

Application

Federal Award Identification Number (FAIN)	GU-2021-004-00
Temporary Application Number	1641-2021-2
Application Name	FFY 2021 Section 5311 Non-Urbanized Formula Grant for Operating Assistance, State or Program Administration, and Rolling Stock Procurement
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	10/31/2022
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: GUAM REGIONAL TRANSIT AUTHORITY

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1641	Transit Authority	Guam Regional Transit Authority	855025297

Location Type	Address	City	State	Zip
Headquarters	542 NORTH MARINE CORPS DR	UPPER TUMON	GU	969134111
Physical Address	542 NORTH MARINE CORPS DR	UPPER TUMON	GU	96913
Mailing Address	P.O. BOX 2896	HAGATNA	GU	96932

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2021 Section 5311 Non-Urbanized Formula Grant for Operating Assistance, State or Program Administration, and Rolling Stock Procurement

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
GU-2021-004-00	Final Concurrence / Reservation	Grant	1/25/2021	1/25/2021	No

Application Executive Summary

The FFY 2021 federal funding are as follows: Section 5310 amounting to \$45,595 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$25,692 (Rural Transit Assistance Program [RTAP]), Section 5339 amounting to \$1,000,000 and Section 5311 amounting to \$920,634 for a total amounting to \$1,991,921 which will be used as operating assistance to reimburse the Guam Regional Transit Authority for partial expenditures incurred in FFY 2021 as well as any and all operational costs incurred for the remainder of FY 2021 for its paratransit service, state or program administration for all FTA grant programs, and procurement of 14 passenger ADA-compliant buses equipped with ramps.

FFY 2021 GRANT FUND BREAKDOWNS:

\$45,595 - Section 5310
 \$920,634 - Section 5311
 \$25,692 - Section 5311(b)(3) RTAP
 \$1,000,000 - Section 5339
 \$1,991,921 - TOTAL

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

Also, as per FTA C9040.1G, Page III-11, 3.c., the FFY 2021 Operating Assistance amounting to \$795,298 will be used to reimburse the Guam Regional Transit Authority for the partial operational expenditures incurred in FFY 2021 as well as fund the operational expenses incurred for the remainder of FY 2021 (10/01/2020 to 09/30/2021) for its paratransit service.

GRTA earmarks FFY 2021 Section 5339 grant funds amounting to \$1,000,000 for the procurement of 5 (five) 14-passenger ADA-compliant cutaway buses equipped with ramps.

The FY 2020 Continuing grant operating assistance funds will be used to reimburse GRTA for local funding expended for its paratransit service in FY 2020 (10/1/2019 to 9/30/2020). The FY 2021 continuing grant operating assistance funds will be used to partially reimburse GRTA and to pay for the operational expenditures incurred for the rest of FY 2021 (10/01/2020 to 9/30/2021) for its paratransit service.

The original Cares Act grant funds for operational expenditures will be depleted by the end of May 2021. Accordingly, GRTA budgeted the CARES Act supplemental funds to be expended starting on June 01, 2021 and ending on June 01, 2022. GRTA allocated all the CARES Act operating assistance funds to augment the FY 2020 and FY 2021 continuing grant operating assistance funds for bus capacity reduction due to social distancing (additional buses, bus repair & maintenance costs, and fuel supply), cleaning & disinfecting the buses, salaries, benefits and overtime for bus operators and automotive maintenance

personnel providing personal protective equipment, and hand sanitizers to the bus operators and passengers. etc. And, additional expenditures incurred by GRTA related to Government of Guam programs and projects in its effort in preventing the spread of COVID-19 such as the Homeless Coalition and the Veterans shuttle Programs.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Rally	Pilipina	Chief Planner	rally.pilipina@grta.guam.gov	(671) 300-7261
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,991,921
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,991,921

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2021-004-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$795,298.00	\$0.00	\$795,298.00	1
GU-2021-004-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$795,298.00	\$0.00	\$795,298.00	1
GU-2021-004-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$125,336.00	\$0.00	\$125,336.00	1
GU-2021-004-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$125,336.00	\$0.00	\$125,336.00	1
GU-2021-004-02-00	111-00 (111-A2) BUS - ROLLING STOCK	\$1,000,000.00	\$0.00	\$1,000,000.00	5
GU-2021-004-02-00	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$1,000,000.00	\$0.00	\$1,000,000.00	5
GU-2021-004-03-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$25,692.00	\$0.00	\$25,692.00	1
GU-2021-004-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$25,692.00	\$0.00	\$25,692.00	1
GU-2021-004-04-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$45,595.00	\$0.00	\$45,595.00	1
GU-2021-004-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$45,595.00	\$0.00	\$45,595.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY 2021 Section 5311 Non-Urbanized Formula Grant - Operating Assistance, and State or Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2021-004-01-00	1641-2021-2-P1	1/29/2021	10/1/2020	9/30/2021

