

Application

Federal Award Identification Number (FAIN)	NC-2021-019-00
Temporary Application Number	1005-2021-6
Application Name	FY22 - 5311 FORMULA GRANTS FOR RURAL AREAS (LAPSING FUNDS)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2027
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1005	State Agency	NORTH CAROLINA DEPARTMENT OF TRANSPORTATION	948614169

Location Type	Address	City	State	Zip
Headquarters	1 S WILMINGTON ST RM 542	RALEIGH	NC	276111453
Physical Address	1 S WILMINGTON ST RM 542	RALEIGH	NC	27611
Mailing Address	NCDOT - PUBLIC TRANSPORTATION DIVISION	RALEIGH	NC	27699

Union Information

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 Winsonsin Avenue, NW

Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	Meghan Ritson
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

Union Name	AMALGAMATED TRANSIT UNION
Address 1	5025 Wisconsin Avenue, NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	Leo Wetzel
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

Union Name	UNITED TRANSPORTATION UNION
Address 1	Local # 36
Address 2	204 Forest Manor Drive
City	Garner
State	North Carolina
Zipcode	27529
Contact Name	R.H. Westbrook, Jr.
Telephone	9197794852

Fax	
E-mail	
Website	
<hr/>	
Union Name	UNITED TRANSPORTATION UNION
Address 1	14600 Detroit Avenue
Address 2	
City	Cleveland
State	Ohio
Zipcode	44107
Contact Name	Victor Baffoni
Telephone	2162289400
Fax	
E-mail	
Website	

Part 2: Application Information

Title: FY22 - 5311 FORMULA GRANTS FOR RURAL AREAS (LAPSING FUNDS)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NC-2021-019-00	Final Concurrence / Reservation	Grant	3/24/2021	3/24/2021	No

Application Executive Summary

North Carolina Department of Transportation is applying for a total of \$26,168,561 from Section 5311 Formula Program. Funds are being requested from the following formula categories on behalf of its subrecipients:

5311

FY 19 apportionment Total \$30,794,235; \$1,934,330 of FY 19 being applied for in this application. FY 19 15% \$4,619,135 amount for Intercity Bus has been met in a previous application (NC-2019-038-02).

FY 20 apportionment Total \$31,243,385; \$23,445,702 of FY 20 being applied for this application. Intercity Bus for FY 20 will be applied for in a future application.

RTAP

FY 19 apportionment Total \$477,230; \$280,000 of FY 19 being applied for in this application

Appalachian

FY 20 apportionment Total \$1,450,000 ; \$220,703 of FY 20 being applied for in this application.

FY 21 apportionment Total \$1,450,000 ;\$287,826 of FY 21 being applied for in this application

NCDOT IMD request \$69,286 be transferred to FTA on behalf of Eastern Band of Cherokee Indians.

This application will support administrative, operating and capital cost identified on the Period of Performance.

See 5311 Funding Table below:

	2019	2020	2021	Totals
RTAP	\$280,000	\$0	\$0	\$280,000
5311	\$1,934,330	\$23,445,702	\$0	\$25,380,032
ADTAP	\$0	\$220,703	\$287,826	\$508,529

1. The Period of Performance on this project is July 1, 2021 to June 30, 2024.
2. This Application for Section 5311 Federal Assistance is apportioned to NCDOT as the designated recipient.
3. This Application does NOT include funds for Research and/or Development Activities.
4. Subrecipients will charge indirect cost to the project based on an approved indirect cost rate set by the NCDOT Public Transportation Division.

The current State Management Plan (SMP) was approved by FTA in 2016. The SMP includes the useful life estimates for capital items included in this application.

NCDOT will follow all 3rd party procurement policies as defined in FTA C4220.1F (Third Party Contracting Guidance). NCDOT will ensure contractors procured will not be on the FTA Suspension and Debarment List.

NCDOT will handle the procurement process, and guide the ordering of vehicles on state contract by grantees. Grantees pay for the vehicles upon receipt of them and send claims for reimbursement to NCDOT. A record of vehicle purchases and maintenance of these vehicles is maintained by the grantees in the Assetworks software provided by the state to grantees. NCDOT has online access to this information through Assetworks. Twice a year NCDOT requires grantees to report vehicle utilization data. Sub-recipients receiving vehicles will purchase ADA-accessible vehicles or complete the Certification of Equivalent Service Form. Other capital items approved for funding are locally procured by the grantee following FTA guidelines and regulations. An annual inventory of these capital purchases is provided to NCDOT; (Capital Replacement Schedule is attached).

Local match varies by project. Typical matching sources are state matching funds, state funded ROAP funds, contract revenue and allowable non-DOT federal program funding.

Two applicants were approved for funding under the Appalachian Development Grant.

NCDOT will use Rural Transportation Assistance Program (RTAP) for training, technical assistance and conference workshop.

Funding at 10% for the 5311 application will be requested for state administration and planning assistance.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: 5311 Subrecipients will request indirect costs in the applications by submitting the required documentation to include end of year expenditures and cost allocation plan of the same year. The rates must be approved by NCDOT Integrated Mobility Division prior to drawing down the funds.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Myra	Freeman	Financial Manager	msfreeman1@ncdot.gov	9197074672
Holly	Liles	Community Planner	holly.liles@dot.gov	(404) 865-5637

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$26,168,561
Local			\$4,685,765
Local/In-Kind			\$0
State			\$1,921,275
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,775,601

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2021-019-01-00	111-00 (111-A5) BUS - ROLLING STOCK	\$6,399,193.00	\$1,629,200.00	\$8,028,393.00	120
NC-2021-019-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$2,434,773.00	\$620,000.00	\$3,054,773.00	41

