

## Application

<b>Federal Award Identification Number (FAIN)</b>	AR-2020-018-01
<b>Temporary Application Number</b>	1561-2020-3
<b>Application Name</b>	Arkansas State FY 2020 5311 and LAPSING FY15 5307 transferred to 5311: Funds going towards Training, Intercity Operating and Capital Expenses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	9/22/2020
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	12/31/2023 Revision #: 0

### Part 1: Recipient Information

**Name: Transportation, Arkansas Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1561	State Agency	ARKANSAS STATE HIGHWAY AND TRANSPORTATION DEPARTMENT	809873235

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL STE 250	LITTLE ROCK	AR	72201
Physical Address	10324 INTERSTATE 30 (EXIT 130)	LITTLE ROCK	AR	72209
Mailing Address	P. O. BOX 2261	LITTLE ROCK	AR	72203

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION(STATEWIDE)</b>
Address 1	5025 WISCONSIN AVE
Address 2	
City	WASHINGTON
State	District of Columbia
Zipcode	20016
Contact Name	MR. LEO WETZEL
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

## Part 2: Application Information

**Title: Arkansas State FY 2020 5311 and LAPSING FY15 5307 transferred to 5311: Funds going towards Training, Intercity Operating and Capital Expenses**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AR-2020-018-01	Final Concurrence / Reservation	Grant	6/28/2021	6/28/2021	No

### Application Executive Summary

This amendment will add the remaining FY20 5311 funds in the federal amount of \$6,841,378. These funds will go towards operating expenses for rural and intercity agencies. These changes are seen under Project 2 in the budget as follows:

30.09.01 UP TO 50% FEDERAL SHARE add \$6,075,471

30.09.01 UP TO 50% FEDERAL SHARE add \$765,907

Local match (\$6,075,471) will be provided by the agency (cash, rental car tax, in-kind, and Medicaid funds).

In-Kind Local Match (\$765,097) is coming from Greyhound. They will use the calculable value of its connecting unsubsidized service for its local match. The proposed project budget using in-kind match is uploaded in the application. CARES Act funding at 100% federal is also obligated for the budget.

**Purpose of the Award and Expected Outcomes:** This amendment will add operating expenses that will continue to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These funds will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

**Activities to be performed:** This grant will go towards rural and intercity operating expenses. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses.

**Intended Beneficiaries:** This award will help the rural community.

Subrecipient Activities: Rural and Intercity Agencies will use these funds for operating expenses as outlined in the Program of Projects attached to this application.

---

ARDOT is applying for a partial amount (\$7,661,085 breakdown below) of the FFY 2020 Full Apportionment \$14,502,463 (includes RTAP) with a remaining balance of \$6,841,378. This award also includes Section 5307 FFY 2015 5307 Conway (soon to lapse) federal funds (\$168,987) that have been approved to be transferred to the Section 5311 program.

FFY 2015 5307 Transferred Funds to 5311 = \$168,987  
FFY 2020 RTAP Full Apportionment = \$238,615  
FFY 2020 5311 Partial Apportionment = \$7,422,470  
Total = \$7,830,072

RTAP and 5311 funds will be expended for training, project operating, and intercity operating and capital expenses.

Funds will be utilized by the following subrecipients:

ATA (RTAP)

South Central AR Transit (SCAT) - Project Operating

Southeast AR Transportation (SEAT) - Project Operating

Greyhound - IC Operating and Capital - In-kind match has been proposed for operating assistance in accordance with the FTA program.

Jefferson Lines - IC Operating and Capital

All projects are currently listed in category B until agreements and certifications are signed.

The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program.

A certification letter of exemption is not needed as the 15% requirement (\$2,139,577) will be obligated for IC. In this grant, ARDOT is applying for a partial amount of this requirement \$1,373,670 with a remaining balance of \$765,907 left to be applied for in another grant application.

Cost and price estimates have been submitted on the project.

