

## Application

<b>Federal Award Identification Number (FAIN)</b>	IN-2021-031-00
<b>Temporary Application Number</b>	1189-2021-5
<b>Application Name</b>	Section 5311 and Section 5339 Grant for Rural Transit Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles, Software, Surveillance Equipment, and Miscellaneous Equipment
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2027
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, INDIANA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1189	State Agency	INDIANA DEPARTMENT OF TRANSPORTATION	824799555

Location Type	Address	City	State	Zip
Headquarters	200 W WASHINGTON ST STE 206	INDIANAPOLIS	IN	46204
Physical Address	100 N SENATE AVE RM N758	INDIANAPOLIS	IN	46204
Mailing Address	100 N SENATE AVE RM N749	INDIANAPOLIS	IN	46204

### Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: Section 5311 and Section 5339 Grant for Rural Transit Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles, Software, Surveillance Equipment, and Miscellaneous Equipment**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IN-2021-031-00	Final Concurrence / Reservation	Grant	6/7/2021	6/7/2021	No

### Application Executive Summary

This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2020 and FFY 2019 Section 5311 Funds and FY 2021 and FFY 2020 Section 5339. INDOT is the Designated Recipient, and no funds are being suballocated. The funds will be used for multiple projects including operating assistance for 42 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, 56 replacement vehicles, Software, surveillance equipment, miscellaneous equipment, and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1, Vehicle POP #2, and Equipment POP#3).

This grant application is for a total of \$28,374,804, with \$12,630,938 in Section 5311 federal, \$2,910,884 in Section 5339 federal, \$11,227,948 in local match, and \$1,605,034 in in-kind match.

The federal funds are from the following programs:

Program, Federal Fiscal Year and Funding Amounts:

Section 5311 2020 \$10,100,226 - Operating Assistance  
Section 5311 2019 \$400,000 - Operating Assistance  
Section 5311 2020 \$1,605,034 - Intercity Operating Assistance  
Section 5311 2020 \$200,000 - State Administration  
Section 5311(RTAP) 2020 \$325,678 - RTAP  
Section 5339 2021 \$1,694,938 – Vehicles  
Section 5339 2020 \$694,662 – Vehicles  
Section 5339 2021 \$181,838 - Software  
Section 5339 2021 \$187,612 – Surveillance equipment  
Section 5339 2021 \$151,834 – Miscellaneous equipment

Total Federal Funding: \$15,541,822

Attachments to this application include STIP documentation (The STIP approval date was July 2, 2019), Section 5311 FFY 2020 apportionment table, Section 5339 apportionment table, Program of Projects (Operating POP #1, Vehicle POP #2, and Equipment POP #3), a list of replacement vehicles, Section 5311/5339 State Management Plan, DOL documentation, DOL letter of Assurance, INDOT Intercity Bus Study, Barons Bus Application, Barons Bus Marketing Plan, Greyhound Application, Greyhound Marketing Plan, Miller Application, and Miller Marketing Plan, Intercity Bus In-Kind Match support documentation, and INDOT TAM Plan.

In executing this grant, INDOT agrees to abide by Section 3011 of the FAST ACT (49 U.S.C 5323 (J)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA - assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for vehicle procurement.

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Daryl	Harper	General Engineer	daryl.harper@dot.gov	(312) 886-3704
Cecilia	Crenshaw	Community Planner	Cecilia.Crenshaw@dot.gov	(312) 705-1268

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,630,938
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,910,884
Local			\$11,227,948
Local/In-Kind			\$1,605,034
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$28,374,804</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$10,500,226.00	\$10,500,226.00	\$21,000,452.00	1
IN-2021-031-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$10,500,226.00	\$10,500,226.00	\$21,000,452.00	1
IN-2021-031-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$1,605,034.00	\$1,605,034.00	\$3,210,068.00	1
IN-2021-031-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,605,034.00	\$1,605,034.00	\$3,210,068.00	1
IN-2021-031-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$325,678.00	\$0.00	\$325,678.00	1
IN-2021-031-03-00	43.50.02 TECHNICAL ASSISTANCE	\$325,678.00	\$0.00	\$325,678.00	1
IN-	610- STATE / PROGRAMS	\$200,000.00	\$0.00	\$200,000.00	1