NC-2021-019-01-00		11.12.15	BUY REPLACEMENT VAN	\$3,929,780.00	\$1,000,000.00	\$4,929,780.00	78
NC-2021-019-01-00		11.12.16	BUY REPL SEDAN/STATION WAGON	\$34,640.00	\$9,200.00	\$43,840.00	1
NC-2021-019-01-00	113-00 (113-A8)		BUS - STATION/STOPS/TERMINALS	\$5,600.00	\$1,500.00	\$7,100.00	100
NC-2021-019-01-00		11.32.09	ACQUIRE - BUS ROUTE SIGNING	\$5,600.00	\$1,500.00	\$7,100.00	100
NC-2021-019-01-00	114-00 (114-A6)		BUS: SUPPORT EQUIP AND FACILITIES	\$585,789.00	\$154,950.00	\$740,739.00	382
NC-2021-019-01-00		11.42.06	ACQUIRE - SHOP EQUIPMENT	\$154,328.00	\$40,000.00	\$194,328.00	83
NC-2021-019-01-00		11.42.07	ACQUIRE - ADP HARDWARE	\$75,381.00	\$20,000.00	\$95,381.00	71
NC-2021-019-01-00		11.42.08	ACQUIRE - ADP SOFTWARE	\$1,557.00	\$500.00	\$2,057.00	6
NC-2021-019-01-00		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$208,507.00	\$54,000.00	\$262,507.00	74
NC-2021-019-01-00		11.44.05	REHAB/RENOVATE - YARDS AND SHOPS	\$1,632.00	\$450.00	\$2,082.00	1
NC-2021-019-01-00		11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	\$144,384.00	\$40,000.00	\$184,384.00	147
NC-2021-019-01-00	116-00 (116-A7)		SIGNAL & COMM EQUIPMENT (BUS)	\$78,017.00	\$19,600.00	\$97,617.00	84
NC-2021-019-01-00		11.62.03	PURCHASE RADIOS	\$78,017.00	\$19,600.00	\$97,617.00	84
NC-2021-019-01-00	300-00 (300-A2)		OPERATING ASSISTANCE	\$824,489.00	\$824,490.00	\$1,648,979.00	0
NC-2021-019-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$824,489.00	\$824,490.00	\$1,648,979.00	0

NC-2021-019-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION		\$2,307,275.00	\$0.00	\$2,307,275.00	0
NC-2021-019-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION		\$2,307,275.00	\$0.00	\$2,307,275.00	0
NC-2021-019-01-00	620-00 (620-A1)	PROJECT ADMINISTRATION		\$15,179,669.00	\$3,860,000.00	\$19,039,669.00	0
NC-2021-019-01-00		11.79.00 PROJECT ADMINISTRATION		\$15,179,669.00	\$3,860,000.00	\$19,039,669.00	0
NC-2021-019-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM		\$280,000.00	\$0.00	\$280,000.00	0
NC-2021-019-01-00		43.50.01 TRAINING		\$280,000.00	\$0.00	\$280,000.00	0
NC-2021-019-02-00	648-00 (648-A9)	APPALACHIAN DEV PUB TRANSIT ASSIST		\$508,529.00	\$117,300.00	\$625,829.00	127
NC-2021-019-02-00		11.12.15 BUY REPLACEMENT VAN		\$369,880.00	\$94,000.00	\$463,880.00	7
NC-2021-019-02-00		11.42.07 ACQUIRE - ADP HARDWARE		\$5,473.00	\$1,400.00	\$6,873.00	4
NC-2021-019-02-00		11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP		\$19,210.00	\$4,900.00	\$24,110.00	12
NC-2021-019-02-00		11.44.20 REHAB/RENOVATE - MISC SUPPORT EQUIPMENT		\$67,736.00	\$17,000.00	\$84,736.00	104
NC-2021-019-02-00		11.80.00 STATE OR PROGRAM ADMINISTRATION		\$46,230.00	\$0.00	\$46,230.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 Rural Community Transportation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2021-019-01-00	1005-2021-6-P1	3/24/2021	4/25/2019	3/31/2026

Project Description

This project will support administrative, operating and capital cost identified on the Period of Performance.

Project Benefits

The current State Management Plan (SMP) was approved by FTA, July 18, 2016 and is attached in the document file. The SMP includes the useful life estimates for capital items included in this application.

Additional Information

None provided.

Location Description

Projects will take place in NC.

Project Location (Urbanized Areas)

UZA Code	Area Name
370000	North Carolina

Congressional District Information

State	District	Representative
North Carolina	1	G. K Butterfield
North Carolina	2	Renee L Ellmers
North Carolina	3	Walter B Jones
North Carolina	4	David E Price
North Carolina	5	Virginia Foxx
North Carolina	6	Mark Walker
North Carolina	7	David Rouzer
North Carolina	8	Richard Hudson
North Carolina	9	Robert Pittenger
North Carolina	10	Patrick T McHenry
North Carolina	11	Mark Meadows
North Carolina	12	Alma S Adams
North Carolina	13	George Holding

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,660,032
Local			\$4,627,115
Local/In-Kind			\$0
State			\$1,862,625
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,149,772

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2021-019-01-00	111-00 (111-A5) BUS - ROLLING STOCK	\$6,399,193.00	\$1,629,200.00	\$8,028,393.00	120
NC-2021-019-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$2,434,773.00	\$620,000.00	\$3,054,773.00	41
NC-2021-019-01-00	11.12.15 BUY REPLACEMENT VAN	\$3,929,780.00	\$1,000,000.00	\$4,929,780.00	78
NC-2021-019-01-00	11.12.16 BUY REPL SEDAN/STATION WAGON	\$34,640.00	\$9,200.00	\$43,840.00	1
NC-2021-019-01-00	113-00 (113-00) BUS - STATION/STOPS/TERMINALS	\$5,600.00	\$1,500.00	\$7,100.00	100

