

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	HI-2021-006-00
Temporary Application Number	1623-2021-5
Application Name	Hawaii DOT FFY21 CRRSAA Section 5311 Rural Grant - Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	2/1/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S BERETANIA ST 5TH FL	HONOLULU	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

Union Information

Union Name	AMERICAN FEDERATION OF STATE, COUNTY & MUNICIPAL EMPLOYEES
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW

City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
Union Name	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
Union Name	UPW LOCAL 646
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	HI
Zipcode	96817
Contact Name	Liz Ho
Telephone	8088472631
Fax	8088481987
E-mail	lho@afscme.org
Website	www.upwhawaii.org

Part 2: Application Information

Title: Hawaii DOT FFY21 CRRSAA Section 5311 Rural Grant - Operating Assistance

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
HI-2021-006-00	Final Concurrence / Reservation	Grant	3/17/2021	3/17/2021	No

Application Executive Summary

This is an FFY21 Section 5311-9A CRRSAA application in the amount of \$20,305,252 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$20,305,252 in CRRSAA funding for the State of Hawaii to support operating and other costs of the rural transit providers identified as sub-recipients for the period of February 1, 2020 through November 1, 2024.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Total federal funds apportioned to sub-recipients:

Hawaii County \$ 8,000,270

Kauai County \$ 8,000,270

Maui County \$4,000,135

Total federal funds apportioned to State of Hawaii (State Administration):

State of Hawaii \$ 304,577

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tad	Nakayama	Programming Section Manager	tad.t.nakayama@hawaii.gov	808-831-7987
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$20,305,252
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,305,252

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$8,000,270.00	\$0.00	\$8,000,270.00	9
HI-2021-006-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$210,000.00	\$0.00	\$210,000.00	1
HI-2021-006-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$190,000.00	\$0.00	\$190,000.00	1
HI-2021-006-01-00	11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	\$500,000.00	\$0.00	\$500,000.00	1
HI-2021-006-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$25,000.00	\$0.00	\$25,000.00	1
HI-2021-006-01-00	11.42.10 ACQUIRE - MOBILE FARE COLL EQUIP	\$500,000.00	\$0.00	\$500,000.00	1
HI-2021-006-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$25,000.00	\$0.00	\$25,000.00	1

HI-2021-006-01-00	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	\$3,450,000.00	\$0.00	\$3,450,000.00	1
HI-2021-006-01-00	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	\$20,000.00	\$0.00	\$20,000.00	1
HI-2021-006-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,080,270.00	\$0.00	\$3,080,270.00	1
HI-2021-006-02-00	111-00 (111-A4)	BUS - ROLLING STOCK	\$3,500,270.00	\$0.00	\$3,500,270.00	5
HI-2021-006-02-00	11.12.01	BUY REPLACEMENT 40-FT BUS	\$2,700,000.00	\$0.00	\$2,700,000.00	3
HI-2021-006-02-00	11.12.03	BUY REPLACEMENT 30-FT BUS	\$800,270.00	\$0.00	\$800,270.00	2
HI-2021-006-02-00	600-00 (600-A5)	OTHER PROGRAM COSTS	\$4,500,000.00	\$0.00	\$4,500,000.00	1
HI-2021-006-02-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,500,000.00	\$0.00	\$4,500,000.00	1
HI-2021-006-03-00	600-00 (600-A3)	OTHER PROGRAM COSTS	\$4,000,135.00	\$0.00	\$4,000,135.00	2
HI-2021-006-03-00	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,280,043.00	\$0.00	\$1,280,043.00	1
HI-2021-006-03-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,720,092.00	\$0.00	\$2,720,092.00	1
HI-2021-006-04-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$304,577.00	\$0.00	\$304,577.00	1
HI-2021-006-04-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$304,577.00	\$0.00	\$304,577.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Kauai County Transportation Agency (KTA)
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-006-01-00	1623-2021-5-P1	3/19/2021	6/15/2021	11/1/2024

Project Description

Section 5311 CRRSAA Operating and other assistance to KTA from the period spanning June 15, 2021 through November 1m 2024.