2021-031-04-00	00 (610-A4)	ADMINISTRATION				
IN-2021-031-04-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1
IN-2021-031-05-00	111-00 (111-A5)	BUS - ROLLING STOCK	\$2,389,600.00	\$597,400.00	\$2,987,000.00	56
IN-2021-031-05-00		11.12.04 BUY REPLACEMENT <30 FT BUS	\$2,389,600.00	\$597,400.00	\$2,987,000.00	56
IN-2021-031-06-00	114-00 (114-A6)	BUS: SUPPORT EQUIP AND FACILITIES	\$187,612.00	\$46,903.00	\$234,515.00	1
IN-2021-031-06-00		11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$187,612.00	\$46,903.00	\$234,515.00	1
IN-2021-031-07-00	114-00 (114-A7)	BUS: SUPPORT EQUIP AND FACILITIES	\$181,838.00	\$45,460.00	\$227,298.00	1
IN-2021-031-07-00		11.42.08 ACQUIRE - ADP SOFTWARE	\$181,838.00	\$45,460.00	\$227,298.00	1
IN-2021-031-08-00	114-00 (114-A8)	BUS: SUPPORT EQUIP AND FACILITIES	\$151,834.00	\$37,959.00	\$189,793.00	1
IN-2021-031-08-00		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$151,834.00	\$37,959.00	\$189,793.00	1

### Discretionary Allocations

This application does not contain discretionary allocations.

### Part 3: Project Information

<b>Project Title: FFY 2020 and FFY 2019 Section 5311 Operating Assistance</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-01-00	1189-2021-5-P1	6/9/2021	1/1/2022	6/30/2023

### Project Description

The INDOT is utilizing FFY 2020 (\$10,100,226) and FFY 2019 (\$400,000) Section 5311 Apportionment funds in the amount of \$10,500,226 for operating assistance. The funding is for operating assistance to Indiana's rural transit systems. The total cost of the project is \$21,100,452.

Operating funds will cover expenses for existing service incurred beginning January 1, 2022 until December 31, 2022. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF).

### Project Benefits

The project benefit is to help offset operating cost for Indiana's rural transit agencies around the state.

### Additional Information

The operating assistance will help offset operating cost for Indiana's rural transit agencies. These agencies will provide over 2.5 million passenger trips annually in rural areas around the State of Indiana. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

### Location Description

This grant will provide operating assistance to rural transit systems all around the state of Indiana.

## Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

## Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

**STIP/TIP**

Date: 7/2/2019

Description: Indiana Department of Transportation FY 2020 - FY 2024 State Transportation Improvement Program

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,500,226
Local			\$10,500,226
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$21,000,452</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-01-00	300-00 OPERATING ASSISTANCE (300-A1)	\$10,500,226.00	\$10,500,226.00	\$21,000,452.00	1
IN-2021-031-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$10,500,226.00	\$10,500,226.00	\$21,000,452.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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	#			
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

The INDOT is utilizing FFY 2019 (\$400,000) and FFY 2020 Section 5311 (\$10,100,226) Funds in the total amount of \$10,500,226 for operating assistance to 42 rural transit agencies. The Section 5311 sub grantees are listed on the attached Program of Projects - POP #1.

Operating assistance includes labor, fringe, services, materials, supplies, utilities, casualty, and liability insurance, purchased transportation and other operating assistance.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, and the state Public Mass Transportation Fund (PMTF).

The FFY 2020 and FFY 2019 Section 5311 funds will be used in conjunction with Section 5311 Cares Act Funds (IN-2020-007-00) and ARP Act funds (Grant Application 1189-2021-4). The Section 5311 CARES Act funds and the Section 5311 apportionment funds are insufficient to cover eligible operating expenses within the period.

DES NUMBER 1900803

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,500,226
Local			\$10,500,226
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$21,000,452</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	1/1/2022	Start Operating Assistance
Operating Assistance End Date	12/31/2022	End Operating Assistance
Closeout contract	6/30/2023	Closeout contracts

**Project Environmental Findings**



**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$10,500,226.00	\$21,000,452.00

**Project Title: FFY 2020 Section 5311(f) Intercity Bus Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-02-00	1189-2021-5-P2	6/9/2021	1/1/2022	6/30/2023

**Project Description**

The INDOT is utilizing FFY 2020 Section 5311(f) Intercity Bus Apportionment funds in the amount of \$1,605,034 for operating assistance. The total cost of the project is \$3,210,068.

The local share will be provided by in-kind match from Greyhound (See the attached in-kind match documentation).

The funding is for intercity bus operating assistance to Indiana's 2 intercity bus operators for 10 routes around Indiana that serve rural areas.