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NC-2021-019-01-00		11.32.09	ACQUIRE - BUS ROUTE SIGNING	\$5,600.00	\$1,500.00	\$7,100.00	100
NC-2021-019-01-00	114-00 (114-A6)		BUS: SUPPORT EQUIP AND FACILITIES	\$585,789.00	\$154,950.00	\$740,739.00	382
NC-2021-019-01-00		11.42.06	ACQUIRE - SHOP EQUIPMENT	\$154,328.00	\$40,000.00	\$194,328.00	83
NC-2021-019-01-00		11.42.07	ACQUIRE - ADP HARDWARE	\$75,381.00	\$20,000.00	\$95,381.00	71
NC-2021-019-01-00		11.42.08	ACQUIRE - ADP SOFTWARE	\$1,557.00	\$500.00	\$2,057.00	6
NC-2021-019-01-00		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$208,507.00	\$54,000.00	\$262,507.00	74
NC-2021-019-01-00		11.44.05	REHAB/RENOVATE - YARDS AND SHOPS	\$1,632.00	\$450.00	\$2,082.00	1
NC-2021-019-01-00		11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	\$144,384.00	\$40,000.00	\$184,384.00	147
NC-2021-019-01-00	116-00 (116-A7)		SIGNAL & COMM EQUIPMENT (BUS)	\$78,017.00	\$19,600.00	\$97,617.00	84
NC-2021-019-01-00		11.62.03	PURCHASE RADIOS	\$78,017.00	\$19,600.00	\$97,617.00	84
NC-2021-019-01-00	300-00 (300-A2)		OPERATING ASSISTANCE	\$824,489.00	\$824,490.00	\$1,648,979.00	0
NC-2021-019-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$824,489.00	\$824,490.00	\$1,648,979.00	0

NC-2021-019-01-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$2,307,275.00	\$0.00	\$2,307,275.00	0
NC-2021-019-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$2,307,275.00	\$0.00	\$2,307,275.00	0
NC-2021-019-01-00	620-00 (620-A1)	PROJECT ADMINISTRATION	\$15,179,669.00	\$3,860,000.00	\$19,039,669.00	0
NC-2021-019-01-00	11.79.00	PROJECT ADMINISTRATION	\$15,179,669.00	\$3,860,000.00	\$19,039,669.00	0
NC-2021-019-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$280,000.00	\$0.00	\$280,000.00	0
NC-2021-019-01-00	43.50.01	TRAINING	\$280,000.00	\$0.00	\$280,000.00	0

Project Budget Activity Line Items

< tdclass = 'lineItemName' > BudgetActivityLineItem: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	74

Extended Budget Description

Subrecipients will purchase (74) pieces of vehicle/surveillance equipment. Beaufort (3) replacement vehicle surveillance cameras; Community & Senior Services (1) seon wireless access point package; Dare (10) replacement vehicle camera; Goldsboro-Wayne (30) Seon system replacement cameras; Iredell (29) vehicle cameras; Mitchell (14) replacement vehicle camera systems, (1) expansion camera system; Sampson (1) replacement camera; Scotland (8) camera systems; Western Piedmont (6) 4 camera/surveillance vehicle equipment.

All Subrecipients will follow useful life standards as defined in the 2016 State Management Plan. Security cameras have a useful life of 7 years.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$208,507
Local			\$27,000
Local/In-Kind			\$0
State			\$27,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$262,507

Milestone Name	Est. Completion Date	Description
RFP/IFB Issued	4/25/2019	Acquire - Mobile Surv/security Equip
Contract Award	3/16/2020	Acquire - Mobile Surv/security Equip
Contract End	3/16/2023	Acquire - Mobile Surv/security Equip

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.32.09 - ACQUIRE - BUS ROUTE SIGNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.32.09	ACQUIRE - BUS ROUTE SIGNING	ACQUISITION - BUS STATIONS/TERMINALS	100

Extended Budget Description

Iredell County will use funding to purchase 100 bus stop signs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,600
Local			\$750
Local/In-Kind			\$0
State			\$750
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,100

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Acquire - Bus Route Signing
End Date	6/30/2022	Acquire - Bus Route Signing

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	83

Extended Budget Description

Subrecipients will purchase (55) vehicle spare parts and (28) shop equipment parts. Albemarle (1) cordless tool set, (1) floor scrubber, (1) Kia- Vac, (1) floor jack, (1) rack shelves, (1) mobile column, (1) post lift, (1) rolling jack, (1) wheel lift, (1) battery charger, (1) drill press, (3) hose reels, (2) cordless impacts; AppalCART (40) bus wheels, (2) bus sets, (2) bus alternators, (1) floor scrubber, (1) set of jack stands; Choanoke (1) engine, (1) transmission, (3) sender & pump assemblies, (3) compressor/alternators, (3) brake booster assembly; Community & Senior Services (1) BenPak HDS-18E 4 post vehicle lift (2) Benpak RBJ9000 bridge jack, (1) Benpak XPE-12 FDL 2 post vehicle lift; (1) OTC tools 5237 transmission jack, (1) OTC tools 1758 fuel tank handler; Iredell (1) 16,000 lbs recovery winch; Madison (1) portable generator (1) power cord; Mitchell (1) automatic car wash.

All Subrecipients will follow useful life standards as defined in the 2016 State Management Plan. Roller cabinet lift truck tool stand and diagnostic equipment have a useful life of 12 years. Compressors have a useful life of 5 years eligible for replacement

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$154,328
Local			\$20,000
Local/In-Kind			\$0
State			\$20,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$194,328

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Acquire - Shop Equipment
End Date	6/30/2022	Acquire - Shop Equipment

< tdclass = 'lineItemName' > BudgetActivityLineItem: 11.62.03 - PURCHASE RADIOS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	AQUISITION	84

Extended Budget Description

Subrecipients will purchase (1) repeater station, (34) radio base stations, and (49) mobile radio units. Beaufort (3) new mobile radio units, Buncombe (2) replacement hand-held mobile radio units, Community & Senior Services (6) mobile radio units, Mitchell (1) radio base station, (1) new mobile radio unit, (14) replacement mobile radio units and (2) replacement hand-held radio units, Moore (1) repeater station, (1) radio base station and (21) mobile radio units, Randolph (4) replacement radio base stations and (28) mobile radio units.