Project Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

Also, as per FTA C9040.1G, Page III-11, 3.c., the FFY 2021 Operating Assistance amounting to \$795,298 will be used to reimburse the Guam Regional Transit Authority for the partial operational expenditures incurred in FFY 2021 as well as fund the operational expenses incurred for the remainder of FY 2021 (10/01/2020 to 09/30/2021) for its paratransit service.

Project Benefits

The seniors & individuals with disabilities, the economically disadvantaged target populace including the transit riding public of the island will reap the benefits of partially funding the operational expenses of the GRTA paratransit service, which translates to more operating hours and committed federal funding.

Further, all federal grant program requirements will be complied with, thereby ensuring continued funding for GRTA's paratransit service; and, the funding of the salaries and benefits of additional employees to assist in administering the grant programs.

Furthermore, GRTA will be kept abreast of the current FTA regulatory changes in all grant program requirements including the latest transportation management system software technology, the latest development in rolling stock technology such as electric, hybrid, ramp equipped buses, etc. by attending off-island FTA sponsored-training, conferences such as the American Public Transportation Association (APTA), National Rural Transit Assistance Program (RTAP), etc.

Finally, GRTA Federal Program Administration Section will effectively manage the grant programs with sufficient office supplies and cutting edge office equipment in managing all FTA grant programs. This will result in the overall operational efficiency of applying, proposing, and executing federal programs and projects.

Additional Information

None provided.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Project Location (Urbanized Areas)

UZA Code	Area Name
660000	Guam

Congressional District Information

State	District	Representative
Guam	1	Michael San Nicolas

Program Plan Information

STIP/TIP

Date: 12/7/2020

Description: Guam Transportation Improvement Program (GTIP), Amendment No. 1, FY 2020-2023, Transit Projects on Page 9-11, and GRTA's Town Hall Meeting presentation on Page 19-24. For clarification: GRTA combined the FY 2020 grant funds with FY 2021 and 2022. In the FY 2021 grant funds, GRTA included \$936,000. In the FY 2022, \$1,030,000 belong to the FY 2020 grant. The remaining \$400,000 in the FY 2022 grant funds are the FHWA funds to be transferred to FTA for GRTA to apply for a grant award in TrAMS.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 12/19/2008

Description: Long-Range Plan: 2030 Guam Transportation Plan. Chapter 3.0-Demographic and Transportation Conditions, Section 3.2-why are Existing Transportation Conditions Important to the GTP? on Page 3-10 to 3-11, Subsection 3.2.2-Mass Transit on Page 3-25 to 3-41, Section 5.6 Mass Transit Improvements on Page 5-33, Subsection 5.6.1 Fixed Route System on Page 5-34 to 5-35 and Subsection 5.6.2 Immediate Opportunities for Guam Mass Transit on 5-36 of the attached documents.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$920,634
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$920,634
----------------------------	------------------

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2021-004-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$795,298.00	\$0.00	\$795,298.00	1
GU-2021-004-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$795,298.00	\$0.00	\$795,298.00	1
GU-2021-004-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$125,336.00	\$0.00	\$125,336.00	1
GU-2021-004-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$125,336.00	\$0.00	\$125,336.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

As per FTA C9040.1G, Page III-11, 3.c., the FFY 2021 Operating Assistance amounting to \$795,298 will be used to reimburse the Guam Regional Transit Authority for the partial operational expenditures in FFY 2021 as well as fund the operational expenses incurred for the remainder of FY 2021 (10/01/2020 to 09/30/2021) for its paratransit service.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$795,298
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$795,298