Local match will be provided by agencies (cash, rental car tax, in-kind, and Medicaid funds). Capital match = 80/20, Project Admin = 80/20 and Operating = 50/50. (\$6,603,857) - (Intercity Operating in-kind \$579,600)

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

*State Application ID: 1561*

*Date Received by State: 9/2/2020 8:00 PM EDT*

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

### Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ronisha	Hodge	Community Planner	ronisha.hodge@dot.gov	(817) 978-0576
Patricia	Slater	FTA Grants Officer	patricia.slater@ardot.gov	501-569-2475

### Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,671,450
Local			\$12,679,328
Local/In-Kind			\$1,345,507
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$28,696,285</b>

### Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
----------------	-------------	------------	----------------	-----------------------	----------

AR-2020-018-01-01	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$238,615.00	\$0.00	\$238,615.00	0
AR-2020-018-01-01		43.50.01 TRAINING	\$238,615.00	\$0.00	\$238,615.00	0
AR-2020-018-02-01	300-00 (300-A1)	OPERATING ASSISTANCE	\$12,124,271.00	\$12,124,271.00	\$24,248,542.00	0
AR-2020-018-02-01		30.09.01 Amend 1: UP TO 50% FEDERAL SHARE	\$12,124,271.00	\$12,124,271.00	\$24,248,542.00	0
AR-2020-018-02-01	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$2,139,577.00	\$1,731,577.00	\$3,871,154.00	2
AR-2020-018-02-01		11.13.04 BUY <30-FT BUS FOR EXPANSION	\$128,000.00	\$32,000.00	\$160,000.00	1
AR-2020-018-02-01		11.13.08 BUY INTERCITY BUS-EXPANSION	\$416,000.00	\$104,000.00	\$520,000.00	1
AR-2020-018-02-01		30.09.01 Amend 1: UP TO 50% FEDERAL SHARE	\$1,595,577.00	\$1,595,577.00	\$3,191,154.00	0
AR-2020-018-03-01	300-00 (300-A3)	OPERATING ASSISTANCE	\$168,987.00	\$168,987.00	\$337,974.00	0
AR-2020-018-03-01		30.09.01 UP TO 50% FEDERAL SHARE	\$168,987.00	\$168,987.00	\$337,974.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: RTAP**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2020-018-01-01	1561-2020-3-P1	6/28/2021	6/1/2021	11/18/2022

**Project Description**

The RTAP project is utilized for training; the Arkansas Transit Association (ATA) provides the training to the Arkansas' public transit systems.

**Project Benefits**

Transit systems receive a wide variety of training to support and enhance their day-to-day operation with their consumers and within their community.

**Additional Information**

*None provided.*

**Location Description**

Statewide Training

**Project Location (Urbanized Areas)**

UZA Code	Area Name
050000	Arkansas

**Congressional District Information**

State	District	Representative
Arkansas	1	Eric A Crawford
Arkansas	2	J F Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

**Program Plan Information**

**STIP/TIP**

Date: 9/28/2018

Description: FY 2019 - 2022 STIP approved on 9/28/18

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$238,615
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$238,615</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2020-018-01-01	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$238,615.00	\$0.00	\$238,615.00	0
AR-2020-018-01-01	43.50.01 TRAINING	\$238,615.00	\$0.00	\$238,615.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

Arkansas Transit Association (ATA) provides training to the Arkansas' public transit systems.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$238,615
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$238,615</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2021	The estimated date ATA may start utilizing RTAP funds.
End Date	11/18/2022	The estimated date all RTAP funds will be expended

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$238,615.00	\$238,615.00

## Project Title: AR State FY 2020 5311

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2020-018-02-01	1561-2020-3-P2	6/28/2021	12/1/2020	12/31/2023

### Project Description

This amendment will add the remaining FY20 5311 funds in the federal amount of \$6,841,378. These funds will go towards operating expenses for rural and intercity agencies. These changes are seen under this Project 2 in the budget as follows:

30.09.01 UP TO 50% FEDERAL SHARE add \$6,075,471

30.09.01 UP TO 50% FEDERAL SHARE add \$765,907



This grant will go towards rural and intercity operating expenses. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses.