All Subrecipients will follow useful life sandards as defined in the 2016 State Management Plan. All communication equipment have a useful life of 6 years before eligible for replacement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$78,017
Local			\$9,800
Local/In-Kind			\$0
State			\$9,800
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$97,617

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Purchase Radios
End Date	6/30/2022	Purchase Radios

< tdclass = 'lineItemName' > BudgetActivityLineItem: 11.44.05 - REHAB/RENOVATE - YARDS AND SHOPS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.05	REHAB/RENOVATE - YARDS AND SHOPS	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

Mitchell County will use funding to paint the interior of their offices.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,632
Local			\$225
Local/In-Kind			\$0
State			\$225
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,082

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Rehab/renovate - Yards And Shops
End Date	6/30/2022	Rehab/renovate - Yards And Shops

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	6

Extended Budget Description

Subrecipients will purchase software (6) pieces of software to an upgraded version or replace software that has met useful life. Subrecipients will follow useful life standard as defined in the 2016 State Management Plan. Anson (3) full version Microsoft office software, Choanoke (2) full versions of Windows XP operating system and Hoke (1) Microsoft office software upgraded version. Software has a useful life of 4 years.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,557
Local			\$250
Local/In-Kind			\$0
State			\$250
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,057

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Acquire - Adp Software
End Date	6/30/2022	Acquire - Adp Software

< tdclass = 'lineltemName' > BudgetActivityLineItem: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	71

Extended Budget Description

Subrecipients will purchase (3) audio-visual equipment, (1) micro portable projector/laptop, (23) personal computers/laptops, (8) printers, (1) network servers, (31) mobile data devices and (2) other advanced technology items. Anson (3) replacement personal computers, (1) replacement printer, Avery (4) personal computers, (3) printers, Beaufort (3) new mobile radio units, Bladen (2) office computers, Choanoke (2) replacement computers, City of Wilson (1) personal computer, Community & Senior Services (1) annual contract for server daily backup, (1) annual contract for antivirus license, Goldsboro-Wayne - (30) mobile data devices, (1) initial installation of fare media magnetic stripe smart card, Graham (1) replacement printer, Hoke (1) micro portable projector, (1) replacement personal computer, (1) Microsoft office software upgrade version, Iredell (4) personal computers, Martin (1) AV projector, (1) replacement personal computer, (2) replacement printers, Mitchell (2) personal computers, Moore (1) TV for training purpose, Onslow United (1) Network Server, and Cleveland (2) printers and (1) audio visual equipment.

All Subrecipients will follow useful life standards as defined in the 2016 State management plan. Useful life for all capital items except mobile data devices is 5 years. The mobile data devices have a useful life of 7 years.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,381
Local			\$10,000
Local/In-Kind			\$0
State			\$10,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$95,381

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Acquire - Adp Hardware
End Date	6/30/2022	Acquire - Adp Hardware

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.44.20 - REHAB/RENOVATE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	147

Extended Budget Description

Subrecipients will purchase (113) pieces of furniture, (10) pieces of office equipment and (24) pieces of other equipment. Albemarle Regional (3) propane conversion kits, Anson (1) copier/fax/printer, Avery (2) storage credenzas (1) 2 drawer lateral file cabinet, (1) paper shredder, Brunswick (3) backup batteries, Buncombe (4) Bi-fuel propane conversion kits, Choanoke (1) L- desk w/hutch, (1) traditional computer desk, (5) wheel chair lift guards, City of Wilson (1) desk, Duplin (4) desktop scanners, Goldsboro-Wayne (10) desks, (10) filing cabinets, (10) chairs, Hoke (8) Lok66T Burgundy 3-tier, (1) MBL-D4248 Storage Cabinet, (24) flex flow stacker chairs burgundy, (6) 6'4" RBB-143 and (4) 15' W Letter-size vertical file cabinets, (2) Power switch N2000, Iredell (1) Vinyl Systems EVO 28' cutter plotter, (6) Propane Conversion Kits, (1) gas powered air compressor, (1) air compressor hose reel, Martin (4) folding tables, (1) desk w/return (1) bookcase and (2) locking storage cabinet, Mountain Projects, Inc. (1) propane bi-fuel system, Robeson (6) Lorell heavy duty mesh chairs, (8) Lorell Wood guest chair (10) Lorell stack chairs and (2) Safco heavy duty stacking chair cart, Western Piedmont Regional (1) digital header sign, front and side,

All Subrecipients will follow useful life standards as defined in the 2016 State management plan. Useful life for the capital items as follows: office furniture - 10 years, office equipment - 5 years propane conversion kits - 15 years

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$144,384
Local			\$20,000
Local/In-Kind			\$0
State			\$20,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$184,384

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Rehab/renovate - Misc Support Equipment
End Date	6/30/2022	Rehab/renovate - Misc Support Equipment

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.12.16 - BUY REPL SEDAN/STATION WAGON

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.16	BUY REPL SEDAN/STATION WAGON	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Albemarle Regional Health Services will purchase (1) support vehicle for service. Support vehicles have a useful life of 8 years.

