

Application

Federal Award Identification Number (FAIN)	TN-2021-020-00
Temporary Application Number	1007-2021-3
Application Name	FFY2021 5311 Rural
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A	
Original Period of Performance End Date	3/30/2026	
Current Period of Performance End Date	N/A	Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, TENNESSEE DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	878297712

Location Type	Address	City	State	Zip
Headquarters	505 DEADRICK ST STE 700	NASHVILLE	TN	372431402
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY2021 5311 Rural

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
TN-2021-020-00	Final Concurrence / Reservation	Grant	3/30/2021	3/30/2021	No

Application Executive Summary

This is a FFY2021 Section 5311 application in the federal amount of \$19,060,201, including Section 5311, Rural Technical Assistance Program, and Appalachian Development Public Transportation Assistance Program funds. This grant is a partial obligation of the SFY2021 Apportionment, which totals a federal amount of \$23,053,118. Of the \$19,060,201 obligated in this grant, Tennessee included the full Appalachian apportionment amount of \$1,100,000 and \$55,000 of the RTAP funds. Of the remaining funds, \$3,238,950 will be obligated after TDOT completes the 3-Year Intercity Bus Needs Assessment to determine if up to 15% of the 5311 apportionment must be utilized for intercity bus service. The remaining \$458,851 of State Administration and \$295,116 of RTAP funds will be obligated by TDOT in a separate grant, combined with State Administration and RTAP funds from FFY2019 and FFY2020.

This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT used the existing performance-based formula for the 5311 FFY2021 allocation. Factors for the formula include the 2010 Census non-urbanized population, public ridership, and public revenue miles.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2021 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A***Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,060,201
Local			\$7,485,792
Local/In-Kind			\$0
State			\$7,485,792
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$34,031,785

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2021-020-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$324,692.00	\$77,110.00	\$401,802.00	2
TN-2021-020-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$269,442.00	\$67,360.00	\$336,802.00	1
TN-2021-020-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$55,250.00	\$9,750.00	\$65,000.00	1
TN-2021-020-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$909,956.00	\$227,488.00	\$1,137,444.00	0
TN-2021-020-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$747,668.00	\$186,916.00	\$934,584.00	0
TN-2021-020-01-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$162,288.00	\$40,572.00	\$202,860.00	0
TN-2021-020-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$12,527,201.00	\$12,527,192.00	\$25,054,393.00	0
TN-2021-020-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$12,527,201.00	\$12,527,192.00	\$25,054,393.00	0
TN-2021-020-01-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$4,133,352.00	\$1,033,338.00	\$5,166,690.00	0
TN-2021-020-01-00	11.79.00 PROJECT ADMINISTRATION	\$4,133,352.00	\$1,033,338.00	\$5,166,690.00	0
TN-2021-020-01-00	635-00 (635-A5) RURAL TRANSIT ASSISTANCE PROGRAM	\$55,000.00	\$0.00	\$55,000.00	0
TN-2021-020-01-00	43.50.01 TRAINING	\$55,000.00	\$0.00	\$55,000.00	0

TN-2021-020-01-00	648-00 (648-A6)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$1,106,456.00	\$2,216,456.00	0
TN-2021-020-01-00	11.12.01	BUY REPLACEMENT 40-FT BUS	\$4,723.00	\$1,182.00	\$5,905.00	0
TN-2021-020-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,105,277.00	\$1,105,274.00	\$2,210,551.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2021 5311 Rural

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2021-020-01-00	1007-2021-3-P1	5/3/2021	4/2/2018	3/31/2023

Project Description

This application represents a partial obligation of the FFY2021 5311 Apportionment. Of the \$23,053,118 apportioned to Tennessee's Section 5311 Program, \$19,060,201 will be obligated in this application. This project will include federal and state funding in support of public transportation Project Administration, Operating, Capital, and RTAP.

Project Benefits

The project will benefit a total of eleven (11) rural public transit agencies across the state of Tennessee

Additional Information

None provided.

Location Description

The eleven (11) rural transit agencies supported by this project provide public transportation in all 95 counties of the state.

Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

Congressional District Information

State	District	Representative
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Tennessee	1	David P Roe
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

Program Plan Information

STIP/TIP

Date: 3/2/2021

Description: STIP pages for Transit

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,060,201
Local			\$7,485,792
Local/In-Kind			\$0
State			\$7,485,792
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$34,031,785

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-	111- BUIS - ROLLING STOCK	\$324,692.00	\$77,110.00	\$401,802.00	2

