

## Application

<b>Federal Award Identification Number (FAIN)</b>	IN-2021-032-00
<b>Temporary Application Number</b>	1189-2021-4
<b>Application Name</b>	Section 5311 American Rescue Plan Act Grant for Operating Assistance and RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, INDIANA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1189	State Agency	INDIANA DEPARTMENT OF TRANSPORTATION	824799555

Location Type	Address	City	State	Zip
Headquarters	200 W WASHINGTON ST STE 206	INDIANAPOLIS	IN	46204
Physical Address	100 N SENATE AVE RM N758	INDIANAPOLIS	IN	46204
Mailing Address	100 N SENATE AVE RM N749	INDIANAPOLIS	IN	46204

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Section 5311 American Rescue Plan Act Grant for Operating Assistance and RTAP**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
IN-2021-032-00	Final Concurrence / Reservation	Grant	6/2/2021	6/2/2021	No

**Application Executive Summary**

This Grant to the Indiana Department of Transportation will utilize FFY 2021 Section 5311 American Rescue Plan (ARP) apportionment funds and Section 5311(b)(3) Rural Transit Assistance Program (RTAP) ARP apportionment funds. INDOT is the Designated Recipient, and no funds are being suballocated. The funds will be used for operating assistance to rural transit providers and intercity bus operators for the period of January 1, 2022 – December 31, 2022. The transportation providers are listed on the attached program of projects. The sub-grantee application process was managed by the BlackCat Management System. This is an FFY 2021 Section 5311 ARP Act application in the amount of \$1,717,222, representing 100% federal share of the total eligible amount. This application utilizes ARP Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating assistance, intercity operating assistance, and RTAP. The federal funds will be at 100 percent.

The federal funding includes FFY 2021 Section 5311 Apportionment ARP Act funds in the amount of \$1,563,185 and FFY 2021 Section 5311(b)(3) RTAP ARP Act funds in the amount of \$154,037. The total amount of Section 5311 ARP Act funds is \$1,717,222.

Attachments to this application include a program of projects, Section 5311 State Management Plan, Section 5311- RTAP ARP 2021 Federal Apportionment table dated, March 11, 2021 (INDOT is the Designated Recipient, and no funds are being suballocated), DOL documentation, DOL letter of Assurance, INDOT Intercity Bus Study, Barons Bus Application, Barons Bus Marketing Plan, Miller Application, and Miller Marketing Plan.

The Indiana Department of Transportation certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency

The Indiana Department of Transportation agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

Per the ARP Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No Selection Made

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Daryl	Harper	General Engineer	daryl.harper@dot.gov	(312) 886-3704
Cecilia	Crenshaw	Community Planner	Cecilia.Crenshaw@dot.gov	(312) 705-1268
Larry	Buckel	Section Manager	lbuckel@indot.in.gov	317-232-5292

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,563,185
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$154,037
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,717,222</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-032-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$1,328,707.00	\$0.00	\$1,328,707.00	1
IN-2021-032-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,328,707.00	\$0.00	\$1,328,707.00	1
IN-2021-032-02-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$234,478.00	\$0.00	\$234,478.00	1
IN-2021-032-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$234,478.00	\$0.00	\$234,478.00	1
IN-2021-032-03-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$154,037.00	\$0.00	\$154,037.00	1
IN-2021-032-03-00	43.50.02 TECHNICAL ASSISTANCE	\$154,037.00	\$0.00	\$154,037.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY 2021 American Rescue Plan Act Section 5311 Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-032-01-00	1189-2021-4-P1	6/3/2021	1/1/2022	6/30/2023

### Project Description

The INDOT is utilizing FFY 2021 Section 5311 American Rescue Plan Rural Apportionment funds in the amount of \$1,328,707 for operating assistance. The funding is for operating assistance to Indiana's rural transit systems. The total cost of the project is \$1,328,707, the project is being funded at 100% federal share. There will be no future grant request for additional cost for these projects.

Operating funds will cover expenses for existing service incurred beginning January 1, 2022 until December 31, 2022. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Project Benefits**

The project benefit is to help offset operating cost for Indiana's rural transit agencies around the state.