The Subrecipient will follow useful life standards as defined in the 2016 State Management Plan. NCDOT conducts the vehicle procurement on behalf of the grantees. They order the equipment off the existing contract. The NCDOT will be listed as a nonfinancial lien holder, retain physical possession of the title and include the required satisfactory continuing control language in the State- Subrecipient agreement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$34,640
Local			\$4,600
Local/In-Kind			\$0
State			\$4,600
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$43,840

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/15/2021	Buy Repl Sedan/station Wagon Anticipated Date
Contract Award Date	4/30/2021	Buy Repl Sedan/station Wagon Anticipated Date
Final Delivery Date	5/25/2021	Buy Repl Sedan/station Wagon Anticipated Date
Initial Delivery Date	8/15/2021	Buy Repl Sedan/station Wagon Anticipated Date
Contract Completion Date	3/31/2026	Buy Repl Sedan/station Wagon

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	78

Extended Budget Description

Subrecipients will purchase (10) Raised roof vans with lettering and without lifts, (60) Raised roof vans with lifts that meet ADA requirements and lettering and (8) Minivan/Crossover with lettering. Four of the minivan crossovers will be equipped with lifts that meet ADA requirements. Vans have a useful life of 8 years or 100,000.

All Subrecipients will follow useful life standards as defined in the 2016 State Management Plan. NCDOT conducts the vehicle procurement on behalf of the grantees. They order the equipment off the existing contract. The NCDOT will be listed as a nonfinancial lien holder, retain physical possession of the title and include the required satisfactory continuing control language in the State- Subrecipient agreement. Vehicle replacement listing attached to this application.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	>30ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,929,780
Local			\$500,000
Local/In-Kind			\$0
State			\$500,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,929,780

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/15/2021	Buy Replacement Van Anticipated Date
Contract Award Date	10/4/2021	Buy Replacement Van Anticipated Date
Initial Delivery Date	12/3/2021	Buy Replacement Van Anticipated Date
Final Delivery Date	5/31/2022	Buy Replacement Van Anticipated Date
Contract Completion Date	10/3/2024	Buy Replacement Van Anticipated Date

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	41

Extended Budget Description

Subrecipients will purchase (1) 20' light transit vehicle without lift, (14) 20' light transit vehicles with lifts that will meet ADA requirements, (12) 25' light transit vehicles with lifts that will meet ADA requirements and (14) 22' light

transit vehicles with lifts that will meet ADA requirements to replace those that have met useful life. LTVs have a useful life of 10 years or 100,000 miles.

All Subrecipients will follow useful life standards as defined in the 2016 State Management Plan. NCDOT conducts the vehicle procurement on behalf of the grantees. They order the equipment off the existing contract. The NCDOT will be listed as a nonfinancial lien holder, retain physical possession of the title and include the required satisfactory continuing control language in the State- Subrecipient agreement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	>30ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,434,773
Local			\$310,000
Local/In-Kind			\$0
State			\$310,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,054,773

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/15/2021	Buy Replacement <30 Ft Bus Anticipated date
Contract Award Date	10/4/2021	Buy Replacement <30 Ft Bus Anticipated date
Initial Delivery Date	12/3/2021	Buy Replacement <30 Ft Bus Anticipated Date
Final Delivery Date	5/31/2022	Buy Replacement <30 Ft Bus
Contract Completion Date	10/3/2024	Buy Replacement <30 Ft Bus Anticipated date

< tclass = 'lineItemName' > BudgetActivityLineItem: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

North Carolina Department of Transportation Integrated Mobility Division (IMD) will use funding for training, technical assistance and conference workshops. The Rural Transit Assistance Program (RTAP) provides a source of funding to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operations in non-urbanized areas. IMD receives an annual allocation of these funds to develop and implement training and technical assistance programs in

conjunction with the administration of the CTP program.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$280,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$280,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Training
End Date	6/30/2025	Training

< tdclass = 'lineItemName' > BudgetActivityLineItem: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

NCDOT Integrated Mobility Division will use funds to administer the 5311 Community Transportation Grant to cover staff salaries and fringes, cost related to travel, staff training costs in support of technical assistance provided to community transportation systems.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,307,275
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$2,307,275

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	State Or Program Administration
End Date	6/30/2025	State Or Program Administration

< tclass = 'lineItemName' > BudgetActivityLineItem: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Subrecipients will use funding to continue operating as a consolidated, regional or a small fixed route rural system and use as operating assistance funds. The period of use for operating funds will be July 1, 2021-June 30-2022.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$824,489
Local			\$824,490
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,648,979

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Up To 50% Federal Share
End Date	6/30/2022	Up To 50% Federal Share

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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PROJECT ADMINISTRATION (620-00) 11.79.00 PROJECT ADMINISTRATION PROJECT ADMINISTRATION 0

Extended Budget Description

The North Carolina Integrated Mobility Division will sue funds to support up to 80% of eligible Subrecipients administrative costs with NCDOT participation of 5% or more and local share of 15%. See the attached program of projects for detailed Subrecipient projects and funding.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,179,669
Local			\$2,900,000
Local/In-Kind			\$0
State			\$960,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,039,669

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Project Administration
End Date	6/30/2025	Project Administration

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$15,179,669.00	\$19,039,669.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$824,489.00	\$1,648,979.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS	11.80.00	STATE OR PROGRAM	0	\$2,307,275.00	\$2,307,275.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$280,000.00	\$280,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	41	\$2,434,773.00	\$3,054,773.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural

environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	78	\$3,929,780.00	\$4,929,780.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.16	BUY REPL SEDAN/STATION WAGON	1	\$34,640.00	\$43,840.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	147	\$144,384.00	\$184,384.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	71	\$75,381.00	\$95,381.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels,

storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE - ADP SOFTWARE	6	\$1,557.00	\$2,057.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.05	REHAB/RENOVATE - YARDS AND SHOPS	1	\$1,632.00	\$2,082.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	84	\$78,017.00	\$97,617.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	83	\$154,328.00	\$194,328.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA	Total
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	Number			Amount	Eligible Cost
BUS - STATION/STOPS/TERMINALS (113-00)	11.32.09	ACQUIRE - BUS ROUTE SIGNING	100	\$5,600.00	\$7,100.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	74	\$208,507.00	\$262,507.00

Project Title: FY22 Appalchian Development

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2021-019-02-00	1005-2021-6-P2	3/30/2021	4/25/2019	10/3/2024

Project Description

NCDOT Integrated Mobility Division is applying for \$508,585 from Section 5311 Appalachian Development Formula. Funds will be disbursed to Subrecipients eligible to receive Appalachian Development funding in the western part of the State. Funds will be used to purchase vehicles and other capital items that have met useful life.

