

## Application

<b>Federal Award Identification Number (FAIN)</b>	AZ-2021-046-00
<b>Temporary Application Number</b>	1635-2021-6
<b>Application Name</b>	ADOT FFY21 5311 ARP Act Funding AZ Rural Public Transit
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: TRANSPORTATION, ARIZONA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1635	State Agency	AZ DOT Multimodal Planning Division   Transit Programs and Grants	098416667

Location Type	Address	City	State	Zip
Headquarters	206 SOUTH 17TH AVENUE	PHOENIX	AZ	850073213
Physical Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007
Mailing Address	206 S 17TH AVE, RM 133	PHOENIX	AZ	85007

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: ADOT FFY21 5311 ARP Act Funding AZ Rural Public Transit**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AZ-2021-046-00	Final Concurrence / Reservation	Grant	6/21/2021	6/21/2021	No

**Application Executive Summary**

This is a FFY 2021 Federal Section 5311 American Rescue Plan (ARP) application in the amount of 2,982,156 representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus.

**Purpose:** The purpose of this grant is to provide operating assistance and preventive maintenance to rural transit agencies in Arizona to support operation of their transit programs and to begin the State of Arizona Intercity Bus Study. The state of Arizona will utilize admin funding to manage the grant activities and provide technical assistance to our sub recipients.

**Activities to be performed:** Operations of rural transit services in Arizona including demand response service, deviated fixed route service, fixed route with complementary paratransit and intercity feeder services and intercity bus services. Planning activities will be performed by the state to begin the Intercity Bus Study.

**Expected Outcomes:** provide transit trips to rural residents while maintaining safe operations of transit vehicles.

**Intended Beneficiaries:** local residents, businesses and health care providers by providing trips for employment and essential needs.

**Sub recipient Activities:** sub recipients will be utilized to provide transit services for residents in rural Arizona and intercity bus services will continue in the state.

State Admin. - \$295,654 5311-10 (Rural Area Formula Grants (ARPA))  
 Intercity Bus - \$1,733,699 5311-10D (5311(f) – Intercity Bus (ARPA) Formula)  
 Operating Assist. - \$670,865 5311-10 (Rural Area Formula Grants (ARPA))  
 RTAP - \$107,858 5311-10A (RTAP)  
 Statewide Intercity Transit Study- \$174,080 5311-10D (5311(f) – Intercity Bus (ARPA) Formula)

FFY21 Federal Section 5311 ARP TOTAL Obligation: \$2,982,156

Tribal Allocation Set aside for direct recipient San Carlos Apache Tribe  
 Operating \$82,247

TOTAL Obligation + Tribal Allocation \$3,064,403  
 10% of this total is for State Administration

The FFY 2021 American Rescue Plan Section 5311 Rural Public Transit funds will be used to sustain the expenses of continuing transit service during the public health crisis of COVID-19. These funds will be allocated to expenses beginning October 1, 2021.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and

reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

The Recipient certifies that it, and its contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award includes research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The approved indirect cost rate is 3.65%. Applicable base: Direct Expenditures & Wages excluding Fringe Benefits Amount of indirect costs \$108,848.69

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

*State Application ID:* DTA 21009

*Date Received by State:* 6/22/2021 8:00 PM EDT

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Arianna	Valle	General Engineer	arianna.valle@dot.gov	(602) 382-8967
Shatawn	Reed	Transit Program Manager	sreed2@azdot.gov	(602) 712-7318

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
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5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,907,779
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$966,519
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$107,858
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,982,156</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-046-01-00	600-00 (600-A4) OTHER PROGRAM COSTS	\$670,865.00	\$0.00	\$670,865.00	9
AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$670,865.00	\$0.00	\$670,865.00	9
AZ-2021-046-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$295,654.00	\$0.00	\$295,654.00	1
AZ-2021-046-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$295,654.00	\$0.00	\$295,654.00	1
AZ-2021-046-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,907,779.00	\$0.00	\$1,907,779.00	8
AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,733,699.00	\$0.00	\$1,733,699.00	7
AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$174,080.00	\$0.00	\$174,080.00	1
AZ-2021-046-01-00	635-00 RURAL TRANSIT ASSISTANCE PROGRAM	\$107,858.00	\$0.00	\$107,858.00	1

	(635-A3)					
AZ-2021-046-01-00	43.50.01 TRAINING	\$107,858.00	\$0.00	\$107,858.00	1	

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 ARP Act Rural Public Transit and 5311f Intercity funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2021-046-01-00	1635-2021-6-P1	6/22/2021	10/1/2021	9/30/2024

#### Project Description

All awards are 100% federal. This Project is funding:

State Administration \$295,654-10% of apportionment based upon obligation amount plus transfers to tribes.

Remaining Federal \$2,686,502

Total Obligation \$2,982,156

14 Subrecipients will receive the following amounts:

Intercity \$1,907,779.00

Operating \$670,865

AZ will transfer \$82,247 in operating to one tribal community.

Per the ARP Act, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program

#### Project Benefits

The Covid-19 emergency shut-down has significantly impacted ridership, local match, and the ability of transit to operate. This funding will keep these programs operating in the face of this unprecedented situation

#### Additional Information

*None provided.*

#### Location Description

This rural public transit program grant will impact communities statewide. 15 rural and intercity bus agencies will receive funding from this grant

## Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

## Congressional District Information

State	District	Representative
Arizona	3	Raul M Grijalva
Arizona	4	Paul A Gosar
Arizona	6	David Schweikert
Arizona	1	Tom O'Halleran
Arizona	5	Andrew S Biggs
Arizona	2	Ann Kirkpatrick

## Program Plan Information

### STIP/TIP

Date: 4/2/2021

Description: The e-STIP can be opened via [estip.azdot.gov](http://estip.azdot.gov).