2021-020-01-00	00 (111-A1)						
TN-2021-020-01-00		11.12.01	BUY REPLACEMENT 40-FT BUS	\$269,442.00	\$67,360.00	\$336,802.00	1
TN-2021-020-01-00		11.12.04	BUY REPLACEMENT <30 FT BUS	\$55,250.00	\$9,750.00	\$65,000.00	1
TN-2021-020-01-00	117-00 (117-A2)		OTHER CAPITAL ITEMS (BUS)	\$909,956.00	\$227,488.00	\$1,137,444.00	0
TN-2021-020-01-00		11.7A.00	PREVENTIVE MAINTENANCE	\$747,668.00	\$186,916.00	\$934,584.00	0
TN-2021-020-01-00		11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	\$162,288.00	\$40,572.00	\$202,860.00	0
TN-2021-020-01-00	300-00 (300-A3)		OPERATING ASSISTANCE	\$12,527,201.00	\$12,527,192.00	\$25,054,393.00	0
TN-2021-020-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$12,527,201.00	\$12,527,192.00	\$25,054,393.00	0
TN-2021-020-01-00	620-00 (620-A4)		PROJECT ADMINISTRATION	\$4,133,352.00	\$1,033,338.00	\$5,166,690.00	0
TN-2021-020-01-00		11.79.00	PROJECT ADMINISTRATION	\$4,133,352.00	\$1,033,338.00	\$5,166,690.00	0
TN-2021-020-01-00	635-00 (635-A5)		RURAL TRANSIT ASSISTANCE PROGRAM	\$55,000.00	\$0.00	\$55,000.00	0
TN-2021-020-01-00		43.50.01	TRAINING	\$55,000.00	\$0.00	\$55,000.00	0
TN-2021-020-01-00	648-00 (648-		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$1,106,456.00	\$2,216,456.00	0

00	A6)					
TN-2021-020-01-00	11.12.01	BUY REPLACEMENT 40-FT BUS	\$4,723.00	\$1,182.00	\$5,905.00	0
TN-2021-020-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,105,277.00	\$1,105,274.00	\$2,210,551.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Funds to be used by all grantees of the program for receiving and/or accessing training or technical assistance

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$55,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$55,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	RTAP activities begin
End Date	6/30/2022	RTAP activities complete.

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - BUS ROLLING STOCK APPALACHIAN	0

Extended Budget Description

Pigeon Forge Mass Transit will purchase a replacement 40FT rubber-tire replica trolley. This grant will only purchase 75% of the total vehicle – 1% of the vehicle will be paid from the Appalachian ALI and 74% will be paid from the 5311 Capital ALI. Both ALIs will utilize the 80/10/10 match level. The remaining 25% of the vehicle will be paid for with local funds. Useful life will be 7 years or 150,000 miles.

Replacement vehicle 2004 Mainstreet Replica Trolley, VIN 4UZAAUBV44CN26391, 238,131 miles

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,723
Local			\$591
Local/In-Kind			\$0
State			\$591
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,905

Milestone Name	Est. Completion Date	Description
Invitation to Bid	7/1/2021	Invitation to Bid released
Contract Awarded	9/30/2021	Contract awarded to vendor
Purchase Order Issued	10/31/2021	Issue purchase order to the vendor
Vehicle delivery	11/30/2022	Vehicle delivered.
Contract Complete	3/31/2023	Contract complete

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

Operating funds to be used by six (6) Appalachian area grantees from 7/1/2021 through 6/30/2022. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,105,277
Local			\$552,637
Local/In-Kind			\$0
State			\$552,637
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,210,551

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Operating activities begin
End Date	6/30/2022	Operating activities complete.

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Pigeon Forge Mass Transit will purchase a replacement 40FT rubber-tire replica trolley. This grant will only purchase 75% of the total vehicle – 1% of the vehicle will be paid from the Appalachian ALI and 74% will be paid from the 5311 Capital ALI. Both ALIs will utilize the 80/10/10 match level. The remaining 25% of the vehicle will be paid for with local funds. Useful life will be 7 years or 150,000 miles.

Replacement vehicle 2004 Mainstreet Replica Trolley, VIN 4UZAUBV44CN26391, 238,131 miles

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	40FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$269,442
Local			\$33,680
Local/In-Kind			\$0
State			\$33,680
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$336,802

Milestone Name	Est. Completion Date	Description
ITB Issue Date	7/1/2021	Invitation to Bid released
Contract Awarded	9/30/2021	Contract awarded to vendor
Purchase Order Issued	10/31/2021	Issue purchase order to the vendor
Vehicle delivery	11/30/2022	Vehicle delivered.
Contract Completion Date	3/31/2023	Contract Complete.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Southeast Tennessee Human Resource Agency (SETHRA) will purchase 1 replacement vehicle. Useful life

will be 5 years or 100,000 miles. Replacement vehicle 2008 Dodge Avenger, VIN 1B3LC46R88N193533, 114,255 miles

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$55,250
Local			\$4,875
Local/In-Kind			\$0
State			\$4,875
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$65,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/2/2018	Invitation to Bid for Statewide Contract for vehicle posted. Contract awarded to manufacturers on 6/13/2018
Contract Award Date	9/30/2021	Purchase Order issued to Statewide Contract Vendor
Initial Delivery Date	3/31/2022	Initial vehicle delivered
Final Delivery Date	3/31/2022	Final vehicle delivered
Contract Completion Date	6/30/2022	Contract complete.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS	11.7A.00	PREVENTIVE	OTHER CAPITAL	0

(BUS) (117-00)

MAINTENANCE

ITEMS (BUS)

Extended Budget Description

Funds will be used by grantees for eligible Preventive Maintenance activities. Grantees do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$747,668
Local			\$93,458
Local/In-Kind			\$0
State			\$93,458
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$934,584

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Preventive Maintenance activities begin.
End Date	6/30/2022	Preventive Maintenance activities complete.