Subrecipients will follow useful life standards as defined in the 2016 State Management Plan.

Project Benefits

NCDOT will be listed as a nonfinancial lien holder, retain physical possession of the title and include the required satisfactory continuing control language in the State Subrecipient agreement. Fleet replacement schedule attached.

Additional Information

None provided.

Location Description

All projects will take place in NC.

Project Location (Urbanized Areas)

UZA Code	Area Name
370000	North Carolina

Congressional District Information

State	District	Representative
North Carolina	13	George Holding

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$508,529
Local			\$58,650
Local/In-Kind			\$0
State			\$58,650
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$625,829

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2021-019-02-00	648-00 (648-A9) APPALACHIAN DEV PUB TRANSIT ASSIST	\$508,529.00	\$117,300.00	\$625,829.00	127
NC-2021-019-02-00	11.12.15 BUY REPLACEMENT VAN	\$369,880.00	\$94,000.00	\$463,880.00	7
NC-2021-019-02-00	11.42.07 ACQUIRE - ADP HARDWARE	\$5,473.00	\$1,400.00	\$6,873.00	4
NC-2021-019-02-00	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$19,210.00	\$4,900.00	\$24,110.00	12
NC-2021-019-02-00	11.44.20 REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	\$67,736.00	\$17,000.00	\$84,736.00	104
NC-2021-019-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$46,230.00	\$0.00	\$46,230.00	0

Project Budget Activity Line Items

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMIN - BUS APPALACHIAN	0

Extended Budget Description

Funds will be used to administer the Appalachian Development program and pay salaries and fringes of employees managing the program.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$46,230
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$46,230

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	State Or Program Administration
End Date	6/30/2023	State Or Program Administration

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648- 00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUIRE - BUS SUPPORT EQUIP/FACIL APPALACHIAN	12

Extended Budget Description

Cherokee County will purchase (12) channel rec - analog 4 IP cameras and installation. Subrecipient will follow useful life standards as defined in the 2016 State Management Plan. Security cameras have a useful life of 7 years.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,210
Local			\$2,450
Local/In-Kind			\$0
State			\$2,450
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,110

Milestone Name	Est. Completion Date	Description
IFB/RFP Issue Date	4/25/2019	Acquire - Mobile Surv/security Equip
Contract Award	3/16/2020	Acquire - Mobile Surv/security Equip
Contract End Date	3/16/2023	Acquire - Mobile Surv/security Equip

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUIRE - BUS SUPPORT EQUIP/FACIL APPALACHIAN	4

Extended Budget Description

Macon County will purchase (1) 70" TV, (1) Sound system and Cherokee will purchase (1) network server, (1) wireless access point and installation.

All Subrecipients will follow useful life standards as defined in the 2016 State Management Plan. Useful life for all network server is 5 years.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,473
Local			\$700
Local/In-Kind			\$0
State			\$700
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,873

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Acquire - Adp Hardware
End Date	6/30/2022	Acquire - Adp Hardware

< tclass = 'lineItemName' > BudgetActivityLineItem: 11.44.20 - REHAB/RENOVATE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	REHAB/RENOVATE - BUS SUPPORT EQUIP/FACIL APPALACHIAN	104

Extended Budget Description

Macon County will purchase (7) Bi-fuel conversion kits, (4) chairs w/arms and (2) chairs without arms for the waiting area, (30) chairs without arms, (2) stack carts for chairs, (15) flip & nest tables, (2) whiteboards, (1) lectern, (1) stand up lectern for the training room, (1) desk- L Pedestal, (1) connecting

top bridge, (1) credenza, (1) hutch, (1) tackboard, (1) task light, (2) book cases, (1) meeting table, (4) chairs with arms for the office; (4) chairs w/arms (2) end table for 2nd waiting area ; (9) replacement chairs with arms, (5) replacement high-back office chairs, (5) replacement bookcases, (1) expansion storage cabinet, (1) replacement break-room table

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$67,736
Local			\$8,500
Local/In-Kind			\$0
State			\$8,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,736

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Rehab/renovate - Misc Support Equipment
End Date	6/30/2022	Rehab/renovate - Misc Support Equipment

< tdclass = 'lineItemName' > BudgetActivityLineItem: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - BUS ROLLING STOCK APPALACHIAN	7

Extended Budget Description

Macon County will purchase (7) Raised roof vans with lift s that meet ADA requirements and replace those that have met useful life. Vans have a useful life of 8 years or 100,000 miles.

The Subrecipient will follow useful life standards as defined in the 2016 State Management Plan. NCDOT conducts the vehicle procurement on behalf of the grantees. They order the equipment off the existing contract. The NCDOT will be listed as a nonfinancial lien holder, retain physical possession of the title and include the required satisfactory continuing control language in the State- Subrecipient agreement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$369,880
Local			\$47,000
Local/In-Kind			\$0
State			\$47,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$463,880

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/15/2021	Buy Replacement Van Anticipated Date
Contract Award	10/4/2021	Buy Replacement Van Anticipated Date
Initial Delivery Date	12/3/2021	Buy Replacement Van Anticipated Date
Final Delivery Date	5/31/2022	Buy Replacement Van Anticipated Date
Contract Completion Date	10/3/2024	Buy Replacement Van Anticipated Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.15	BUY REPLACEMENT VAN	7	\$369,880.00	\$463,880.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.44.20	REHAB/RENOVATE - MISC SUPPORT EQUIPMENT	104	\$67,736.00	\$84,736.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.07	ACQUIRE - ADP HARDWARE	4	\$5,473.00	\$6,873.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	12	\$19,210.00	\$24,110.00

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

Davidson County Transportation provides services for the entire county, but only uses 5307 funds for the services that is provides to residents in Thomasville which is part of the High Point UZA. They only use one to two vehicles to provide this specific service.