This project includes Section 5311 and 5311(f) subrecipients. Partial funds are being requested for capital, and operating to maintain and enhance rural and intercity transit services.

**Project Benefits**

This amendment will add operating expenses that will continue to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These funds will allow an individual's basic mobility needs to be met, which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

**Additional Information**

*None provided.*

**Location Description**

Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
050000	Arkansas

**Congressional District Information**

State	District	Representative
Arkansas	1	Eric A Crawford
Arkansas	2	J F Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

**Program Plan Information**

**STIP/TIP**

Date: 9/28/2018

Description: STIP amendment attached Original STIP documentation under the application documents (page 9)

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,263,848
Local			\$12,510,341
Local/In-Kind			\$1,345,507
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$28,119,696</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2020-018-02-01	300-00 (300-A1) OPERATING ASSISTANCE	\$12,124,271.00	\$12,124,271.00	\$24,248,542.00	0
AR-2020-018-02-01	30.09.01 Amend 1: UP TO 50% FEDERAL SHARE	\$12,124,271.00	\$12,124,271.00	\$24,248,542.00	0
AR-2020-018-02-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$2,139,577.00	\$1,731,577.00	\$3,871,154.00	2
AR-2020-018-02-01	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$128,000.00	\$32,000.00	\$160,000.00	1
AR-2020-018-02-01	11.13.08 BUY INTERCITY BUS-EXPANSION	\$416,000.00	\$104,000.00	\$520,000.00	1
AR-2020-018-02-01	30.09.01 Amend 1: UP TO 50% FEDERAL SHARE	\$1,595,577.00	\$1,595,577.00	\$3,191,154.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.01 - Amend 1: UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE

**Extended Budget Description**

Amend 1: This award will increase this ALI by \$6,075,471. These funds will continue to support the operation of essential duties for Central Arkansas Transit (SCAT).

Original Grant: Funding will be used to support the operation of essential duties for SCAT and SEAT. By providing rural public transit agencies allow individuals basic mobility needs to be met. Which includes, but is not limited to: providing access to health care, education, maintaining and improving the use of public transportation, and supporting intercity bus transportation. Some of the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number
5311 - Rural Area Formula	5311-1A	20509
Local		
Local/In-Kind		
State		
State/In-Kind		
Other Federal		
Transportation Development Credit		
Adjustment		
<b>Total Eligible Cost</b>		

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Estimated date operating funds will start being utilized
End Date	12/31/2023	Estimated date all rural operating funds should be expended and drawdown reimbursed in this project.

**Budget Activity Line Item: 30.09.01 - Amend 1: UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amend 1: This amendment will add \$765,907 for Greyhound Intercity Bus Operating Expenses. This amendment along with the original application makes up 15% of the total federal amount.

Original Grant:

This funding will support the operation of Greyhound (GH) and Jefferson Lines IC transit systems. This allows them to

reinstate, preserve and continue existing routes, promote services, and assist with operating expenses such as fuel, oil, drivers' salaries and fringe benefits, and licenses, etc.

Consistent with the FTA in-kind match program, GH will use the calculable value of its connecting unsubsidized service for its local match. The proposed project budget using in-kind match is uploaded in the application. CARES Act funding at 100% federal is also obligated for GH budget.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,595,577
Local			\$250,070
Local/In-Kind			\$1,345,507
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,191,154</b>

Milestone Name	Est. Completion Date	Description
Start Date	12/1/2020	The estimated date operating IC funds will be utilized.
End Date	12/31/2022	Estimated date all funds should be expended in this ALI.