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	GRTA foresees the project to start on 10/01/2020 that is the start of the fiscal year.
End Date	9/30/2021	GRTA projects the project end date to happen on or before 09/30/2021. GRTA looks forward to completing this project within a year period. Thus, this will provide committed funding for the operational expenditures of GRTA's paratransit service. The FY 2020 Continuing grant operating assistance funds will be used to reimburse GRTA for local funding expended for its paratransit service in FY 2020 (10/1/2019 to 9/30/2020). The FY 2021 continuing grant operating assistance funds will be used to partially reimburse GRTA and to pay for the operational expenditures incurred for the rest of FY 2021 (10/01/2020 to 9/30/2021) for its paratransit service. The original CARES Act grant funds for operational expenditures will be depleted by the end of May 2021. Accordingly, GRTA budgeted the CARES Act supplemental funds to be expended starting on June 01, 2021 and ending on June 01, 2022. GRTA allocated all the CARES Act operating assistance funds to augment the FY 2020 and FY 2021 continuing grant operating assistance funds for bus capacity reduction due to social distancing (additional buses, bus repair & maintenance costs, and fuel supply), cleaning & disinfecting the buses, salaries, benefits and overtime for bus operators and automotive maintenance personnel providing personal protective equipment, and hand sanitizers to the bus operators and passengers. etc. And, additional expenditures incurred by GRTA related to Government of Guam programs and projects in its effort in preventing the spread of COVID-19 such as the Homeless Coalition and the Veterans shuttle Programs,

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FFY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

For Project Number 1, GRTA apportions \$125,336 to fund the salaries and benefits of the limited-term employees.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$125,336
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$125,336

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	GRTA expects the project start date to happen at the beginning of FFY 2021 that is 10/1/2020.
End Date	9/30/2021	GRTA anticipates the project end date on or before 09/30/2021. GRTA looks forward to completing this project within a year period. This will provide continued funding for the salaries and benefits of the new GRTA employees to support the program administration of FTA grant programs.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$125,336.00	\$125,336.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$795,298.00	\$795,298.00

Project Title: FFY 2021 Section 5339 Bus & Bus Related Facilities- Procurement of 14-Passenger ADA Compliant Cutaway Buses Equipped w/Ramps

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2021-004-02-00	1641-2021-2-P2	2/1/2021	8/30/2021	10/31/2022

Project Description

GRTA earmarks FFY 2021 Section 5339 grant funds amounting to \$1,000,000 for the procurement of 5 (five) 14-passenger ADA-compliant cutaway buses equipped with ramps.

Project Benefits

The seniors & individuals with disabilities, the economically disadvantaged target populace including the transit riding public of the island will reap the benefits of procuring 5 (five) 14-passenger ADA compliant cutaway buses equipped with ramps by expanding the paratransit service and increasing passenger capacity.

Additional Information

None provided.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Project Location (Urbanized Areas)

UZA Code	Area Name
660000	Guam

Congressional District Information

State	District	Representative
Guam	1	Michael San Nicolas

Program Plan Information

STIP/TIP

Date: 12/7/2020

Description: Guam Transportation Improvement Program (GTIP), Amendment No. 1, FY 2020-2023, Transit Projects on Page 9-11, and GRTA's Town Hall Meeting presentation on Page 19-24. For clarification: GRTA combined the FY 2020 grant funds with FY 2021 and 2022. In the FY 2021 grant funds, GRTA included \$936,000. In the FY 2022, \$1030,000 belong to the FY 2020 grant. The remaining \$400,000 in the FY 2022 grant funds are the FHWA funds to be transferred to FTA for GRTA to apply for a grant award in TrAMS.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 12/19/2008

Description: Long-Range Plan: 2030 Guam Transportation Plan. Chapter 3.0-Demographic and Transportation Conditions, Section 3.2-why are Existing Transportation Conditions Important to the GTP? on Page 3-10 to 3-11, Subsection 3.2.2-Mass Transit on Page 3-25 to 3-41, Section 5.6 Mass Transit Improvements on Page 5-33, Subsection 5.6.1 Fixed Route System on Page 5-34 to 5-35 and Subsection 5.6.2 Immediate Opportunities for Guam Mass Transit on 5-36 of the attached documents.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2021-004-02-00	111-00 (111-A2) BUS - ROLLING STOCK	\$1,000,000.00	\$0.00	\$1,000,000.00	5
GU-2021-004-02-00	11.13.04 BUY <30-FT BUS FOR EXPANSION	\$1,000,000.00	\$0.00	\$1,000,000.00	5

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	5

Extended Budget Description

Procure 5 (five) 14 passenger ADA-compliant cutaway buses equipped with ramps.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
------------	-----------	-------------------	--------------------