Guilford County Active Fleet (Paratransit only - no fixed route)

Peak Requirement = 20
Spares = 0
Total = 20

Alamance County Transportation System has an active fleet of 27 vehicles. All of the vehicles are in service providing demand response transportation. Approximately 83% of the total trips are in the Burlington Urbanized Area.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	0
	B. Spares	0

	C. Total (A+B)	0
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	0

Fleet Type: Other

Fleet Comments

SUBRECIPIENT INFORMATION:

CHAPEL HILL: FIXED-ROUTE FLEET

PEAK 45

SPARES 9

TOTAL 54

RATIO 20%

VEHICLES TO BE REPLACED:

GMC/RTS 1986 T70606-824763 3/87 250,409 MI. 11/98 FAIR NC-90-0038

GMC/RTS 1986 T70606-824699 3/87 279,587 MI 11/98 FAIR NC-90-0053

GMS/RTS 1986 T70606-824800 3/87 259,323 MI. 11/98 FAIR NC-90-0053

GMS/RTS 1986 T70606-824801 3/87 249,042 MI. 11/98 FAIR NC-90-0053

GMS/RTS 1986 T70606-824802 3/87 254,728 MI. 11/98 FAIR NC-90-0053

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CITY OF CHARLOTTE: FIXED-ROUTE FLEET

PEAK 134

SPARES 23

TOTAL 157

RATIO 17%

VEHICLES TO BE REPLACED:

FLIXBLE 1982 136 1/83 471,453 MI. 4/99 POOR NC-03-0015

FLIXBLE 1982 141 1/83 465,670 MI. 4/99 POOR NC-05-0015

FLIXBLE 1982 144 1/83 476,716 MI. 4/99 POOR NC-05-0015

FLIXBLE 1982 147 1/83 451,016 MI. 4/99 POOR NC-05-0015

FLIXBLE 1982 148 1/83 469,649 MI. 4/99 POOR NC-05-0015

FLIXBLE 1982 155 1/83 464,559 MI. 4/99 POOR NC-05-0015

FLIXBLE 1982 161 1/83 500,199 MI. 4/99 POOR NC-05-0015

>

CITY OF WINSTON-SALEM TRANSIT AUTHORITY: DEMAND-RESPONSE FLEET

PEAK 12

SPARES 8

TOTAL 20

RATIO 66%

SPARE RATIO JUSTIFICATION: SPARE VEHICLES ARE ALL NON LIFT-EQUIPPED AND OFFER LITTLE OR NO ASSISTANCE IN MEETING THE SYSTEM'S NEED FOR LIFT-EQUIPPED CAPACITY VANS. REVAUATION OF TRANS-AID SERVICE AND CERTIFICAITONS OF ADA CLIENT MAY ADD TO THE PEAK REQUIREMENTS FOR LIFT-EQUIPPED VEHICLES. DEMAND FOR LIFT-EQUIPPED VEHICLES REGULARLY EQUALS DEMAND AND OCCASIONALLY EXCEEDS IT.

VEHICLES TO BE REPLACED:

INTERNAT/THOMAS 1983 1HVBAZRM9PH484174 3/93 82,335 MI. 1/200 FAIR NC-03-0026

INTERNAT/THOMAS 1983 1HVBAZRM6PH484178 3/93 55,748 MI. 1/200 FAIR NC-03-0026

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>
>

CITY OF GASTONIA: FIXED-ROUTE FLEET

PEAK 5 1 6

SPARE 2 - 2

TOTAL 7 1 8

RATIO 40% - 33%

JUSTIFICATION FOR SPARE RATIO: IN SMALL SYSTEMS, TO BE AT OR UNDER THE 20% LIMIT MEANS THAT THE SYSTEM HAS 1 SPARE BUS. IF A BUS IS DOWN FOR AN EXTENDED PERIOD OF TIME FOR MAINTENANCE, ACCIDENT, ETC., THE SYSTEM HAS NO SPARE FOR ITS SERVICE. IF ANOTHER BUS IS TEMPORARILY DOWN AT THIS TIME, THERE WILL NOT BE ENOUGH VEHICLES FOR PEAK HOUR SERVICE. RECENTLY ANOTHER NC BUS SYSEM LOST A

BUS DUE TO AN ACCIDENT. IT WAS FORCED TO USE VANS AND THEN LEASE A BUS BECAUSE IT HAD ONLY ONE (1) SPARE BUS. THEIR LEASE COST IS \$400/DAY OR \$2,800/WEEK.

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>

CITY OF HICKORY: FIXED-ROUTE FLEET

PEAK 4 0 4

SPARE 1 1 2

TOTAL 5 1 6

SPARE 25% - 50%

SPARE RATIO JUSTIFICATION: IN SMALL SYSTEMS, TO BE AT OR UNDER THE 20% LIMIT MEANS THAT THE SYSTEM HAS 1 SPARE BUS. IF A BUS IS DOWN FOR AN EXTENDED PERIOD FOR MAINTENANCE, ACCIDENT, ETC., THE SYSTEM HAS NO SPARE FOR ITS SERVICE. IF ANOTHER BUS IS TEMPORARILY DOWN AT THIS TIME, THERE WILL NOT BE ENOUGH VEHICLES FOR PEAK HOUR SERVICE. RECENTLY ANOTHER SMALL NC BUS SYSTEM LOST A BUS DUE TO AN ACCIDENT. IT WAS FORCED TO USE VANS AND THEN LEASE A BUS BECAUSE IT HAD ONLY ONE (1) SPARE BUS. THEIR LEASE COST IS \$400/DAY OR \$2,800/WEEK.