**Additional Information**

The operating assistance will help offset operating cost for Indiana's rural transit agencies. These agencies will provide over 2.5 million passenger trips in rural areas around the State of Indiana. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Location Description**

This grant will provide operating assistance to rural transit systems all around the state of Indiana.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project includes only operations projects, with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,328,707
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,328,707</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-032-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$1,328,707.00	\$0.00	\$1,328,707.00	1
IN-2021-032-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,328,707.00	\$0.00	\$1,328,707.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

The INDOT is utilizing FFY 2021 Section 5311 American Rescue Plan Rural Apportionment funds in the amount of \$1,328,707 for operating assistance to rural transit systems around Indiana. The ARP funds will be used at 100 percent federal funds.

The FFY 2021 Section 5311 ARP Act operating funds will be used in conjunction with Section 5311 Cares Act Funds (IN-2020-007-00) and FFY 2020 Section 5311 apportionment funds (Grant Application 1189-2021-5). The Section 5311 CARES Act funds and the Section 5311 apportionment funds are insufficient to cover eligible operating expenses within the period.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,328,707
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,328,707</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	1/1/2022	Start Rural Transit Operating Assistance
Operating Assistance Completion Date	12/31/2022	Complete Rural Transit Operating Assistance
Closeout Local Contracts	6/30/2023	Closeout Local Contracts

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit

authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$1,328,707.00	\$1,328,707.00

**Project Title: FFY 2021 American Rescue Plan Act Section 5311(f) Intercity Bus Apportionment and FFY 2021 American Rescue Plan Act Section 5311 Rural Apportionment (Intercity Bus 15% set-aside) - Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-032-02-00	1189-2021-4-P2	6/3/2021	1/1/2022	6/30/2023

**Project Description**

The INDOT is utilizing FFY 2021 Section 5311 American Rescue Plan Act Section 5311(f) Intercity Bus Apportionment funds (15% of total apportionment) in the amount of \$234,478 for operating assistance. The total amount of the Intercity funds is \$234,478. The funding is for operating assistance to Indiana's intercity bus providers. The total cost of the project is \$234,478, the project is being funded at 100% federal share. There will be no future grant request for additional cost for these projects.

The funding is for intercity bus operating assistance to Indiana's 2 intercity bus operators for 10 routes around Indiana that serve rural areas. The operating funds will cover expenses for existing intercity bus service incurred beginning January 1, 2022 until December 31, 2022. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Project Benefits**

The project benefit is to help offset operating cost for Indiana's intercity bus providers around the state.

**Additional Information**

The operating assistance will help offset operating cost for Indiana's two intercity bus providers. These providers operate ten intercity bus routes that serve numerous rural and urban cities around the state. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Location Description**

This grant will provide operating assistance to two intercity bus providers that serve 10 intercity bus routes around the State of Indiana.

**Project Location (Urbanized Areas)**



UZA Code	Area Name
180000	Indiana

## Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project includes only operations projects, with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$234,478
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$234,478</b>
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## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-032-02-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$234,478.00	\$0.00	\$234,478.00	1
IN-2021-032-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$234,478.00	\$0.00	\$234,478.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

The INDOT is utilizing FFY 2021 Section 5311(f) American Rescue Plan Act funds in the amount of (Intercity Bus 15% set-aside) in the amount of \$234,478. The total amount of the intercity funds is for \$234,478.

The FFY 2021 Section 5311 ARP Act intercity operating funds will be used in conjunction with Section 5311 Cares Act Funds (IN-2020-007-00) and FFY 2020 Section 5311 apportionment funds (Grant Application 1189-2021-5). The Section 5311 CARES Act funds and the Section 5311 apportionment funds are insufficient to cover eligible operating expenses within the period.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$234,478
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$234,478</b>

Milestone Name	Est. Completion Date	Description
Intercity Operating Assistance Start Date	1/1/2022	Start Intercity Bus Operating Assistance
Intercity Operating Assistance End Date	12/31/2022	Complete Intercity Bus Operating Assistance
Closeout Local Intercity Contracts	6/30/2023	Closeout Local Intercity Contracts

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$234,478.00	\$234,478.00

## Project Title: FFY 2021 American Rescue Plan Act Section 5311(b)(3) Rural Transit Assistance Program (RTAP) Apportionment

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-032-03-00	1189-2021-4-P3	6/3/2021	1/1/2022	6/30/2023

**Project Description**

The INDOT is utilizing FFY 2021 Section 5311(b)(3) American Rescue Plan Act Rural Apportionment funds in the amount of \$154,037 for the Rural Technical Assistance Program. The funding is to provide Rural Technical Assistance to Indiana’s rural transit systems. The total cost of the project is \$154,037, the project is being funded at 100% federal share. There will be no future grant request for additional cost for these projects.