The operating funds will cover expenses for existing intercity bus service incurred beginning January 1, 2022, until December 31, 2022. The operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Project Benefits**

The project benefit is to help offset operating cost for Indiana's intercity bus providers around the state.

**Additional Information**

The operating assistance will help offset operating cost for Indiana's two intercity bus providers. These providers operate ten intercity bus routes that serve numerous rural and urban cities around the state. The

operating cost includes labor and fringe benefits, services, materials, supplies, utilities, insurance, and other operating cost.

**Location Description**

This grant will provide operating assistance to two intercity bus providers that serve 10 intercity bus routes around the State of Indiana.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

**Program Plan Information**

**STIP/TIP**

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,605,034

Local			\$0
Local/In-Kind			\$1,605,034
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,210,068</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$1,605,034.00	\$1,605,034.00	\$3,210,068.00	1
IN-2021-031-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,605,034.00	\$1,605,034.00	\$3,210,068.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

The INDOT is utilizing FFY 2020 Section 5311 Funds in the amount of \$1,605,034 for Intercity Bus Operating Assistance Projects. The INDOT Intercity Bus Study is attached to this application as planning support. INDOT has awarded two intercity bus operating grants. The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections.

The local share will be provided by in-kind match from Greyhound (See the attached in-kind match documentation).

The FFY 2020 Section 5311 intercity operating funds will be used in conjunction with Section 5311 Cares Act Funds (IN-2020-007-00) and FFY 2021 Section 5311 ARP Act Funds (Grant Application 1189-2021-4). The Section 5311 CARES Act funds and the Section 5311 ARP Act funds are insufficient to cover eligible operating expenses within the period.

DES # 1900803

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,605,034
Local			\$0
Local/In-Kind			\$1,605,034
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,210,068</b>

Milestone Name	Est. Completion Date	Description
Intercity Bus Operating Assistance Start Date	1/1/2022	Start Intercity Bus Operating Assistance
Intercity Bus Operating Assistance End Date	12/31/2022	End Intercity Bus Operating Assistance
Closeout Intercity Bus Contracts	6/30/2023	Closeout Intercity Bus Contracts

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$1,605,034.00	\$3,210,068.00

**Project Title: FFY 2020 Section 5311(b)(3) Rural Transit Assistance Program (RTAP)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-03-00	1189-2021-5-P3	6/9/2021	1/1/2022	6/30/2023

**Project Description**

The INDOT is utilizing FFY 2020 Section 5311(b)(3) Apportionment funds in the amount of \$325,678 for the Rural Technical Assistance Program. The funding is to provide Rural Technical Assistance to Indiana’s rural transit systems. The total cost of the project is \$325,678, the project is being funded at 100% federal share.

The funding is to support the Rural Transit Technical Assistance Program (RTAP) which provides technical assistance to Indiana’s rural transit systems and specialized transportation providers around the state.

**Project Benefits**

The project benefit is to provide technical assistance to our Indiana rural transit systems and specialized transportation providers around the state.

**Additional Information**

The Indiana RTAP program will be administered by a consultant to provide technical assistance to Indiana rural transit systems and specialized transportation providers.

**Location Description**

The technical assistance is for rural transit systems and specialized transportation providers all around the state.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks

Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$325,678
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$325,678</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$325,678.00	\$0.00	\$325,678.00	1

IN-2021-031-03-00	43.50.02	TECHNICAL ASSISTANCE	\$325,678.00	\$0.00	\$325,678.00	1
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## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

The INDOT is utilizing FFY 2020 Section 5311 RTAP Funds in the amount of \$325,678 for the Indiana RTAP Program.

The RTAP funds will be used at 100 percent federal funds.

DES # 1900803

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$325,678
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$325,678</b>

Milestone Name	Est. Completion Date	Description
Start RTAP Technical Assistance	1/1/2022	Start Date of RTAP Technical Assistance
Complete RTAP Technical Assistacne	12/31/2022	Complete RTAP Technical Assistance
Closeout RTAP Contract	6/30/2023	Closeout RTAP Contract

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$325,678.00	\$325,678.00

**Project Title: FFY 2020 Section 5311 State Administration**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-04-00	1189-2021-5-P4	6/9/2021	1/1/2022	12/31/2024

**Project Description**

The INDOT is utilizing FFY 2020 Section 5311 funds in the amount of \$200,000 for state administration which is less than the maximum of 10 percent. The funds will be used at 100 percent federal funds. The total cost of the project is \$200,000, the project is being funded at 100% federal share.