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RESEARCH TRIANGLE REGIONAL PUBLIC TRANSPORTATION AUTHORITY: FIXED-ROUTE FLEET

PEAK 25 13 38

SPARES 5 2 7

TOTAL 30 15 45

RATIO 20% - 18%

** NOTE: THIS DOES NOT INCLUDE VEHICLES REQUESTED IN THE BUDGET AMENDMENT TO GRANT NC-03-0031 FOR ADDITIONAL EXPANSION VEHICLES.

CITY OF GREENSBORO
DEMAND RESPONSE FLEET

PEAK 21 0 24

SPARES 3 2 5

TOTAL 24 2 26

RATIO 14% 23%

CITY OF ROCKY MOUNT
FIXED ROUTE FLEET

PEAK 4 1 5
 SPARES 1 0 1
 TOTAL 5 1 6
 RATIO 25% 20%

CITY OF ROCKY MOUNT
 DEMAND RESPONSE FLEET

PEAK 4 4 4
 SPARES 1 1 1
 TOTAL 5 5 5
 RATIO 25% 25%

The vehicles to be replaced are titled to the Nash-Edgecombe Transportation Services , Inc. (Sec. 5311 subrecipient). The City contracts with NETS to provide the service.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	134
	B. Spares	23
	C. Total (A+B)	157
	D. Spare Ratio (B/A)	17.16%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	3
	C. Total (A+B)	3
III.	Total (I.C and II.C)	160

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 5/28/2021

Comment 5311 is sent to DOL for information only.

Application Review Comments

Comment By Holly Liles

Comment Type Application Details

Date 4/2/2021

Comment Exec Summary and POP show application total is \$26,201,971; however, TrAMS

indicates 26,155,685. Please correct.
Please attach STIP page.
P1: 11.12.16 is missing useful life
P2: 11.12.15 is missing useful life

Comment By Holly Liles

Comment Type Application Details

Date 5/12/2021

Comment Please see email sent on 5/12; please address Intercity Bus clearly, by year.

Application Review Comments

Comment By Holly Liles

Comment Type Pre-Award Manager Returns Application

Date 4/2/2021

Comment

Comment By Holly Liles

Comment Type Pre-Award Manager Returns Application

Date 5/12/2021

Comment Please address comments

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF

Recipient ID: 1005

DUNS No: 948614169

Application Information

Federal Award Identification Number: NC-2021-019-00

Application Name: FY22 - 5311 FORMULA GRANTS FOR RURAL AREAS (LAPSING FUNDS)

Application Start Date: N/A

Original Award End Date: 3/30/2027

Current Award End Date: N/A

Application Executive Summary: North Carolina Department of Transportation is applying for a total of \$26,168,561 from Section 5311 Formula Program. Funds are being requested from the following formula categories on behalf of its subrecipients:

5311

FY 19 apportionment Total \$30,794,235; \$1,934,330 of FY 19 being applied for in this application. FY 19 15% \$4,619,135 amount for Intercity Bus has been met in a previous application (NC-2019-038-02). FY 20 apportionment Total \$31,243,385; \$23,445,702 of FY 20 being applied for this application. Intercity Bus for FY 20 will be applied for in a future application.

RTAP

FY 19 apportionment Total \$477,230; \$280,000 of FY 19 being applied for in this application

Appalachian

FY 20 apportionment Total \$1,450,000 ; \$220,703 of FY 20 being applied for in this application.
FY 21 apportionment Total \$1,450,000 ;287,826 of FY 21 being applied for in this application

NCDOT IMD request \$69,286 be transferred to FTA on behalf of Eastern Band of Cherokee Indians.

This application will support administrative, operating and capital cost identified on the Period of Performance.

See 5311 Funding Table below:

2019	2020	2021	Totals	
RTAP	\$280,000	\$0	\$0	\$280,000
5311	\$1,934,330	\$23,445,702	\$0	\$25,380,032
ADTAP	\$0	\$220,703	\$287,826	\$508,529

1. The Period of Performance on this project is July 1, 2021 to June 30, 2024.
2. This Application for Section 5311 Federal Assistance is apportioned to NCDOT as the designated recipient.
3. This Application does NOT include funds for Research and/or Development Activities.
4. Subrecipients will charge indirect cost to the project based on an approved indirect cost rate set by the NCDOT Public Transportation Division.

The current State Management Plan (SMP) was approved by FTA in 2016. The SMP includes the useful life estimates for capital items included in this application.

NCDOT will follow all 3rd party procurement policies as defined in FTA C4220.1F (Third Party Contracting Guidance). NCDOT will ensure contractors procured will not be on the FTA Suspension and Debarment List.

NCDOT will handle the procurement process, and guide the ordering of vehicles on state contract by grantees. Grantees pay for the vehicles upon receipt of them and send claims for reimbursement to NCDOT. A record of vehicle purchases and maintenance of these vehicles is maintained by the grantees in the Assetworks software provided by the state to grantees. NCDOT has online access to this information through Assetworks. Twice a year NCDOT requires grantees to report vehicle utilization data. Sub-recipients receiving vehicles will purchase ADA-accessible vehicles or complete the Certification of Equivalent Service Form. Other capital items approved for funding are locally procured by the grantee following FTA guidelines and regulations. An annual inventory of these capital purchases is provided to NCDOT; (Capital Replacement Schedule is attached).

Local match varies by project. Typical matching sources are state matching funds, state funded ROAP funds, contract revenue and allowable non-DOT federal program funding.

Two applicants were approved for funding under the Appalachian Development Grant.

NCDOT will use Rural Transportation Assistance Program (RTAP) for training, technical assistance and conference workshop.

Funding at 10% for the 5311 application will be requested for state administration and planning assistance.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$32,775,601.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$26,168,561.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$6,607,040.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$26,168,561.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$6,607,040.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$26,168,561
Local			\$4,685,765
Local/In-Kind			\$0
State			\$1,921,275
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,775,601

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, NORTH CAROLINA DEPARTMENT OF