**Budget Activity Line Item: 11.13.08 - BUY INTERCITY BUS-EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.08	BUY INTERCITY BUS-EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Greyhound is requesting a 45' IC Expansion Bus.

Useful life = 12 years or 500,000 miles

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Rural Area Formula	5311-1A	20509	\$416,000
Local			\$104,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$520,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/4/2021	Estimated date all required documents should be submitted for review and approval to order GH expansion bus.
End Date	8/20/2021	The estimated date all funds should be expended and reimbursed for GH expansion bus.

**Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Jefferson Lines is requesting to purchase an expansion bus.

Useful Life = 5 years or 150,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$128,000
Local			\$32,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$160,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/4/2021	Estimated date all required documents should be submitted for review and approval to order JL bus.
End Date	7/16/2021	The estimated date all funds should be expended and reimbursed for JL expansion bus.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	Amend 1: UP TO 50% FEDERAL SHARE	0	\$12,124,271.00	\$24,248,542.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	30.09.01	Amend 1: UP TO 50% FEDERAL SHARE	0	\$1,595,577.00	\$3,191,154.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.13.08	BUY INTERCITY BUS-EXPANSION	1	\$416,000.00	\$520,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
-------------------	------------------	----------------	----------	------------	---------------------

INTERCITY BUS  
 TRANSPORTATION (634- 11.13.04 BUY <30-FT BUS 1 \$128,000.00 \$160,000.00  
 00) FOR EXPANSION

**Project Title: 5307 lapsing funds transferred to 5311**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2020-018-03-01	1561-2020-3-P3	6/28/2021	8/2/2021	10/29/2021

**Project Description**

Section 5307 funds that are transferred to 5311 (\$168,987.00) will assist in supporting the operation of essential duties for SCAT transit system. Which includes, but is not limited to: providing access to health care, shopping, employment, education, maintaining and improving the use of public transportation, and supporting intercity bus transportation. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, etc.

**Project Benefits**

Rural individuals basis mobility needs are being met through Rural Public Transportation funds.

**Additional Information**

*None provided.*

**Location Description**

Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
050000	Arkansas

**Congressional District Information**

State	District	Representative
Arkansas	1	Eric A Crawford
Arkansas	2	J F Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

**Program Plan Information**

**STIP/TIP**

Date: 8/20/2020

Description: 7/21/2020 - STIP Amendment; Approved documents uploaded.



**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$168,987
Local			\$168,987
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$337,974</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2020-018-03-01	300-00 (300-A3) OPERATING ASSISTANCE	\$168,987.00	\$168,987.00	\$337,974.00	0
AR-2020-018-03-01	UP TO 50% 30.09.01 FEDERAL SHARE	\$168,987.00	\$168,987.00	\$337,974.00	0

**Project Budget Activity Line Items****Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Section 5307 funds that have been approved for Section 5311 to support the operation of essential duties

for SCAT. Section 5307 funds that are transferred to 5311 (\$168,987.00) will assist in supporting the operation of essential duties for SCAT transit system. Which includes, but is not limited to: providing access to health care, shopping, employment, education, maintaining and improving the use of public transportation, and supporting intercity bus transportation. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses, etc.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$168,987
Local			\$168,987
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$337,974</b>

Milestone Name	Est. Completion Date	Description
Start Date	8/2/2021	The estimated date funds may start being utilized by SCAT.
End Date	10/29/2021	Estimated date all funds should be expended in this ALI.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$168,987.00	\$337,974.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Ronisha Hodge

Comment Type	DOL Review for Information
Date	7/2/2021
Comment	<p>DOL Section 5333(b) Certification  This grant application is for: Intercity Operating and Capital Expenses  Please review this application for labor certification in accordance with DOL Section 5333(b) Certification. The grantee contact is:  Patricia Slater  patricia.slater@ardot.gov  501-569-2475  Person to notify at FTA:  Ronisha Hodge  Office: 817.978.0576  ronisha.hodge@dot.gov</p>