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Upper Cumberland Human Resources Agency (UCHRA) will utilize funds for Mobility Management activities, including salary, benefits, and administrative expenses for mobility managers.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$162,288
Local			\$20,286
Local/In-Kind			\$0

State		\$20,286
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$202,860

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Mobility Management activities begin
End Date	6/30/2022	Mobility Management activities complete.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating funds for 10 rural public agencies (not Pigeon Forge Mass Transit) for the period of July 1, 2021 through June 30, 2022. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,527,201
Local			\$6,263,596
Local/In-Kind			\$0
State			\$6,263,596
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,054,393

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2021	Operating activities begin.
End Date	6/30/2022	Operating activities complete.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Funds will be used by grantees for salary, wages, benefits, and payroll taxes for administrative staff, administrative leave for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,133,352
Local			\$516,669
Local/In-Kind			\$0
State			\$516,669
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,166,690

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Project Administration activities begin.
End Date	6/30/2022	Project Administration activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	1	\$269,442.00	\$336,802.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	1	\$55,250.00	\$65,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$747,668.00	\$934,584.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$162,288.00	\$202,860.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

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a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$12,527,201.00	\$25,054,393.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

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Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$4,133,352.00	\$5,166,690.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$55,000.00	\$55,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

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Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	0	\$4,723.00	\$5,905.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such

as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,105,277.00	\$2,210,551.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type	DOL Review for Information
Date	6/8/2021
Comment	This is a 5311 capital and operating application, per FTA A to Z, is being shared with DOL for information only.

Application Review Comments

Comment By Michael Sherman

Comment Type	Pre-Award Manager Returns Application
Date	5/25/2021
Comment	<p>1) Regarding the Pidgeon Forge Mass Transit vehicle replacement purchase, the EBDs for the Capital ALI and the Appalachian ALI both state the following: The vehicle will be partially funded by the Appalachian ALI at 1% and 74% of the vehicle will be covered by the Capital ALI. Useful life will be 7 years or 150,000 miles.</p> <p>Since the match is typically 80/20 and it appears on the budget for each ALI is 80/20, please go back and verify whether it should be 75/25 or if the intent is apply for the 80/20 ratio and how youre splitting the funding ratio between the Capital ALI and the Appalachian ALI.</p> <p>2) Please upload the Governors apportionment letter</p>

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 6/2/2021

Comment Please clarify in the split vehicle purchase ALIs (74% and 1% Appalachian) how the remaining 25% of the vehicle will be purchased in the EBDs.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, TENNESSEE DEPARTMENT OF

Recipient ID: 1007

DUNS No: 878297712

Application Information

Federal Award Identification Number: TN-2021-020-00

Application Name: FFY2021 5311 Rural

Application Start Date: N/A

Original Award End Date: 3/30/2026

Current Award End Date: N/A

Application Executive Summary: This is a FFY2021 Section 5311 application in the federal amount of \$19,060,201, including Section 5311, Rural Technical Assistance Program, and Appalachian Development Public Transportation Assistance Program funds. This grant is a partial obligation of the SFY2021 Apportionment, which totals a federal amount of \$23,053,118. Of the \$19,060,201 obligated in this grant, Tennessee included the full Appalachian apportionment amount of \$1,100,000 and \$55,000 of the RTAP funds. Of the remaining funds, \$3,238,950 will be obligated after TDOT completes the 3-Year Intercity Bus Needs Assessment to determine if up to 15% of the 5311 apportionment must be utilized for intercity bus service. The remaining \$458,851 of State Administration and \$295,116 of RTAP funds will be obligated by TDOT in a separate grant, combined with State Administration and RTAP funds from FFY2019 and FFY2020.

This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT used the existing performance-based formula for the 5311 FFY2021 allocation. Factors for the formula include the 2010 Census non-urbanized population, public ridership, and public revenue miles.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1,

2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2021 5311 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$34,031,785.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$19,060,201.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$14,971,584.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$19,060,201.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$14,971,584.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,060,201
Local			\$7,485,792
Local/In-Kind			\$0
State			\$7,485,792
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$34,031,785

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, TENNESSEE DEPARTMENT OF