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: 2/1/2018

Description: Not Provided

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,907,779
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$966,519
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$107,858
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,982,156</b>

## Project Budget

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AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$670,865.00	\$0.00	\$670,865.00	9
AZ-2021-046-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$295,654.00	\$0.00	\$295,654.00	1
AZ-2021-046-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$295,654.00	\$0.00	\$295,654.00	1
AZ-2021-046-01-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$1,907,779.00	\$0.00	\$1,907,779.00	8
AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,733,699.00	\$0.00	\$1,733,699.00	7
AZ-2021-046-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$174,080.00	\$0.00	\$174,080.00	1
AZ-2021-046-01-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$107,858.00	\$0.00	\$107,858.00	1
AZ-2021-046-01-00	43.50.01 TRAINING	\$107,858.00	\$0.00	\$107,858.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	9

**Extended Budget Description**

The following agencies will receive the following federal amounts for operating assistance:

City of Benson \$14,014  
 City of Bullhead City \$112,630

City of Cottonwood \$183,744  
 City of Douglas \$55,249  
 City of Kingman \$71,330  
 City of Maricopa \$37,263.

Regional Public Transportation Authority \$35,895  
 Regional Transportation Authority of Pima County \$129,679  
 Salt River Pima-Maricopa Indian Community \$31,061

Per the ARP Act, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$670,865
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$670,865</b>

Milestone Name	Est. Completion Date	Description
start date	10/1/2021	
End Date	9/30/2024	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

ADOT will be conducting a statewide intercity transit study. ADOT will procure consultants to assist in this



project. The project will examine the need for intercity connections throughout the entire state, specifically targeting rural connectivity to a larger urban nationwide networks

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$174,080
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$174,080</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2024	

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

Funding will be used for eligible statewide RTAP Trainings / Scholarships for sub-recipients

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$107,858
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$107,858</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2024	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**  
 Funds will be used for eligible state program administration activities. These funds will be used to support administration of ARP 5311 funding. This ALI does not exceed the maximum of 10% of the ARP apportionment.

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$295,654
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$295,654</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2024	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE

**Extended Budget Description**

7 subrecipients will receive the following federal amounts for intercity operating (5311f):  
 City of Coolidge \$26,676

- City of Show Low \$48,724
- Greyhound Lines, Inc. \$1,230,275
- Hopi Tribe \$21,000
- Regional Public Transportation Authority \$207,706
- Regional Transportation Authority of Pima County \$71,362
- Yuma County Intergovernmental Public Transportation Authority \$127,956

Per the ARP Act, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,733,699
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,733,699</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2021	
End Date	9/30/2024	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	7	\$1,733,699.00	\$1,733,699.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$295,654.00	\$295,654.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$107,858.00	\$107,858.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$174,080.00	\$174,080.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	9	\$670,865.00	\$670,865.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Arianna Valle

Comment Type DOL Review for Information

Date 7/20/2021

Comment ADOT is applying for \$2,982,156 in Section 5311 American Rescue Plan (ARP) to provide operating assistance and preventive maintenance to rural transit agencies in Arizona to support operation of their transit programs and to begin the State of Arizona Intercity Bus Study. Thank you.

### Application Review Comments

**Comment By** Arianna Valle

Comment Type Pre-Award Manager Returns Application

Date 7/12/2021

Comment Per July 12th email. Thank you.

**Comment By** Arianna Valle

Comment Type Pre-Award Manager Returns Application

Date 7/14/2021

Comment Please see email on July 14th. Thank you.

**Comment By** Arianna Valle

Comment Type Pre-Award Manager Returns Application

Date 7/15/2021

Comment Per email on July 15th. Thank you.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: TRANSPORTATION, ARIZONA DEPARTMENT OF

Recipient ID: 1635

DUNS No: 098416667

#### **Application Information**

Federal Award Identification Number: AZ-2021-046-00

Application Name: ADOT FFY21 5311 ARP Act Funding AZ Rural Public Transit

Application Start Date: N/A

Original Award End Date: 9/30/2025

Current Award End Date: N/A

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Intended Beneficiaries: local residents, businesses and health care providers by providing trips for employment and essential needs.

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Operating Assist. - \$670,865 5311-10 (Rural Area Formula Grants (ARPA))

RTAP - \$107,858 5311-10A (RTAP)

Statewide Intercity Transit Study- \$174,080 5311-10D (5311(f) – Intercity Bus (ARPA) Formula)

FFY21 Federal Section 5311 ARP TOTAL Obligation: \$2,982,156

Tribal Allocation Set aside for direct recipient San Carlos Apache Tribe  
Operating \$82,247

TOTAL Obligation + Tribal Allocation \$3,064,403  
10% of this total is for State Administration

The FFY 2021 American Rescue Plan Section 5311 Rural Public Transit funds will be used to sustain the expenses of continuing transit service during the public health crisis of COVID-19. These funds will be allocated to expenses beginning October 1, 2021.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and



Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

The Recipient certifies that it, and its contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act)

Research and Development: This award includes research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

### Application Budget

Total Application Budget: \$2,982,156.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,982,156.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,982,156.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$1,907,779
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$966,519
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$107,858
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,982,156</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*TRANSPORTATION, ARIZONA DEPARTMENT OF*