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021,  
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and  
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Arkansas Department Of

Recipient ID: 1561

DUNS No: 809873235

#### **Application Information**

Federal Award Identification Number: AR-2020-018-01

Application Name: Arkansas State FY 2020 5311 and LAPSING FY15 5307 transferred to 5311: Funds going towards Training, Intercity Operating and Capital Expenses

Application Start Date: 9/22/2020

Original Award End Date: 12/31/2023

Current Award End Date: 12/31/2023

Application Executive Summary: This amendment will add the remaining FY20 5311 funds in the federal amount of \$6,841,378. These funds will go towards operating expenses for rural and intercity agencies. These changes are seen under Project 2 in the budget as follows:

30.09.01 UP TO 50% FEDERAL SHARE add \$6,075,471

30.09.01 UP TO 50% FEDERAL SHARE add \$765,907

Local match (\$6,075,471) will be provided by the agency (cash, rental car tax, in-kind, and Medicaid funds).

In-Kind Local Match (\$765,097) is coming from Greyhound. They will use the calculable value of its connecting unsubsidized service for its local match. The proposed project budget using in-kind match is uploaded in the application. CARES Act funding at 100% federal is also obligated for the budget.

Purpose of the Award and Expected Outcomes: This amendment will add operating expenses that will continue to enhance and sustain reliable transit services, reduce unscheduled repairs, and improve system efficiency and reliability. These funds will allow an individual's basic mobility needs to be met,

which includes providing access to health care, shopping, employment, and education. Overall this award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

Activities to be performed: This grant will go towards rural and intercity operating expenses. Some of the costs directly related to the system operations are: fuel, oil, drivers' salaries and fringe benefits, dispatcher salaries and fringe benefits, and licenses.

Intended Beneficiaries: This award will help the rural community.

Subrecipient Activities: Rural and Intercity Agencies will use these funds for operating expenses as outlined in the Program of Projects attached to this application.

---

ARDOT is applying for a partial amount (\$7,661,085 breakdown below) of the FFY 2020 Full Apportionment \$14,502,463 (includes RTAP) with a remaining balance of \$6,841,378. This award also includes Section 5307 FFY 2015 5307 Conway (soon to lapse) federal funds (\$168,987) that have been approved to be transferred to the Section 5311 program.

FFY 2015 5307 Transferred Funds to 5311 = \$168,987  
FFY 2020 RTAP Full Apportionment = \$238,615  
FFY 2020 5311 Partial Apportionment = \$7,422,470  
Total = \$7,830,072

RTAP and 5311 funds will be expended for training, project operating, and intercity operating and capital expenses.

Funds will be utilized by the following subrecipients:

ATA (RTAP)  
South Central AR Transit (SCAT) - Project Operating  
Southeast AR Transportation (SEAT) - Project Operating  
Greyhound - IC Operating and Capital - In-kind match has been proposed for operating assistance in accordance with the FTA program.  
Jefferson Lines - IC Operating and Capital

All projects are currently listed in category B until agreements and certifications are signed.

The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program.

A certification letter of exemption is not needed as the 15% requirement (\$2,139,577) will be obligated for IC. In this grant, ARDOT is applying for a partial amount of this requirement \$1,373,670 with a remaining balance of \$765,907 left to be applied for in another grant application.

Cost and price estimates have been submitted on the project.

Local match will be provided by agencies (cash, rental car tax, in-kind, and Medicaid funds). Capital match = 80/20, Project Admin = 80/20 and Operating = 50/50. (\$6,603,857) - (Intercity Operating in-kind \$579,600)

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$28,696,285.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,841,378.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$6,841,378.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,671,450.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$14,024,835.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$14,671,450
Local			\$12,679,328
Local/In-Kind			\$1,345,507
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$28,696,285</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: Special Warranty  
Original Certification Date: 9/14/2020

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Arkansas Department Of*