Project Benefits

Immediate cooperating assistance to KTA in the State of Hawaii to sustain operations and other with funding from CRRSAA.

Additional Information

The operational cost amount was determined based on current and projected expenses in Fixed Route and on demand Operations including the hourly rate for operations (from FY2021 operational support salary and fringe), fuel costs, annual dues and subscriptions, and vehicle repair and maintenance. Anticipated fare revenues were subtracted from this amount. In addition, COVID-19 effects on operations such as cleaning and disinfection have been incorporated.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

Additional funding for operations during this period is included in CARES Act grant No. HI-2020-006-00 and formula 5311 grant No. HI-2021-003-00. Additional funding is needed as available funding is insufficient to cover eligible operating expenses.

Location Description

KTA services the Island of Kauai in the State of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the projects in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$8,000,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,000,270

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$8,000,270.00	\$0.00	\$8,000,270.00	9
HI-2021-006-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$210,000.00	\$0.00	\$210,000.00	1
HI-2021-006-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$190,000.00	\$0.00	\$190,000.00	1
HI-2021-006-01-00	11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	\$500,000.00	\$0.00	\$500,000.00	1
HI-2021-006-01-00	11.42.07 ACQUIRE - ADP HARDWARE	\$25,000.00	\$0.00	\$25,000.00	1
HI-2021-006-01-00	11.42.10 ACQUIRE - MOBILE FARE COLL EQUIP	\$500,000.00	\$0.00	\$500,000.00	1
HI-2021-	11.42.20 ACQUIRE - MISC	\$25,000.00	\$0.00	\$25,000.00	1

006-01-00		SUPPORT EQUIPMENT				
HI-2021-006-01-00	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	\$3,450,000.00	\$0.00	\$3,450,000.00	1
HI-2021-006-01-00	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	\$20,000.00	\$0.00	\$20,000.00	1
HI-2021-006-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,080,270.00	\$0.00	\$3,080,270.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance from CRRSAA at 100% share due to COVID-19 impacts.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$3,080,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,080,270

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2021	
End Date	6/30/2023	

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

Construction for expansion of maintenance facility and improvements safety and security of the complex.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$3,450,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,450,000

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2023	
RFP/IFB issued	5/1/2023	
Contract Award	8/1/2023	
Contract Complete	7/31/2024	
End Date	11/1/2024	

Budget Activity Line Item: 11.71.11 - OTHER 3RD PARTY CONTRACTUAL SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	1

Extended Budget Description

Vehicle cleaning and disinfection.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$20,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
RFP/IFB Issued	10/1/2021	
Contract Award	12/1/2021	
Contract Complete	6/30/2022	
End Date	9/30/2022	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Vehicle to be replaced: VIN 1FDAF5GT2KDA07135; miles 41,480 as of Feb 1, 2021. Vehicle projected to meet useful life of 150,000 miles by award date. KTA will not replace unless mileage has been met.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$210,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$210,000
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Milestone Name	Est. Completion Date	Description
Start Date	6/1/2022	
RFP/IFB issued	11/1/2022	
Contract Award	2/1/2023	
contract complete	11/30/2023	
End Date	2/28/2024	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Replaces VIN: 1GB6G5BL6F1126994; MILES: 162,850. Vehicle has met useful life.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$190,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$190,000

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2021	
RFP/IFB issued	12/1/2021	
contract award	3/1/2022	
contract complete	12/31/2022	
End Date	3/1/2023	

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

Add maintenance bays and improve safety and security of employees.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2021	
RFP/IFB Issued	12/1/2021	
Contract Award	3/1/2022	
Contract Complete	2/28/2023	
End Date	5/1/2023	

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Replace computers and support equipment.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2021	
RFP/IFB Issued	2/1/2022	
Contract Award	8/1/2022	
Contract Complete	1/31/2023	
End Date	3/1/2023	

Budget Activity Line Item: 11.42.10 - ACQUIRE - MOBILE FARE COLL EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.10	ACQUIRE - MOBILE FARE COLL EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Electronic Fare payment system