**Project Benefits**

The state administration will be used to provide technical assistance, training, compliance, grant management, etc. to our Section 5311 sub-grantees around the state.

**Additional Information**

The Indiana Department of Transportation, Office of Transit is responsible for the Section 5311 Grant Management which includes technical assistance and oversight to 42 rural transit systems around the state.

**Location Description**

The Section 5311 funds will be used by 42 rural transit systems all over the state of Indiana.

**Project Location (Urbanized Areas)**



<b>UZA Code</b>	<b>Area Name</b>
180000	Indiana

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$200,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-04-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1
IN-2021-031-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

The INDOT is utilizing FFY 2020 Section 5311 funds in the amount of \$200,000 for state administration which is less than the maximum of 10 percent. The funds will be used at 100 percent federal funds

The State Administration funds will be used for state administration for the Section 5311 program. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

The Office of Transit has a staff of 7 full time employees.

DES # 1900803

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$200,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	INDOT Staff will begin using the State Administration Funds to administer the Section 5311 Grant Program.
End Date	12/31/2024	INDOT Staff will complete using the State Administration Funds to administer the Section 5311 Grant Program.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$200,000.00	\$200,000.00

### Project Title: FFY 2020 and FFY 2021 Section 5339 Replacement Vehicles (Statewide Rural Apportionment)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-05-00	1189-2021-5-P5	6/10/2021	11/12/2019	9/30/2023

#### Project Description

The INDOT is utilizing FFY 2020 and FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in

the amount of \$2,389,600 (\$694,662 in FFY 2020 funds and \$1,694,938 in FFY 2021 funds) to purchase 56 replacement transit vehicles (Body on Van Chassis). The total cost of the project is \$2,987,000.

**Federal Fiscal Year and Funding Amount**

Section 5339 (Statewide Rural Apportionment) 2021 \$1,694,938 – Vehicles

Section 5339 2020 (Statewide Rural Apportionment) \$694,662 – Vehicles

The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached (Replacement Vehicles) and includes the vehicle identification number (VIN), age, and mileage. All the vehicles have reached their useful life (4 years and/or 100,000 miles).

The useful life of the new Body on Van Chasses will be 4 years and/or 100,000 miles as per FTA Circular 5010.1E.

The local match for these projects will come from city and county governments and local agencies' general funds.

**Project Benefits**

The new vehicles will provide dependable, reliable, safer, and efficient service for our transportation provider around the state.

**Additional Information**

This grant will provide 56 replacement vehicles for rural transit systems around the state. The vehicles will be operated by 23 transit systems in rural areas around the state that have minimal transportation options. The transit vehicles being purchased will replace vehicles that have reached their useful life of 4 years and/or 100,000 miles. A list of the 56 vehicles being replaced is attached and includes the vehicle identification number (VIN), age, current mileage, and projected mileage for each vehicle. All the vehicles have reached their useful life (4 years and/or 100,000 miles).

**Location Description**

The transit vehicles will be used by 23 agencies for rural public transit around the state of Indiana.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer

Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,389,600
Local			\$597,400
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,987,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-05-00	111-00 (111-A5) BUS - ROLLING STOCK	\$2,389,600.00	\$597,400.00	\$2,987,000.00	56
IN-2021-031-05-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$2,389,600.00	\$597,400.00	\$2,987,000.00	56

## Project Budget Activity Line Items

<b>Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT &lt;30 FT BUS</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	56

### Extended Budget Description

The INDOT is utilizing FFY 2020 and FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$2,389,600 (\$694,662 in FFY 2020 funds and \$1,694,938 in FFY 2021 funds) to purchase 56 replacement transit vehicles (Body on Van Chassis). The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached (Replacement Vehicles) and includes the vehicle identification number (VIN), age, and mileage. All the vehicles have reached their useful life (4 years and/or 100,000 miles).

The useful life of the new Body on Van Chasses will be 4 years and/or 100,000 miles as per FTA Circular 5010.1E.

The local match for these projects will come from city and county governments and local agencies' general funds.

DES NUMBER 1900806

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,389,600
Local			\$597,400
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,987,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/12/2019	The vehicles will be procured through a State Contract

Contract Award Date	4/29/2020	The State Vehicle Contract was awarded to Mid-West Transit
Initial Delivery Date	4/30/2022	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2023	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2023	The contract will be closed

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	56	\$2,389,600.00	\$2,987,000.00

### Project Title: FFY 2021 Section 5339 Funds (Statewide Rural Funds) Surveillance Equipment

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-06-00	1189-2021-5-P6	6/10/2021	1/1/2022	6/30/2023

#### Project Description

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$187,612 to purchase surveillance equipment for 9 rural transit systems. The Surveillance Equipment which will be used to improve safety for our rural transit systems. The total cost of the project is \$234,515.

