

Application

Federal Award Identification Number (FAIN)	WI-2021-034-00
Temporary Application Number	7006-2021-1
Application Name	Red Cliff Band of Lake Superior Chippewa Indians 5311 Tribal Transit Formula 2021 Capital bus grant
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/30/2024	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: Red Cliff Band Of Lake Superior Chippewa Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7006	Indian Tribe	RED CLIFF BAND OF LAKE SUPERIOR CHIPPEWA INDIANS	086116654

Location Type	Address	City	State	Zip
Headquarters	88455 Pike Rd	Bayfield	WI	54814
Physical Address	88455 Pike Rd	Bayfield	WI	54814
Mailing Address	88455 Pike Rd	Bayfield	WI	54814

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Red Cliff Band of Lake Superior Chippewa Indians 5311 Tribal Transit Formula 2021 Capital bus grant

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WI-2021-034-00	Final Concurrence / Reservation	Grant	4/28/2021	4/28/2021	No

Application Executive Summary

This grant utilizes FY20 5311 Tribal Transit Formula Funding of \$54,195 and FY21 5311 Tribal Transit Formula Funding of \$65,597 for a total of \$119,792 in FTA funds for purpose of capital acquisition of two (2) 14 passenger cutaway ADA accessible replacement mini buses. These buses will replace two 8 and 9 passenger buses that have outlived their useful life. There is no local share required under the 5311 Tribal Transit Program. The cost gap will be made up from \$23,498 from Red Cliff Tribe and those funds are derived from Federal Highways Administration's Tribal Transportation Program and are reflected on page 16 of the attached Red Cliff FY20 TIP document under an official transit project. (STIP/TIP Approval is not required for Tribal Transit Program Funds). This project and its funding amount is listed as project participating funds in Red Cliff Tribe's upcoming BUILD grant award for the bus vehicle purchase component. The funds are not matching the BUILD award and the purchase has independent utility from the larger BUILD funded construction project. Attachments to this application also include the FY20 and FY21 5311 TTP apportionment tables.

In executing this grant, Red Cliff Band of Lake Superior Chippewa Indians agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As the Grant Agreement includes an FTA-funded transit vehicle purchase, Red Cliff Band of Lake Superior Chippewa Indians agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Red Cliff Band of Lake Superior Chippewa Indians must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Red Cliff Band of Lake Superior Chippewa Indians is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA-assisted transit vehicle award using the required FTA reporting tool. Red Cliff Band of Lake Superior Chippewa Indians understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	(312) 886-1619
Jeffrey	Benton	Transportation Director	jbenton@redcliff-nasn.gov	715-779-3700 4234

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$119,792
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$23,498
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$143,290

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-034-01-00	600-00 OTHER PROGRAM COSTS	\$119,792.00	\$23,498.00	\$143,290.00	2

	(600-A1)					
WI-2021-034-01-00		11.12.04	BUY REPLACEMENT <30 FT BUS	\$119,792.00	\$23,498.00	\$143,290.00
						2

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 and FY21 5311 Tribal Transit Program: Red Cliff Band of Lake Superior Chippewa Indians Capital bus acquisition project

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-034-01-00	7006-2021-1-P1	4/28/2021	7/12/2019	12/31/2022

Project Description

This capital bus acquisition project consists of \$119,792 in FY20 and FY21 Tribal Transit Program Formula funds. Grant funding will be utilized to purchase two 2 ADA compliant 14 passenger cutaway mini buses which will replace aging stock and add capacity by replacing 8 and 9 passenger buses that have outlived their useful life.

Project Benefits

The benefits of this project include providing safe, reliable and increased capacity to the economically depressed Native American population of the Red Cliff Reservation and the surrounding area. This project also benefits non-native populations in the surrounding communities who rely on public transportation as a mean of travel for work, health care, shopping. The acquisition will decrease the high cost of fleet maintenance for increase service delivery and build trust in the transportation services of the Red Cliff Band.

Additional Information

The procurement process for these purchases have been performed previously, this will enable an order to be placed immediately up execution of the grant agreement.

Location Description

The Red Cliff Reservation's boundaries cover 14,000 acres, located in one of the most beautiful areas in Wisconsin, along the shores of Lake Superior at the top of the Bayfield Peninsula. The reservation is approximately one mile wide and 14 miles long, with 22 miles of Lake Superior shoreline. It was created through a series of treaties between the U.S. Government and the Red Cliff Band of Lake Superior Chippewa Indians (Red Cliff Band), the most recent being the treaty of 1854. The village of Red Cliff, the location of tribal offices and businesses, is three miles north of Bayfield, Wisconsin, a popular tourist community adjacent to the Apostle Islands National Lakeshore.

Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

Congressional District Information

State	District	Representative
Wisconsin	7	Tom Tiffany

Program Plan Information

STIP/TIP

Date: 1/29/2021

Description: The attached document is the official TTIP for the Red Cliff Band of Lake Superior. Information on the Red Cliff Transit project may be located on page 16 of this document.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$119,792
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
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Total Eligible Cost			\$143,290

Project Budget

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WI-2021-034-01-00	600-00 OTHER PROGRAM COSTS	\$119,792.00	\$23,498.00	\$143,290.00	2

	(600-A1)					
WI-2021-034-01-00		BUY	11.12.04 REPLACEMENT <30 FT BUS	\$119,792.00	\$23,498.00	\$143,290.00
						2

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Funds derive from Section 5311 (c) apportionments for Tribal Transit Programs and include FY20 and FY21 apportionments to the Red Cliff Band of Lake Superior Chippewa Indians in the amount of \$119,792.00. Transit buses to be replaced under this project include one (1) 2001 Diesel E350 Ford Econoline 9 passenger cutaway (VIN 1FDWE35F01HB2087, mileage 164,035) and one (1) 2012 gas E350 Ford Econoline 9 passenger cutaway (VIN 1FDEE3FL5CDB09394, mileage 264,934) that have outlived their useful life. Other Federal funding indicated in the Non-FTA funding information is derived from FHWA Tribal Transportation Program funds received through the BIA for Tribal transit. FY20 and FY21 TTP apportionments are identified as 'project participating funds' for the recently awarded U.S. DOT BUILD Program grant to the Red Cliff Band of Lake Superior Chippewa Indians which was funded at 100% federal share. Useful life of the new buses will be 7 years or 200,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

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Local/In-Kind			\$0
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State/In-Kind			\$0
Other Federal			\$23,498
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$143,290

Milestone Name	Est. Completion Date	Description
RFP release	7/12/2019	An Invitation for bid was released by Bay Area Rural Transit (BART) on July 12, 2019 and named BART and

		Bad River Transit agencies as the requesters. Subsequently, addendum 1 was issued on July 19, 2019 that included the Red Cliff Band of Lake Superior Chippewa Indians.
Contract Award	9/24/2019	Contract award was made to Shepard Brothers Inc on the bases of lowest, most responsive bid. Each named participant in the release of the RFP is responsible for the issuance of their respective purchase orders related to their capital project. The Red Cliff Band had requested a total of three small ADA compliant cutaway buses to be deliverer at one year intervals with purchase order issuance at time of order.
Start Date	7/1/2021	Place order on previously performed procurement for two buses
Purchase Order Date	7/1/2021	A purchase order will be placed on or after the execution date of this project. It is anticipated that build out will be completed within one year of ordering
Vehicles in Service Date	7/31/2022	Vehicles will be placed into service with all required equipment by this estimated completion date
End Date	12/31/2022	Receive and place into service two (2) 14 passenger ADA compliant mini buses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/21/2021

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Evan Gross**

Comment Type DOL Review for Information

Date 6/21/2021

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Red Cliff Band Of Lake Superior Chippewa Indians

Recipient ID: 7006

DUNS No: 086116654

Application Information

Federal Award Identification Number: WI-2021-034-00

Application Name: Red Cliff Band of Lake Superior Chippewa Indians 5311 Tribal Transit Formula 2021 Capital bus grant

Application Start Date: N/A

Original Award End Date: 3/30/2024

Current Award End Date: N/A

Application Executive Summary: This grant utilizes FY20 5311 Tribal Transit Formula Funding of \$54,195 and FY21 5311 Tribal Transit Formula Funding of \$65,597 for a total of \$119,792 in FTA funds for purpose of capital acquisition of two (2) 14 passenger cutaway ADA accessible replacement mini buses. These buses will replace two 8 and 9 passenger buses that have outlived their useful life. There is no local share required under the 5311 Tribal Transit Program. The cost gap will be made up from \$23,498 from Red Cliff Tribe and those funds are derived from Federal Highways Administration's Tribal Transportation Program and are reflected on page 16 of the attached Red Cliff FY20 TIP document under an official transit project. (STIP/TIP Approval is not required for Tribal Transit Program Funds). This project and its funding amount is listed as project participating funds in Red Cliff Tribe's upcoming BUILD grant award for the bus vehicle purchase component. The funds are not matching the BUILD award and the purchase has independent utility from the larger BUILD funded construction project. Attachments to this application also include the FY20 and FY21 5311 TTP apportionment tables.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$143,290.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$119,792.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$23,498.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$119,792.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$23,498.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$119,792
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$23,498
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$143,290

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Red Cliff Band Of Lake Superior Chippewa Indians