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$500,000
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Milestone Name	Est. Completion Date	Description
Start Date	6/15/2021	
RFP/IFB Issued	8/1/2021	
Contract Award	10/1/2021	
Contract Complete	5/31/2022	
End Date	10/1/2022	

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

Extended Budget Description

Vehicle and facility maintenance support equipment.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
RFP/IFB Issued	10/1/2021	
Contract Award	1/1/2022	
Contract Complete	1/31/2023	
End Date	4/1/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	1	\$210,000.00	\$210,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	1	\$190,000.00	\$190,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	1	\$500,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.07	ACQUIRE - ADP HARDWARE	1	\$25,000.00	\$25,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.10	ACQUIRE - MOBILE FARE COLL EQUIP	1	\$500,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$25,000.00	\$25,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	1	\$3,450,000.00	\$3,450,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	1	\$20,000.00	\$20,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$3,080,270.00	\$3,080,270.00

Project Title: Hawaii County Mass Transit Agency (HMTA)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-006-02-00	1623-2021-5-P2	3/22/2021	2/1/2020	5/1/2023

Project Description

Section 5311 CRRSAA Operating and other assistance to HMTA from the period spanning February 1, 2020 through May 1, 2023.

Project Benefits

Immediate cooperating assistance to HMTA in the State of Hawaii to sustain operations and other needed activities with funding from CRRSAA.

Additional Information

The amount of HMTA's operating expense was determined based on current and projected expenses in Fixed Route and on demand contracts including the hourly rate for operations and the fixed monthly management fee plus anticipated fuel costs. Anticipated fare revenues were subtracted from this amount. Further adjustments were made for COVID-19 effects on operations such as cleaning, disinfection and administrative expenses.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

Additional funding for operations during this period is included in formula 5311 grant No. HI-2021-003-00. Additional funding is needed as available funding is insufficient to cover eligible operating expenses.

Location Description

HMTA services the Island of Hawaii, within the State of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the projects in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$8,000,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,000,270

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-006-02-00	111-00 (111-A4) BUS - ROLLING STOCK	\$3,500,270.00	\$0.00	\$3,500,270.00	5
HI-2021-006-02-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$2,700,000.00	\$0.00	\$2,700,000.00	3
HI-2021-006-02-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$800,270.00	\$0.00	\$800,270.00	2
HI-2021-006-02-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$4,500,000.00	\$0.00	\$4,500,000.00	1
HI-2021-006-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,500,000.00	\$0.00	\$4,500,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

This contract supports the operations of Hawaii county . Under the capital cost of contracting of FTA C 9040.1G (Appendix F), portions of this contract would be eligible. However, with multiple types of contract eligibilities, FTA funding limitations, and subsequent tracking issues, this use is not feasible at this time.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$4,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$4,500,000

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
RFP/IFB issued	3/1/2020	
Contract Award	4/30/2020	
End Date	6/30/2022	
Contract Complete	6/30/2022	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Purchase bus to replace contractor supplied vehicles to maintain capacity.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	30'

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$800,270
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$800,270

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/1/2021	
Contract Award Date	10/1/2021	

Initial Delivery Date	4/1/2022	
Final Delivery Date	12/1/2022	
Contract Completion Date	1/31/2023	
End Date	5/1/2023	

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

Purchase 40' buses to replace contractor supplied buses to maintain capacity.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	40'

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$2,700,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,700,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/1/2021	
Contract Award Date	10/1/2021	
Initial Delivery Date	4/1/2022	
Final Delivery Date	12/1/2022	
Contract Completion Date	1/31/2023	
End Date	5/1/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	3	\$2,700,000.00	\$2,700,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	2	\$800,270.00	\$800,270.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$4,500,000.00	\$4,500,000.00

Project Title: Maui County Department of Transportation (MDOT)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-006-03-00	1623-2021-5-P3	3/22/2021	2/1/2020	6/30/2023

Project Description

Section 5311 CRRSAA Operating Assistance to MDOT from the period February 1, 2020 through June 30, 2023.

