

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	DE-2021-007-00
Temporary Application Number	1396-2021-11
Application Name	Delaware Department of Transportation FFY21 Section 5311 CRRSAA - Operating and Preventive Maintenance (Sussex County)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, DELAWARE DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1396	State Agency	DELAWARE DEPARTMENT OF TRANSPORTATION	809856008

Location Type	Address	City	State	Zip
Headquarters	150 MARTIN LUTHER KING JR BLVD S FL 2	DOVER	DE	199013637
Physical Address	800 BAY RD	DOVER	DE	19901
Mailing Address	800 BAY ROAD	DOVER	DE	19901

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 Wisconsin Avenue, NW

Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	Larry Hanley
Telephone	2025371645
Fax	2022447824
E-mail	Refused to give
Website	http://www.atu.org
<hr/>	
Union Name	OFFICE & PROFESSIONAL EMPLOYEES INTERNATIONAL UNION
Address 1	80 EIGHTH AVENUE
Address 2	
City	NEW YORK
State	New York
Zipcode	10011
Contact Name	MR. MICHAEL GOODWIN
Telephone	8003467348
Fax	2127273466
E-mail	MGOODWIN@OPEIU.ORG
Website	http://www.opeiu.org
<hr/>	
Union Name	International Brotherhood of Electrical Workers Local 2270
Address 1	2414 Tapley lane
Address 2	
City	Wilmington
State	DE
Zipcode	19808
Contact Name	Frank Gentry
Telephone	(302) 463-0138
Fax	
E-mail	ibewlu2270@comcast.net
Website	

Part 2: Application Information

**Title: Delaware Department of Transportation FFY21 Section 5311
CRRSAA - Operating and Preventive Maintenance (Sussex County)**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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DE-2021-007-00	Final Concurrence / Reservation	Grant	3/16/2021	3/16/2021	No
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Application Executive Summary

This is an FFY 2021 Section 5311 CRRSAA application in the amount of \$18,606,707 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$18,606,707 in CRRSAA funds to support the projects included in this application by the following UZA apportionment:

FFY21 CRRSAA Section 5311-5 (UZA #100000): \$18,606,707

Total CRRSAA Section 5311: \$18,606,707

Total Eligible Project Cost: \$18,606,707

The Delaware Department of Transportation (DelDOT) estimated budget for operating and preventive maintenance expenses, as defined by NTD Reporting System, for November 1, 2020 to June 30, 2022 is \$18,606,707.

The Delaware Department of Transportation (DelDOT) is hereby submitting this grant application in support of the following project:

1. Operating Assistance & Preventive Maintenance (Sussex County)

This grant is requesting \$18,606,707 of FFY21 Federal Section 5311-5 CRRSAA funds to support the operating assistance and Preventive Maintenance for the period of November 1, 2020 through September 30, 2022.

Per FTA, preventive maintenance is considered an operating expense for the purposes of CARES Act, CRRSAA, and ARP reimbursement, therefore all funds in this grant are being directed to payroll and operations of public transit.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Adam	Grimes	Transportation Program Specialist	adam.grimes@dot.gov	(215) 656-7261
Anne Marie	Coughlin	Financial Analyst	AnneMarie.Coughlin@dot.gov	(215) 656-7249
Kendall	Small	Financial Analyst	kendall.small@delaware.gov	(302) 760-2890

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$18,606,707
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$18,606,707

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
DE-2021-007-01-00	117-00 OTHER CAPITAL ITEMS (BUS)	\$3,623,883.00	\$0.00	\$3,623,883.00	0

	(117-A2)						
DE-2021-007-01-00		11.7A.00	PREVENTIVE MAINTENANCE	\$3,623,883.00	\$0.00	\$3,623,883.00	0
DE-2021-007-01-00	300-00 (300-A1)		OPERATING ASSISTANCE	\$14,982,824.00	\$0.00	\$14,982,824.00	0
DE-2021-007-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$14,982,824.00	\$0.00	\$14,982,824.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Preventive Maintenance and Operating Assistance - Salaries and Benefits, Fuel and Purchased Transportation (Sussex County)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
DE-2021-007-01-00	1396-2021-11-P1	3/16/2021	11/1/2020	9/30/2022

Project Description

FFY2021 CRRSAA Section 5311-5 (UZA #100000) - \$18,606,707
TOTAL FFY21 CRRSAA Federal Section 5311-5 Funds: \$18,606,707
Total Eligible Project Cost: \$18,606,707

This grant is requesting \$14,982,824 of FFY21 Federal Section 5311-5 CRRSAA funds to support the Operating Assistance for the period of November 1, 2020 through June 30, 2022.