N/A

Gasoline

New

Less than 30 ft.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,000,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	8/30/2021	GRTA plans to issue the Invitation for Bid via Guam General Services Agency on or before August 30, 2021. GRTA will procure 14 passenger ADA-compliant cutaway buses equipped with ramps. The funding for this procurement will be combined with the FY 2020 grant funds amounting to \$475,000 for rolling stock acquisition. As a result, a total of \$1,475,000 will be utilized in acquiring approximately 8 (eight) buses depending on the unit price received during the bid opening.
Contract Award Date	12/31/2021	GRTA anticipates the contract award to happen on or before 12/31/2021. GRTA estimates that GSA will take up to 4 (four) months to solicit bids and award the contract to a qualified vendor due to the COVID-19 pandemic.
Initial Delivery Date	8/31/2022	GRTA estimates that the initial bus delivery will happen on or before 8/31/2022. GRTA expects that the initial bus delivery will happen after 240 days (8 months) since these vehicles will be built to order.
Final Delivery Date	8/31/2022	GRTA projects that all vehicles will be delivered on or before 8/31/2022. GRTA expects that the final bus delivery will happen after 240 days (8 months) since these vehicles will be built to order.
Contract Completion Date	10/31/2022	Approximately two months after the final delivery of the vehicles, a contract with the vendor will be completed on or before 10/31/2022.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY <30-FT BUS FOR EXPANSION	5	\$1,000,000.00	\$1,000,000.00

Project Title: FFY 2021 Section 5311 Non-Urbanized Formula Grant - State or Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2021-004-03-00	1641-2021-2-P3	4/14/2021	9/30/2020	9/30/2021

Project Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

Project Benefits

The seniors & individuals with disabilities, the economically disadvantaged target populace including the transit riding public of the island will reap the benefits of funding the state or program administration of the federal grant programs in which all federal grant program requirements will be complied with, thereby ensuring continued funding for GRTA's paratransit service; and, the funding of the salaries and benefits of additional employees to assist in administering the grant programs.

Furthermore, GRTA will be kept abreast of the current FTA regulatory changes in all grant program requirements including the latest transportation management system software technology, the latest development in rolling stock technology such as electric, hybrid, ramp equipt buses, etc. by attending off-island FTA sponsored-training, conferences such as the American Public Transportation Association (APTA), National Rural Transit Assistance Program (RTAP), etc.

Finally, GRTA Federal Program Administration Section will effectively manage the grant programs with

sufficient office supplies and cutting edge office equipment in managing all FTA grant programs. This will result in the overall operational efficiency of applying, proposing, and executing federal programs and projects.

Additional Information

None provided.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Project Location (Urbanized Areas)

UZA Code	Area Name
660000	Guam

Congressional District Information

State	District	Representative
Guam	1	Michael San Nicolas

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Guam Transportation Improvement Program (GTIP), Amendment No. 1, FY 2020-2023, Transit Projects on Page 9-11, and GRTA's Town Hall Meeting presentation on Page 19-24. For clarification: GRTA combined the FY 2020 grant funds with FY 2021 and 2022. In the FY 2021 grant funds, GRTA included \$936,000. In the FY 2022, \$1,030,000 belong to the FY 2020 grant. The remaining \$400,000 in the FY 2022 grant funds are the FHWA funds to be transferred to FTA for GRTA to apply for a grant award in TrAMS.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 12/19/2008

Description: Long-Range Plan: 2030 Guam Transportation Plan. Chapter 3.0-Demographic and Transportation Conditions, Section 3.2-why are Existing Transportation Conditions Important to the GTP? on Page 3-10 to 3-11, Subsection 3.2.2-Mass Transit on Page 3-25 to 3-41, Section 5.6 Mass Transit Improvements on Page 5-33, Subsection 5.6.1 Fixed Route System on Page 5-34 to 5-35 and Subsection 5.6.2 Immediate Opportunities for Guam Mass Transit on 5-36 of the attached documents.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,692
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,692

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2021-004-03-00	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$25,692.00	\$0.00	\$25,692.00	1
GU-2021-004-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$25,692.00	\$0.00	\$25,692.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FFY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

For Project Number 3, GRTA allocates \$21,664 to fund the salaries and benefits of the limited-term employees. In addition, GRTA allots \$4,028 for off-island travel/training, office supplies, and office equipment.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$25,692
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,692

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2020	GRTA expects the project start date to happen at the beginning of FFY 2021 that is 10/1/2020.
End Date	9/30/2021	GRTA anticipates the project end date on or before 09/30/2021. Supplies and equipment will be used during the duration of this project. Travel is also expected to occur during this period of performance. GRTA looks forward to completing this project within a year period. This will accelerate the hiring of new employees to support the administration of FTA grant programs.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$25,692.00	\$25,692.00

<p>Project Title: FFY 2021 Section 5311 Non-Urbanized Formula Grant-State or Program Administration</p>
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2021-004-04-00	1641-2021-2-P4	4/14/2021	9/30/2020	9/30/2021

Project Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

In project number 4, GRTA earmarks \$25,692 for State or Program Administration.