The local match for these projects will come from city and county governments and local agencies' general funds.

**Project Benefits**

The surveillance equipment will improve safety for our rural transit systems.

**Additional Information**

The surveillance equipment will be procured by our rural transit systems.

**Location Description**

The surveillance equipment will be used by 9 Rural Transit Systems.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	6	Luke Messer
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

**Program Plan Information****STIP/TIP**

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$187,612
Local			\$46,903



Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$234,515</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-06-00	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$187,612.00	\$46,903.00	\$234,515.00	1
IN-2021-031-06-00	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$187,612.00	\$46,903.00	\$234,515.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

#### Extended Budget Description

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$187,612 to purchase surveillance equipment for 9 rural transit systems.

The local match for these projects will come from city and county governments and local agencies' general funds.

DES NUMBER 1900806

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5339 – Buses and Bus Facilities Formula	5339-1	20526	\$187,612
Local			\$46,903
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$234,515</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Contract Award
End Date	12/31/2022	Contract Complete
Closeout Contract	6/30/2023	Closeout Contract

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	1	\$187,612.00	\$234,515.00

**Project Title: FFY 2021 Section 5339 Funds (Statewide Rural Funds)**

## Dispatching Software

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-07-00	1189-2021-5-P7	6/10/2021	1/1/2022	6/30/2023

### Project Description

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$181,838 to purchase dispatch software for 4 rural transit systems, which will be used to improve communications for our rural transit systems. The total cost of the project is \$227,298.

The local match for these projects will come from city and county governments and local agencies' general funds.

### Project Benefits

The Dispatching Software will improve communications for our rural transit systems.

### Additional Information

The dispatching software will be procured by our rural transit systems.

### Location Description

The surveillance equipment will be used by 4 Rural Transit Systems.

## Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

## Congressional District Information

State	District	Representative
Indiana	2	Jackie Walorski
Indiana	9	Trey Hollingsworth

## Program Plan Information

### STIP/TIP

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$181,838
Local			\$45,460
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$227,298</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-07-00	114-00 (114-A7) BUS: SUPPORT EQUIP AND FACILITIES	\$181,838.00	\$45,460.00	\$227,298.00	1
IN-2021-031-07-00	11.42.08 ACQUIRE - ADP SOFTWARE	\$181,838.00	\$45,460.00	\$227,298.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

### Extended Budget Description

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$181,838 to purchase dispatch software for 4 rural transit systems, which will be used to improve communications for our rural transit systems. The total cost of the project is \$227,298.

The local match for these projects will come from city and county governments and local agencies' general funds.

DES NUMBER 1900806

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$181,838
Local			\$45,460
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$227,298</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Contract Award
End Date	12/31/2022	Contract Complete
Closeout Contract	6/30/2023	Closeout Contract

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	1	\$181,838.00	\$227,298.00

<p><b>Project Title: FFY 2021 Section 5339 Funds (Statewide Rural Funds) Miscellaneous Equipment</b></p>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2021-031-08-00	1189-2021-5-P8	6/10/2021	1/1/2022	6/30/2023

**Project Description**

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$151,834 to purchase miscellaneous equipment for 14 rural transit systems. The Miscellaneous Equipment will be used to improve public transit. The total cost of the project is \$189,793.

The local match for these projects will come from city and county governments and local agencies' general funds.

**Project Benefits**

The Miscellaneous Equipment will be used by our rural transit systems to improve transit services.

**Additional Information**

The Miscellaneous Equipment will be procured by our rural transit systems.

**Location Description**

The Miscellaneous Equipment will be used by 14 Rural Transit Systems.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
180000	Indiana

**Congressional District Information**

State	District	Representative
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

**Program Plan Information**

**STIP/TIP**

Date: 7/2/2019

Description: Indiana Department of Transportation State Transportation Improvement Program FY 2020 - FY 2024

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$151,834
Local			\$37,959
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$189,793</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2021-031-08-00	114-00 (114-A8) BUS: SUPPORT EQUIP AND FACILITIES	\$151,834.00	\$37,959.00	\$189,793.00	1
IN-2021-031-08-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$151,834.00	\$37,959.00	\$189,793.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP	11.42.20	ACQUIRE - MISC	ACQUISITION OF BUS	1

AND FACILITIES (114-00)

SUPPORT EQUIPMENT

SUPPORT EQUIP/FACILITIES

**Extended Budget Description**

The INDOT is utilizing FFY 2021 Section 5339 Funds (Statewide Rural Apportionment) in the amount of \$151,834 to purchase miscellaneous equipment for 14 rural transit systems. The Miscellaneous Equipment will be used to improve public transit. The total cost of the project is \$189,793.