- Operating Expenses - Salaries and Benefit - \$5,745,141
- Operating Expenses - Fuel - \$1,116,098
- Operating Expenses - Purchase Transportation - \$8,121,585

*Purchase Transportation are contracted transportation services that are not directly operated by DART.

This grant applies \$3,623,883 of FFY21 Federal Section 5311-5 CRRSAA funds for Preventive Maintenance for the period of November 1, 2020 through June 30, 2022.

Preventive Maintenance activities include labor and parts for the ongoing maintenance of transit vehicles. It also includes all COVID-related expenditures such as barriers inside the vehicles. Repair Parts- \$119,909, Bus Cleaning Supplies- \$25,126, COVID Related Expenditures- \$629,613.

Please see the funding summary attachment that addresses the CARES Act/CRRSAA milestone date timelines.

Project Benefits

The Delaware Department of Transportation bus service continues to provide transit service to the public during the COVID-19 pandemic.

Additional Information

None provided.

Location Description

Delaware Transit Corporation (DTC) provides public transportation service to all of Sussex County, Delaware.

Project Location (Urbanized Areas)

UZA Code	Area Name
100000	Delaware

Congressional District Information

State	District	Representative
Delaware	1	Lisa Blunt Rochester

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: This project includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$18,606,707
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$18,606,707

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
DE-2021-007-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$3,623,883.00	\$0.00	\$3,623,883.00	0
DE-2021-007-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$3,623,883.00	\$0.00	\$3,623,883.00	0
DE-2021-007-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$14,982,824.00	\$0.00	\$14,982,824.00	0
DE-2021-007-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$14,982,824.00	\$0.00	\$14,982,824.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Preventive Maintenance [100% Federal funding]:

FFY2021 CRRSAA Section 5311-5 (UZA #100000): \$3,623,883

TOTAL FFY21 CRRSAA Federal Section 5311-5 Funds: \$3,623,883

Total Eligible Project Cost: \$3,623,883

This project line item is for a total of \$3,623,883, for a period of November 1, 2020 through June 30, 2022.

Preventive Maintenance activities include labor and parts for the ongoing maintenance of transit vehicles.

It also includes all COVID-related expenditures such as barriers inside the vehicles. Repair Parts- \$119,909, Bus Cleaning Supplies- \$25,126, COVID Related Expenditures- \$629,613.

No Capital items for Preventive Maintenance were identified that have a value of over \$5,000 and have a useful life of more than one year.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$3,623,883
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,623,883

Milestone Name	Est. Completion Date	Description
Start Date	11/1/2020	
Initial Expenditure	12/1/2020	
Final Expenditure	6/30/2022	
Project Closeout	9/30/2022	

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

Operating Assistance [100% Federal funding]:

FFY2021 CRRSAA Section 5311-5 (UZA #100000): \$18,606,707
 TOTAL FFY21 CRRSAA Federal Section 5311-5 Funds: \$18,606,707
 Total Eligible Project Cost: \$14,982,824

Our estimated operating budget, as defined by NTD Reporting System, for November 1, 2020 to September 30, 2022 is \$14,982,824.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$14,982,824
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,982,824

Milestone Name	Est. Completion Date	Description
Start Date	11/1/2020	
Initial Expenditure	12/1/2020	
Final Expenditure	6/30/2022	
Project Closeout	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Adam Grimes

Comment Type DOL Review for Information

Date 7/7/2021

Comment 5311 CRRSAA grant, for info only.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, DELAWARE DEPARTMENT OF

Recipient ID: 1396

DUNS No: 809856008

Application Information

Federal Award Identification Number: DE-2021-007-00

Application Name: Delaware Department of Transportation FFY21 Section 5311 CRRSAA - Operating and Preventive Maintenance (Sussex County)

Application Start Date: N/A

Original Award End Date: 9/30/2022

Current Award End Date: N/A

Application Executive Summary: This is an FFY 2021 Section 5311 CRRSAA application in the amount of \$18,606,707 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$18,606,707 in CRRSAA funds to support the projects included in this application by the following UZA apportionment:

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The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$18,606,707.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$18,606,707.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$18,606,707.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$18,606,707
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$18,606,707

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, DELAWARE DEPARTMENT OF