Project Benefits

Immediate cooperating assistance to MDOT in the State of Hawaii to sustain operations with funding from CRRSAA.

Additional Information

Although not required, the Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

Additional funding for operations during this period is included in CARES Act grant No. HI-2020-004-00 and formula 5307 grant No. HI-2021-001-00. Additional funding is needed as available funding is insufficient to cover eligible operating expenses.

Location Description

MDOT services the rural areas of Maui island in the State of Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the projects in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$4,000,135
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,000,135

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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HI-2021-006-03-00	600-00 (600-A3)	OTHER PROGRAM COSTS	\$4,000,135.00	\$0.00	\$4,000,135.00	2
HI-2021-006-03-00		11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$1,280,043.00	\$0.00	\$1,280,043.00	1
HI-2021-006-03-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,720,092.00	\$0.00	\$2,720,092.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating Assistance from CRRSAA at 100% federal share due to COVID-19 impacts for the following transit agency providing rural service: Maui Bus, County of Maui, Department of Transportation serves rural Maui, Hawaii. This is the operating portion of the capital cost of contracting.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$2,720,092
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,720,092

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
RFP/IFB issued	3/1/2020	

Contract Award	4/30/2020
End Date	6/30/2023
Contract Complete	6/30/2023

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	1

Extended Budget Description

Per FTA circular 9040.1G, Appendix F, item No. 1

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$1,280,043
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,280,043

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2020	
RFP/IFB issued	3/1/2020	
Contract Award	4/30/2020	
End Date	6/30/2023	
Contract Complete	6/30/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	1	\$1,280,043.00	\$1,280,043.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$2,720,092.00	\$2,720,092.00

Project Title: State of Hawaii, HDOT

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2021-006-04-00	1623-2021-5-P4	5/7/2021	7/1/2021	6/1/2024

Project Description

Funds to be used for State Administration.

Project Benefits

Provides some compensation to the State of Hawaii to perform grant management duties and program oversight which are required.

Additional Information

None provided.

Location Description

Administration and oversight for rural neighbor island transit agencies in Hawaii.

Project Location (Urbanized Areas)

UZA Code	Area Name
150000	Hawaii

Congressional District Information

State	District	Representative
Hawaii	2	Tulsi Gabbard

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the projects in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$304,577
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$304,577

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2021-006-04-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$304,577.00	\$0.00	\$304,577.00	1
HI-2021-006-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$304,577.00	\$0.00	\$304,577.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State Admin to perform grant management and oversight of subrecipients.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$304,577
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$304,577

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
RFP/IFB issued	8/1/2021	
Contract Award	11/1/2021	
End Date	6/1/2024	
Contract Complete	6/1/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$304,577.00	\$304,577.00

Part 4: Fleet Details

Fleet Type: Other

Fleet Comments

FTA 5310 Funded Vehicles

Current Value

I.	Active Fleet	
	A. Peak Requirement	40
	B. Spares	0
	C. Total (A+B)	40
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	Total (I.C and II.C)	42

Fleet Type: Fixed Route

Fleet Comments

Kauai & Hawaii Counties total fleet is 36 for fixed route

		Current Value
I.	Active Fleet	
	A. Peak Requirement	33
	B. Spares	4
	C. Total (A+B)	37
	D. Spare Ratio (B/A)	12.12%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	3
	C. Total (A+B)	3
III.	Total (I.C and II.C)	40

Fleet Type: Paratransit

Fleet Comments

Kauai and Maui total fleet of 57 for fixed route paratransit

		Current Value
I.	Active Fleet	
	A. Peak Requirement	35
	B. Spares	7
	C. Total (A+B)	42
	D. Spare Ratio (B/A)	20%
II.	Inactive Fleet	
	A. Other	2

	B. Pending Disposal	13
	C. Total (A+B)	13
III.	Total (I.C and II.C)	55

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type	DOL Review for Information
Date	6/30/2021
Comment	This is a 5311 grant application for DOL information

Application Review Comments

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	5/23/2021
Comment	<ol style="list-style-type: none"> 1. This application includes a lot of capital, use the sample language regarding the agency has not furloughed employees 2. This application cannot include construction of the facility without a NEPA approval (most likely a CATEX). We can include the design only at this time. 3. When it states increase maintenance bays could you clarify that its to increase the size or to add additional bays. 4. Explain overlapping periods of performance for operating 5. Address program plan information for State Admin 6. Will the State Admin be used for contracting? 7. Hawaii County operating contract, please note that only operating expenses are eligible not all expenses may be eligible.

