5311 KY Providers will meet and follow the Section 5311 rules and regulations The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.
END DATE 3/30/2026

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$11,753,352.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,785,262.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$4,968,090.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,785,262.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$4,968,090.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,785,262
Local			\$1,764,000
Local/In-Kind			\$3,204,090
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,753,352

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION CABINET, KENTUCKY