The local match for these projects will come from city and county governments and local agencies' general funds.

DES NUMBER 1900806

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$151,834
Local			\$37,959
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$189,793</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Contract Award
End Date	6/30/2023	Contract Complete
Closeout Contract	6/30/2023	Closeout Contract

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional



use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$151,834.00	\$189,793.00

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

**Fleet Comments**

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	1
	B. Spares	0
	C. Total (A+B)	1
	D. Spare Ratio (B/A)	0%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	1

**Fleet Type:** Paratransit

**Fleet Comments**

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	713
	B. Spares	10
	C. Total (A+B)	723

	D. Spare Ratio (B/A)	1.4%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	43
	C. Total (A+B)	43
III.	<b>Total (I.C and II.C)</b>	766

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Cecilia Crenshaw

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Comment Type	DOL Review for Certification
Date	7/16/2021
Comment	Please review for DOL Certification

### Application Review Comments

**Comment By** Cecilia Crenshaw

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Comment Type	Pre-Award Manager Returns Application
Date	7/14/2021
Comment	Please update budget table-per preliminary review.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and

provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, INDIANA DEPARTMENT OF

Recipient ID: 1189

DUNS No: 824799555

#### **Application Information**

Federal Award Identification Number: IN-2021-031-00

Application Name: Section 5311 and Section 5339 Grant for Rural Transit Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles, Software, Surveillance Equipment, and Miscellaneous Equipment

Application Start Date: N/A

Original Award End Date: 3/30/2027

Current Award End Date: N/A

Application Executive Summary: This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2020 and FFY 2019 Section 5311 Funds and FY 2021 and FFY 2020 Section 5339. INDOT is the Designated Recipient, and no funds are being suballocated. The funds will be used for multiple projects including operating assistance for 42 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, 56 replacement vehicles, Software, surveillance equipment, miscellaneous equipment, and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1, Vehicle POP #2, and Equipment POP#3).

This grant application is for a total of \$28,374,804, with \$12,630,938 in Section 5311 federal, \$2,910,884 in Section 5339 federal, \$11,227,948 in local match, and \$1,605,034 in in-kind match.

The federal funds are from the following programs:

Program, Federal Fiscal Year and Funding Amounts:

Section 5311 2020 \$10,100,226 - Operating Assistance  
Section 5311 2019 \$400,000 - Operating Assistance  
Section 5311 2020 \$1,605,034 - Intercity Operating Assistance  
Section 5311 2020 \$200,000 - State Administration  
Section 5311(RTAP) 2020 \$325,678 - RTAP  
Section 5339 2021 \$1,694,938 – Vehicles  
Section 5339 2020 \$694,662 – Vehicles  
Section 5339 2021 \$181,838 - Software  
Section 5339 2021 \$187,612 – Surveillance equipment  
Section 5339 2021 \$151,834 – Miscellaneous equipment

Total Federal Funding: \$15,541,822

Attachments to this application include STIP documentation (The STIP approval date was July 2, 2019), Section 5311 FFY 2020 apportionment table, Section 5339 apportionment table, Program of Projects (Operating POP #1, Vehicle POP #2, and Equipment POP #3), a list of replacement vehicles, Section 5311/5339 State Management Plan, DOL documentation, DOL letter of Assurance, INDOT Intercity Bus Study, Barons Bus Application, Barons Bus Marketing Plan, Greyhound Application, Greyhound Marketing Plan, Miller Application, and Miller Marketing Plan, Intercity Bus In-Kind Match support documentation, and INDOT TAM Plan.

In executing this grant, INDOT agrees to abide by Section 3011 of the FAST ACT (49 U.S.C 5323 (J)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA - assisted transit vehicle award using he required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for vehicle procurement.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$28,374,804.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$15,541,822.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$12,832,982.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$15,541,822.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$12,832,982.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$12,630,938
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,910,884
Local			\$11,227,948
Local/In-Kind			\$1,605,034
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$28,374,804</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, INDIANA DEPARTMENT OF*