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	6/2/2021
Comment	<ol style="list-style-type: none"> 1. This application includes a lot of capital, use the sample language regarding the agency has not furloughed employees. CRRSAA funding should be directed to the maximum extent possible to payroll and operations of public transit. Recipients must certify that the recipient has not furloughed any employees to use CRRSA funding for other purposes Be sure to note which recipients or agencies have not furloughed employees as this application has various subrecipients. 2. All contracting opportunities should have appropriate milestones 3. Explain overlapping periods of performance for operating

Comment By Ryan Fujii

Comment Type Pre-Award Manager Returns Application

Date 6/14/2021

Comment Hawaii County Operating milestones are not representative of contracting

Application Review Comments

Comment By Tad Nakayama

Comment Type Application Details

Date 5/27/2021

5-27-21
Responses to FTA comments:
1. This application includes a lot of capital, use the sample language regarding the agency has not furloughed employees
Response: Appropriate language was present in original submission. See respective project information sections.
2. This application cannot include construction of the facility without a NEPA approval (most likely a CATEX). We can include the design only at this time.
Response: N/A per email from R. Fujii, dated 5/24/21.
3. When it states increase maintenance bays could you clarify that its to increase the size or to add additional bays.
Response: Updated.
4. Explain overlapping periods of performance for operating
Response: Appropriate language was present in original submission. See respective project information sections.
5. Address program plan information for State Admin
Response: Updated.
6. Will the State Admin be used for contracting?
Response: Yes.
7. Hawaii County operating contract, please note that only operating expenses are eligible not all expenses may be eligible.
Response: Explanation provided in Extended Budget Description in original submission. This explanation was deemed acceptable by FTA. See previous 5311 grant.

Comment By Tad Nakayama

Comment Type Application Details

Date 6/9/2021

Grant revised and all comments addressed. See below.
1. This application includes a lot of capital, use the sample language regarding the agency has not furloughed employees. CRRSAA funding should be directed to the maximum extent possible to payroll and operations of public transit. Recipients must certify that the recipient has not furloughed any employees to use CRRSA funding for other purposes Be sure to note which recipients or agencies have not furloughed employees as this application has various subrecipients.

This was already in original submission. FTA confirmed via email dated 6/3/21. See attached.

2. All contracting opportunities should have appropriate milestones Resolved.

3. Explain overlapping periods of performance for operating
This was already in original submission. FTA confirmed via email dated 6/3/21. See attached

Comment By Tad Nakayama

Comment Type Application Details

Date 6/14/2021

Comment Resolved comments dated 6/13/21 concerning Hawaii county.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, HAWAII DEPARTMENT OF

Recipient ID: 1623

DUNS No: 824671465

Application Information

Federal Award Identification Number: HI-2021-006-00

Application Name: Hawaii DOT FFY21 CRRSAA Section 5311 Rural Grant - Operating Assistance

Application Start Date: N/A

Original Award End Date: 2/1/2025

Current Award End Date: N/A

Application Executive Summary: This is an FFY21 Section 5311-9A CRRSAA application in the amount of \$20,305,252 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$20,305,252 in CRRSAA funding for the State of Hawaii to support operating and other costs of the rural transit providers identified as sub-recipients for the period of February 1, 2020 through November 1, 2024.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Total federal funds apportioned to sub-recipients:

Hawaii County \$ 8,000,270

Kauai County \$ 8,000,270

Maui County \$4,000,135

Total federal funds apportioned to State of Hawaii (State Administration):

State of Hawaii \$ 304,577

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$20,305,252.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$20,305,252.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$20,305,252.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$20,305,252
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,305,252

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, HAWAII DEPARTMENT OF