Project Benefits

The seniors & individuals with disabilities, the economically disadvantaged target populace including the transit riding public of the island will reap the benefits of funding the State or Program Administration of all FTA federal programs, which translates to more committed federal funding for the following:

Firstly, all federal grant program requirements will be complied with, thereby ensuring continued funding for GRTA's paratransit service; and, the funding of the salaries and benefits of additional employees to assist in administering the grant programs.

Secondly, GRTA will be kept abreast of the current FTA regulatory changes in all grant program requirements including the latest transportation management system software technology, the latest development in rolling stock technology such as electric, hybrid, ramp equipt buses, etc. by attending off-island FTA sponsored-training, conferences such as the American Public Transportation Association (APTA), National Rural Transit Assistance Program (RTAP), etc.

Thirdly, GRTA Federal Program Administration Section will effectively manage the grant programs with sufficient office supplies and cutting-edge office equipment in managing all FTA grant programs. This will result in the overall operational efficiency of applying, proposing, and executing federal programs and projects.

Additional Information

None provided.

Location Description

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Project Location (Urbanized Areas)

UZA Code	Area Name
660000	Guam

Congressional District Information

State	District	Representative
Guam	1	Michael San Nicolas

Program Plan Information

STIP/TIP

Date: 12/8/2020

Description: Guam Transportation Improvement Program (GTIP), Amendment No. 1, FY 2020-2023, Transit Projects on Page 9-11, and GRTA's Town Hall Meeting presentation on Page 19-24. For clarification: GRTA combined the FY 2020 grant funds with FY 2021 and 2022. In the FY 2021 grant funds, GRTA included \$936,000. In the FY 2022, \$1,030,000 belong to the FY 2020 grant. The remaining \$400,000 in the FY 2022 grant funds are the FHWA funds to be transferred to FTA for GRTA to apply for a grant award in TrAMS.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 12/19/2008

Description: Long-Range Plan: 2030 Guam Transportation Plan. Chapter 3.0-Demographic and Transportation Conditions, Section 3.2-why are Existing Transportation Conditions Important to the GTP? on Page 3-10 to 3-11, Subsection 3.2.2-Mass Transit on Page 3-25 to 3-41, Section 5.6 Mass Transit Improvements on Page 5-33, Subsection 5.6.1 Fixed Route System on Page 5-34 to 5-35 and Subsection 5.6.2 Immediate Opportunities for Guam Mass Transit on 5-36 of the attached documents.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$45,595
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$45,595

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2021-004-04-00	610-00 (610-A5) STATE / PROGRAMS ADMINISTRATION	\$45,595.00	\$0.00	\$45,595.00	1
GU-2021-004-04-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$45,595.00	\$0.00	\$45,595.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FFY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

For Project Number 4, GRTA allocates \$45,595 for off-island travel/training, office supplies, office equipment

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$45,595
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$45,595
----------------------------	-----------------

Milestone Name	Est. Completion Date	Description
Start Date	9/30/2020	GRTA expects the project start date to happen at the beginning of FFY 2021 that is 10/1/2020.
End Date	9/30/2021	Office supplies and office equipment will be used during the duration of this project. Travel is also expected to occur during this time frame.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$45,595.00	\$45,595.00

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

ADA accessible fixed-route transit service complemented by island-wide ADA accessible demand response transit service (6 Buses, 4 vans, 1 backup van and 1 backup bus).

Current Value

I.	Active Fleet	
	A. Peak Requirement	6
	B. Spares	2
	C. Total (A+B)	8
	D. Spare Ratio (B/A)	33.33%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	8

Fleet Type: Paratransit

Fleet Comments

ADA accessible paratransit buses/vans with island-wide service for the elderly individuals and persons with disabilities. (4 buses, 4 vans and 1 backup van)

		Current Value
I.	Active Fleet	
	A. Peak Requirement	6
	B. Spares	1
	C. Total (A+B)	7
	D. Spare Ratio (B/A)	16.67%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	7

Fleet Type: Other

Fleet Comments

2 (two) ADA accessible vans for pilot program with Guam Department of Labor (1 (one) van), and Guam Veterans Affairs Office (State) (1 (one) van).