The funding is to support the Rural Transit Technical Assistance Program (RTAP) which provides technical assistance to Indiana’s rural transit systems and specialized transportation providers around the state.

**Project Benefits**

The project benefit is to provide technical assistance to our Indiana rural transit systems and specialized transportation providers around the state.

**Additional Information**

The Indiana RTAP program will be administered by a consultant to provide technical assistance to Indiana rural transit systems and specialized transportation providers.

**Location Description**

The technical assistance is for rural transit systems and specialized transportation providers all around the state.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: This project includes only planning projects, with no substantial functional, location, or capacity change. Therefore, per ARP requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$154,037
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$154,037</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-032-03-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$154,037.00	\$0.00	\$154,037.00	1
IN-2021-032-03-00	43.50.02 TECHNICAL ASSISTANCE	\$154,037.00	\$0.00	\$154,037.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

The Indiana Department of Transportation is utilizing FFY 2021 American Rescue Plan Section 5311(b)(3) Rural Transit Assistance Program (RTAP) Apportionment Funds in the amount of \$154,037 to support the Rural Transit Technical Assistance Program (RTAP) which provides technical assistance to Indiana’s rural transit systems and specialized transportation providers around the state.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$154,037
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$154,037</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Start Date for RTAP Technical Assistance
End Date	12/31/2022	End Date for Technical Assistance
Closeout Contract	6/30/2023	Closeout Contract

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$154,037.00	\$154,037.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Cecilia Crenshaw

Comment Type DOL Review for Information

Date 7/16/2021

Comment Sent for DOL informational purposes

### Application Review Comments

**Comment By** Cecilia Crenshaw

Comment Type Pre-Award Manager Returns Application

Date 7/12/2021

Comment Please address preliminary review comments.

**Comment By** Cecilia Crenshaw

Comment Type Pre-Award Manager Returns Application

Date 7/13/2021

Comment Please address preliminary comments

**Comment By** Cecilia Crenshaw

Comment Type Pre-Award Manager Returns Application

Date 7/15/2021

Comment Please complete preliminary review

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: TRANSPORTATION, INDIANA DEPARTMENT OF

Recipient ID: 1189

DUNS No: 824799555

**Application Information**

Federal Award Identification Number: IN-2021-032-00

Application Name: Section 5311 American Rescue Plan Act Grant for Operating Assistance and RTAP

Application Start Date: N/A

Original Award End Date: 3/30/2026



Current Award End Date: N/A

Application Executive Summary: This Grant to the Indiana Department of Transportation will utilize FFY 2021 Section 5311 American Rescue Plan (ARP) apportionment funds and Section 5311(b)(3) Rural Transit Assistance Program (RTAP) ARP apportionment funds. INDOT is the Designated Recipient, and no funds are being suballocated. The funds will be used for operating assistance to rural transit providers and intercity bus operators for the period of January 1, 2022 – December 31, 2022. The transportation providers are listed on the attached program of projects. The sub-grantee application process was managed by the BlackCat Management System. This is an FFY 2021 Section 5311 ARP Act application in the amount of \$1,717,222, representing 100% federal share of the total eligible amount. This application utilizes ARP Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes operating assistance, intercity operating assistance, and RTAP. The federal funds will be at 100 percent.

The federal funding includes FFY 2021 Section 5311 Apportionment ARP Act funds in the amount of \$1,563,185 and FFY 2021 Section 5311(b)(3) RTAP ARP Act funds in the amount of \$154,037. The total amount of Section 5311 ARP Act funds is \$1,717,222.

Attachments to this application include a program of projects, Section 5311 State Management Plan, Section 5311- RTAP ARP 2021 Federal Apportionment table dated, March 11, 2021 (INDOT is the Designated Recipient, and no funds are being suballocated), DOL documentation, DOL letter of Assurance, INDOT Intercity Bus Study, Barons Bus Application, Barons Bus Marketing Plan, Miller Application, and Miller Marketing Plan.

The Indiana Department of Transportation certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency

The Indiana Department of Transportation agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.”

Per the ARP Act, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$1,717,222.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,717,222.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,717,222.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,563,185
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$154,037
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,717,222</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION

U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, INDIANA DEPARTMENT OF*