		Current Value
I.	Active Fleet	
	A. Peak Requirement	2
	B. Spares	0
	C. Total (A+B)	2
	D. Spare Ratio (B/A)	0%
II.	Inactive Fleet	

	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	2

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type	DOL Review for Certification
Date	6/15/2021
Comment	Request DOL certification. This grant includes Program Administration, Operating and Vehicle procurement

Application Review Comments

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	3/4/2021
Comment	adjust scope codes as discussed to 600 series. also explain overlap in POP of operating, provide grants where funds overlap and assurances that funds will be monitored during reimbursement to ensure proper processing

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	4/2/2021
Comment	Revise projects and funding sources as discussed 4/1/21

Comment By Ryan Fujii

Comment Type	Pre-Award Manager Returns Application
Date	5/24/2021
Comment	5339 funding should be 5311 as all funds were consolidated

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: GUAM REGIONAL TRANSIT AUTHORITY

Recipient ID: 1641

DUNS No: 855025297

Application Information

Federal Award Identification Number: GU-2021-004-00

Application Name: FFY 2021 Section 5311 Non-Urbanized Formula Grant for Operating Assistance, State or Program Administration, and Rolling Stock Procurement

Application Start Date: N/A

Original Award End Date: 10/31/2022

Current Award End Date: N/A

Application Executive Summary: The FFY 2021 federal funding are as follows: Section 5310 amounting to \$45,595 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$25,692 (Rural Transit Assistance Program [RTAP]), Section 5339 amounting to \$1,000,000 and Section 5311 amounting to \$920,634 for a total amounting to \$1,991,921 which will be used as operating assistance to reimburse the Guam Regional Transit Authority for partial expenditures incurred in FFY 2021 as well as any and all operational costs incurred for the remainder of FY 2021 for its paratransit service, state or program administration for all FTA grant programs, and procurement of 14 passenger ADA-compliant buses equipped with ramps.

FFY 2021 GRANT FUND BREAKDOWNS:

\$45,595 - Section 5310
\$920,634 - Section 5311
\$25,692 - Section 5311(b)(3) RTAP
\$1,000,000 - Section 5339
\$1,991,921 - TOTAL

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration fund totaling \$196,623 for FFY 2021 which is 10 percent of the combined total of FY 2021 Sections 5310, 5311, & 5339, will be used by GRTA for funding the salaries and benefits of the limited-term employees (Procurement Specialist I, Personnel Specialist I, Public Information Officer I) to assist in administering all FTA grant programs amounting to \$147,000, and off-island travel/training, office supplies, office equipment amounting to \$49,623.

Also, as per FTA C9040.1G, Page III-11, 3.c., the FFY 2021 Operating Assistance amounting to \$795,298 will be used to reimburse the Guam Regional Transit Authority for the partial operational expenditures incurred in FFY 2021 as well as fund the operational expenses incurred for the remainder of FY 2021 (10/01/2020 to 09/30/2021) for its paratransit service.

GRTA earmarks FFY 2021 Section 5339 grant funds amounting to \$1,000,000 for the procurement of 5 (five) 14-passenger ADA-compliant cutaway buses equipped with ramps.

The FY 2020 Continuing grant operating assistance funds will be used to reimburse GRTA for local funding expended for its paratransit service in FY 2020 (10/1/2019 to 9/30/2020). The FY 2021 continuing grant operating assistance funds will be used to partially reimburse GRTA and to pay for the operational expenditures incurred for the rest of FY 2021 (10/01/2020 to 9/30/2021) for its paratransit service.

The original CARES Act grant funds for operational expenditures will be depleted by the end of May 2021. Accordingly, GRTA budgeted the CARES Act supplemental funds to be expended starting on June 01, 2021 and ending on June 01, 2022. GRTA allocated all the CARES Act operating assistance funds to augment the FY 2020 and FY 2021 continuing grant operating assistance funds for bus capacity reduction due to social distancing (additional buses, bus repair & maintenance costs, and fuel supply), cleaning & disinfecting the buses, salaries, benefits and overtime for bus operators and automotive maintenance personnel providing personal protective equipment, and hand sanitizers to the bus operators and passengers. etc. And, additional expenditures incurred by GRTA related to Government of Guam programs and projects in its effort in preventing the spread of COVID-19 such as the Homeless Coalition and the Veterans shuttle Programs.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,991,921.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,991,921.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,991,921.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,991,921
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,991,921

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

GUAM REGIONAL TRANSIT AUTHORITY